## **PROJECT MANUAL**

of the Material and Labor Required for Construction of:

### **JOB COPY**

TO REMAIN ON SITE AT ALL TIMES



# County of San Mateo

## Maple Street Correctional Center Photovoltaic System

for

## Maple Street Correctional Facility

1300 Maple St Redwood City, California 94063 County of San Mateo Project Number PB010

Permit Submittal: 07 21 2022

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#### DOCUMENT 00 11 09

#### **BIDDING CALENDAR**

#### NOTICE - THIS SUMMARY OF DATES IS FOR INFORMATIONAL PURPOSES ONLY.

The dates and times listed may not be relied upon or enforced. This summary does not form a part of the Contract Documents and does not establish contractual obligations.

NOTICE – THIS IS A SUMMARY ONLY AND DOES NOT LIST ALL DATES, TIMES OR TIME PERIODS CONTAINED IN THE BIDDING AND CONTRACT DOCUMENTS.

All bidders and contractors must refer to the actual documents for all applicable dates, times, and time periods.

Maple St Solar Photovoltaic Project						
Event	Date/Time	Location				
Contract Documents Issued for Bid (Released &Available):	August 28, 2023	https://publicworks.smcgov.org/projects- out-bid				
Mandatory Pre-Bid Conference and Project Site Visit/Job Walk	September 19, 2023	1300, Maple St, Redwood City, CA 94063				
Deadline for Questions –Last Day for prospective Bidders to submit questions, in writing, by email to <u>Authorized Contact Person:</u> <u>bdelgado@smcgov.org</u>	September 26, 2023	N/A				
Response to Questions – Issue Addendum #1	October 3, 2023	https://publicworks.smcgov.org/projects- out-bid				
Bids Due:	October 5, 2023	See Notice to Contractors Document 00 11 16				
Bid Opening Date:	October 5, 2023	See Notice to Contractors Document 00 11 16				
Bid Evaluation Period:	October 5– October 9, 2023	N/A				
Issue Notice of Intent to Award:	October 10 2023	N/A				
Protest Period:	October 10 – October 13 2023	See Instructions to Bidders Document 11 21 13				
Submission to County Board for Approval:	November 2023	N/A				
Anticipated Contract Award Date:	November 2023	N/A				

#### END OF DOCUMENT 00 11 09

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#### DOCUMENT 00 11 16

#### NOTICE TO CONTRACTORS

**NOTICE IS HEREBY GIVEN** that the Board of Supervisors of the County of San Mateo, State of California, will receive sealed bids for the following construction contract:

#### MAPLE STREET CORRCTIONAL CENTER PHOTOVOLTAIC SYSTEM PROJECT NO. PB010 SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST 1300 MAPLE STREET REDWOOD CITY, CA 94063

#### Engineer's Estimate: \$1,200,000.00

Bids shall be received in accordance with the Contract Documents. The Contract Documents may be examined and/or downloaded at the Department of Public Works website at <a href="https://publicworks.smcgov.org/projects-out-bid">https://publicworks.smcgov.org/projects-out-bid</a> (includes complete bid package).

A **Mandatory** Pre-Bid Conference followed by a Project Site Visit/Job Walk is scheduled for **Tuesday September 19<sup>th</sup>**, at 2:00 PM (Pacific Time). The mandatory pre-bid conference will meet at the project site entry gate, 1300 Maple St, Redwood City CA 94063. It is mandatory for interested contractors to attend the pre-bid conference, sign the attendance roster and visit the project site to become familiar with project. Bids will not be accepted from any prime contractor not present at the mandatory pre-bid conference as evidenced on the attendance roster.

Please review the Project Plans & Specifications in advance of the Mandatory Pre-Bid Conference and Project Site Visit.

Bids shall be submitted using forms furnished and bound in the Project Manual of the Construction Documents and in accordance with the Instructions to Bidders Document 11 21 13 and shall be accompanied by a Bid Bond.

Bids shall be sealed and filed with the Clerk of the Board of Supervisors of the County of San Mateo at the Hall of Justice and Records, 400 County Center, (formerly 401 Marshall Street) 1<sup>st</sup> Floor, Redwood City, California, 94063 and filed Bids shall receive the Clerk's timestamp before **October 5<sup>th</sup>, 2023, 2:15PM (Pacific Time).** All sealed bids officially received and filed with the Clerk of the Board of Supervisors will be opened in public at 2:30 or shortly thereafter outside in front of the 455 County Center - First Floor or at another location as designated by County.



The Board of Supervisors of the County of San Mateo, State of California, reserves the right to reject any and all bids, alternate bids, or unit prices and waive any irregularities in any bid received.

No bidder may withdraw his bid for a period of ninety (90) days after the date set for the opening thereof.

Prospective bidders must be fully qualified, licensed, certified, and insured to perform the Work requested for the Project. All work performed must meet all current applicable laws and regulations.

Pursuant to Labor Code Sections 1770, et seq., the Director of the Department of Industrial Relations has determined the general prevailing rate of wages in the County of San Mateo for each craft, classification, or type of workman needed to execute the contract. The prevailing rates so determined are based on an 8-hour day, 40-hour week, except as otherwise noted. Existing agreements between the Building Trades and the Construction Industry groups relative to overtime, holidays and other special provisions shall be recognized. It shall be mandatory upon the Contractor and upon any subcontractors under him, to pay not less than the said specific rates to all laborers, workmen or mechanics employed by them in the execution of this contract.

Pursuant to State Senate Bill SB 854 (Stat. 2014, Ch. 28), effective January 1, 2015:

- (1) No Contractor or Subcontractor may be listed on a bid proposal for a public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 (with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)).
- (2) No Contractor or Subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.
- (3) This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations. All Contractors and Subcontractors must furnish electronic certified payroll records directly to the Labor Commissioner (aka Division of Labor Standards Enforcement).

Contractor and its subcontractor(s) agree to pay not less than prevailing rates of wages and be responsible for compliance with all the provisions of the California Labor Code, Article 2-Wages, Chapter 1, Part 7, Division 2, section 1770 et seq and section 1810 et seq. A copy of the prevailing wage scale established by the Department of Industrial Relations is on file in the office of the Director of Public Works, and available at www.dir.ca.gov/DLSR or by phone at 415-703-4774. California Labor Code section 1776(a) requires each contractor and subcontractor keep accurate payroll records of trades' workers on all public works projects and to submit copies of certified payroll records upon request. A bid security bond will be required for the faithful performance of the contract in amount of not less than one hundred percent (100%) of the amount of the bid. See Document 00 61 16 Bid Bond.

A payment bond and performance bond will be required pursuant to California Public Contract Code Section 7103 and Section 10221 if a contractor is awarded a contract.

The Work to be performed consists, in general, of providing all labor, materials, tools, appurtenances, and equipment required, as well as any other items and details not mentioned above but required by the Contract Documents and as directed by the Director of Public Works.

The engineer's estimate for the scope of work of this Project is One Million Two Hundred Thousand Dollars (\$1,200,000).

The Contract Time for completion of all the Work of the Project is Two Hundred Ninety Six (296) calendar days, as defined as sufficiently complete in accordance with the Contract Documents.

Liquidated Damages are \$1,000.00 per calendar day and shall be based on the Contract Time. Pursuant to California Government Code Section 53069.85, Owner may withhold Liquidated Damages from payments to the Contractor as such damages accrue, or, at Owner's discretion, withhold Liquidated Damages from any payments due or that become due pursuant to the Contract, including Retention and final payment.

#### END OF DOCUMENT 00 11 16

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#### DOCUMENT 00 21 13

#### INSTRUCTIONS TO BIDDERS

#### 1. General

- 1.1 Bids shall be received in accordance with the Contract Documents. Each Bidder shall carefully read the complete Contract Documents including these instructions.
- 1.2 Before submitting a bid, each Bidder shall attend the mandatory prebid conference and visit the project site and evaluate all conditions and limitations involved thereon as no allowance will be made because of the lack of such examination and knowledge.
- 1.3 Only licensed Contractors authorized to do business under the laws of the State of California and able to qualify as follows will be eligible to submit a bid.
- 1.4 Contractors shall meet the following qualifications for this project:
  - A. Contractors bidding to the County shall have a minimum five
     (5) years continuous experience as a prime contractor on projects in California of comparable quality, size, complexity, and type.
  - B. Contractors bidding to the County shall have completed as the prime three (3) projects of comparable quality, size, complexity and type, preferably in an operating facility, and the projects have been closed with compliance.
  - C. Contractors bidding to the County shall submit Superintendent's qualifications with a minimum of three (3) years supervising projects of comparable quality, size, complexity, and type.
  - D. Subcontractors shall meet the above two requirements in A. and B. as it pertains to their Work.
  - E. Contractor is legally authorized to do business in the State of California.
  - F. Within two (2) business days of request by County, Contractor shall submit evidence of compliance to the above qualifications (in A. B., and C.) and a list of all project work performed, both complete and incomplete, within the previous five (5) years including the names and phone numbers of the Owners and Architects.

- 1.5 Contractors shall meet the following construction requirements:
  - A. Permits: All work is subject to inspection and acceptance of the Authority Having Jurisdiction (AHJ).
  - B. Differing Site Conditions: Contractor is advised the work will be performed in an existing facility.
  - C. Work shall be performed between the construction hours of 7:00AM to 5:00PM, unless otherwise agreed upon between the County and Contractor due to extenuating factors.
  - D. Contractor is advised the County intends to maintain active San Mateo Correction Facility, Maple Street utility operations specific to facility systems during construction. Existing systems and utility outages, and shutdowns shall be approved in advance by the County.
  - E. Contractor to coordinate with the County and AHJ regarding providing temporary construction barriers and maintain public sidewalk access in the street Right-of-Way for duration of project.
  - F. Not Used.
  - G. Schedule: Contractor's attention is directed to the Contract Time and the requirement of the Contractor to achieve substantial completion of the work within said time period. Construction shall be completed within Contract Time defined as sufficiently complete in accordance with the Contract Documents to allow the Owner to occupy or utilize for its intended use.
  - H. Sequence of Construction. Contractor shall submit for approval by the County the proposed sequence of construction.
  - I. Contractor shall be required to attend weekly construction project meetings with County and County's Representatives for the duration of the project. Contractor shall track meeting action items and provide updates per Contract Documents.
  - J. Contractor's Personnel: Contractor shall submit within ten (10) working days from the execution of the Contract a list of names, addresses, and telephone numbers of key personnel who are to be contacted in case of emergencies on the job during non-working hour, including Saturdays, Sundays, and Holidays. Contractor shall update the list during the project and ensure the latest revision is posted in project office and provided to County Representatives.

All personnel who will have access to the work site shall carry photo identification at all times. Personnel may be required to pass a background check to be granted access. The County reserves the right to reject personnel with current parole or probationary status and/or criminal records. County staff reserves the right to request a worker be excused from the job site for not carrying appropriate photo identification issued by the State of California. No claims for delays will be allowed for failure on the part of the Contractor to enforce this requirement.

1.6 Contract Documents:

Questions regarding the Contract Documents, such as discrepancies, conflicts, omissions, doubt as to meanings, or regarding scope of work shall be referred to the County Authorized Contact Person. Inquiries must be received by the Authorized Contact Person not later than Tuesday September 26<sup>th</sup>. Inquiries will be answered in writing to all bidders of record if a response or written clarification is warranted in the opinion of the Owner. The Owner will not be responsible for oral clarifications.

Regarding questions on the Contract Documents in the absence of written clarifications, Contractor is instructed to bid the more expensive method or materials.

- 2. Bid Proposals
  - 2.1 Bids shall be submitted in accordance with the Contract Documents. Bid documents shall be submitted on County forms provided in these Contract Documents, and are to be properly and fully completed, including the designation of all subcontractors who will perform work or labor or render service on behalf of Contractor, in an amount in excess of one-half of one percent of the Contractor's total bid. Bidders must complete and submit all of the following documents with their Bid:
    - 1. Document 00 41 13 Bid Form and Designated Subcontractor List
    - 2. Document 00 45 19 Non-Collusion Declaration
    - 3. Document 00 45 36.01 EEO Certification of Compliance & Intent
    - 4. Document 00 45 36.02 EEO Program Contractor Report Form
    - 5. Document 00 45.36.03 EEO Program Questionnaire
    - 6. Document 00 45 46 Anti-Trust Laws Questionnaire
    - 7. Document 00 61 16 Bid Bond (Bid Security) Form
  - 2.2 No bid will be considered which makes exceptions, changes, or in any manner makes reservations to the terms of the Contract Documents.
  - 2.3 Unit Prices on all classes of work as specified or required shall be submitted. Additions to or deductions from the contract sum shall be based on these unit prices. However, none will be acceptable that are above and beyond a fair and just amount and may be subject to third party estimator verification and reasonable adjustment before the signing of the Contract or bid disqualification.

- 2.4 Each bid must give the full business address of the bidder and be signed by the bidder with his usual signature. Bids by partnerships must furnish the full name of all partners and must be signed in the partnership name by one of the members of the partnership or by any authorized representative, followed by the signature and designation of the person signing. Bids by corporations must be signed with the legal name of the corporation, followed by the name of the State of incorporation and by the signature and designation of the president, secretary, or other person authorized to bind it in the matter. Corporations must furnish a Certificate attesting to the existence of the corporation. The name of each person signing shall also be typed or printed below the signature. When requested by the Owner, satisfactory evidence of the authority of the officer signing on behalf of the corporation shall be furnished.
- 2.5 Bids are to be submitted in separate sealed envelopes. Envelopes shall be marked in lower left corner "Bid for" (provide contract title) and "Bid Opening" (provide bid opening date and time).

Deliver all bids to Clerk of the Board of Supervisors of the County of San Mateo at the Hall of Justice and Records, 400 County Center, (formerly 401 Marshall Street) 1st Floor, Redwood City, California, 94063 for the Clerk's timestamp of receipt before the day of **October 5**<sup>th</sup>, **2023**, **2:15PM**.

- 2.6 All sealed bids officially received and filed with the Clerk of the Board of Supervisors of the County of San Mateo on or before the day of October 5<sup>th</sup>, 2023, 2:30PM will be opened in public shortly thereafter outside of the 455 County Center building- First Floor Lobby or at another location as designated at that time by County Clerk of the Board.
- 2.7 No bid will be considered which is received after the date and time set for the deadline to receive bids as stated herein, as determined by County.
- 3. Bonds and Insurance
  - 3.1 Bids shall be accompanied by a cashier's check or a certified check payable to County, or a Bid Bond of not less than ten percent (10%) of the amount of the base Bid, plus all additive alternates as required. Required form of corporate surety, a Bid Bond Form, is provided by County and must be used and fully completed by Bidders choosing to provide a Bid Bond as security. The Surety on Bidder's Bid Bond must be an insurer admitted in the State of California and authorized to issue

necessary bid security will be deemed non-responsive and will not be considered.

- 3.2 Two bonds, as itemized below and in the forms presented in these Contract Documents, shall be furnished by the successful Bidder within ten (10) calendar days after notification of award, and by which documents shall be filed with the Department of Public Works, Capital Projects Division, 555 County Center, 5<sup>th</sup> Floor, Redwood City, California. The bonds shall be in the form of surety bonds issued by corporations duly and legally licensed to transact business in the State of California, satisfactory to the County. Premiums for said bonds shall be paid by the Contractor and maintained at Contractor's expense during the period prescribed herein for the completion of the work to be performed under the contract.
- 3.3 Performance Bond in amount of 100 percent (100%) of the Contract Amount to insure County during construction and for the guarantee period after completion against faulty or improper materials or workmanship and to assure County of full and prompt performance of Contract.
- 3.4 Payment Bond in amount of 100 percent (100%) of the Contract Amount in accordance with the laws of the State of California to secure payment of any and all claims for labor and material used or consumed in performance of this Contract.
- 3.5 Workers' Compensation Insurance, Comprehensive General Liability Insurance, and Motor Vehicle Liability Insurance and evidence thereof shall be furnished to County and shall be maintained by the Contractor as detailed in the General Conditions.
- 4. Wage Rates
  - 4.1 The Director of Industrial Relations has determined the general prevailing rate of wages in the County of San Mateo.
  - 4.2 In accordance with the General Conditions, it shall be mandatory upon the Contractor and Subcontractors to pay not less than the said prevailing wage rates to all laborers, workmen, or mechanics employed by them in the execution of this Contract. When applicable, both Contractor and Subcontractor hereby agree to pay not less than prevailing rates of wages and be responsible for compliance with all the provisions of the California Labor Code, Article 2-Wages, Chapter 1, Part 7, Division 2, Section 1770 et seq and Section 1810 et seq. A copy of the prevailing wage scale established by the Department of Industrial Relations is on file in the office of the Director of Public Works, and

available at www.dir.ca.gov/DLSR or by phone at 415-703-4774. California Labor Code Section 1776(a) requires each Contractor and Subcontractor keep accurate payroll records of trades workers on all public works projects and to submit copies of certified payroll records upon County's request.

4.3 The Contractor's attention is further directed to the following requirements of State Senate Bill SB 854 (Stat. 2014, Chapter 28), effective

January 1, 2015:

- (1) No Contractor or Subcontractor may be listed on a bid proposal for a public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 (with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)).
- (2) No Contractor or Subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.
- (3) This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.
- 4.4 The Contractor is further advised that, pursuant to State Senate Bill SB 854 (Stat. 2014, Chapter 28), effective January 1, 2015, all contractors and subcontractors working on a contract for public work on a public works project (awarded on or after April 1, 2015) must furnish electronic certified payroll records to the Labor Commissioner.
- 5. Non-Discrimination
  - 5.1 All Contractors with contracts over \$5,000 must comply with the County Ordinance No. 4026, Chapter 2.93 of the County of San Mateo Ordinance Code with respect to the provision on employee benefits. The ordinance mandates that Contractors provide to employees with domestic partners benefits equal to those provided to employees with spouses.
- 6. Contractor Employee Jury Service Ordinance
  - 6.1 For contracts over \$100,000, Contractor shall comply with the County Ordinance No. 4324, Chapter 2.85 of the County of San Mateo Ordinance Code with respect to provision of jury duty pay to employees. Refer to Document 00 45 29 Jury Service and Wage Compensation.

- 7. Recycling and Diversion of Debris from Construction and Demolition Ordinance
  - 7.1 All Contractors with demolition contracts exceeding \$5,000 in value; or construction contracts exceeding \$250,000 in value; or construction contracts consisting of at least 2,000 square feet shall comply with the County Ordinance No. 4099, Chapter 4.105 of the County of San Mateo Ordinance Code for with respect to construction and demolition debris. Refer to Document 00 62 63 Recycling and Diversion of Debris from Construction and Demolition.
- 8. Sole Source Products and/or County Vendors
  - 8.1 The County has found and determined that the following item(s) shall be used on this Project based on the purpose(s) indicated. Public Contract Code Section 3400(b): A particular material, product, thing, or service is designated by specific brand or trade name for the following purpose(s):
    - (1) In order to match other products in use at the San Mateo Correctional Center.
    - (2) In order to obtain a necessary item that is only available from one source.

See Drawings and Specifications for specific project requirements.

- 9. Contractor Selection and Contract Award
  - 9.1 Before a contract is awarded, the Director of Public Works may, at his sole discretion, require from the proposed contractor evidence of his ability to faithfully, capably, and reasonably perform such proposed contract within the Contract Time and for the Contract Amount and may consider such evidence before making a decision on the award of such proposed contract.
  - 9.2 The County reserves the right to reject any and all bid proposals, to contract work with whomever and in whatever manner, to abandon work entirely, or waiver of any irregularities in receiving bids.
  - 9.3 The contract shall be awarded to the lowest and most responsible bidder as interpreted by the County in accordance with the Contract Documents. The Base Bid shall be used to determine the lowest bidder. Alternates may be accepted and awarded to the lowest and most responsible bidder, as determined above, in any combination or order.

- 9.4 Once a decision has be made to award a contract to a bidder, the County will issue a Notice of Intent to Award to notify all bidders of the selected bidder.
- 10. Protests

Protests that do not comply with the protest procedures outlined below will be rejected.

10.1 Protest Eligibility, Format, and Address

(1) Protests or objections may be filed regarding the procurement process, the content of the solicitation, Construction Documents, or any addenda, or contract award.

(2) The County will only review protests submitted by an interested party, defined as an actual or prospective bidder whose direct economic interest could be affected by the County's conduct of the solicitation. Subcontractors do not qualify as interested parties.(3) Submit protests to the Department of Public Works by registered mail to:

Tory Newman Deputy Director, Facilities Department of Public Works County of San Mateo 555 County Center, 5<sup>th</sup> Floor Redwood City, Ca 94063.

#### 10.2 Protest Deadlines

Submit Protests with any supplemental materials by 2:00PM, Pacific Standard Time, (PST), as appropriate, on the deadlines set forth below. The date of filing is the date the County receives the protest, unless received after 2:00PM PST, or on other than a Business Day, in which case the date of filing will be the next Business Day. Failure to file by the relevant deadline constitutes a waiver of any protest on those grounds. Supplemental materials filed after the relevant deadline may be rejected by the County.

- (1) If relating to the content of the solicitation or to an addendum, file within five (5) Business days after the date the County releases the solicitation or addendum.
- (2) If relating to any notice of non-responsiveness or non-responsibility, file within five (5) Business Days after the County issues such notice.
- (3) If relating to intent to award, file within five Business Days after the County issues notice of Intent to Award. No protests will be accepted once actual award has been made.

#### 10.3 Protest Contents

(1) The letter of protest must include all of the following elements:

 a) Detailed grounds for the protest, fully supported with technical data, test results, documentary evidence, names of witnesses, and other pertinent information related to the subject being protested; and

b) The law, rule, regulation, ordinance, provision or policy upon which the protest is based, with an explanation of the violation.

- (2) Protests that simply disagree with decisions of the Department of Public Works will be rejected.
- 10.4 Reply to Protest

The County will send a written response to the protestor and to any other party named in the protest.

10.5 No Stay of Procurement Action during Protest

Nothing in these protest requirements will prevent the County from proceeding with negotiations or awarding a purchase order or contract while a protest is pending.

#### 11. Public Records

#### 11.1 General

(1) All bids, protests, and information submitted in response to this solicitation will become the property of the County and will be considered public records. As such, they may be subject to public review.

(2) Any contract arising from this solicitation for bids will be public record.

(3) Submission of any materials in response to this solicitation for bids constitutes:

a) Consent to the County's release of such materials under the Public Records Act without notice to the person or entity submitting the materials; and

b) Waiver of all claims against the County and/or its officers, agents, or employees that the County has violated a proposer's right to privacy, disclosed trade secrets, or caused any damage by allowing the bid or materials to be inspected; and

c) Agreement to indemnify and hold harmless the County for release of such information under the Public Records Act; and

d) Acknowledgement that the County will not assert any privileges that may exist on behalf of the person or entity submitting the materials.

#### 11.2 Confidential Information

(1) The County is not seeking proprietary information and will not assert any privileges that may exist on behalf of the proposer: Proposers are responsible for asserting any applicable privileges or reasons why a document should not be produced in response to a public record request.

(2) If submitting information protected from disclosure as a trade secret or any other basis, identify each page of such material subject to protection as "CONFIDENTIAL". If requested material has been designated as confidential, the County will attempt to inform the proposer of the public records request in a timely manner to permit assertion of any applicable privileges.

(3) Failure to seek a court order protecting information from disclosure within ten (10) days of the County's notice of the request to the proposer will be deemed agreement to disclosure of the information and the proposer agrees to indemnify and hold the County harmless for release of such information.

(4) Requests to treat and entire proposal as confidential will be rejected and deemed agreement to County disclosure of the entire proposal and the proposer agrees to indemnify and hold the County harmless for release of any information requested.

(5) Trade secrets will only be considered confidential if claimed to be a trade secret when submitted to the County, marked as confidential, and compliant with Government Code Section 6254.7.

#### END OF DOCUMENT 00 21 13

#### DOCUMENT 00 41 13

#### **BID FORM**

#### STIPULATED SUM SINGLE-PRIME CONTRACT

To: The County of San Mateo State of California

From:

(Proper Name of Bidder)

## For: MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC SYSTEM, PROJECT NO PB010

SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST 1300 MAPLE STREET REDWOOD CITY, CA 94063 Project No.: PB010

#### Bid Opening Date: October 5<sup>th</sup>, 2023, at 2:30PM

#### 1. <u>SCOPE OF BIDS</u> – The undersigned, doing business under the name of

declares that the only persons or parties interested in this Bid proposal as Principals are those named herein; that this Bid is made without collusion with any other person, firm or corporation; that Principals have carefully examined the location of the proposed Work, the form of Agreement, and the Contract Documents therein referred to; that they propose, and agrees if this Bid is accepted, that Principals will contract with the County of San Mateo, in the form of the Agreement in the Contract Documents, and shall perform all the Work and furnish all the materials specified in the Contract Documents for the following amount(s). The base bid, unit prices, alternates, allowances, as applicable, shall include all labor, materials, equipment, supervision, overhead, profit, and incidentals necessary to complete the Work in accordance with the Contract Documents. The Base Bid will be used to determine the lowest responsible bidder.

2. <u>BASE BID</u> – Base bids shall include all Work specified in the Contract Documents. Write base bid in words and numbers. The base bid is the Contract Amount.

\_\_\_\_Dollars

(\$\_\_\_\_\_)

3. <u>UNIT PRICES</u>: Not used.

4. <u>ALLOWANCES</u>: Not Used.

#### 5. <u>ALTERNATES</u>: Not Used.

6. <u>CONTRACT</u> – If written notice (by electronic mail and U.S. Mail) of the acceptance of this Bid to the undersigned occurs within ninety (90) calendar days after the date of opening the bids, or any time thereafter before the bid is withdrawn, the undersigned will, within ten (10) calendar days after the date of such notice, execute and deliver a contract in the Form of Agreement provided in these Contract Documents and submit with Agreement required Payment and Performance Bonds in the form provided in these Contract Documents. The undersigned designates the address provided in Section 14 of this form to be the place of business to which such notice of acceptance may be mailed or delivered.

7. <u>TIME OF COMPLETION</u> – The undersigned agrees, if awarded the Contract, to complete this entire work within Contract Time specified in Document 00 11 16 Notice to Contractors.

8. <u>BONDS</u> – The undersigned agrees, if awarded the Contract to execute within ten (10) calendar days, two corporate surety bonds as called for in Document 11 21 13 Instruction to Bidders.

9. <u>INSURANCE</u> – Bidder's Insurance as required for this Contract is placed with:

Bidder's Workers Compensation Insurance is placed with:

Bidder's All Other Risk Insurance is placed with:

10. <u>ADDENDA</u> – All Addenda during Bidding are bound with Contract Documents and issued during the time of bidding.

11. <u>ADDENDA RECEIPT</u> – The receipt and acceptance of the following addenda is hereby acknowledged:

ADDENDUM NO.	DATED
ADDENDUM NO.	DATED
ADDENDUM NO.	DATED

12. This Bid may be withdrawn at any time prior to the scheduled time for the opening of bids or any authorized postponement thereof.

13. <u>CONTRACTOR'S LICENSE</u> – The undersigned agrees, if awarded the contract, to maintain and keep current through the completion of the contract the valid licenses for the work to be performed as required by the California Contractors License Law and all other applicable licensing requirements.

License No.	License	Class	Expiration Date			
14. By the signature below, the Bidder certifies, under penalty of perjury, the accuracy of the representations made in this Bid proposal.						
Dated	,	20				
Company Business Type	Corporation	Partnership	Sole Proprietorship			
State of Incorporation	n of Location of Busine	ess Registration:_				
Name of Bidder:						
Type of Organization	:					
Signed by:						
Print Name of Signer	:					
Title of Signer:						
Address of Bidder:						
Phone:		Fax:				
Email:		·····				
Taxpayer Identification	on Number of Bidder:_					
Department of Indust	trial Relations Registra	ation Number:				
If Bidder is a partners	ship, give full names o	fall				
If Bidder is a corpora Name of Corporation	tion, affix corporate se	eal.				
President/Secretary/	Treasurer/Other:					

15. <u>DESIGNATION OF SUBCONTRACTORS</u> – In compliance with the provisions of Sections 4100-4108 of the Public Contract Code of the State of California, and any amendments thereof, each Bidder shall set forth and list below the name and the location of each subcontractor who will be employed, and the kind of form that each will perform work or labor or render service to the Bidder in or about the construction of the Work in an amount in excess of one-half of one percent (1/2 of 1%) of the Bidder's total Bid to County, if the Contract is awarded to the Bidder. Any work that the Bidder fails to list, Bidder agrees to perform that portion itself or be subject to penalty under applicable law.

In case more than one subcontractor is named for the same kind of work, state the portion that each will perform. Vendors or suppliers of materials only do not need to be listed.

#### Reference: Notice to Contractor regarding State Senate Bill SB 854

#### **DESIGNATION OF SUBCONTRACTORS - Please List All Subcontractor's**

DESIGNATED SUBCONTRACTOR LIST								
Project Number: PB010 Project Name: Maple Street Correctional Center								
	Photovoltaic System							
Name and City of Subcontractor (1) (4)	Description of Work: Reference to Contract Items (1)	Price Under Contract (2) (3)	State of California Contractor's License (2)	Department of Industrial Relations Registration No. (DIR) <b>(2)</b>				

(1) Submit this information with sealed bid.

(2) This information shall be required of the two (2) apparent low bidders, no later than two days following the bid opening. DO NOT INCLUDE THIS INFORMATION WITH BID.

(3) Dollar amounts will be treated as proprietary and will solely be for the use of County staff. **DO NOT INCLUDE THIS INFORMATION WITH BID**.

(4) Submit full address of Subcontractors two days following bid opening.

Attach additional page as necessary. Indicate "none" or number or pages attached here: \_\_\_\_\_\_ pages attached.

#### END OF DOCUMENT 00 41 13

#### DOCUMENT 00 45 19

#### **NON-COLLUSION DECLARATION**

#### TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Project: MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC SYSTEM, PROJECT NO PB010 SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST 1300 MAPLE STREET REDWOOD CITY, CA 94063 Project No.: PB010

#### The undersigned declares:

I am the \_\_\_\_\_\_\_\_ of \_\_\_\_\_\_\_\_, the party making the foregoing bid. The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose.

Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on \_\_\_\_\_\_date], at \_\_\_\_\_\_city], \_\_\_\_\_state]."

Signature

Title

(ATTACH NOTARIAL ACKNOWLEDGMENT FOR THE ABOVE SIGNATURE)

#### END OF DOCUMENT 00 45 19

#### **DOCUMENT 00 45 26**

#### WORKERS' COMPENSATION CERTIFICATION

Contract Between County Of San Mateo (The "County" Or The "Owner") and \_\_\_\_\_ (The "Contractor" Or The "Bidder") for

the construction of:

#### MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC SYSTEM, PROJECT NO PB010 NO. PB010 (The "Contract" Or The "Project")

Labor Code §3700 provides:

"Every employer, except the State, and all political subdivisions or institutions thereof, shall secure the payment of compensation in one or more of the following ways:

(a) By being insured against liability to pay compensation by one or more insurers, duly authorized to write compensation insurance in this State.

(b) By securing from the Director of Industrial Relations a certificate of consent to self-insure, which may be given upon furnishing proof satisfactory to the Director of Industrial Relations of ability to self-insure and to pay any compensation that may become due to employees."

I am aware of the provisions of §3700 of the Labor Code that require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the Work of this Contract.

Date: 20

(Official Title)

(Labor Code §1861 requires that this Contractor certification must be signed and filed by the Contractor with the public agency prior to performing any Work.)

#### END OF DOCUMENT 00 45 26

#### DOCUMENT 00 45 29

#### JURY SERVICE AND WAGE COMPENSATION

#### COUNTY OF SAN MATEO CONTRACTOR EMPLOYEE JURY SERVICE ORDINANCE NO. 4324, CHAPTER 2.85

#### 2.85.010 Definitions

For the purposes of this chapter:

(a) "Contract" means a legal agreement between the county and a contractor for public works, consulting, or other services, or for purchase of supplies, material or equipment.

(b) "Contractor" means a party who enters into a contract with the county for which the contractor receives consideration of \$100,000 or more.

(c) "Contract Authority" means the Board of Supervisors or the head of the department or agency presenting the proposed contract to the Board of Supervisors.

(d) "Employee" means any California resident who is a full-time employee of a contractor under the laws of California.

(e) "Full time" means forty (40) hours or more worked per week, or a lesser number of hours if

(1) the lesser number is a recognized industry standard as determined by the County Manager, or

(2) the contractor has a long-standing practice that defines the lesser number of hours as full time. (Ord. 4324, 08/15/06)

2.85.020 Contractor Jury Service Policy

(a) A contractor shall have and adhere to a written policy that provides that its employees shall receive from the contractor, on an annual basis, no less than five days of regular pay for actual jury service in San Mateo County. The policy may provide that employees deposit any fees received for such jury service with the contractor or that the contractor deduct from the employees' regular pay the fees received for jury service.

(b) At the time of seeking a contract, a contractor shall certify to the County that it has and adheres to a policy consistent with this chapter or will have and adhere to such a policy prior to award of the contract.

(c) The Board of Supervisors may waive the requirements of this chapter when it determines that it is in the best interests of the County for such reasons as follows:

1. Award of a contract or amendment is necessary to respond to an emergency;

2. The Contractor is a sole source;

3. No compliant contractors are capable of providing goods or services that respond to the County's requirements;

4. The requirements are inconsistent with a grant, subvention or agreement with a public agency;

5. The County is purchasing through a cooperative or joint purchasing agreement.

(d) Contractors should submit requests for waivers of the terms of this chapter to the Contract Authority or the County Manager.

(e) The County Manager may reject a contractor's bid or proposal, or terminate a contract, if he determines that the contractor is in violation of the requirements of this chapter or was established, or is being used, for the purpose of evading the intent of this chapter.

(f) No contract shall be executed with a contractor unless such contractor is in compliance with this chapter. (Ord. 4324, 08/15/06)

2.85.030 Powers and duties of the County Manager

The County Manager's office shall have the authority to:

(a) Adopt rules and regulations, in accordance with this chapter and the Ordinance Code of the County of San Mateo, establishing standards and procedures for effectively carrying out this chapter;

(b) Receive notification from employees of contractors regarding violations of this chapter;

(c) Determine and recommend to the Board of Supervisors for final decision the imposition of appropriate sanctions for violation of this chapter by contractors including, but not limited to:

1. Disqualification of the contractor from bidding on or being awarded a County contract for a period of up to five (5) years, and

2. Contractual remedies, including, but not limited to termination of contract.

(d) Impose other appropriate contractual sanctions for violations of this chapter;

(e) Allow for remedial action after a finding of noncompliance.

(g) Perform such other duties as may be required or which are necessary to implement the purposes of this chapter. (Ord. 4324, 08/15/06)

#### 2.85.040 Date of Application

The provisions of this chapter shall apply to any contract awarded or amended on or after September 01, 2005, provided that if the contractor is then signatory to a collective bargaining agreement, this chapter shall only apply to any contract with that contractor which is awarded or amended after the effective date of the next collective bargaining agreement. (Ord. 4324, 08/15/06)

#### END OF DOCUMENT 00 45 29

#### DOCUMENT 00 45 36.01

#### EQUAL EMPLOYMENT OPPORTUNITY REQUIREMENTS

#### **CERTIFICATION OF COMPLIANCE**

#### WITH LAWS PROHIBITING DISCRIMINATION

#### THIS FORM MUST BE COMPLETED IN FULL AND SUBMITTED WITH THE BID

We are in compliance with the Equal Employment Opportunity Requirement of Executive Order 11246, Title VII of the Civil Rights Act of 1964, the California Fair Employment Practices Act, Section 503 of the Rehabilitation Act of 1973, and any other federal or state laws relating to equal employment opportunity and the provisions of Title 2, Chapter 2.50 of the San Mateo County Ordinance Code and the Board established guidelines implementing them.

We will not discriminate against any employee or applicant for employment based on race, religion, color, national origin, age, ancestry, physical or mental disability, sexual orientation, or sex. This pertains to the areas of recruitment, hiring, training, upgrading, transfer, compensation, and termination.

#### **CERTIFICATION OF INTENT**

We will develop and implement, during the course of the work concerned, an Equal Employment Opportunity Program of hiring and employment conducted without regard to race, religion, color, national origin, age, ancestry, physical or mental disability, sexual orientation, or sex of the applicants. With this certification we shall submit any and all information which may be required by the County in connection with this program.

Date:

Bidder/Company Name:

Signature:

Print Name:

Title:

#### END OF DOCUMENT 00 45 36.01

#### DOCUMENT 00 45 36.02

#### EQUAL EMPLOYMENT OPPORTUNITY PROGRAM CONTRACTOR REPORT FORM

#### THIS FORM MUST BE COMPLETED IN FULL AND SUBMITTED WITH THE BID

Project: MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC SYSTEM, PROJECT NO PB010 NO. PB010 SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST 1300 MAPLE STREET REDWOOD CITY, CA 94063 Project No.: PB010

Company Name:

Date:

#### RACIAL/ETHNIC MAKEUP OF THE COMPANY

Be sure to include the total of all employees in each classification in the first column, not just minorities.

Report the number of employees enrolled in formal on-the-job (apprenticeship) training programs in

parenthesis () for each classification.

Minority Employees										
Job Classification	Total All Employees	Ethnicity								
		America n- Indian or Native Alaskan	Asian	Native Hawaiia n or Pacific Islander	Black America n or African America n	Caucasi an	Filipino	Hispanic or Latino (1)	Other (2)	Unide ntified (3)
Total(s)										

Ethnicity Notes:

- (1) "Hispanic" includes all persons of Mexican, South and Central American, Puerto Rican, Cuban or Spanish ancestry.
- (2) "Other" includes all others whose origin consists of two or more races other than Hispanic or Latino.
- (3) Use this category for employees who have chosen not to identify any race or ethnicity, including "Other".

#### END OF DOCUMENT 00 45 36.02
#### DOCUMENT 00 45.36.03

#### EQUAL EMPLOYMENT OPPORTUNITY PROGRAM QUESTIONNAIRE

THIS QUESTIONNAIRE MUST BE COMPLETED IN FULL BY AN OFFICIAL OF THE COMPANY AND SUBMITTED WITH THE BID

Project:MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC<br/>SYSTEM, PROJECT NO. PB010<br/>SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST<br/>1300 MAPLE STREET REDWOOD CITY, CA 94063Project No.:PB010

Company Name: \_\_\_\_\_

Name of Company Official:

Phone: \_\_\_\_\_ Date: \_\_\_\_\_

- 1. Yes No Have you read and are you acquainted with the Equal Employment Opportunity Requirement of Executive Order 11246, Title VII of the Civil Rights Act of 1964, Section 503 of the Rehabilitation Act of 1973, the California Fair Employment Practices Act and Title 2, Chapter 2.50 of the San Mateo County Ordinance Code?
- 2. <u>Yes</u> No Is it the policy of your company to recruit, hire, train, upgrade, transfer, compensate, and discharge without regard to race, religion, color, national origin, age, ancestry, physical or mental disability, sexual orientation, or sex?
- 3. <u>Yes</u> No Have you appointed an Equal Employment Opportunity Officer? Give his name, position in the company, office address, and phone number.

4. \_\_\_\_Yes \_\_\_\_No Does your employment advertising state that you are an Equal Opportunity Employer?

5. <u>Yes</u> No Have all recruitment sources been advised that all qualified applicants will be considered for employment without regard to race,

religion, color, national origin, age, ancestry, physical or mental disability, sexual orientation, or sex?

6. <u>Yes</u> No Were any employees hired by means other than the union hiring hall in the past year?

How many? \_\_\_\_\_

What positions? \_\_\_\_\_

- 7. If non-union personnel are employed by the company, or if a position cannot be filled by the union hall, specify the advertisement and recruitment sources that are used. (For example, State HRD, newspapers, high schools, vocational schools, referral agencies/organizations, community groups).
- 8. How many apprentices do you employ?

How many of these are minorities? \_\_\_\_\_

9. <u>Yes</u> No Do you have a program for upgrading and counseling present employees?

Describe: \_\_\_\_\_

10. <u>Yes</u> No Do you have a collective bargaining agreement with a labor union or other organization?

Please list these groups: \_\_\_\_\_

11. What percentage of your work force is covered by union agreement?\_\_\_\_\_

- 12. <u>Yes</u> No Have you advised the labor union and/or worker organization of your company's responsibility under the Equal Employment Opportunity Program?
- 13. Yes No Does your company's collective bargaining agreement include a provision for non-discrimination in employment?
- 14. <u>Yes</u> No Have you notified all subcontractors submitting bids to you that they will be subject to the same minority employment requirements should you be the successful bidder?
- 15. Describe any previous experience with Equal Employment Opportunity Programs:

16. State what Equal Employment Opportunity Program you plan to take in connection with this project:

If your company has a written Equal Employment Opportunity Program now in effect, please attach a copy of it.

## END OF DOCUMENT 00 45.36.03

#### DOCUMENT 00 45 36.04

#### COUNTY OF SAN MATEO CONTRACTOR'S DECLARATION FORM

#### I. CONTRACTOR INFORMATION

Contractor Name:	Phone:		
Contact Person:	Fax:		
Address:	Number	of employees:	

#### II. EQUAL BENEFITS (check one or more boxes)

Contractors with contracts in excess of \$5,000 must treat spouses and domestic partners equally as to employee benefits.

- Contractor complies with the County's Equal Benefits Ordinance by:
  - offering equal benefits to employees with spouses and employees with domestic
  - offering a cash equivalent payment to eligible employees in lieu of equal benefits.
- Contractor does not comply with the County's Equal Benefits Ordinance.
- □ Contractor is exempt from this requirement because:
  - □ Contractor has no employees, does not provide benefits to employees' spouses, or the contract is for \$5,000 or less.
  - Contractor is a party to a collective bargaining agreement that began on \_\_\_\_\_ (date) and expires on \_\_\_\_\_ (date), and intends to offer equal benefits when said agreement expires.

#### **III. NON-DISCRIMINATION** (check appropriate box)

Finding(s) of discrimination have been issued against Contractor within the past year

- □ by the Equal Employment Opportunity Commission, Fair Employment and Housing Commission, or other investigative entity. Please see attached sheet of paper explaining the outcome(s) or remedy for the discrimination.
- □ No finding of discrimination has been issued in the past year against the Contractor by the Equal Employment Opportunity Commission, Fair Employment and Housing Commission, or any other entity.

#### IV. EMPLOYEE JURY SERVICE (check one or more boxes)

Contractors with original or amended contracts in excess of \$100,000 must have and adhere to a written policy that provides its employees living in San Mateo County up to five days regular pay for actual jury service in the County.

- □ Contractor complies with the County's Employee Jury Service Ordinance.
- Contractor does not comply with the County's Employee Jury Service Ordinance.
- Contractor is exempt from this requirement because:
  - $\Box$  the contract is for \$100,000 or less.
  - Contractor is a party to a collective bargaining agreement that began on \_\_\_\_\_ (date) and expires on \_\_\_\_\_ (date), and intends to comply when the collective bargaining agreement expires.
  - □ Contractor has no employees.

County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010

□ Contractor has no employees who live in San Mateo County.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind this entity contractually.

Signature

Name

Date

Title

END OF DOCUMENT 00 45.36.04

## DOCUMENT 00 45 46

#### ANTI-TRUST LAWS QUESTIONNAIRE

# THIS QUESTIONNAIRE MUST BE COMPLETED IN FULL AND SUBMITTED WITH THE BID

#### Project: MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC SYSTEM, PROJECT NO PB010 SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST

Project No.: PB010

Company Name: \_\_\_\_\_

In accordance with instructions from the State of California Attorney General's Office, with regard to California and Federal Anti-Trust Laws, answers to the following must be included with the bid.

- 1. <u>Yes</u> <u>No</u> Were bid depository of registry services used in obtaining subcontractor bid figures in order to compute your bid?
- 2. If the answer to No. 1 is "Yes" please list the subcontractors using a bid depository or registry service.
- 3. <u>Yes</u> No Did you have any source of subcontractor's bids other than bid depositories?
- 4. <u>Yes</u> No Has any person or group threatened you with subcontractor boycotts, union boycotts, or other sanctions to attempt to convince you to use the services or abide by the rules of one or more bid depositories?

Date:	Name:	
Nature of the threats:		
Additional comments:		

#### END OF DOCUMENT 00 45 46

## DOCUMENT 00 52 13

## AGREEMENT FORM – STIPULATED SUM

THIS AGREEMENT, entered into this \_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_, by and between the COUNTY OF SAN MATEO, a Political Subdivision of the State of California, hereinafter called the "County", and \_\_\_\_\_\_, hereinafter called the "Contractor".

WITNESSETH that the Contractor and the County, in consideration of the mutual covenants, considerations and agreements herein contained, agree as follows:

STATEMENT OF WORK – The Contractor shall furnish all labor and materials and perform all work for:

Project: MAPLE STREET CORRECTIONAL CENTER PHOTOVOLTAIC SYSTEM, PROJECT NO PB010 SAN MATEO COUNTY CORRECTIONAL FACILITY – MAPLE ST

Project No.: PB010

in strict accordance with the Contract Documents.

TIME FOR COMPLETION – The work shall be commenced on a date to be specified in the Notice to Proceed issued by the County. Construction shall be completed within **Two Hundred Ninety-Six (296) calendar days** defined as sufficiently complete in accordance with the Contract Documents so the Owner can occupy or utilize for its intended use.

COMPENSATION TO BE PAID TO CONTRACTOR – The County will pay and the Contractor will accept in full consideration for the performance of the contract, subject to additions and deductions and procedures for payment as provided therein, the sum of \_\_\_\_\_\_\_\_(\$\_\_\_\_\_) which is the Contractor's Bid. The Contract as defined in paragraph 1.1 of the General Conditions constitutes the sole agreement of the parties hereto relating to said work and correctly states the rights, duties, and obligations of each party as of the document's date. Any prior agreement, promises, negotiations, or representations between the parties not expressly stated in this document are not binding. All subsequent modifications shall be in writing.

PREVAILING WAGE RATES - In accordance with the provisions of Section 1770 of the Labor Code, the Board of Supervisors of the County of San Mateo has ascertained the prevailing rate of wages applicable to the work to be done, which prevailing wage rates have been established as indicated in the Notice to Bidders and are incorporated herein by reference. The Contractor's attention is further directed to the following requirements of State Senate Bill SB 854 (Stat. 2014, chapter 28), effective January 1, 2015:

- (1) No contractor or subcontractor may be listed on a bid proposal for a public works project (submitted on or after March 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5 [with limited exceptions from this requirement for bid purposes only under Labor Code section 1771.1(a)].
- (2) No contractor or subcontractor may be awarded a contract for public work on a public works project (awarded on or after April 1, 2015) unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5.
- (3) This project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

IN WITNESS WHEREOF, the parties hereto on the day and year first above written have executed this agreement in three counterparts, each of which shall, without proof or accounting for the other counterparts, be deemed an original thereof.

COUNTY OF SAN MATEO

A Political Sub-Division of the State of California

Attest:

By\_

President, Board of Supervisors

Michael Callagy, County Manager

Clerk of the Board of Supervisors

By\_

Contractor

## END OF DOCUMENT 00 52 13

## DOCUMENT 00 61 13.13

#### PERFORMANCE BOND FORM (100% of Contract Price)

(Note: Bidders must use this form, NOT a surety company form.)

KNOW ALL PERSONS BY THESE PRESENTS:

That WHEREAS, the County of San Mateo, hereinafter designated as the "County," has awarded to

\_\_(CONTRACTOR'S NAME), hereinafter

designated as "Principal," a contract dated \_\_\_\_\_\_\_ (CONTRACT AWARD DATE), hereinafter designated as the "Contract," which Contract is by this reference made a part hereof, for the work described as the Maple Street Correctional Center Photovoltaic System, Project No PB010, San Mateo County Correctional Facility – Maple St, Redwood City, CA 94063, Project No.PB010.

And WHEREAS, Principal is required to furnish a bond in connection with the Contract, guaranteeing the faithful performance thereof;

NOW THEREFORE, THESE PRESENTS WITNESSETH:

That the said Principal and the undersigned, (SURETY'S NAME), as corporate Surety, are held and firmly bound unto the County in the sum of

Dollars (\$\_\_\_\_\_) lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, and successors, jointly and severally, firmly by these presents.

The condition of this obligation is such, that if the Principal shall well and truly perform and fulfill all the undertakings, covenants, terms, conditions, and agreements of said Contract during the original term of said Contract and any extensions thereof that may be granted by the County, with or without notice to the Surety, and during the life of any guarantee required under the Contract, and shall also well and truly perform and fulfill all the undertakings, covenants, terms, conditions and agreements of any and all duly authorized modifications of said Contract that may hereafter be made, notice of which modifications to Surety being hereby waived, on Principal's part to be kept and performed at the time and in the manner therein specified, and in all respects according to their true intent and meaning, and shall indemnify, defend, protect, and hold harmless the County as stipulated in the Contract, then this obligation shall become and be null and void; otherwise it shall be and remain in full force and effect.

No extension of time, change, alteration, modification, or addition to the Contract,
or of the work required thereunder, shall release or exonerate Surety on
this bond or in any way affect the obligation of this bond; and Surety does
hereby waive

notice of any such extension of time, change, alteration, modification, or addition.

IN WITNESS WHEREOF, this instrument has been duly executed by the

Principal and Surety this day of	, 20
----------------------------------	------

Principal	Surety
Signature	Signature
Printed Name	Printed Name of California Agent Surety
	Address of California Agent Surety
	Telephone Number of California Agent Surety

(Affix Corporate Seal)

\_.

NOTE: Notary acknowledgement for Surety signatures and Surety's Power of Attorney and Certificate of Authority for Surety must be attached. The California Department of Insurance must authorize the Surety to be an admitted surety insurer.

## END OF DOCUMENT 00 61 13.13

#### DOCUMENT 00 61 13.16

#### PAYMENT BOND FORM

#### Contractor's Labor & Material Payment Bond (100% of Contract Price)

#### (Note: Bidders must use this form, NOT a surety company form.)

KNOW ALL PERSONS BY THESE PRESENTS:

That WHEREAS, the County of San Mateo hereinafter designated as the "County," has awarded to \_\_\_\_\_\_ (CONTRACTOR NAME) hereinafter designated as the "Principal," a contract dated \_\_\_\_\_\_ (CONTRACTOR AWARD DATE) hereinafter designated as the "Contract," which Contract is by this reference made a part hereof, for the work described as the **Maple Street Correctional Center Photovoltaic System, Project No PB010, San Mateo County Correctional Facility – Maple St, Redwood City, CA 94063, Project No.PB010.** 

And WHEREAS, pursuant to law, the Principal is required, before entering upon the performance of the work, to file a good and sufficient bond with the body by whom the Contract is awarded to secure the claims to which reference is made in Sections 9550 to 9566 and 9100 to 9364 both inclusive, of the Civil Code of California.

NOW THEREFORE, THESE PRESENTS WITNESSETH:

That the said Principal and the undersigned

(Surety's Name)

as corporate Surety, are held and firmly bound unto all laborers, material men and other persons referred to in said statutes in the sum of

Dollars (\$)

lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, or assigns, jointly and severally, by these presents.

The condition of this obligation is that if the above bonded Principal, contractor, person, company or corporation, or his or its sub-contractor, fails to pay any claimant name in Section 9100 of the Civil Code of the State of California, or amounts due under the Unemployment Insurance Code, with respect to work or labor performed by any such claimant, that the Surety on this bond will pay the same, in an amount not exceeding the aggregate sum specified in this bond, and also, in case suit is brought upon this bond, a reasonable attorney's fee, which shall be awarded by the court to the prevailing party in said suit, and attorney's fees to be taxed as costs in said suit.

It is hereby expressly stipulated and agreed that this bond shall inure to the benefit of any and all persons, companies and corporations entitled to file claims under Section 9100 to 9364 of the Civil Code, so as to give a right of action to them or their assigns in any suit brought upon this bond.

This bond is executed and filed to comply with the provisions of the act of Legislature of the State of California as designated in the Civil Code, Sections 9550-9566 inclusive, and all amendments thereto.

Should the condition of this bond be fully performed, then this obligation shall become null and void, otherwise it shall be and remain in full force and effect.

And the said Surety, for value received, hereby stipulates and agrees that no change will be made which increases the total Contract price more than twenty percent (20%) in excess of the original Contract price without notice to the Surety, then, this obligation to be void, otherwise to remain in full force and virtue.

Correspondence relating to this bond shall be sent to the Surety at the address set forth below.

IN WITNESS WHEREOF, this instrument has been duly executed by the

Principal and Surety this	day of	, 20
---------------------------	--------	------

Principal

Surety

Signature

Signature

Printed Name

Printed Name of California Agent Surety

Address of California Agent Surety

Telephone Number of California Agent Surety

(Affix Corporate Seal)

<u>NOTE</u>: Notary acknowledgement for Surety signatures and Surety's Power of Attorney and Certificate of Authority for Surety must be attached. The California Department of Insurance must authorize the Surety to be an admitted surety insurer.

## END OF DOCUMENT 00 61 13.16

## DOCUMENT 00 61 16

#### **BID BOND**

#### (Bid Security Form)

KNOW ALL PERSONS BY THESE PRESENTS, that we, the undersigned,

\_\_\_\_\_, as Principal

("Principal"),

and\_\_\_\_\_\_, as Surety ("Surety"), are hereby held and firmly bound unto the County of San Mateo in the State of California, as represented by the County Board of Supervisors, hereinafter called the "Owner" in the sum of

Dollars (\$) awful money of the United States of America, for payment of which sum, well and truly to be made, we hereby jointly and severally bind ourselves, our heirs, executors, administrators, successors, and assigns.

The condition of the above obligation is such that, whereas the Principal has submitted to the County a certain Bid, attached hereto and hereby made a part hereof, to enter into a contract in writing for the Maple Street Correctional Center Photovoltaic System, Project No PB010, San Mateo County Correctional Facility – Maple St, Redwood City, CA 94063, Project No.PB010.in strict accordance with the Contract Documents.

NOW, THEREFORE,

a. If said Bid shall be rejected, or, in alternate

b. If said Bid shall be accepted and the Principal shall execute and deliver a contract in the Form of Agreement attached hereto and shall execute and deliver Performance and Payment Bonds in the Forms attached hereto (all properly completed in accordance with said Bid), and shall in all other respects perform the agreement created by the Acceptance of said Bid.

Then, this obligation shall be void; otherwise, the same shall remain in force and effect, it being expressly understood and agreed that the liability of the Surety for any and all default of the Principal hereunder shall be the amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligation of said Surety and its bond shall be in no way affected or impaired by any extension of the time within which the County may accept such Bid and said Surety does hereby waive notice of such extension. IN WITNESS WHEREOF, the above-bounden parties have executed this instrument under their several seals this \_\_\_\_\_\_day of \_\_\_\_\_\_, 20 , the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

In presence of:

	(Individual Principal)	(Affix Corporate Seal)
	(Business Address)	
	By	
Attest:	(Corporate Principal)	
	(Business Address)	(Affix Corporate
	Ву	Seal)
Attest:	(Corporate Surety)	
	(Business Address)	
	Ву	(Affix Corporate <u>Seal)</u>
The rate or premium on this bond	d isper thousand.	
Total amount of premium charge (The above must be filled in by C	, \$ orporate Surety.)	

(Note: If Bidder is providing a bid bond as its bid security, Bidder must use this form, NOT a surety company form.)

Bidder must attach Power of Attorney and Certificate of Authority for Surety and a Notarial Acknowledgement for all Surety's signatures. The California Department of Insurance must authorize the Surety to be an admitted Surety Insurer.

## END OF DOCUMENT 00 61 16

## DOCUMENT 00 62 23

# RECYCLING AND DIVERSION OF DEBRIS FROM CONSTRUCTION AND DEMOLITION

#### UNDER THE

#### COUNTY OF SAN MATEO, ORDINANCE NO. 4099, CHAPTER 4.105

#### AND THE

#### COUNTY OF SAN MATEO WASTE MANAGEMENT PLAN FORM

#### 4.105.010 Definitions

For purposes of this chapter, the following definitions apply:

(a) "Construction and demolition debris" means and includes:

1. Discarded materials generally considered to be not water soluble and non-hazardous in nature, including but not limited to steel, copper, aluminum, glass, brick, concrete, asphalt material, pipe, gypsum, wallboard, and lumber from the construction or destruction of a structure as part of a construction or demolition project or from the renovation of a structure and/or landscaping, including rocks, soils, tree remains, trees, and other vegetative matter that normally results from land clearing, landscaping and development operations for a construction project;

2. Remnants of new materials, including but not limited to: cardboard, paper, plastic, wood, and metal scraps from any construction and/or landscape project.

(b) "Contractor" means any person or entity holding, or required to hold, a contractor's license of any type under the laws of the State of California, or who performs (whether as contractor, subcontractor, owner-builder, or otherwise) any construction, demolition, remodeling, renovation, or landscaping service relating to buildings or accessory structures in the unincorporated area of San Mateo County.

(c) "Covered Project" means and includes any project which consists of one or more of the following:

1. Demolition work only, where the cost of the work exceeds \$5,000 as determined by the Building Official;

2. The renovation, remodel or addition to an existing structure, or the construction of a new structure where the cost of the work exceeds \$250,000, as determined by the Building Official;

3. Commercial, residential, or multi-family residential development, and any new structure that is equal to or greater than 2,000 square feet.(d) "Designated recyclable and reusable materials" means and includes:

1. Inert solids

2. Wood materials, including any and all dimensional lumber, fencing or construction wood that is not chemically treated, creosoted, CCA pressure treated, contaminated or painted;

3. Vegetative materials, including trees, tree parts, shrubs, stumps, logs, brush or any other type of plants that are cleared from a site for construction or other use;

4. Metals, including all metal scrap such as, but not limited to, pipes, siding, window frames, door frames and fences;

5. Roofing materials including wood shingles and shakes as well as asphalt, stone and slate based roofing material;

6. Salvageable materials and structures, including, but not limited to doors, windows, fixtures, hardwood flooring, sinks, bathtubs and appliances;

7. Any other materials that the Building Official determines can be diverted due to the identification of a recycling facility, reuse facility, or market accessible from the County.

(e) "Inert solids" includes asphalt, concrete, rock, stone, brick, sand, soil and fines;

(f) "Salvage" means the controlled removal of materials from a covered project, for the purpose of reuse or storage for later reuse;

(g) "Structure" means anything constructed or erected. (Ord. 4099, 02/26/02)

## 4.105.020 Deconstruction and Salvage and Recovery

(a) Contractors are encouraged to make every structure planned for demolition available for deconstruction, salvage, and recovery prior to demolition; and to recover the maximum feasible amount of salvageable designated recyclable and reusable materials prior to demolition.

(b) Recovered and salvaged designated recyclable and reusable materials from the deconstruction phase shall be counted towards the diversion requirements of this chapter. (Ord. 4099, 02/26/02)

## 4.105.030 Diversion Requirements

(a) One hundred percent (100%) of inert solids, and at least sixty five percent (65%) of the remaining construction and demolition debris tonnage shall be diverted.

(b) For each covered project, the diversion requirements of this chapter shall be met by submitting and following a "Waste Management Plan" that includes the following:

1. Deconstructing and salvaging all or part of the structure as practicable. AND

2. Directing one hundred percent (100%) of inert solids to reuse or recycling facilities approved by the County. AND

3. Either:

a. Taking all mixed construction and demolition debris to the Mixed Construction and Demolition Debris Recycling facilities approved by the County and taking all sorted or crushed construction and demolition debris to approved facilities; OR

b. Source separating non-inert materials, such as cardboard and paper, wood, metals, green waste, new gypsum wallboard, tile, porcelain fixtures, and other easily recycled materials, and directing them to recycling facilities approved by the County and taking the remainder (but no more than 50% by weight or yardage) to a facility for disposal. In this option, calculations must be provided to show that 50% of construction and demolition debris (in addition to 100% of inert solids) has been diverted. (Ord. 4099, 02/26/02)

4.105.040 Information Required Before Issuance of Permit:

Every contractor shall submit a properly completed Waste Management Plan on a form prescribed by the County, as an integral part of the building or demolition permit application process for a covered project. The Waste Management Plan shall indicate the intended salvage, reuse, and recycling facilities, chosen from a list of facilities approved by the County, for all construction and/or demolition debris from the project. Approval of alternative facilities or special salvage or reuse options may be requested of the Building Official. Approval by the Building Official, or designee, of the Waste Management Plan as complying with this chapter shall be a condition precedent to the issuance of any building or demolition permit for a covered project. (Ord. 4099, 02/26/02)

4.105.050 Administrative Fee

As a condition precedent to the issuance of any building or demolition permit for a covered project, the applicant shall pay to the County a fee as established by

resolution to compensate the County for all expenses incurred in administering this chapter. (Ord. 4099, 02/26/02)

## 4.105.060 Reporting

(a) No later than thirty (30) days following the completion of a demolition project or construction project, the contractor shall, as a condition of final approval and for issuance of any certificate of occupancy, submit documentation to the County that demonstrates compliance with the requirements of this chapter.

(b) The documentation shall consist of photocopies of receipts and weight tags or other records of measurement or equivalent documentation from recycling companies, deconstruction contractors, and landfill and disposal companies. The contractor's approved Waste Management Plan shall be completed by recording and confirming the type of debris diverted and the facilities to which it was taken. The contractor shall sign the completed Waste Management Plan form to certify its accuracy as part of the documentation of compliance.

(c) Progress reports during construction may be required.

(d) All documentation submitted pursuant to this section is subject to verification by the County.

(e) It is unlawful for any person to submit documentation to the County under this section which that person knows to contain any false statements, including but not limited to false statements regarding tonnage of materials recycled or diverted, or to submit any false or fraudulent receipt or weight tag or other record of measurement. (Ord. 4099, 02/26/02)

4.105.070 Penalties and Enforcement

(a) Each violation of the provisions of this chapter shall constitute a misdemeanor and shall be punishable by imprisonment in the county jail for up to six (6) months, or by a fine of up to one thousand dollars (\$1,000), or both. Each day that a violation continues shall be deemed a new and separate offense.

(b) The Building Official shall have the authority to enforce this chapter as specified in section 9021 of the San Mateo County Building Regulations, including but not limited to the authority to order that work be stopped where any work is being done contrary to the provisions of this chapter. (Ord. 4099, 02/26/02)

## END OF DOCUMENT 00 62 23

# See the next page for "The County of San Mateo Waste Management Plan" fillable form.



## **County of San Mateo**

## WASTE MANAGEMENT PLAN

Submit to: County of San Mateo Office of Sustainability 455 County Center, 4<sup>th</sup> Floor Redwood City, CA 94063 Mon-Fri, 8:30 am-12:00 pm, 1:00 pm-4:30 pm

**Information and support**: 888-442-2666 www.smcsustainability.org/waste-reduction/construction-demolition

## **Section One: Permit Application**

This Waste Management Plan (WMP) must be completed, submitted

for review with a **\$95 administration fee**, and approved to obtain a building permit. Separate WMPs are required for demolition and construction at the same site unless the Building Department requires only one permit. Need for a WMP is at the discretion of the Building Official or designee.

Applicant's Name:	_ Owner's Name:
Phone Number: Email:	
Applicant is (please check one): 📮 Owner 📮 Architect	Builder Owner/Builder Other
Contractor (if applicable):	Contact Phone Number:
Project Description:	
Project Square Footage:	_ Estimated Completion Date:

#### Waste Management Requirements:

# You are required to recycle or re-use all inert solids (asphalt, brick, concrete, dirt, fines, rock, sand, and stone) and 65% of all construction and demolition debris.

I understand that I am required by San Mateo County Building Regulations Section 9210 - Adoption Of 2016 California Green Building Standards Code (Building Regulations) to salvage, reuse, or recycle **all inert solids** (asphalt, brick, concrete, dirt, fines, rock, sand, and stone) and **a minimum of 65%** of all construction and demolition debris (C&D). \_\_\_\_\_(Initial)

I understand that failure to meet the requirements of the Building Regulations shall constitute a misdemeanor, and shall be punishable by imprisonment in the county jail for up to 6 months and/or a fine of up to \$1,000, calculated as a percentage of the required 65% diversion of C&D debris, and that the fine must be paid as a condition of final approval. \_\_\_\_\_\_ (Initial)

At the completion of this project, or more frequently if required, all weight tags or other equivalent documentation from salvage, recycling and waste facilities will be provided and I understand that I may not be issued my final inspection unless all original receipts and documentation are submitted to the County of San Mateo Office of Sustainability. \_\_\_\_\_\_ (Initial)

Recycling and waste facilities ask for the correct origin of the materials generated as they come through the scale house. These tons are reported to the State of California. I understand that I need to advise my debris box company, waste haulers, and my drivers that the materials generated on this project originated in Unincorporated County of San Mateo. \_\_\_\_\_\_ (Initial)

Case/group number(s):				
BLD				
Project address:				

Street: \_\_\_\_\_

City: \_\_\_\_\_

Zip Code: \_\_\_\_\_

Green Halo number(s):

#### WMP required because project is a:

□ Residential □ Demolition

Nonresidential New Construction

Addition

#### 1) Deconstruction/salvage/reuse:

What materials will be salvaged/reused? \_\_\_\_\_\_

Deconstruction or salvage company (if applicable):

What materials will be reused on site?

How will this be documented?

#### 2) Material transportation:

Will you be using a hauling company, debris box company or hauling the material yourself?

□ Hauler □ Debris Box □ Self-haul

If using a hauling or debris box company, which company?

Have they been notified that the diversion of 65% mixed debris and all insert solids is required?  $\Box$  Yes  $\Box$  No

#### 3) Waste management plan:

Check the materials you anticipate generating and fill in the facilities that you plan to use.

Category	Material	$\checkmark$	Reuse, Recycling or Disposal Facility
Mixed C&D	Mixed Debris		
	Asphalt		
	Bricks		
Inerts	Concrete		
	Dirt		
	Other inert solids		
	Cardboard		
	Metals		
	Wood		
Source	Roofing		
Separated	Carpet		
	Drywall		
	Yard trimmings		
	Other		
Disposal	Waste		

The undersigned hereby agrees to comply with the Waste Management Plan as submitted and is the owner or authorized agent to sign for the owner of this project.

Applicant Signature \_\_\_\_\_

County Approval: Approved Approved with comments Denied All receipts, weight tags and documentation for salvage, recycling, and disposal must be submitted: On completion of project Other\_\_\_\_\_\_ Office of Sustainability Approval: \_\_\_\_\_\_ Date: \_\_\_\_\_\_

\_\_ Date \_\_\_\_\_



## **County of San Mateo** WASTE MANAGEMENT PLAN

Case/Group Number(s):				
BLD				
Project Address:				
Street:				
City:				

## Section Two: Final Report Approval

Please complete, submit, and get this section approved by the Office of Sustainability, prior to obtaining final approval by the Building Department no later than 30 days after completion of the demolition or construction project. Please provide weight of materials in tons. If needed, please use the conversion table on the next page to convert cubic yards to tons.

This section must be completed and signed, and all receipts or other supporting documentation must be attached in order to receive final project approval.

Category	Date	Material/items	Name of facility debris was hauled to	Weight (Tons)	Volume (CU. YD.)
Mixed C&D					
Salvage/Reuse					
Inerts					
Asphalt, bricks, concrete, dirt,					
rock, sand, soil, stone					
Source					
Separated Cardboard, wood,					
metal, sheetrock, wire, carpet, yard					
trimmings					
Disposal (Waste)					

□ All receipts or equivalent documentation for salvage, recycling, and disposal are hereby attached.

This project has recycled all of the inert solids and at least 65% of all debris generated.

Applicant Signature

Date\_\_\_\_\_

County Approval: Approved Approved with Comments Fine Payment Required Comments:

Fine Calculation: 1 – (C&D Diversion % Achieved \_\_\_\_\_ / 65%) x \$1000 = \$\_\_\_\_\_ Office of Sustainability Approval: \_\_\_\_\_ Date: \_\_\_\_\_



## County of San Mateo WASTE MANAGEMENT PLAN

Category	Material	Cubic Yards	Pounds	Tons
Mixed C&D	Mixed load C&D	1	500	0.25
	Asphalt	1	1380	0.69
	Bricks	1	3000	1.5
Inerts	Concrete	1	1860	0.93
	Dirt	1	2000	1
	Other inert solids	1	1240	0.62
	Cardboard	1	100	0.05
	Metals	1	900	0.45
	Wood	1	300	0.15
Course Constant	Asphalt roofing	1	1188	0.59
Source Separated	Carpet	1	600	0.3
	Drywall	1	400	0.2
	Green waste	1	300	0.15
	Gravel	1	2600	1.3
Disposal	Waste	1	300	0.15

## Cubic Yards to Tons Conversion Table

## DOCUMENT 00 65 36

#### WARRANTY FORM

(Contractor's or Subcontractor's own letterhead)

#### WARRANTY GUARANTEE FOR THE:

Project: Maple Street Correctional Center Photovoltaic System, Project No PB010 San Mateo County Correctional Facility Redwood City, CA 94063

Project No.: PB010

We, \_\_\_\_\_(Contractor's name) hereby guarantees

(Scope of Contractor's Work)

which Contractor has installed for the County of San Mateo for the above project

beginning \_\_\_\_\_\_ for \_\_\_\_\_ year(s) in accordance with the Contract Documents.

We agree to repair or replace to the satisfaction of the Owner any and all such work that may prove defective in workmanship or materials within that period, ordinary wear and tear and unusual abuse or neglect excepted, together with all other Work which may be damaged or displaced in connection with such Work. This Warranty includes labor and materials.

In the event of our failure to comply with the above-mentioned conditions within seven (7) calendar days after being notified in writing, we collectively and separately do hereby authorize the Owner to proceed to have the defects repaired and made good at our expense, and will pay the costs and charges therefore immediately upon demand.

I hereby certify that I am authorized to sign this document.

Date\_\_\_\_\_

(Signature of Contractor)

Print Name and Title

Date\_\_\_\_\_

(Signature of Subcontractor)

Print Name and Title (Subcontractor must co-sign with Contractor)

Representative(s) to be contacted for service subject to terms of Contract:

NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

PHONE NO.: \_\_\_\_\_

## END OF DOCUMENT 00 65 36

## DOCUMENT 00 72 13

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## DOCUMENT 00 72 13

#### **GENERAL CONDITIONS**

#### 1 THE CONTRACT 1.1 CONTRACT DESCRIPTION

The Contract Documents form the entire Contract between the Contractor and the Owner. The Contract supersedes prior negotiation and representations, either written or oral.

#### **1.2 CONTRACT DOCUMENTS**

- A. The Contract Documents consist of the Notice to Contractors, Instructions to Bidders, Agreement, General Conditions, Special Provisions, Supplementary Conditions, Specifications, Drawings, Addenda, Revisions, Construction Change Directives, Change Orders (including Unilateral Change Orders), RFI Responses, Shop Drawings and other documents listed in the Agreement or included in the Project Manual, and written interpretations and instruction when issued in accordance with the provisions herein.
- B. The Contract Documents are complementary and what is required by anyone shall be as binding as if required by all. The Contract Documents are not necessarily complete in every detail. The Contract is to include all labor, materials, equipment and other items as necessary for the proper execution and completion of the work as specified or reasonably inferable as being necessary to produce the intended results in accordance with high quality industry standards.
- C. An item designated by reference to the number, symbol, or title of a specific standard such as a commercial standard, a Federal Specification, a Trade Association Standard or other similar standard, shall comply with the requirements in the latest revision thereof and any amendments or supplement thereto in effect on the date of the bid. The standards referred to shall have full force and effect as though printed in the Specifications.
- D. The County will arrange for the Contractor to have access to one set of reproducible Drawings. The Contractor may at his expense, reproduce the Drawings and Specifications as needed. All Drawings and Specifications and copies thereof are the property of the Owner. They are not to be used on other projects.
- E. For convenience, the Specifications may be arranged in sections and the Drawings may be arranged by system or otherwise. Such separation shall not be considered as the limit of Work required of any separate trade. The terms and conditions of such limitations are wholly between the Contractor and his Subcontractors.

- F. In general, the Drawings will indicate dimensions, position, quantity and type of construction; and the Specifications will indicate quality and method. Work indicated in one but not the other shall be furnished as though fully set forth in both. Work not specifically marked, specified, or detailed shall be the same as similar work that is marked, specified, or detailed.
- G. The Project Manual is a collection of documents assembled for the convenience of the parties and usually includes, but is not limited to, the Notice to Contractors, Instructions to Bidders, General Conditions, Supplementary General Conditions, Special Provisions, Bid Documents, Agreement, and Specifications.
   1.3 ERROR IN THE DOCUMENTS
- A. Should an error or conflict appear in the Contract Documents, or a conflict with the documents and actual conditions, the Contractor shall notify the Owner, Owner's Representative, and Architect at once, and the Architect will provide a response and/or issue instructions. If the Contractor proceeds with the work without a written response/instructions, he shall make good any resulting unacceptable work or consequences.
- B. Whenever the documents could be construed to be ambiguous or conflicting at the time of Bid, the Contractor is deemed to have included the cost of the more expensive material, method, or requirement in the Contract Amount.
- C. Figured dimensions shall govern over scaling and large scale details shall govern over smaller scale details.

## 1.4 SEPARATE CONTRACTS

- A. The Owner reserves the right to let other contracts in connection with this Project. Contractor shall afford other County contractor(s) reasonable opportunity for the introduction and storage of their materials and the execution of their work and shall properly connect and coordinate his work with theirs as required by the Owner.
- B. If any part of Contractor's Work depends for proper execution or results upon the work of another contractor, the Contractor shall inspect and measure the work of other contractor and promptly report to the Owner all defects or discrepancies that render it unsuitable for such proper execution or results. Contractor's action of proceeding with his work shall constitute his acceptance of the prior work as fit and proper for the reception of his work.
- C. The Contractor and its respective Subcontractors shall repair any damage he may do to another County contractor's work to the Owner's satisfaction.
   1.5 CONTRACT TERMINATIONS
- A. Owner's Right to Terminate Contract for Cause

If Contractor should be adjudged as bankrupt, or if he should make a general assignment for the benefit of his creditors, or if a receiver by the Surety should be

appointed on account of his insolvency, or if he should fail to supply enough properly skilled workmen or materials to maintain the schedule, or if he should fail to diligently and expeditiously prosecute the Work, or if he should fail to commence the Work on the Project site per the Owner's Notice to Proceed, or if he should fail to make prompt payments to Subcontractors or for materials or labor, or persistently disregard laws, ordinances or the instructions of the Owner or Architect, or otherwise breach any provision of the Contract between the Contractor and Owner, the Owner may without prejudice to any right or remedy the Owner may have and after giving the Contractor seven (7) calendar days written notice, terminate the Contract or terminate the Contractor's right to proceed with the Work and take possession of the premises and of all materials, tools and equipment thereon and finish the Work by whatever method the Owner may deem expedient. In such case, Contractor shall not be entitled to receive any further payment until the Work is finished. If the unpaid balance of the Contract Amount shall not exceed the expense of finishing the Work, including compensation for additional managerial and administrative services, such excess amount of the Contract shall be paid to the Contractor. If such expense shall exceed such unpaid balance, the Contractor shall pay the difference to the Owner.

B. Owner's Right to Terminate Contract for Convenience

The Owner reserves the right to terminate this contract at any time. Contractor shall be compensated on the basis of the reasonable value of the portion of Work completed as prorated against the Contract Amount or shown as a separate price and the cost incurred for portions of the Work performed but not completed. The total payments to contractor shall not exceed the Contract Amount.

C. Contractor's Right to Terminate Contract

Except as provided by paragraph 1.5.D Emergency Termination, if the Work should be stopped by the Owner, or an order of the court, or other public authority for a period of six months, through no act or fault of the Contractor or of anyone employed by him, then the Contractor may, upon twenty-one (21) days written notice to the Owner, terminate this Contract and recover from the Owner the amount owed under the Contract for the portion of Work, if any, which was completed.

- D. Emergency Termination
  - This Contract is subject to termination as provided by Section 4410 and 4411 of the Public Contracts Code of the State of California, being portions of the Emergency Termination of Public Contracts Act of 1949. Said Sections read as follows:

"Sec. 4410. TERMINATION OF CONTRACT FOR PUBLIC WORK IN EVENT OF NATIONAL EMERGENCY. In the event a national emergency

occurs, and public work, being performed by Contract, is stopped, directly or indirectly, because of the freezing or diversion of materials, equipment, or labor, as the result of an order or of a proclamation of the President of the United States, or of an order of any federal authority, and the circumstances or conditions are such that it is impracticable within a reasonable time to proceed with a substantial portion of the Work, then the public agency and the Contractor may, by written agreement, terminate said Contract."

"Sec. 4411. INCLUSION OF TERMS AND CONDITIONS OF TERMINA-TION OF CONTRACT IN AGREEMENT: COMPENSATION TO CONTRACTOR. Such an agreement shall include the terms and conditions of the termination of the Contract and provision for the payment of compensation or money, if any, which either party shall pay to the other or any other person, under the facts and circumstances in the case."

"Compensation to the Contractor shall be determined on the basis of the reasonable value of the Work done, including preparatory Work. As an exception to the foregoing, in the case of any fully completed separate item or portion of the work for which there is a separate Contract price, the Contract price shall control. The parties may in any other case adopt the Contract price as the reasonable value of the Work or any portions thereof." **1.6 ALLOWANCES** 

- A. The Contractor shall include in the Contract Amount all allowances stated in the Contract Documents. Items or services covered by these allowances shall be supplied as the Owner may direct.
- B. Allowances for material and equipment shall cover the cost to the Contractor, less any applicable trade discount, delivered at the site, and all applicable taxes. The Contractor's costs for unloading and handling on the site, labor, installation costs, overhead, profit and other expenses required to complete the Work shall be included in the Contract Amount and not in the allowance.
- C. Whenever the cost of the material, equipment or service is more than or less than the allowance, the Contract Amount shall be adjusted by the procedure in Section 2, Contract Modifications.

## 1.7 DISPUTES

Should any dispute including breach, arise out of or relate to this Contract the Contractor shall continue to perform the Work in accordance with the Contract Documents and the Owner and Contractor agree to pursue resolution of the disagreement by whatever means available. Neither a dispute resolution process, the resolution, nor lack of resolution shall delay, hinder, or alter the completion of the Work in accordance with the undisputed portion of the Contract Documents and in accordance with the Owner's direction to Contractor regarding disputed portions of the Contract.

#### 1.8 SEVERABILITY

In the event that any provision or any part of a provision of this Contract shall be finally determined to be superseded, invalid, illegal or otherwise unenforceable pursuant to applicable laws by an authority having jurisdiction, such determination shall not impair or otherwise affect the validity, legality, or enforceability of the remaining provisions or parts of provisions of this Contract, which shall remain in full force and effect as if the unenforceable provision or part were deleted. **1.9 HEADINGS** 

The headings of any section or provision of this Contract are for convenience only and shall not be deemed to limit, restrict or alter the content, meaning or effect thereof.

#### 2 CONTRACT MODIFICATIONS 2.1 MODIFICATION DOCUMENTS

- A. The Owner, without invalidating the Contract and without consent of surety, may accomplish changes in the Work within the general scope of the Contract consisting of additions, deletions, additional instructions, or other revisions, to the Contract Documents, and where applicable, the Contract Amount and/or the Contract Time being equitably adjusted accordingly. All such changes in the Work may be accomplished by Owner's Instructions, Architect's Supplemental Instructions, a Construction Change Directive, a Change Order (including a Unilateral Change Order), as may be applicable in accordance with the provisions of the Contract. The Contract Amount and/or the Contract Time may be changed only by a Change Order. Contractor agrees to promptly proceed with changes in the Work according to the respective form of documentation issued. All changes to the Work and all Contractor requests for additional compensation shall be resolved in accordance with this Section 2, Contract Modifications.
- B. A Change Order is a written order from the Owner ordering a change in the Work. Upon receipt of a Change Order, the Contractor shall promptly proceed with the Work as changed. The Contractor will not delay the Work for any reason. Within ten (10) working days after receiving a Change Order and prior to or simultaneously with proceeding with the change in the Work, Contractor shall advise the Owner and Architect of Contractor's inability to proceed with the Work, and shall state in writing. Proceeding with the Work as changed without submitting a notice to Owner or Owner's Representative indicates Contractor's full acceptance of the Change Order including the Contract Amount and/or Contract Time.
- C. The signature of the Owner and Contractor on the Change Order indicates their final and conclusive acceptance of the stated terms and provisions as full compensation for the change to the Work. In the event the Owner and Contractor do not agree upon an adjustment to the Contract Amount and/or Contract Time resulting in a Change Order, the Owner may issue a Unilateral Change Order. A

Unilateral Change Order is signed by the Owner and issued to the Contractor authorizing an adjustment in the Contract Amount and/or Contract Time as the Owner deems equitable. A Unilateral Change Order does not require the Contractor's signature, but may be signed by the Contractor and returned to the Owner.

- D. If Contractor is in disagreement with the terms or provisions of a Unilateral Change Order, the Contractor shall give the Owner and Architect written notice of his disagreement, the basis thereof, and supporting documentation within ten (10) working days of receiving the Unilateral Change Order. Such notice of disagreement does not excuse performance by the Contractor of all obligations under the Contract Documents and the Contractor shall proceed with the Work including the Work involved with the disagreement. Failure to present such notice of disagreement constitutes a waiver by the Contractor of any entitlement to additional cost or time, or subsequent claim.
- E. The Owner and Architect have the authority to issue Owner's instructions or Architect's Supplemental Instructions respectively to the Contractor which may require minor changes in the Work not involving an adjustment in the Contract Amount or an extension of Contract Time. If Contractor believes an adjustment of Contract Amount or Contract Time is justified, Contractor shall not incur additional cost or delay and notify the Owner or Architect in writing within 24 hours of upon receipt.
- F. A Construction Change Directive is a written document signed by the Owner and issued to the Contractor to perform as specified. The Contractor shall immediately comply with and perform to the Construction Change Directive. If the Contractor believes an adjustment of Contract Amount or Contract Time is justified, a request may be submitted in accordance with Section 2.4, Contractor Claims. If the Owner concurs with the Contractor a Change Order will be issued. 2.2 VERBAL INSTRUCTIONS

Contractors shall not act or rely upon verbal instructions. If a verbal instruction is provided on site to the Contractor, Contractor shall document such verbal instruction through a confirming RFI. No work will be accepted by the Owner that differs from the Contract Documents as modified in writing.

## 2.3 METHOD OF DETERMINING ADJUSTMENT

- A. An adjustment to the Contract Amount or Contract Time pursuant to a Change Order resulting from a Construction Change Directive, Claim, or other provision herein shall be determined in one or more of the following ways at the Owners discretion.
  - 1 By negotiation based upon Contractor's estimate. The estimate shall include quantities of materials and man hours, and a breakdown of cost showing labor, materials, profit, overhead, and all other items of cost. Labor rates for Change Orders shall be agreed upon between the Owner and the

Contractor within thirty (30) calendar days of Contract Award date General requirements, labor burden, project supervision, project management and facilities are not allowed. Overhead and profit shall not exceed the percentages specified in the Contract Documents.

- 2. By unit prices stated in the Contract or subsequently agreed upon.
- 3. By acceptance of a lump sum price proposal of Subcontractor to Contractor.
- 4. By determination of the Owner and issued unilaterally by a Unilateral Change Order.
- B. If the adjustment is not determined by the above methods prior to the Contractor starting Work pursuant to the Change Order, Contractor shall proceed with the Work and keep daily accurate records of the labor hours, materials, and other items of cost used in the performance of the changed Work. Copies of the records shall be given to the Owner or Owner's Representative daily. Contractor shall present at such time and in such form as Owner may prescribe, an itemized accounting together with appropriate supporting data as may be required by Owner to fully substantiate the cost of the changed Work. Overhead and profit shall not exceed the percentages specified in the Contract Documents.
- C. Extension of Contract Time will be granted only to the extent that the time required to complete the Work as changed or delayed extends the schedule critical path beyond the contract completion date. If changes or delays do not extend the critical path of the schedule beyond the contract completion date, there will be no contractor entitlement to extended or additional home office expenses. Float, as used in this agreement, is the sum of the amount of time available to a task before the task becomes critical and the amount of time between the scheduled completion date and the contract completion date. Float may be used in the order needed by either the Owner or the Contractor.

## 2.4 CONTRACTOR CLAIMS AND DISPUTES

- A. If the Contractor wishes to request an adjustment in the Contract Amount or Contact Time, other than pursuant to a Change Order or Construction Change Directive, Contractor shall give the Owner and Architect a written Notice of Claim.
- B. Contractor shall file with the Owner any written Claim, including the documents necessary to substantiate it, on or before Substantial Completion, but no later than the day of Contractor's submittal of final payment on the Contract.
- C. The Notice of Claim shall be given by the Contractor to the Owner before conditions occur which are the basis for the Claim, except in an emergency endangering life or property in which case the Contractor should proceed in
accordance with Section 6.7, Emergencies. Failure to present such Notice of Claim constitutes a waiver of such Claim.

- D. Notices for claims or disputes are valid only if written and shall be a document issued for the sole purpose of notification and titled clearly "Notice of (specify category i.e., delay) Claim." A separate written notice is required for each subject and issue.
- E. Written notice shall be deemed to have been duly served if delivered in person to the individual to whom it is addressed, or if sent by certified mail to the address specified in the Contract Documents as may be revised in writing.
- F. The Contractor shall continue to perform its Work under the Contract and shall not cause a delay in the Work during any dispute, claims definition, negotiation, mediation, or arbitration proceeding, except by written agreement by the Owner.
- G. The adjustment to the Contract Amount or Contract Time, if any, as the result of a settled claim, shall be determined and issued in accordance with this Section 2, Contract Modifications.
- H. All procedures for Claims and Disputes resolution shall be duly processed pursuant to the California Public Contract Code, Division 2, Part 1, Chapter 9 Sections 9201 – 9204.
- I. The attention of the Contractor is drawn to Government Code Section 12650, et seq. regarding penalties for false claims.

# 2.5 DELAYS BEYOND CONTRACTOR'S CONTROL

- A. If the Contractor is delayed at any time in the progress of the Work by acts or neglect of the Owner or by any separate contractor employed by Owner, or by labor disputes, fire, unusual delays in transportation, unusually adverse weather conditions, unavoidable casualties or by any other unforeseeable cause of delay beyond the Contractor's control, which the Owner decides justifies the delay, then the Contract Time may be extended for such reasonable time as the Owner in his discretion may decide. Contractor's Claim for extension of Contract Time shall be made in writing to the Owner in accordance with Section 2.4, Contractor Claims. Only one Claim is necessary in the case of continuing delay.
- B. Unusually adverse weather conditions for the purposes of this Project are agreed to be work days lost from weather or the effects of weather greater than the number of lost days specified in Section 7.5, Schedule.
  2.6 HIDDEN CONDITIONS

Should concealed or unknown conditions be encountered in the performance of the Work below the surface of the ground or in an existing structure be at variance with the conditions indicated by the Contract Documents, or differ materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in this Contract, the Contract Amount and/or Contract Time shall be equitable adjusted as provided herein upon Claim by Owner or Contractor. Contractor Claims shall be in accordance with Section 2.4, Contractor Claims.

# 2.7 HAZARDOUS MATERIALS

Asbestos or other hazardous material may be present in County buildings or on County property. Asbestos is typically in the form of pipe lagging, fire proofing, floor tiles, mastic, and plaster. Soil may be contaminated by petroleum products or other substances. In the event any suspected asbestos or other hazardous material is encountered during construction that may be disturbed by the Work, the Contractor shall stop immediately and notify the County. The Contractor and all Subcontractors shall instruct their employees of the type and location of the most likely forms of hazardous material to be encountered and of the procedure to be taken if encountered. Contractor will be responsible for the mitigation and abatement of the hazardous material upon authorization of Owner. All Claims for adjustment in time or money shall be processed in accordance with Section 2.6, Hidden Conditions.

# 2.8 OVERHEAD AND PROFIT

- A. Adjustments to the Contract Amount due to changes in the Work or any other reason, shall include overhead and profit as follows:
  - 1. Contractor's overhead and profit on the direct cost of Work (labor, material, and equipment) performed by his forces and all Subcontractors shall be a total sum not exceeding twenty percent (20%) in aggregate of such costs.
  - 2. Contractor's overhead and profit on the direct cost of Work (labor, material, and equipment) performed by Subcontractors shall be a total sum not exceeding ten percent (10%).
  - 3. Subcontractor's overhead and profit on the direct cost of the Work (labor, material, and equipment) performed by Subcontractor shall be a total amount not exceeding fifteen percent (15%). Subcontractor overhead and profit will be allowed for one tier only.
  - 4. Bonds and Insurance shall not exceed one percent (1%) of the sum of the direct cost of the work, the Subcontractor's overhead and profit, and the Contractor's overhead and profit.
  - 5. Changes to the Work ordered by the Architect or Owner which decrease the Contract Amount shall include overhead and profit in accordance with the above provisions. Value engineering revisions initiated by the Contractor and accepted by Owner which decrease the Contract Amount shall be at cost only.

6. The "direct cost of the Work" is considered to be the cost of labor, material, and equipment incorporated into the construction. Supervision and administration of the work, changes, or claims shall not be included in direct cost.

### 2.9 MAINTAIN RECORDS

Contractor and Subcontractor shall maintain records, in accordance with generally accepted accounting principles, relating to costs of changes to the Work or Claims for 4 years after the final completion. The Owner will have the right to audit these records at any time up to 4 years after completion of the Project and recover from the Contractor or Subcontractor any amount paid but not substantiated by audit.

# 2.10 PROPOSAL REQUESTS

Contractor is required to provide preliminary estimates using their best judgment of time and cost impact of potential changes to the Project as requested by the Architect and/or Owner. Estimates shall be provided to the Architect and Owner within 10 working days of receiving the Proposal Request. Contractor will be responsible for any cost increase or schedule impact resulting from Contractor's failure to respond within the allowed time.

#### 3 CONTRACTOR 3.1 DEFINITIONS

- A. The term Contractor, as used herein, is the person or organization identified as such in the Agreement, and is referred to as if singular and masculine and includes his authorized representatives.
- B. The term Subcontractor, as used herein, includes only those persons or organizations having a direct Contract with the Contractor to perform a portion of Contractor's Work.

#### 3.2 GENERAL

- A. Contractor agrees to perform all Work required by the Contract Documents.
- B. All Work shall be done in accordance with the best practices of the various trades and/or suppliers and highest industry standards.
- C. The Contractor shall keep on the Project site during the progress of the Work a competent superintendent satisfactory to the Owner. The Superintendent shall not be changed except with the consent of the Owner. The Superintendent shall represent the Contractor and all directions given to him shall be as binding as if given to the Contractor.
- D. It is the Contractor's responsibility to diligently prosecute the Work, using his best skills and attention, and the most appropriate techniques and equipment that are required to provide a finished product in compliance with the Contract

requirements. Contractor shall insure that no Work is done that does not comply with the Contract Documents.

- E. The Contractor shall attend a preconstruction meeting, weekly progress meetings and other meetings as necessary to accomplish the Work and administer the provisions of the Contract.
- F. Contractor shall submit to Owner a daily record of Contractor's activity. Such record shall be delivered to Owner's Representative daily for previous day's activity and shall include Project name, date, weather, names of Subcontractors, count of personnel by company, material deliveries, description and location of activity and events. The record of daily activity shall not be used as a Notice to Owner.

# 3.3 SUBCONTRACTS

- A. The Contractor shall not be permitted to substitute any person or organization for any Subcontractor, person or organization listed by him in his bid without the prior, written consent of the Owner, as provided for in the California Public Contract Code, Division 2, Part 1, Chapter 4, Section 4017.
- B. In addition to the information required in Division 00 Bidding Documents regarding Subcontractors, the Contractor, after execution of the Contract but prior to execution of a subcontract, shall submit the following information on each Subcontractor: name, address, and nature of Subcontractor's work, Subcontract Amount, and all other information the Owner deems relevant. The Contractor shall not Contract with any such proposed Subcontractor or entity to whom the Owner objects.
- C. Contractor shall bind every Subcontractor and every Subcontractor agrees to be bound by the terms of the Contract Documents insofar as applicable to their portions of the Work. The Contractor shall be responsible for the acts and omissions of Subcontractors.
- D. Contractor agrees to pay to each Subcontractor promptly upon receiving payment from Owner.
- E. Neither the acceptance of the Subcontractor nor any other act of the Owner, nor anything contained in any contract document is to be construed as creating any contractual relation between the Owner and any Subcontractor.
  3.4 PERSONNEL AND LABOR POLICY
- A. Contractor shall at all times enforce strict discipline and good order among his employees and shall not employ any unfit person or anyone not skilled in the work assigned to them. The Contractor shall be responsible to the Owner for the acts and omissions of its employees and other persons performing work for the Contractor.

B. No person shall be excluded from participation in, denied benefits of, or be subject to discrimination under this Contract on the basis of their race, color, religion, national origin, age, sex, sexual orientation, pregnancy, childbirth or related conditions, medical condition, mental or physical ability, or veteran's status. Contractor shall ensure full compliance with federal, state and local laws, directives and executive orders regarding non-discrimination for all employees and subcontractors under this Contract.

Violation of the non-discrimination provisions of this Contract shall be considered a breach of this Contract and subject the Contractor to penalties, to be determined by the County Manager, including but not limited to: i) termination of this Contract; ii) disqualification of the Contractor from bidding on or being awarded a County contract for a period of up to 5 years; iii) liquidated damages of \$2,500 per violation; iv) imposition of other appropriate contractual and civil remedies and sanctions, as determined by the County Manager.

To effectuate the provisions of this paragraph, the County Manager shall have the authority to: i) examine Contractor's employment records with respect to compliance with this paragraph; ii) set off all or any portion of the amount described in this paragraph against amounts due to Contractor under the Contract or any other Contract between Contractor and County.

Contractor shall report to the County Manager the filing by any person in any court of any complaint of discrimination or the filing by any person of any and all charges with the Equal Employment Opportunity Commission, the Fair Employment Housing Commission or any other entity charged with the investigation of allegations within 30 calendar days of such filing, provided that within such 30 calendar days such entity has not notified Contractor that such charges are dismissed or otherwise unfounded. Such notification shall include the name of the complainant, a copy of such complaint and a description of the circumstance. Contractor shall provide County with a copy of its response to the complaint when filed.

For contracts over \$5,000, with respect to the provision of employee benefits, Contractor shall comply with the County Ordinance which prohibits contractors from discriminating in the provision of employee benefits between an employee with a domestic partner and an employee with a spouse. See Document 00 7373 Supplemental Conditions, Equal Benefits Compliance Ordinance No. 4324, Chapter 2.84.

C. Contractor shall ensure equal employment opportunity based on objective standards of recruitment, selection, promotion, classification, compensation, performance evaluations, and management relations, for all employees working on the Project. Contractor's affirmative action policies shall be made available to Owner upon request. See Document 00 45 36.01 Equal Opportunity Requirements, Certification of Compliance with Laws Prohibiting Discrimination.

- D. It is the policy of the Owner that Contractors on public Projects employ their workers from the local labor market whenever possible. Consistent with that policy, the Contractor is requested to employ his workers from the local labor market. Local labor market within the meaning of this section is defined as the labor market within the geographical confines of the County of San Mateo, State of California.
- E. The Contractor shall forfeit, , as per the San Mateo County Office of Labor Standards and Enforcement (OLSE) and/or the State of California Department of Industrial Relations (DIR) penalties for each laborer, workman, or mechanic employed in the execution of the Contract by Contractor, or by any Subcontractor under Contractor, upon any of the Work performed for the Contract, for each calendar day during which said laborer, workman, or mechanic is required or permitted to labor more than eight (8) hours in violation of the provisions of the California Labor Code, Division 2, Part 7, Chapter 1, Article 3, Section 1810.
- F. Apprenticeship Program: Contractor shall comply with the provision of California Labor Code, Division 2, Part 7, Chapter 1, Article 2, Section 1777.5.
- G. The Contractor shall comply with the provisions of the California Labor Code, Division 2, Part 7, Chapter 1, Article 2, Section 1776, and the regulations implementing it in Title 8of the California Administrative Code. The Contractor shall be responsible for compliance by his Subcontractors. A certified copy of all weekly payroll records shall be furnished upon request of the Owner, the Division of Labor Standards Enforcement, or the Division of Apprenticeship Standards of the Department of Industrial Relations.
- H. Payrolls shall contain the full name, address, and social security number of each employee, his correct classification, rate of pay, daily and weekly number of hours worked, itemized deductions made and actual wages paid. They shall also indicate apprentices and ratio of apprentices to journeymen.
- I. The penalties specified in Subdivision (h) of Labor Code Section 1776 for noncompliance with the provisions of Section 1776 may be deducted by the County from any moneys due or which may become due to the Contractor.

# 4 OWNER 4.1 DEFINITION

The Owner is the person or organization identified as such in the Agreement and is referred to as if singular in number and masculine in gender and includes his authorized representatives. The Owner may be the County of San Mateo, sometimes referred to as "The County".

#### 4.2 GENERAL

- A. The Owner may furnish information after the bid date and not included in the Contract Documents in the form of drawings, reports, survey data, utility locations, plans of existing facilities and such other information. This information is not part of the Contract Documents.
- B. The Owner shall receive copies of all correspondence, notices, approved shop drawings, test reports and such material pertinent to the Contract. The Owner shall have access to the Work at all times.

### 4.3 THE DIRECTOR OF PUBLIC WORKS

The Director of Public Works for the County of San Mateo or his duly appointed representative is the duly appointed agent for the Owner and as such is empowered to act for the Owner in all matters as stated in the Contract Documents or as provided by law.

#### 4.4 OWNER'S CONSTRUCTION MANAGER

- A. The Owner may engage a Construction Manager as an Owner's Representative for the Project. The Owner's Construction Manager shall receive copies of all communications regarding the Project, have full access to the Work, and be kept informed of all actions taken by the Contractor.
- B. The Owner's Construction Manager shall not interpret the plans, coordinate the Work, order changes in the Work, supervise the workmen, or perform any duty which is the responsibility of the Architect or the Contractor.

#### 5 ARCHITECT 5.1 DEFINITION

For the purpose of this Contract, the Architect is identified in the Project Manual. The term "Architect" is the individual, partnership, corporation, joint venture, or any combination thereof, who will have the rights and authority assigned to the Architect in the Construction Documents. The Term Architect means the County's Architect on this Project or the Architect's authorized representatives and consultants. Nothing contained in the Contract Documents shall create any contractual relationship between the Architect and the Contractor.

#### 5.2 GENERAL

- A. The Architect and the Construction Manager will provide general administration of the Contract between Owner and Contractor.
- B. The Architect will have authority to act on behalf of the Owner to the extent provided in the Contract Documents. The Owner's instructions to the Contractor may be issued through the Architect.

- C. The Architect shall at all times have access to the Work. The Contractor shall provide facilities for such access so the Architect may perform his functions under the Contract Documents. The Architect will make periodic visits to the site to familiarize himself with the progress and quality of the work and to determine if the work is proceeding in accordance with the Contract Documents. Architect will endeavor to guard the Owner against defects and deficiencies in the Work.
- D. The Architect will be the interpreter of the requirements of the Contract Documents and the judge of the Contractor's performance thereunder. The Architect will, within ten (10) working days, render interpretations or answers to questions submitted by Contractor. All interpretations and decisions of the Architect shall be consistent with the intent of the Contract Documents. In Architect's capacity as interpreter and judge he will exercise his best efforts to insure faithful performance by all parties of the Contract. The Architect's decision in matters relating to esthetic effect will be final.
- E. The Architect will review submittals, samples, adjustments to the Contract, applications for payment, written guarantees, operation and maintenance manual and other documents required by the Contract.

### 6 PERFORMANCE OF THE WORK 6.1 DEFINITION

- A. The term "Work" as used herein is all of the Contractors obligations under the Contract including, but not limited, to providing all labor, material, equipment and services indicated by the Contract Documents, as-built drawings, punchlist, inspections and approvals required or necessary for occupancy, and guarantees.
- B. The term "Project" is the total construction planned or contemplated by the Owner of which the Work may be the whole or a part. The Owner may perform or contract for other work on the Project site during the progress of the Work.

# 6.2 GENERAL

- A. The Contractor shall provide, maintain and remove upon completion of the Work, all tools, machinery, equipment, temporary rigging, scaffolding, hoisting equipment, rubbish chutes, barricades around openings and excavation, ladders between floors, fences around buildings, and all other items as required for safe completion of the Work, whether specifically designated or not and shall conform to all requirements in regard to operation, safety, and fire hazards of State and local authorities and of underwriters.
- B. Deliver all materials and equipment in the manufacturer's original sealed, labeled containers and protect items against moisture, rust, dust, tampering, or damage.

- C. Place all materials and equipment orders in time to avoid job delay or hindrance. Schedule deliveries to coincide with the construction schedule so that materials and equipment are promptly installed upon delivery.
- D. Except as specifically noted otherwise, the installation and/or maintenance directions provided by the manufacturer shall be followed for all materials and equipment.
- E. All materials and equipment shall be new, unless specifically marked otherwise.
- F. All materials and equipment not conforming to the Contract Documents shall be rejected and shall be immediately removed from the site of the Work.
- G. All utilities and services required by the Contractor including electrical power, water, temporary telephones, temporary sanitary facilities, and temporary heat as required for the proper installation of materials and the completion of the Work shall be provided by Contractor.
- H. Shut down of utilities for any reason or duration shall be subject to approval by the Owner. The Owner requires a minimum notice per Document 00 35 13.19 Special Project Procedures for a utility shut down. When shut-downs of 30 minutes or more are required, the Contractor shall provide alternate service for normal occupancy requirements. Utility shut-downs shall be scheduled during nonbusiness hours.
- I. Prior to ordering materials, the Contractor shall verify all measurements, material handling pathway and logistical dimensions at the site and shall be held responsible for their accuracy. No extra compensation will be allowed for differences between actual measurements and the dimensions shown on the Drawings.
- J. Fences, office facilities, enclosures, storage sheds, etc., required by the Contractor in the performance of the Work shall be located where approved by the Owner.
- K. The Contractor shall confine operations at the site to areas permitted by law, ordinances, permits and the Contract Documents and shall not unreasonably encumber the site with any materials or equipment.
- L. During the progress of the Work, Contractor shall keep the premises orderly and safe and free from accumulation of waste materials and rubbish.
- M. At the completion of the Work, Contractor shall remove all waste, surplus materials, and rubbish and shall clean all surfaces, removing all extraneous paint, mortar, dust, and stains, leaving the Work bright, clean and polished.

- N. The project is not exempt from any Federal, State or local taxes.
- O. Royalty and License Fees incidental to the use of any patented material, device or process shall be paid by the Contractor and in the event of a Claim of alleged infringement of patent rights, the Contractor shall save the Owner free and harmless from loss on account thereof; and also defend, at his own expense, all suits that may be brought in such connection.
- P. Contractor shall continuously maintain adequate protection of all Work and shall protect the Owner's property from damage or loss arising in connection with this Contract.
- Q. Precaution shall be exercised at all times for the protection of persons (including Contractor's and Owner's employees) and property. The safety provisions of applicable laws, building and construction codes shall be observed. Machinery, equipment and all hazards shall be guarded or eliminated in accordance with the safety provisions of the latest safety orders of the State of California: California Code of Regulations, Title 8, Construction Safety Orders (see Department of Industrial Relations at: https://dir.ca.gov), the California Occupational Safety and Health Administration (CAL/OSHA) Safety Orders (at https://dir.ca.gov/dosh/), and CAL/OSHA and Statewide Industry Guidance on COVID-19 (at https://dir.ca.gov/dosh/coronavirus/Health-Care-General-Industry.html).
- R. All materials and workmanship shall be subject to inspection, examination, test, and acceptance by the Owner at all times during manufacture and construction and at all places where such manufacture and construction occurs.

#### 6.3 EXISTING CONDITIONS

- A. The Contractor by executing the Contract represents that he has visited the site and familiarized himself with the local conditions under which the Work is to be performed and has correlated his site observations with the requirements of the Contract Documents.
- B. The contractor shall carefully study and compare the Contract Documents and existing conditions and dimensions and the connection of the Work to existing conditions and shall report to the Architect any error, conflict, inconsistency, omission, or any variance with laws, ordinances, codes, rules or regulations bearing on the Work. Contractor shall report such conditions to the Architect in writing at such time as to allow at least ten (10) working days for a response with no delay to the Work. All necessary changes shall be accomplished in accordance with Section 2, Contract Modifications.

# 6.4 ADJACENT FACILITIES

A. The Contractor shall provide adequate protection for all parts of the Project site, and adjacent property, its improvements and its occupants throughout the Work.

All damage done to existing property shall be repaired or replaced at the Contractor's expense and determined to be acceptable by the Architect and Owner.

- B. Work shall be executed in careful, orderly manner, with the least possible disturbance to public and occupants of the area.
- C. The Owner will continue to use adjacent areas of the facilities. Contractor shall take care to disrupt the Owner as little as possible. Contractor shall provide legal and safe access to all facilities at all times. In order to facilitate use of adjacent facilities Owner may order Contractor to alter or temporarily cease operations.

### 6.5 PERMITS

- A. It shall be the responsibility of the Owner to obtain and pay for all permits, licenses, certificates, approvals, utility connections and services necessary for the proper execution and completion of the Work.
- B. All fees which are for temporary approvals or services, such as those which are necessary for construction procedures, shall be paid by the Contractor.
- C. In the event the Special Provisions require the Owner to pay any fee, the Contractor shall notify the Owner in writing, twenty (20) working days in advance of a required fee payment.
- D. It is the policy of the County to cooperate with State, County and City officials in regard to the construction of this Project, and it is the responsibility of the Contractor and all his Subcontractors to meet the requirements of government officials having responsibility for inspecting or observing construction by taking out permits for the Work, calling for inspections and adhering to safety practices in accordance with standard practice. In the case of conflict of any of these provisions, the Owner shall be notified. The term Inspector means a Public Building Construction Inspector or an individual performing the inspection as required by building codes or jurisdiction.

#### 6.6 LAWS

- A. The Contractor shall give all notices and comply with all laws, ordinances, rules and regulations bearing on the conduct of the Work. If the Contractor performs any Work contrary to such laws, ordinances, rules and regulations, he shall bear all costs and delays arising therefrom.
- B. Owner and Contractor have all rights provided by law not specifically waived by this Contract.

### 6.7 EMERGENCIES

- A. In an emergency affecting the safety of life, the Work, or property, the Contractor, without special instruction or authorization from the Owner, is hereby permitted to act, at his discretion, to prevent such threatened loss or injury; he shall so act without appeal if so instructed or authorized. Any compensation, claimed by the Contractor on account of emergency work, beyond Contractor's contractual obligations, shall be determined by agreement. The Contractor shall immediately notify the Owner in writing.
- B. In an emergency affecting the safety of life, the Work, or property or if an unsafe condition exists, the Owner may, but is not obligated, take measures to mitigate the condition. Such measures may include expending labor or material, engaging other contractors, entering the Project site utilizing materials, equipment, or facilities of Contractor. The Owner's actions may be performed immediately and without notice to Contractor. Contractor shall pay Owner for all costs which are attributable to Contractor.

### 6.8 SUBMITTALS

- A. Submittals include, but are not limited to shop drawings, product data, maintenance information, samples, manufactures instructions, certifications, and similar documents or items which demonstrate the way the Contractor proposes to perform the Work to the information in the Contract Documents. Contractor shall review the entire Contract Documents for other provisions relating to submittals and individual submittal requirements, if any.
- B. The Contractor shall review, stamp with his approval and submit to the Architect in orderly sequence so as to cause no delay in his Work or in the work of any other contractor, all submittals required by the Contract. Submittals shall be properly identified with specification section. At the time of submission, the Contractor shall note in writing any deviation in the submittals from the requirements of the Contract Documents. By approving and submitting shop drawings and samples, the Contractor thereby represents that he has determined and verified all field measurements, field construction criteria, materials, catalogue numbers and similar data, and that he has checked and coordinated each shop drawing and sample with the requirements of the Work and of the Contract Documents.
- C. The Architect will review submittals for conformance with the designed concept and with the information given in the Contract Documents. A minimum of 10 working days is required for each submittal review. The Architect's review will not relieve the Contractor of responsibility for complying with the Contract Documents. If a submittal is required to be resubmitted, the time and cost of resubmission is the responsibility of the Contractor.

### 6.9 SUBSTITUTIONS

- A. The intent of the Specifications is to specify high grade equipment and materials appropriate for the Project. It is not the intent of the Specifications to exclude or limit the products of any responsible manufacturer, except when the Owner has adopted a specific system or product which will be noted, "No Substitutions Allowed", or similar language. Where equipment, material, or process is specified by trade name or by patentee, manufacturer or dealer, it shall mean the specified item or product. No substitution shall be made by the Contractor without written approval of the Architect. The Architect shall be the sole judge of a Contractor proposed substitution. See Division 01 for Substitution requirements. The Architect's refusal to approve a substitution shall not effect the progress of the Work and is not grounds for a Claim against the Owner.
- B. The Contractor shall pay a \$200, lump sum, for the Architect's time to review substitution requests. Payment is to be included with the substitution request package.

#### 6.10 CORRECTING WORK

- A. The Contractor shall promptly correct all Work rejected by the Owner or Architect, whether observed before or after the Notice of Completion and whether or not fabricated, installed or completed. The Contractor shall not receive a time extension for correcting such rejected Work. All such defective or non-conforming Work shall be corrected to comply with the Contract Documents without cost to the Owner. The Contractor shall bear the cost of making good all Work of separate contractors which may be destroyed or damaged by such removal or correction.
- B. If any Work should be covered before it is inspected, the Contractor at his expense, must uncover the Work for inspection and then replace the Work.
- C. If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents, or fails to perform any provisions of the Contract Documents, the Owner may, after seven (7) working days written notice to the Contractor and without prejudice to any other remedy Owner may have, and without Contract termination or ordering the Contractor to stop Work make good such deficiencies in any manner the Owner deems expedient. In such case an adjustment to the Contract shall be made in accordance with Section 2, Contract Modifications, deducting from the payment then or thereafter due the Contractor, the cost of correcting such deficiencies, including the cost of additional services made necessary by such default, neglect or failure.
- D. If the Owner deems it not expedient to correct Work damaged or not done in accordance with the Contract Documents, a deduction from the Contract price shall be made.
- E. If the Contractor fails to correct defective Work or fails to supply materials or equipment in accordance with the Contract Documents, the Owner may order the

Contractor to stop the Work or any portion thereof until the cause of such order for the Work has been eliminated. Contractor shall not receive a time extension or compensation as a result of stopping Work as required by this provision.

# 6.11 TESTING

- A. The Owner will provide for testing of materials or workmanship as required by these Specifications. The Contractor shall coordinate and schedule tests directly with the testing firm. The costs of tests on materials at the Project site will be borne by the Owner, except for retesting, as specified below, the material required for testing, and the Contractor's labor required to facilitate the test or delayed by the test, which the Contractor shall furnish. The Contractor will cooperate with the Owner's testing representative in the taking of test Samples. The Contractor shall pay for all tests which are not performed at the job site.
- B. Required tests are specified elsewhere in the Specifications.
- C. Should the results of any required tests fail to meet the requirements of the Contract Documents, Contractor shall either correct the unacceptable condition or furnish new materials, as directed by the Owner. Additional tests shall be made at the Contractor's expense until the materials are found to meet the requirements of the Contract Documents.
- D. Should the results of any soil compaction tests fail to meet the requirements of the Specifications, Contractor shall recondition and/or recompact the fill, and additional tests shall be made at the Contractor's expense until the compaction is found to meet the requirements of the Specifications.
- E. Testing or inspection services required outside of regular working hours shall be paid for by the Contractor.
- F. When existing building systems such as fire alarms, fire sprinkler systems, smoke detectors, halon systems, etc., are modified by the Work, the Contractor shall test the entire system at the completion of the Work and demonstrate to the Owner that the system is functioning correctly and reliably.

# 6.12 RECORD DOCUMENTS

- A. The Contractor shall maintain at the site record documents consisting of all Drawings, Specifications, addenda, approved shop drawings and samples, Change Orders, Construction Change Directives, instructions from the Architect, and other documents relating to the Project. All record documents shall be marked legibly by the Contractor to record all changes to the Work, field measurements, actual conditions, and adjustments made during construction.
- B. Upon completion of the Work, Contractor shall transfer all record document information to a clean set of Drawing and Specifications and electronic media

compatible with the Owner's software and deliver them to the Architect. CAD documents shall be in sheet format. Contractor shall provide any explanation or clarification of the record documents requested by Owner or Architect.

### 6.13 OPERATING AND MAINTENANCE MANUALS

Assemble and bind two (2) hardcopy sets and one (1) electronic PDF file, clearly categorized according to the Project Specifications, of all guarantees, certificates, warranties, operating instructions, as-built specification, and maintenance manuals into clearly organized files with an index, a list of Subcontractors and suppliers including their names, addresses, and phone numbers and present to Architect at the completion of the Work.

### 6.14 TRAINING TO OWNER/OWNER'S REPRESENTATIVE

Contractor shall provide training to the Owner and Owner's representatives for all operating systems, features, and equipment. Training shall be sufficient to explain and demonstrate the location, function, and operation and shall be a minimum of four (4) hours for each item of Work. Training shall be given by a person familiar with the Project. Operation and Maintenance manuals must be available to the Owner prior to training and referenced during the training. Contractor to provide Owner with videos taken of the training(s), particularly of systems such as fire alarm, HVAC, and building management system(s). Contractor and Owner shall agree which systems will require videos of training.

#### 7 TIME 7.1 DEFINITION OF OFFICIAL DATES

- A. The Contract Time is the period of time indicated in the Agreement for achieving completion of the Work. Time is of the essence for the Contract. The term day as used in reference to Contract Time shall mean calendar day.
- B. The Notice to Proceed from the Owner shall establish the official date the Work may commence and the start of the Contract Time.
- C. The date of Substantial Completion of the Work is the date established by the Architect. The date of beneficial occupancy or acceptance of the Work may be determined by the Owner but not effect the Contract Time or terms of the Agreement.
- D. The date of Final Completion is the date established by the Architect after Substantial Completion when the Work is complete in every detail. Retention may be withheld until after Final Completion.

### 7.2 SUBSTANTIAL COMPLETION

- A. Substantial Completion is the stage in the progress of the Work when the entire Work, or a designated portion thereof, is sufficiently complete in accordance with the Contract Documents to allow the Owner to use and occupy the entire Work or portion as intended. Prior to Substantial Completion the Contractor shall have inspected the Work, completed corrective measures, obtained all approvals necessary for occupancy, placed into operation all equipment and systems, and obtained the Architects concurrence that Substantial Completion of the Work has been achieved.
- B. When the Contractor considers that the Work, or designated portion thereof, is substantially complete, the Contractor shall provide a written notice to the Architect and Owner in which the Contractor certifies that the Work or portion is Substantially Complete, lists all remaining incomplete deficiencies of the Work, and requests inspection and acceptance. The failure to include any items on such list does not alter the responsibility of the Contractor to complete the Work in accordance with the Contract Documents.
- C. Upon receiving notice in accordance with paragraph 7.2.B, the Architect and/or Owner will review the Work or designated portion thereof. If the Architect determines the Work or portion is substantially complete, the Architect will establish a date of Substantial Completion. If the Architect determines the Work or portion is not Substantially Complete the Contractor will be notified. Contractor is required to initiate re-inspections by providing notice in accordance with Section 7.2B and reimburse the Owner for the cost of the reinspection.
- D. The guarantee period, and associated warranty period(s), shall begin on the date of Substantial Completion. A separate date of Substantial Completion shall be established for designated portions of Work according to the Contract Documents or as agreed to by Owner.
- E. Any Work used by Contractor prior to Substantial Completion shall be made new as of the date of Substantial Completion. Such Work may include lights, filters and systems or equipment requiring periodic maintenance.

#### 7.3 LIQUIDATED DAMAGES

A. Should the Work not be Substantially Complete, as defined herein, and within the Contract Time, damages will be sustained by the Owner. The Owner may impose liquidated damages to portions of the Work. As it may be extremely difficult, not feasible, or may be impracticable to use County resources to determine the amount of actual damage the County may suffer should Contractor fail to complete the work withing the time specified, it is understood and agreed the Contractor shall pay the Owner as fixed and liquidated damages, and not a penalty, the amount set forth in the Agreement for each calendar day of delay in completion. Contractor and its surety shall be liable for the amount thereof pursuant to

Government code Section 53069.85. It is therefore agreed that the Contractor will pay the Owner the amount specified in the Notice to Contractors Document 00 11 16, as and for the Owner's liquidated damages. The liquidated damages amount covers Owner's damages only and is not in lieu of the indemnification obligations set forth separately in Section 9 nor shall these liquidated damages cover damages, including delay damages, claimed by third parties. Third parties shall include other contractors working on the Project. In the event the Contractor fails to make such payment, the Owner may deduct the amount thereof from any money due or that may become due to the Contractor under the Contract and should the balance due under the Contract not be sufficient to cover the amount owed, the Owner shall have the right to recover the balance from the Contractor, or from the Contractor's sureties.

B. The Owner may impose liquidated damages to portions of the Work.

### 7.4 USE AND OCCUPANCY PRIOR TO SUBSTANTIAL COMPLETION

- A. The Contractor agrees to use and occupancy of a portion of the Work by the Owner upon Substantial Completion.
- B. Prior to the Owner occupying a portion of the Work, a list of Work to be completed or corrected shall be prepared jointly by the Contractor and Architect.
- C. Occupancy by the Owner shall not be construed by the Contractor as being an acceptance of the Work by Owner of that part of the Work to be occupied.
- D. The Contractor shall not be held responsible for any damage to the occupied part of the Work resulting from the Owner's occupancy after Substantial Completion.
- E. Occupancy by the Owner shall not be deemed to constitute a waiver of any claims which Owner or Contractor may have.
- F. Use and occupancy of a portion of the Work by the Owner prior to Substantial Completion does not relieve the Contractor of his responsibility to maintain all insurance and bonds required under the Contract until the Work is completed and accepted by Owner.

#### 7.5 SCHEDULE

A. Contractor shall submit to the Owner and Architect a schedule for the Work.

The schedule shall be a series of tasks representing the Contractor's plan for performing the Work including all activities both onsite and offsite, submittal due dates, submittal review periods, material purchasing, lead or fabrication times, a period for punchlist and corrections, final inspection and approvals, and other events or activities having an effect on the progress or completion of the Work. For each task, the schedule shall show the duration, the starting and finish dates,

predecessors, successors, and the average manpower and equipment planned. The schedule shall be submitted in bar chart and pert chart format and with a separate task list showing all data in spreadsheet format. No single task on the schedule may exceed two weeks in duration. See General Requirements 01 00 00 for additional Schedule requirements.

# (1) PRELIMINARY SCHEDULE

A. Submission

1. Submit the Preliminary Contract Schedule to Owner either within 10 working days after receipt of Notice of Award and/or with the Agreement.

2. Within seven (7) working days after receipt of the Preliminary Schedule, Owner will notify Contractor of its acceptance of, or its review comments about, the schedule so that appropriate adjustments may be made by Contractor in the development of the Schedule.

# B. Form

1. Prepare the Preliminary Schedule in sufficient detail to demonstrate preliminary planning for the Work and to represent a practical plan to complete the Work within the Contract Time

2. Identify the following milestone events on the Preliminary Schedule:

Site Preparation and Foundation Work

Utilities Shutdowns

Structural Construction

Solar Panel Installation

Electrical Infrastructure

Parking area construction/Pavement

Utility Connections

Testing and Commission

Final Inspections and Approvals

Punchlist

Project Closeout

3. Identify all holidays and non-working days on the Preliminary Schedule.

### C. Activities

1. Identify all Work activities which constitute the critical path, including any known material and equipment lead times.

2. Shutdowns for all utilities as determined from listing provided by Owner's Representative as part of Contract Documents.

# (2) SCHEDULE

# A. Submission

1. Submit the Schedule, also known as the Baseline Schedule, in the form and having general content acceptable to Owner and shall be based on the review and comments to or acceptance of the Preliminary Schedule, within ten (10) working days following Owner's written acceptance of the Preliminary Schedule.

2. Owner, with Owner's Representative will determine acceptability of the Schedule within seven (7) working days after its receipt.

3. No Application for Payment will be processed nor shall any progress payment become due until the Baseline Schedule is accepted by Owner in writing.

#### B. Form

1. The Schedule shall be suitable for monitoring progress of the Work, in sufficient detail to demonstrate adequate planning for the Work, and shall represent a practical plan to complete the Work within the Contract Time.

2. Identify the milestone events as indicated above including additional milestones identified by Owner upon review of the Preliminary Schedule.

3. Identify all holidays and non-working days on the Schedule.

4. If the Schedule is shown on more than one (1) sheet, provide a summary sheet.

# C. Activities

1. Identify all Work activities in correct sequence for the completion of the Work. Work activities shall include the following:

a. Major Contractor-furnished equipment, materials, and building elements, lead times, and scheduled activities requiring submittals or Owner's prior approval. b. Show dates for the submission, review, and approval of each submittal. Dates shall be shown for the procurement, fabrication, delivery, and installation of major equipment, materials, and building elements, and for scheduled activities designated by Owner.

c. For Submittals, a minimum of ten (10) working days shall be allotted in the Schedule for the Architect to review each submittal.

d. Contractor's internal pre-functional testing and final System test dates.

e. Scheduled overtime Work if required by Contract Documents.

f. Dates Contractor requests designated working spaces, storage areas, access, and other facilities to be provided by Owner.

g. Dates Contractor requests orders and decisions from Owner on designated items.

h. Dates Contractor requests Owner-furnished equipment.

i. Dates Contractor requests Owner-furnished utilities.

j. Connection and relocation of existing utilities.

k. Connecting to or penetrating existing structures.

I. Scheduled inspections as required by Codes, or as otherwise specified.

2. Identify all Work activities that constitute the critical path.

3. Critical Work activities are defined as Work activities which, if delayed or extended, will delay the scheduled completion of one or more of the milestones specified in this Section or the scheduled completion of the Work, or both. All other Work activities are defined as non-critical Work activities and are considered to have float.

4. Float is defined as the time that a non-critical Work activity can be delayed or extended without delaying the scheduled completion of milestones specified in this Section or the scheduled completion of the Work, or both. Neither Contractor nor Owner shall have an exclusive right to the use of float. The party using float shall document the effect on the updated Schedule. 5. Delays of any non-critical Work activity shall not be the basis for an extension of Contract Time until the delays consume the float associated with that non-critical Work activity and cause the Work activity to become critical.

6. The presentation of each Work activity on the Schedule shall include a brief description of the Work activity, the duration of the Work activity in days, and a responsibility code identifying the organization or trades performing the Work activity.

7. See 8.2 Contract Amount Breakdown – Schedule of Values for requirements to establish costs for each Work activity of the Schedule which cumulatively equal the total Contract amount.

# (3) PROGRESS SCHEDULE

- A. Updating the Schedule provides the Owner with a schedule of the progress of the work (Progress Schedule). The Contractor must submit Progress Schedule(s) prepared in accordance with the requirements of the Contract Documents.
- B. Updating

1. Review the Schedule with Owner once each week to incorporate in the Progress Schedule all changes in the progress, sequences, and scope of Work activities.

2. Prepare and submit to Owner an updated Schedule, as the Progress Schedule, once each month, or as mutually agreed.

a. A Progress Schedule shall accurately represent the asbuilt condition of all completed and in-progress Work activities as of the date submitted.

b. The Progress Schedule shall incorporate all changes mutually agreed upon by Contractor and Owner during preceding periodic reviews and all changes resulting from Change Orders, Field Orders and Amended Construction Documents.

c. Contractor shall perform the Work in accordance with the updated Schedule. Contractor may change the Project Schedule to modify the order or method of accomplishing the Work only with prior agreement by Owner.

3. Contractor shall submit the updated Schedule, as the Progress Schedule, in the form acceptable to Owner, at least five (5) working days prior to submitting the Application for Payment. Contractor will provide a written progress report of the Schedule to the Owner in a format approved by Owner.

4. Owner's Representative will determine acceptability of each Progress Schedule within five (5) working days after its receipt.

5. No Applications for Payment will be processed nor shall any progress payments become due until updated Progress Schedules are accepted by Owner.

6. The accepted Progress Schedule shall be the Schedule of record for the period it is current, shall be in compliance with the Contract Documents, and shall be the basis for the Owner's approval of the Contractor's monthly (or as mutually agreed) payment requests during that period.

7. The Owner's review and acceptance of the Contractor's updated Progress Schedule is for compliance with the requirements of the Contract Documents only. Review and acceptance by the Owner of the Contractor's Progress Schedule(s) does not mean approval of the sequence or duration of the tasks shown, and does not relieve the Contractor of any of the Contractor's responsibility for the accuracy or feasibility of the Schedule, or of the Contractor's obligation to meet the milestone dates established in the Schedule and the date of contract completion to the Contract Time.

8. The Owner's review and acceptance of the Contractor's Progress Schedule does not expressly or implicitly warrant, acknowledge, or admit the reasonableness of the logic, durations, cost, manpower or equipment loading indicated in the Progress Schedule.

# (4) 3-WEEK LOOK AHEAD SCHEDULE

- A. During the course of construction, Contractor shall provide a 3week schedule at each construction meeting referred to as a 3week look ahead schedule. This schedule shall indicate the construction schedule activities for that time period. This schedule shall identify any critical items impacting the Schedule or progress and any items requiring additional Work or Time.
- B. The Contractor shall carry on with the Work, for the construction of the various elements of the project concurrently, to the extent reasonable, and shall not defer construction of any portion of the work in favor of any other portion without the express written approval of the Owner.

- B. The Schedule may be revised as required by the progress and conditions of the Work, change orders and all other factors that could influence the date of Substantial Completion and/or Contract Time.
- C. Contractor shall post the current Schedule on the Project site in a location readily accessible to the Owner and Architect.
- D. Weather delays shall be allowed for in the Contractor's Schedule. Additional time will be granted for adverse weather to the extent the number of scheduled work days lost due to weather.

# 7.6 DETERMINATION OF WEATHER DELAYS

- A. Except for rain, if weather conditions are the basis for delays for continuing or completion of the Work or any designated portion of the Work, Contractor must substantiate that the weather conditions were abnormal, based on the climatologically data for the immediate preceding 10-year period. The Contractor must establish that the adverse weather conditions could not have been reasonably anticipated to constitute a weather delay.
- B. When the amount of rain is considered to be abnormal, additional rain days will be allowed and extensions to the Contract Time(s) will be granted where the condition of the site (exterior or interior location) or access to the site as determined by the Owner, is such that Contractor can perform no Work identified on the current version of the Progress Schedule in effect at the time the delay occurred. Rainfall will be considered unusually severe only when the Days of Rain (defined as more than one-tenth (1/10th) of an inch of rain per day) in any month exceed the number of allowed rain days per month.
- C. No Contract Time extension for rain will be allowed for any month until the established number of allowed rain days for the that month have been exceeded. The allowable rain days per month for this Project are as follows:

January: 2 February: 2 March: 2 April: 0 May: 0 June: 0 July: 0 August: 0 September: 0 October: 2 November: 2 December: 2

- D. Contractor must exercise due diligence in protecting the Work and the Work site from the adverse impacts of weather by:
  - 1. Taking appropriate preventative actions before anticipated inclement weather to protect the Work and Work site from the potential adverse effects of the weather;
  - 2. Taking corrective action during the inclement weather to protect the Work and Work site from the actual and potential adverse effects of the inclement weather; and
  - 3. Taking correction action after the inclement weather to remedy, prevent, and/or mitigate the negative impacts of the adverse weather on the Work and the Work site.

# 7.7 DELAY AND TIME EXTENSIONS

A. The Owner will consider extensions to the Contract Time for the following reasons only if they affect the Critical Path of the Official Progress Schedule.

1. Acts of God (as defined in PCC 7105 (b) (2)) or of the public enemy, acts of Government, acts of Owner, fires, floods, epidemics, quarantine restrictions, sanctioned strikes, freight embargoes, unusually severe weather, or delays of Subcontractors or Suppliers arising from unforeseeable causes beyond the control and without the fault or negligence of both Contractor and such Subcontractors or Suppliers.

2. Delays in progress due to an act of neglect by Owner only for the amount of delay time that occurs after Contractor has notified Owner in writing and the Owner has had a reasonable time to respond to the notification.

3. An Approved Change Order that extends the Contract Time.

B. Within twenty-four (24) hours from the beginning of any critical path delay to the current updated Schedule, Contractor must notify Owner in writing of the causes of delay.

C. Within ten (10) working days from the end of any critical path delay to the Schedule, Contractor must submit two (2) hard copies and electronic data files of all supporting information to validate the impact of the delay on the Contract Time.

D. The Owner will ascertain the facts and the extent of the delay and adjust the Contract Time for completing the Work when, in Owner's judgment, the facts justify an adjustment. Owner's determination is final and conclusive.

- E. Delay and Contractor's entitlement for delay will be determined as follows:
  - 1. The following definitions apply to a Delay and Time Extension:

a. *"Excusable Delay"* means any delay in the Work caused by conditions beyond the control and without the fault or negligence of the Contractor.

b. *"Excusable Non-Compensable Delay"* means any Excusable Delay not solely the responsibility of the Contractor, such as, earthquake, fire, flood, and inclement weather conditions that caused a delay of Work on the critical path of the Schedule. The financial inability of the Contractor or any Subcontractor, Subsubcontractor or Supplier, or the default of any Subcontractor, Subsubcontractor or Supplier is not a condition beyond the Contractor's control. An Excusable Non-Compensable Delay may entitle the Contractor to an extension of the Contract Time, but will not entitle the Contractor to any adjustment of the Contract Sum.

c. *"Excusable Compensable Delay"* means any Excusable Delay caused by a delay of the Work on the critical path of the Schedule for which the Owner is solely responsible and which delay is unreasonable given the circumstances and not within the contemplation of the parties. An Excusable Compensable Delay may entitle the Contractor to an extension of the Contract Time and an adjustment of the Contract Sum.

d. "*Non-Excusable Delay*" means any delay in the Work resulting from causes within the control of the Contractor or due to the fault or negligence of the Contractor or its Subcontractors or Suppliers. A Non-excusable Delay shall not entitle the Contractor to an extension of the Contract Time or an adjustment of the Contract Sum.

2. Whenever the Contractor foresees any delay in the prosecution of the Work, the Contractor must notify the Owner in writing of the potential delay. Such notification must specify with detail the cause asserted by the Contractor for the potential delay and provide a description of the anticipated effect of the potential delay on the most recent updated Schedule including identification of the activity numbers of the affected activities. Failure of the Contractor to submit such a notice after recognition of any incident or event giving rise to the potential delay will constitute a waiver by the Contractor of any request for extension of the Contract Time, and no extension of the Contract Time will be granted as a consequence of such delay.

3. Within twenty-four (24) hours from the beginning of any critical path delay to the Progress Schedule, Contractor must submit written notice to the Owner of the delay. The notice must include identification of the affected activities, evidence of the cause of the delay, and within ten (10) working days of the end of the critical path delay, Contractor must submit a Time Impact Analysis per F. Time Impact Analysis.

4. Owner has no obligation to consider any request for extension to the Contract Time unless the Contractor satisfies the requirements set forth in the Contract Documents for providing notice of potential delay and submission of a Time Impact Analysis establishing the impact of the delay on the critical path of the latest Progress Schedule.

5. Owner is not responsible to the Contractor for any constructive acceleration due to Contractor's failure to comply with the submission and justification requirements of the Contract Documents for Contract Time extension requests. The Contractor's failure to perform in accordance with the latest Progress Schedule shall not be excused because the Contractor has submitted Contract Time extension requests, unless and until Owner approves such requests.

6. Extension to the Contract Time will not be allowed for delays on paths of activities containing Total Float Time per the latest Progress Schedule, providing such delay does not exceed the Total Float Time(s) on paths of activities on the latest Progress Schedule.

7. Any extension of Contract Time granted the Contractor pursuant to this section, does not constitute a waiver by Owner of, nor a release of the Contractor from, the Contractor's obligation to perform the Work within the Contract Time specified by the Contract Documents, as modified by the particular extension in question. Owner's decision to grant an extension of the Contract Time due to one circumstance set forth in one request, shall not be construed as a grant of an extension for any other circumstance or the same circumstance occurring at some other time, and shall not be viewed by the Contractor as a precedent for any other request for extension of the Contract Time.

8. If Owner orders the Contractor to suspend Work pursuant to the Contract Documents, the Contractor will not be entitled to any extension of the Contract Time, damages resulting from the suspension, unless the Contractor can establish that the suspension was Ordered without reasonable justification.

# F. Time Impact Analysis

1. The time impact analysis must provide information justifying the request for extension of the Contract Time and stating the extent of the adjustment requested for the alleged delay. Time impact analysis must be in form and content acceptable to the Owner and include, but not be limited to, the following:

a. Time impact analyses must be based on analyzing the Progress Schedule in effect at the time the alleged delay or impact first occurred.

b. The Contractor must present fragmentary Critical Path Method (CPM) type network windows (fragments) in time scaled precedent format, illustrating how Contractor proposes to incorporate the alleged delay into the Progress Schedule in effect at the time the alleged delay or impact first occurred.

c. The Contractor must identify the activities that are proposed to be amended due to the alleged delay.

d. The Contractor must identify the preceding and succeeding activities in the Official Progress Schedule to which the fragment(s) is to be connected.

# H. Concurrent Delays

1. If an Excusable Non-Compensable Delay and an Excusable Compensable Delay operate to concurrently delay completion of the Work, the maximum extension of the Contract Time will be the number of Calendar Days from the commencement of the first delay to the cessation of the delay which ends last. Any adjustment of the Contract Sum will be in accordance with changes in the Work, and will be based only on the number of days of Excusable Compensable Delay, less the duration of the concurrence.

2. If a Non-Excusable Delay operates to concurrently delay completion of the Work with an Excusable Non-Compensable Delay, the maximum extension of the Contract Time will be the number of days of concurrent delay plus the non-concurrent portion of the Excusable Non-Compensable Delay. The entire delay is non-compensable.

3. If a Non-Excusable Delay operates to concurrently delay completion of the Work with an Excusable Compensable Delay the maximum extension of the Contract Time will be the number of days of concurrent delay plus the non-concurrent portion of the Excusable Compensable Delay. Any adjustment of the Contract Sum will be in accordance with changes in the Work, and will be based only on the non-concurrent portion of the Excusable Compensable Delay. 4. Where the period of concurrent delay is sixty (60) calendar days or longer, the Owner will pay 50% of labor and material cost escalations experienced as a result of the concurrent delay following Contractor's demonstration of the cost escalations to the reasonable satisfaction of Owner.

### 8 PAYMENTS 8.1 CONTRACT AMOUNT

The Contract Amount as stated in the Agreement, including adjustments authorized under the terms of the Contract, is the total amount payable by the Owner to the Contractor for the complete Work.

# 8.2 CONTRACT AMOUNT BREAKDOWN – SCHEDULE OF VALUES

The Contractor shall, before the first application for payment, submit to the Architect and the Owner a Schedule of Values document which is a dollar value amount breakdown for the entire scope the Work of the Contract divided into categories so as to facilitate certification of completed Work for payment. The Schedule of Values shall be in such form as may be agreed upon by the parties and supported by such evidence as to its correctness that may allow the Architect and/or Owner's Representative to certify progress payments corresponding to the percentage of completed Work.

# 8.3 PROGRESS PAYMENTS

- A. The Owner shall make progress payments to the Contractor for labor and materials incorporated into the Work as called for by the Contract Documents and approved Change Orders. Not more often than once each month and on a day of each month agreed upon between the Owner and the Contractor, the Contractor shall submit to the Owner and the Architect, through the Owner's Representative, an application for payment consisting of a Certificate of Payment, a calculation of completed Work based on the approved payment breakdown and, if required by Owner, receipts, releases, or other evidence showing the Contractor's payments for materials, labor, Subcontractors, and any such information as the Owner may require. Payment shall not be owed if the application does not conform to these requirements.
- B. Payment for materials stored on site which have not been permanently incorporated into the Work is at the discretion of the Owner. Payment for materials stored off-site, whether or not specially fabricated for the Project, can be made only when payment for such materials has been previously approved by the Owner and shown on the approved payment breakdown and such payment shall be conditional upon submission by the Contractor of a Bill of Sale in a form acceptable to the Owner or other such evidence as is required by the Owner to establish the Owner's title to such material. All materials stored off-site shall be stored in a bonded warehouse at no additional expense to the Owner.

- C. The Contractor shall present the application for payment, as required herein, to the Architect for approval using the Owner's previously approved Schedule of Values. The Architect will review and adjust the Certificate of Payment to such amount as he decides is properly due and deliver it to the Owner for payment.
- D. The Owner will retain five (5) percent of the amount of each payment due the Contractor until after the date of Owner's Certificate of Project Completion has been accepted by the County.
- E. No Certificate of Payment issued nor payment made to the Contractor nor partial or entire use of occupancy of the Work by the Owner shall be an acceptance of any Work not in accordance with the Contract Documents.
- F. The Contractor shall not assign any monies due or to become due hereunder without the written consent of the Owner and of all sureties executing bonds on behalf of the Contractor in connection with this Contract.

# 8.4 OWNER'S FAILURE TO ISSUE PAYMENT

Should the County fail to issue a progress payment to the Contractor for properly submitted, undisputed and approved amounts owed under the Contract within 30 calendar days, then the Owner shall pay interest to the Contractor equivalent to the legal rate set forth in subdivision (a) of Section 685.010 of the Code of Civil Procedure. Refer to the California Public Contract Code, Division 2, Part 2, Chapter 1, Article 8, Section 102.5 and other applicable sections. Contractor may, upon written notice to the Owner and provided the Owner does not pay the Contractor per the terms agreed to between the Owner and Contractor, stop Work only until Contractor receives the progress payment amount owed.

# 8.5 PAYMENTS WITHHELD

- A. The Owner may withhold payment, on account of subsequently discovered information, nullify the whole or a part of any progress payment or retention payment to such extent as may be necessary to protect the Owner from loss on account of:
  - 1. Defective Work.
  - 2. Third party claims or reasonable evidence indicating probable filing of thirdparty claims.
  - 3. Failure of the Contractor to make payments to Subcontractors or for material, labor or equipment.
  - 4. The Owner's doubt that the Work can be completed for the unpaid portion of the Contract Amount.

- 5. Damage to another contractor's work.
- 6. Damage to Owner's property.
- 7. Failure to pay fees in accordance with the Contract Documents.
- 8. Owner's cost of correcting deficiencies in the Work or undertaking any Work.
- 9. Liquidated damages or anticipated liquidated damages.
- 10. Any amount owed to Owner or claimed by Owner.
- 11. Contractor's failure to deliver as-built drawings, guarantees, operating manuals or other documents.
- 12 Failure by Contractor to fulfill any Contract requirement.

### 8.6 FINAL PAYMENT AND RETENTION PAYMENT

- A. The final payment shall be the one made in response to the Contractor's one hundred percent (100%) complete application for payment which will bring the total paid to date to the Contractor to ninety-five percent (95%) of the Contract Amount. Contractor's acceptance of the final payment shall constitute a waiver of all claims by Contractor except those previously made in writing.
- B. The Owner is entitled to retain five percent (5%) of the amount of each payment due Contractor, as Retention, until at least sixty (60) calendar days after the date of recording the Notice of Completion, as per California Pubic Contract Code, Division 2, Part 1, Chapter 7, Section 7107.
- C. As a prerequisite to the release of retention, Contractor shall sign a Release of Liens in a form prescribed by Owner.
- D. Contractor shall not be paid interest on retention.

#### 9 INSURANCE 9.1 HOLD HARMLESS/INDEMNIFICATION

A To the full extent permitted by law, CONTRACTOR shall indemnify and save harmless the COUNTY, its officers, employees, and servants from all claims, suits, or actions of every name, kind, and description, brought for, or on account of: (A) injuries to or death of any person, including CONTRACTOR, its officers, employees and servants, or (B) damage to any property of any kind whatsoever and to whomsoever belonging, (C) any sanctions, penalties or claims of damages resulting from CONTRACTOR'S failure to comply with applicable laws, or (D) any other loss or cost resulting from the CONTRACTOR'S negligent or reckless acts or omissions or willful misconduct in connection with the performance of any work required of CONTRACTOR or payments made pursuant to this Agreement, provided that this shall not apply to injuries or damage for which the COUNTY has been found in a court of competent jurisdiction to be solely liable by reason of its own negligence or willful misconduct.

- B The duty of CONTRACTOR to indemnify and save harmless as set forth herein, shall include the duty to defend as set forth in Section 2778 of the California Civil Code.
- C. The obligations set forth in this section shall continue beyond the term of this Agreement as to any act or omission which occurred during or under this Agreement.

### 9.2 INSURANCE

- A. The Contractor shall not commence Work under this Contract until all required insurance has been obtained and such insurance has been approved by the Owner. The Contractor shall furnish the Owner with Certificates of Insurance evidencing the required coverage, and there shall be a specific contractual liability endorsement extending the Contractor's coverage to include the contractual liability assumed by the Contractor pursuant to this Contract. Certificates of Insurance shall be filed with the Owner within ten (10) calendar days after award of the Contract. These certificates shall specify or be endorsed to provide that thirty (30) calendar days notice must be given, in writing, to the Owner of any pending change in the limits of liability or of any cancellation or modification of the policy.
- B. The Contractor shall have in effect during the entire life of this Contract Workers Compensation and Employers Liability Insurance providing full statutory coverage; and in case any work is sublet, the Contractor shall require all Subcontractors similarly to provide Workers Compensation and Employers Liability Insurance to full statutory limits of the California Labor Code. In signing this Contract, the Contractor makes the following certification, required by Section 1861 of the Labor Code:

"I (Contractor Name/Company), am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for Workers' Compensation or to undertake self-insurance in accordance with the provisions of the Code, and I (Contractor Name/Company) will comply with such provisions before commencing the performance of the work of this Contract".

C. The Contractor shall take out and maintain during the term of this Contract such Bodily Injury Liability and Property Damage Liability Insurance as shall protect Contractor and any Subcontractor performing Work covered by this Contract, from any and all Claims for damages for bodily injury, including accidental death, as well as any and all Claims for property damage including third party property damage to include coverage on property in the care, custody and control of the Contractor, which may arise from the Contractor's operations under this Contract, whether such operations be by Contractor or by any Subcontractor or by anyone directly or indirectly employed by either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall not be less than the amount specified below. Such insurance shall include:

- 1. Comprehensive Commercial or General Liability Insurance
  - a. \$1,000,000 Bodily Injury/Property Damage Each Occurrence
  - b. \$2,000,000 Product/Completed Operations Aggregate
  - c. \$2,000,000 General Aggregate
  - d. \$50,000 Fire Damage Legal Liability
  - e. \$5,000 Medical Payments
  - f. Coverage shall include but not be limited to the following supplementary coverages:
    Contractual Liability to cover liability assumed under the Agreement;
    Product and Completed Operations Liability Insurance;
    Broad Form Property Damage Liability Insurance;
    Explosion, collapse and underground hazards (deletion of the X, C, U
    - exclusions) if such exposure exists; and Independent Contractors.
- 2. Motor Vehicle/Automobile Liability Insurance: \$1,000,000 Combined Single Limit.
- 3. Workers' Compensation and Employer's Liability Insurance, Workers' Compensation Insurance Statutory benefits as provided by the California statute and Employer's Liability Limits as follows:
  - a. \$1,000,000 Bodily Injury with Accident Each Accident
  - b. \$1,000,000 Bodily Injury by Disease Policy Limit
  - c. \$1,000,000 Bodily Injury by Disease Each Employee
- D. The Owner and its officers, agents, employees and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that the insurance afforded thereby to the Owner, its officers, agents, employees and servants shall be primary insurance to the full limits of liability of the policy, and that if the Owner or its officers, agents? and employees have other insurance against the loss covered by such a policy, such other insurance shall be excess insurance only.
- E. The Owner shall purchase and maintain at Owner's expense All Risk Property Insurance or Builder's Risk Insurance, excluding Earthquake and Flood coverage, in an amount covering all work and materials in the Contract, including that of Subcontractors, in an amount equal to the Contract Amount including adjustments. Subcontractors shall be included as insureds and the Owner shall be named as a Loss Payee as its interests may appear. Said insurance shall be maintained in complete coverage throughout the duration of the Contract until the one (1) year after the Completion Date of the Project.

#### 9.3 FAILURE TO PROVIDE INSURANCE

If Contractor fails to provide insurance as required herein, the Owner, at its option, may take out and maintain such insurance as the Owner deems in its best interest and charge the cost thereof to the Contractor, which may be at a higher cost.

#### 10 GUARANTEES 10.1 REQUIRED GUARANTEES

- A. In addition to guarantees required elsewhere in the Contract Documents, the Contractor shall guarantee all of the Work, and each Subcontractor shall guarantee his own Work, against defective material or faulty workmanship for a minimum of one (1) year after the date of Substantial Completion. All guarantees must be submitted in triplicate to the Architect on the Contractor's own letterhead in the form prescribed by Owner.
- B. In addition to the requirements of paragraph 10.1.A, all standard manufacturer warranties shall be passed to the Owner which may extend the warranty period beyond one (1) year.
- C. The date of guarantee and all warranties for the Work shall commence upon the Owner's agreed Substantial Completion Date, when the County achieves beneficial use and occupancy of the Project, or phase of the Project.
- D. In addition to the guarantees and warranties required by the Contract Documents, the Owner has all rights and remedies provided by law including those pertaining to latent defects.

# 10.2 REPAIR OF GUARANTEED WORK

- A. If repairs are required in connection with guaranteed Work, the Contractor shall promptly upon receipt of written notice from the Owner, and without expense to the Owner:
  - 1. Place in satisfactory condition in every detail all of such guaranteed Work;
  - 2. Make good all damage to the building, site, equipment, furniture, or contents which, in the opinion of the Owner, is the result of work not in accordance with the terms of the Contract Documents or disturbed in the process of correcting guaranteed Work.
- B. If the Contractor disturbs any work guaranteed under another contract in fulfilling the requirements herein he shall restore such disturbed work to a condition satisfactory to the Owner and guarantee such restored work to the same extent as it was guaranteed under the Contract.

- C. A new full term guarantee period shall apply to repaired work upon completion of repairs.
- D. If Contractor fails to proceed to comply with the terms of the guarantee to make repairs of defective work within seven (7) calendar days of Notice from Owner, the Owner may remedy the Contractor's failure by whatever means the Owner deems expedient. The Owner may, at any time, take measures to mitigate damage or reduce undesirable effects of defective work. All costs expended by Owner pursuant to this Section shall be paid by Contractor.

# END OF DOCUMENT 00 72 13

# DOCUMENT 00 73 36

#### SAN MATEO COUNTY SUPPLEMENTARY GENERAL CONDITIONS EQUAL EMPLOYMENT OPPORTUNITY (EEO) PROGRAM FOR MINORITY EMPLOYMENT

#### 1. STATEMENT OF INTENT

It is the intent of the Board of Supervisors of the County of San Mateo to prohibit and eliminate employment discrimination and to further the opportunities for minority persons to be gainfully employed in the performance of County building contracts. The Bidder's attention is directed to all the provisions set forth herein. The Board of Supervisors has by Ordinance No. 2174 added Title 2, Chapter 2.50 to Division II of the San Mateo County Ordinance Code prohibiting discrimination in employment and providing for an Equal Employment Opportunity Program by Contractors doing business with the County of San Mateo. The following provisions are a part of the contract documents.

#### 2. LOWEST RESPONSIBLE BIDDER

Award of contract to the low bidder shall not be made until the requirements set forth in these Supplementary General Conditions have been complied with and reviewed by the County Compliance Officer and a satisfactory Equal Employment Opportunity Program as submitted by the low bidder has been accepted.

- A. Criteria for Determining Lowest Bidder. Criteria to determine the acceptability of bids on construction contracts requiring public bidding and involving an expenditure of \$6,500 or more shall include but not be limited to the following:
- 1. Criteria of Compliance with Federal and State Laws. Each bidder shall submit with his bid a certification that he is in compliance with the Equal Employment Opportunity Requirement of Executive Order 11246, Title VII of the Civil Rights Act of 1973, the California Fair Employment Practices Act and any other Federal or State Laws and regulations relating to Equal Employment Opportunities and the provisions of this article and the Board established guidelines implementing them. See report form entitled "Certification of Compliance with Laws Prohibiting Discrimination" bound herein after Form of Proposal.
- 2. Certification of Intent to Develop and Implement an Equal Employment Opportunity Program. Each bidder shall submit with his bid a certification that he will develop, implement and maintain, during the course of work concerned, an affirmative action program in employment conducted without regard to race, religion, color, national origin, ancestry, physical or mental disability, or sex of the applicants. With this certification he shall submit any and all information which

may be required by the County in connection with this program. As used in this Article, the term "minority" or "minority group" pertains to Latinos, Asians and Pacific Islanders, African Americans, American Indians, and women (regardless of her race or ethnicity). See report form entitled "Certification of Intent" bound herein after Form of Proposal.

- 3. Compliance by Subcontractors. The provision of this Section apply to any subcontractor engaged by the successful bidder, and each successful bidder shall notify his subcontractors of their obligations under the provisions of this Section.
  - 3. PENALTIES FOR NON-COMPLIANCE WITH THE PROVISIONS OF THIS SECTION
  - A. Any bidder who fails to submit a proposed Equal Employment Opportunity Program or who is unable to make the certifications required in this Section of the Supplementary General Conditions may be disqualified from consideration for the award of the contract.
  - B. If, after an award is made, the Contractor is found by the County or by a Federal or State agency empowered to make such findings to be in substantial or material violation of the Fair Employment Practices Act of the State of California, the Equal Employment Opportunity Requirement of Executive Order 11246, Title VII of the Civil Rights Act of 1964, Section 503 of the Rehabilitation Act of 1973, or of the provisions of this Section, he may be found to be in material breach of his contract, and the County shall have the power to cancel the contract in whole or in part, or alternatively, to deduct for each working day during which the Contractor is found to have been in such non-compliance, two (2) percent of the total amount payable to the Contractor.

# 4. WAIVER OF COMPLIANCE

In the event that the requirements of this ordinance are found to work an undue hardship upon a low bidder, said bidder shall submit evidence of such hardship to the Board of Supervisors and shall petition the Board for a waiver of these requirements. This waiver shall only be granted by the Board of Supervisors and shall become an integral part of the contract.

- 5. DEFINITIONS
  - A. Equal Employment Opportunity Program. Equal Employment Opportunity Program is a set of specific and result oriented procedures to which a Contractor commits himself in order to achieve equal employment opportunity.
B. Compliance Officer. A Compliance Officer is the County official designated by the County Manager to represent him in the administration of these guidelines and in the enforcement of the provisions of Title 2, Chapter 2.50 of the County Ordinance Code.

## 6. CERTIFICATION OF COMPLIANCE AND INTENT

Every bidder shall submit with his bid a Certificate of Compliance with laws prohibiting discrimination and a Certification of Intent to implement an equal employment opportunity program on a form furnished by the County, as required by Title 2, Chapter 2.50 of the County Ordinance Code.

## 7. EQUAL EMPLOYMENT OPPORTUNITY PROGRAM

In addition to furnishing the Certification of Compliance, each Contractor will submit his Equal Employment Opportunity Program with his bid.

The EEO shall contain the following information:

- A. Analysis of current work force:
  - 1. Total number of employees
  - 2. Numerical racial breakdown of employees by job classification
  - 3. Information on apprentices

These figures will provide the base by which the Contractor's EEO will be evaluated. Factors to be considered both in the original statistics and in any plans for future employment will include the percentage of minority population in San Mateo County, the availability of minority construction workers and the present minority representation in the various construction trades.

- B. The equal employment opportunity actions the Contractor has taken or will take to insure equal employment opportunity. These shall include:
  - 1. Recruiting and hiring minority persons. If non-union personnel are employed this would involve employment advertising through sources which serve areas of minority population. These include local minority newspapers, referral agencies, high schools, vocational schools, and community groups. Specific information on these sources may be obtained from the Compliance Officer. Union employees will be recruited in accordance with applicable labor agreements. The Contractor will seek to have included or will reaffirm clauses in all labor agreements prohibiting discrimination based on race, religion, color, national origin, age, ancestry,

physical or mental handicap, or sex. Assistance for admission into the craft of minorities over the traditional apprenticeship age is also suggested. The Contractor will support Bay Area Construction Opportunity Program or similar groups as recruiting sources and will urge all labor organizations with which he has agreements to use BACOP.

- 2. Providing adequate opportunity for the upgrading or further training of all employees to insure equal opportunity in advancement and promotion. This might include a counseling service, information and assistance with night classes, or special career-directed program information.
- 3. Appointing an Equal Employment Opportunity Coordinator full time or as an additional duty. He will have the responsibility of administering an active program, informing company personnel and union representatives of this company policy and advising all subcontractors of their obligation to this program.
- 4. Establishing or maintaining an apprenticeship or training program designed to insure hiring of additional minority employees in the journeyman or skilled classes, if possible. The Contractor is urged to support the Joint Apprenticeship Committee on this trade.
- 5. Selecting minority subcontractor or subcontractors who are known for their ongoing program of apprenticeship for minorities. This includes advising minority contractor associations of bids for subcontractors. Joint ventures with minority subcontractors are encouraged.
- C. The EEO should state any previous experience the Contractor has had with similar plans and result of that effort. Any current equal employment opportunity plans should be described in detail and a copy attached, if printed plan is available. The Compliance Office will review the EEO submitted by each bidder in order to determine whether the program submitted complies with Title 2, Chapter 2.50 of the County Ordinance Code and these guidelines.

The EEO as submitted will be kept on file by the Compliance Officer. If the Contractor bids for other county contracts, he may refer to the EEO on file and state any changes, but will not be required to refile his program.

The Compliance Officer may request additional information from the bidder and will be available to answer questions relative to the guidelines and to advise those seeking assistance of resources known to him. He will not be responsible for the service or lack of service rendered by the resources recommended, nor will he develop an EEO for any bidder, or serve as a recruiter for any bidder.

Bidders may revise their EEO after consultation prior to award of contract. Deficiencies will be discussed and appropriate remedies suggested. If bidders withdraw their EEO for revision, their revised program must be submitted by a date established by the Compliance Officer.

The Compliance Officer will determine whether the low bidder's EEO is acceptable and will report to the appropriate county department. The EEO's of each subcontractor of the low bidder will also be evaluated by the Compliance Officer.

# 8. INCLUSION OF EEO AND CERTIFICATIONS

Upon award of the contract by the Board of Supervisors, the EEO and Certifications for the prime contractor and all subcontractors, which have been approved and accepted by the County, will become an integral part of the contract and subject to the provisions thereof.

# 9. PERFORMANCE OF CONTRACTOR

- A. The Contractor will post, in conspicuous places available to employees and applicants for employment, notices to be provided by the County, stating that the Contractor is obliged to comply with the provisions of these guidelines and Title 2, Chapter 2.50 of the County Ordinance Code. These notices will also be sent to all union and employee organizations and other recruiting sources providing employees to the Contractor.
- B. All announcements of job openings will include the statement: "An Equal Opportunity Employer".
- C. The Contractor will make written Progress Reports on a form provided by the County to illustrate the effectiveness of his EEO at intervals established by the County.
- D. The Compliance Officer will monitor the performance of the EEO until completion of the contract and will report the progress of the Contractor in living up to his EEO to the County Manager.
- E. The Contractor shall permit, during Contractor's normal business hours and at Contractor's place of business, access by the County to his records of employment, employment advertisements, application forms and other data and records pertaining to Contractor's employment practices, for the purpose of determining whether Contractor is complying with the Non-Discrimination and Equal Employment Opportunity rules of the County.

# 10. PERFORMANCE OF SUBCONTRACTORS

- A. All subcontractors listed in a general Contractor's bid are subject to all the provisions of these guidelines and Title 2, Chapter 2.50 of the County Ordinance Code.
- B. All subcontractors will file their Certifications of Compliance and Intent and their EEO with the Equal Employment Coordinator of the prime Contractor for transmittal to the County, after award of the contract has been made.

# END OF DOCUMENT 00 73 36

# **DOCUMENT 00 73 73**

# SUPPLEMENTARY CONDITIONS

#### COUNTY OF SAN MATEO EQUAL BENEFITS COMPLIANCE ORDINANCE NO. 4324, CHAPTER 2.84

#### 2.84.010 Definitions

For the purposes of this chapter:

(a) "Contract" means a legal agreement between the County and a Contractor for public works, consulting, or other services, or for purchase of supplies, material or equipment for which the consideration is in excess of \$5,000.

(b) "Contractor" means a party who enters into a Contract with the County.

(c) "Contract Awarding Authority" means the Board of Supervisors or the individual authorized by the Board of Supervisors to enter into Contracts on behalf of the County.

(d) "Domestic Partner" means any person who is registered as a domestic partner with the Secretary of State, State of California registry or the registry of the state in which the employee is a resident.

(e) "Employee Benefits" means the provision of any benefit other than pension and retirement benefits provided to spouses of employees or provided to an employee on account of the employee's having a spouse, including but not limited to bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; vacation; travel benefits; and any other benefits given to employees, provided that it does not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state law. (Ord. 4324, 08/15/06)

2.84.020 Discrimination in the provision of benefits prohibited

(a) No Contractor on a County Contract shall discriminate in the provision of Employee Benefits between an employee with a domestic partner and an employee with a spouse, subject to the following conditions:

1. In the event that the Contractor's actual cost of providing a particular benefit for the domestic partner of an employee exceeds that of providing it for the spouse of an employee, or the Contractor's actual cost of providing a particular benefit to the spouse of an employee exceeds that of providing it for the domestic partner of an employee, the Contractor shall not be deemed to discriminate in the provision of Employee Benefits if the Contractor conditions providing such benefit upon the employee's agreement to pay the excess costs. 2. The Contractor shall not be deemed to discriminate in the provision of Employee Benefits if, despite taking reasonable measures to do so, the Contractor is unable to extend a particular employee benefit to domestic partners, so long as the Contractor provides the employee with a cash payment equal to the Contractor's cost of providing the benefit to an employee's spouse.

(b) The Board of Supervisors may waive the requirements of this Chapter when it determines that it is in the best interests of the County. The County Manager may waive the requirements of this chapter for Contracts not needing the approval of the Board of Supervisors where waiver would be in the best interests of the County for such reasons as follows:

1. Award of a Contract or amendment is necessary to respond to an emergency;

2. The Contractor is a sole source;

3. No compliant Contractors are capable of providing goods or services that respond to the County's requirements;

4. The requirements are inconsistent with a grant, subvention or agreement with a public agency;

5. The County is purchasing through a cooperative or joint purchasing agreement.

(c) Contractors should submit requests for waivers of the terms of this Chapter to the Contract Awarding Authority for that Contract, or in the case of Contracts approved by the Board, the County Manager.

(d) The Contract Awarding Authority, or in the case of Contracts approved by the Board, the County Manager, may reject an entity's bid or proposals, or terminate a Contract, if the Contract Awarding Authority determines that the entity was set up, or is being used, for the purpose of evading the intent of this Chapter.

(e) No Contract Awarding Authority shall execute a Contract with a Contractor unless such Contractor has agreed that the Contractor will not discriminate in the provision of Employee Benefits as provided for in this Chapter. (Ord. 4324, 08/15/06)

# 2.84.030 Application of Chapter

The requirements of this Chapter shall only apply to those portions of a Contractor's operations that occur (a) within the County; (b) on real property outside of the County if the property is owned by the County or if the County has a right to occupy the property, and if the Contractor's presence at that location is connected to a Contract with the County; and (c) elsewhere in the United States where work related to a County Contract is being performed. The requirements of this Chapter shall not apply to subcontracts or subcontractors of any contract or Contractor. (Ord. 4324, 08/15/06)

## 2.84.040 Powers and duties of the County Manager

The County Manager's office shall have the authority to:

(a) Adopt rules and regulations, in accordance with this Chapter and the Ordinance Code of the County of San Mateo, establishing standards and procedures for effectively carrying out this Chapter.

(b) Receive notification from employees of Contractors regarding violations of this Chapter.

(c) Determine and recommend to the Board of Supervisors for final decision the imposition of appropriate sanctions for violation of this Chapter by Contractors including, but not limited to:

1. Disqualification of the Contractor from bidding on or being awarded a County contract for a period of up to 5 years; and;

2. Contractual remedies, including, but not limited to termination of contract;

3. Liquidated damages in the amount of \$2,500;

(d) Examine Contractors' benefit programs covered by this chapter;

(e) Impose other appropriate contractual and civil remedies and sanctions for violations of this chapter;

(f) Allow for remedial action after a finding of non-compliance, as specified by rule;

(g) Perform such other duties as may be required or which are necessary to implement the purposes of this Chapter. (Ord. 4324, 08/15/06)

## 2.84.050 Date of Application

The provisions of this Chapter shall apply to any Contract awarded or amended on or after July 01, 2001, provided that if the Contractor is then signatory to a collective bargaining agreement, this Chapter shall only apply to any Contract with that Contractor which is awarded or amended after the effective date of the next collective bargaining agreement. (Ord. 4324, 08/15/06)

# END OF DOCUMENT 00 72 73

# **SECTION 01 10 00**

# **PROJECT SUMMARY**

#### General

## 1.01 Summary

- A. This Section includes the following:
  - 1. Project Information.
  - 2. Work covered by the Contract Documents.
  - 3. Work phases.
  - 4. Work under separate contracts.
  - 5. Use of premises.
  - 6. Owner's occupancy requirements.
  - 7. Specification formats and conventions.

## 1.02 Work covered by contract documents

- A. Project Identification: County of San Mateo: Maple Street Correctional Facility
- B. Project Location
   1300 Maple Street
   Redwood City California, 94063
- C. Owner County of San Mateo
- D. Owner's Representative:

Suna Yatagama, Energy Program Manager County of San Mateo, Department of Public Works 555 County Center Redwood City, CA 94603

## E. Architect:

Bartos Architecture, Inc. Mark Bartos, Architect 300 8<sup>th</sup> Avenue, Suite 202 San Mateo, CA 94401

- F. The Work consists of the following:
  - Construction of a solar shade structure at the San Mateo County Correctional Facility located at 300 Maple Street in Redwood City. This is a site built – shade structure over an existing parking lot. The work includes Steel Structure, Foundations, Solar Racking system, Solar panels, Inverters, and connection to existing power system. Paving and striping is also included. Refer to title sheet and all drawings and project manual for additional scope.

## 1.03 Work under other contracts

A. General: Cooperate fully with separate contractors so work on those contracts may be carried out smoothly, without interfering with or delaying work under this Contract. Coordinate the Work of this Contract with work performed under separate contracts.

#### 1.04 Use of premises

- A. General: Contractor shall have full use of premises for construction operations, including use of Project site, during construction period. Contractor's use of premises is limited only by Owner's right to perform work or to retain other contractors on portions of Project.
- B. General: Contractor shall have limited use of premises for construction operations as indicated on the Contract Drawings.
- C. Use of Site: Limit use of premises to areas within the Contract limits indicated. Do not disturb portions of Project site beyond areas in which the Work is indicated.
  - 1. Limits: Confine constructions operations to boundaries as indicated on site plan, within the property line.
  - 2. Sidewalks, Driveways and Entrances: Keep sidewalks, driveways and entrances serving premises and public use areas available to the General Public, Owner, Owner's employees, and emergency vehicles at all times. Do not use these areas for parking or storage of materials.
    - (a) Schedule deliveries to minimize space and time requirements for storage of materials and equipment on-site.
    - (b) Coordinate all project related access with County Representative who will provide interface with Correctional Center site staff. Contractor shall follow all site security protocol required by the Sheriff's office- via the County's Representative.

#### 1.05 Owner's occupancy requirements

- A. Owner Occupancy: Owner <u>will vacate</u> the premises prior to Notice to Proceed to the start of construction and during the entire construction period.
- B. Site is a working Correctional Facility and Contractor shall follow any and all requirements necessary for the secure functioning of the facility.

#### 1.06 Work restrictions

- A. Nonsmoking Building: Smoking is not permitted within the building or within 25 feet (7.6 m) of entrances, operable windows, or outdoor air intakes.
- B. Smoking, including vaping, is not permitted in any areas of the work zone.

#### 1.07 Specification formats and conventions

- A. Specification Format: The Specifications are organized into Divisions and Sections using the CSI/CSC's "Master Format" numbering system.
  - 1. Division 01: Sections in Division 01 govern the execution of the Work of all Sections in the Specifications.
- B. Specification Content: The Specifications use certain conventions for the style of language and the intended meaning of certain terms, words, and phrases when used in particular situations. These conventions are as follows:
  - 1. Abbreviated Language: Language used in the Specifications and other Contract Documents is abbreviated. Words and meanings shall be interpreted as appropriate. Words implied, but not stated, shall be inferred as the sense requires. Singular words shall be interpreted as plural and plural words shall be interpreted as singular where applicable as the context of the Contract Documents indicates.
  - Imperative mood and streamlined language are generally used in the Specifications. Requirements expressed in the imperative mood are to be performed by Contractor. Occasionally, the indicative or subjunctive mood may be used in the Section Text for clarity to describe responsibilities that must be fulfilled indirectly by Contractor or by others when so noted.
    - (a) The words "shall," "shall be," or "shall comply with," depending on the context, are implied where a colon (:) is used within a sentence or phrase.

# END OF SECTION 01 00 00

County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010

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# SECTION 01 25 13

# SUBSTITUTIONS

#### Part 1. General

#### 1.01 Related documents

A. Drawings and general provisions of Contract, including General Conditions and Supplemental General Conditions and other Division 01 General Requirements, apply to this Section.

#### 1.02 Summary

- A. This Section specifies administrative and procedural requirements for handling requests for Substitutions.
- B. Pre-bid substitutions will not be considered. No Substitution request will be accepted or considered by County prior to bid.
- C. Procedural requirements governing the Contractor's selection of products and product options are included under General Requirements - Product Requirements.

#### 1.03 Definitions

- A. Definitions used in this Section are not intended to change or modify the meaning of other terms used in the Contract Documents.
- B. Substitutions: Requests for changes in products, materials, equipment, and methods of construction required by Contract Documents are considered requests for "substitutions." Where phrases such as "or equal" or "or equal as approved by the Architect" occurs in the Contract Documents, do not assume that materials or equipment will be approved as equal unless the item has been specifically approved for this work by the Architect prior to Bid Opening Date, or as otherwise allowed in these Contract Documents.
- C. If the Contractor desires to use material or equipment other than that specified, they shall submit a request for approval of such substitution, in writing, to the Architect.

#### 1.04 Submittals

- A. If the Contractor desires to use material or equipment other than that specified, they shall submit a request for approval of such substitution, in writing, to the Architect. Product Substitutions for all Specification Sections must be requested within the time period specified in the general conditions. Substitution Request Form: Use CSI Form 13.1A.
- B. Submit Substitution Request packages using the form provided and, in a quantity, to be returned to the Contractor plus four (4) copies of each request for substitution for review by the Architect.
- C. Identify the product, or the fabrication or installation method to be replaced in each request. Include related Specification Section and Drawing numbers. Provide complete documentation showing compliance with the requirements for substitutions, and the following information, as appropriate:

- 1. Product Data, including Drawings and descriptions of products, fabrication and installation procedures.
- 2. Samples where applicable or requested.
- 3. A detailed, side-by-side comparison of the significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include but is not necessarily limited to elements such as size, weight, durability, performance and visual effect.
- 4. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by the Owner and separate Contractors will become necessary to accommodate the proposed substitution.
- 5. A statement indicating the substitution's effect on the Contractor's Construction Schedule compared to the schedule without approval of the substitution. Indicate the effect of the proposed substitution on overall Contract Time.
- 6. Cost information, including a proposal of the net change, if any, in the Contract Sum.
- 7. Certification by the contractor that the substitution proposed is equal-to or better in every significant respect to that required by the contract documents, and that it will perform adequately in the application indicated. Include the Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of the failure of the substitution to perform adequately.
- 8. The Contractor warrants that they have investigated the proposed product and determined that it is equal to or superior in all respects to that indicated or specified.
- 9. The Contractor waives claim for additional costs and time associated with the proposed product, which may subsequently become apparent.
- 10. The Contractor shall provide a signed statement that the proposed product is in full compliance with the Contract Documents, and applicable regulatory requirements, requires no changes to specified controls and monitoring systems that may be specified in other Sections, and Certify that the Contractor will be responsible for coordination at no additional expense to the Owner
- 11. The Contractor shall provide information on availability of maintenance service, and source of replacement materials, and provide a sample of Manufacturer's standard form of guarantee or warranty for proposed product.

## 1.05 Architect's action

- A. Within ten (10) days of receipt of the request, the Architect will notify the Contractor of acceptance or rejection of the proposed substitution. The Architect at their sole discretion will determine the acceptability of proposed products and their determination shall be final. If a decision on use of a proposed substitution cannot be made or obtained within the time allocated, use the product specified by name in the Contract Documents.
- B. No consideration will be given to a substitute product unless, in the Architect's judgment, it complies with the following conditions.

- 1. Substitution Request is complete.
- 2. It is equal in quality, performance and serviceability.
- 3. Its use does not entail changes in details or related construction.
- 4. It is acceptable in regards to design and aesthetic effect.
- 5. There is a cost and/or time advantage to the Owner.
- C. Acceptance of a product shall not relieve the Contractor from responsibility for the proper execution of the Work and any other requirements of the Contract Documents.
- D. If a proposed product is not accepted, use the product originally specified or indicated in the Contract Documents.
- E. No products other than those indicated or specified in the Contract Documents shall be purchased or incorporated in the Work without the Architect's prior written acceptance.

#### Part 2. Products

#### 2.01 Substitutions

- A. Conditions: The Contractor's substitution request will be received and considered by the Architect when one (1) or more of the following conditions are satisfied, as determined by the Architect; otherwise requests will be returned without action except to record noncompliance with these requirements.
  - 1. Extensive revisions to Contract Documents are not required.
  - 2. Proposed changes are in keeping with the general intent of the Contract Documents.
  - 3. The request is timely, fully documented and properly submitted.
  - 4. The request is directly related to an "or equal" clause or similar language in the Contract Documents.
  - 5. The Specified product or method of construction cannot be provided within the Contract Time. The request will not be considered if the product or method cannot be provided as a result of failure to pursue the Work promptly or coordinate activities properly.
  - 6. The specified product or method of construction cannot receive necessary approval by a governing authority, and the requested substitution can be approved.
  - 7. A substantial advantage is offered the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. Additional responsibilities for the Owner may include additional compensation to the Architect for redesign and evaluation services, increased cost of other construction by the Owner or Separate Contractors, and similar considerations.
  - 8. The specified product or method of construction cannot be provided in a manner that is compatible with other materials, and where the Contractor certifies that the substitution will overcome the incompatibility.
  - 9. The specified product or method of construction cannot be coordinated with other materials, and where the Contractor certifies that the proposed substitution can be coordinated.

- 10. The specified product or method of construction cannot provide a warranty required by the Contract Documents and where the Contractor certifies that the proposed substitution provides the required warranty.
- B. The Contractor's submittal and Architect's acceptance of Shop Drawings, Product Data or Samples that relate to construction activities not complying with the Contract Documents, does not constitute an acceptable or valid request for substitution, nor does it constitute approval.

#### Part 3. Execution (Not Used)

# END OF SECTION 01 25 13

#### County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010



# Part 4. Substitution REQUEST

Project:       Insert project name       Substitution Request Number:       For the Architect to fill in.         Project:       Insert name of Architect, Engineer or GC       Date:       Insert the current date         Aff. Project Number:       Fill in if you know the number       Re:       Substitution request       Contract For:       Insert your contract scope (in general)         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Proposed       Substitution:					(Af	ter the Bidd	ing Phase)
From:       Insert your name and company name         To:       Insert name of Architect, Engineer or GC       Date:       Insert       the       current       date         Re:       Substitution request       Ontreet For:       Insert your contract scope (in general)         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Section:       Copy from spec book       Page:       insert page       Article/Pangraph:       insert para         Proposed       Substitution:	Project: Insert project name		Substitution I	Request Numb	er: <u>For</u>	the Architect	to fill in.
To:       Insert name of Architect, Engineer or GC       Date:       Insert       the       current       date         Re:       Substitution request       Aff: Project Number:       Fill in if you know the number         Re:       Substitution request       Contract For:       Insert scope (in general)         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Section:       Copy from spec book       Page:       insert page       Article/Paragaph:       insert page         Proposed       Substitution:			From:	Insert your	name and con	npany name	
Are Project Number:       Fill in if you know the number         Re:       Substitution request       Contract For:       Insert your contract scope (in general)         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Section:       Copy from spec book       Page:       insert page       Article/Paragraph:       insert page         Proposed       Substitution:	To: Insert name of Architect, Engineer of	or GC	Date:	Inser	t the	current	date
Re:       Substitution request       Contract For:       Insert your contract scope (in general)         Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Section:       Copy from spec book       Page:       insert page       Insert page         Proposed       Substitution:			A/E Project N	Number:	Fill in if you	know the nur	mber
Re:			Contract For:	Insert v	our contract s	cope (in gene	ral)
Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Section:       Copy from spec book       Page:       insert page       Article/Paragraph:       insert para         Proposed       Substitution:	Ke: Substitution request			<u></u>		ope (in gene	)
Specification Title:       Insert spec title from specification book       Description:       Copy section title from specification         Section:       Copy from spec book       Page:       insert page       Article/Paragraph:       insert page         Proposed       Substitution:							
Section:       Copy from spec book       Page:       insert page       Article/Paragraph:       insert para         Proposed       Substitution:	Specification Title: Insert spec title from spec	fication book	Description:	Copy s	ection title fro	m specification	on
Proposed       Substitution:	Section: Copy from spec book		Page:	insert page	Article/Para	igraph:	insert para
	Proposed					Substitution:	
				Manufacture	er:		
Trade Name:	Address:			Phone:	insert plan	t phone n	umber
Installer:       insert subs companyAddress:       insert subs address       Phone:       insert subs phone number         History:       New product       2-5 years old       X = 5-10 years old       More than 10 years old         Differences between proposed substitution and specified product:	Trade Name:			_Model No.:			)
History:  New product  2-5 years old X 5-10 years old  More than 10 years old  Differences between proposed substitution and specified product:  X Point-by-point comparative data attached - REQUIRED BY A/E.  Reason for not providing specified item:  Similar Installation:  Project: List a completed project Architect: Insert name of Architect on completed project Address: Insert project address Owner: Insert name of Owner on completed project Address: Date Installed:  Proposed substitution affects other parts of Work: X No Yes; explain  Savings to Owner for accepting substitution:  No Yes [Add] [Deduct]	Installer: <u>insert subs company</u> Address:	insert subs add	lress	Phone:	insert subs p	hone number	
Differences between proposed substitution and specified product:	History: New product 2-5 years old X	5-10 years old	More tha	in 10 years old			
Similar Installation:         Project:       List a completed project         Address:       Insert project address         Owner:       Insert name of Owner on completed project         Address:       Date Installed:         Proposed substitution affects other parts of Work:       X 🗌 No         Savings to Owner for accepting substitution:	Differences between proposed substitution and speci	fied product.					
X       Point-by-point comparative data attached - REQUIRED BY A/E.         Reason for not providing specified item:							
X Point-by-point comparative data attached - REQUIRED BY A/E.         Reason for not providing specified item:         Similar Installation:         Project:       List a completed project         Architect:       Insert name of Architect on completed project         Address:       Insert project address         Owner:       Insert name of Owner on completed project         Address:       Date Installed:         Proposed substitution affects other parts of Work:       X No         Yes; explain       (\$							
Reason for not providing specified item:	X Point-by-point comparative data attached - REC	QUIRED BY A/E.					
Similar Installation:       Project:       List a completed project       Architect:       Insert name of Architect on completed project         Address:       Insert project address       Owner:       Insert name of Owner on completed project         Address:       Insert project address       Owner:       Insert name of Owner on completed project         Proposed substitution affects other parts of Work:       X 🗌 No       Yes; explain         Savings to Owner for accepting substitution:	Reason for not providing specified item:						
Address:       Insert project address       Owner:       Insert name of Owner on completed project          Date Installed:	Similar Installation: Project: List a completed project	Architect:	Insert nar	ne of Architec	t on completed	l project	
Date Installed:	Address: Insert project address	Owner:	Insert nar	ne of Owner o	n completed p	roject	
Proposed substitution affects other parts of Work:       X □ No □ Yes; explain		Date Instal	lled:				
Savings to Owner for accepting substitution:(\$). Proposed substitution changes Contract Time:  No  Yes [Add] [Deduct]days.	Proposed substitution affects other parts of Work:	X 🗌 No	Yes; explain	1			
Savings to Owner for accepting substitution:       (\$).         Proposed substitution changes Contract Time:       No       Yes [Add] [Deduct]days.							
Proposed substitution changes Contract Time: No Yes [Add] [Deduct]days.	Savings to Owner for accepting substitution:				(\$		<u>)</u> .
	Proposed substitution changes Contract Time:	] No	Yes [Add]	[Deduct]			days.

County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010

Supporting Data Attached:	Drawings	Product Data	Samples		

#### Part 5. SUBSTITUTION

The Undersigned certifies:

- Proposed substitution has been fully investigated and determined to be equal or superior in all respects to specified product.
- Same warranty will be furnished for proposed substitution as for specified product.
- Same maintenance service and source of replacement parts, as applicable, is available.
- Proposed substitution will have no adverse effect on other trades and will not affect or delay progress schedule.
- Cost data as stated above is complete. Claims for additional costs related to accepted substitution which may subsequently become apparent are to be waived.
- Proposed substitution does not affect dimensions and functional clearances.
- Payment will be made for changes to building design, including A/E design, detailing, and construction costs caused by the substitution.
- Coordination, installation, and changes in the Work as necessary for accepted substitution will be complete in all respects.

Submitted	by:	Insert	submitters	name,	normally	project	manager	for
sub	Signed by:				Submitter			to
sign	Firm:							
	Insert Submitters	company						name
	Address:							Insert
	_							
Telephone:	Insert corr	pany phone r	umber_					
	Attachments:							
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99 Canal Center Plaza, Suite 300 Alexandria, VA 22314

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#### **SECTION 01 29 00**

## **PAYMENT PROCEDURES**

#### PART 1 - General

#### 1.01 Summary

A. This Section specifies administrative and procedural requirements necessary to prepare and process Applications for Payment.

#### 1.02 Schedule of Values

- A. Coordination: Coordinate preparation of the Schedule of Values with preparation of Contractor's Construction Schedule.
  - 1. Correlate line items in the Schedule of Values with other required administrative forms and schedules, including; Application for Payment forms with Continuation Sheets, Submittals Schedule and Contractor's Construction Schedule.
  - 2. Submit the Schedule of Values to the Architect at earliest possible date but no later than seven (7) days before the date scheduled for submittal of initial Applications for Payment.
  - 3. Sub schedules: Where the Work is separated into phases requiring separately phased payments, provide sub schedules showing values correlated with each phase of payment.
- B. Format and Content: Use the Project Manual table of contents as a guide to establish line items for the Schedule of Values. Provide at least one-line item for each Specification Section.
  - 1. Identification: Include the following Project identification on the Schedule of Values:
    - (a) Project name and location.
    - (b) Name of Architect.
    - (c) Architect's project number.
    - (d) Contractor's name and address.
    - (e) Date of submittal.
  - 2. Submit draft of AIA Document G703 Continuation Sheets. or approved equivalent.
  - 3. Provide a breakdown of the Contract Sum in enough detail to facilitate continued evaluation of Applications for Payment and progress reports. Coordinate with the Project Manual table of contents. Provide several line items for principal subcontract amounts, where appropriate. (Include separate line items under required principal subcontracts for operation and maintenance manuals, punch list activities, Project Record Documents, and demonstration and training in the amount of 5 percent of the Contract Sum.)

- 4. Round amounts to nearest whole dollar; total shall equal the Contract Sum.
- 5. Provide a separate line item in the Schedule of Values for each part of the Work where Applications for Payment may include materials or equipment purchased or fabricated and stored, but not yet installed.
- 6. Provide separate line items in the Schedule of Values for initial cost of materials, for each subsequent stage of completion, and for total installed value of that part of the Work.
- 7. Allowances: Provide a separate line item in the Schedule of Values for each allowance. Show line-item value of unit-cost allowances, as a product of the unit cost, multiplied by measured quantity. Use information indicated in the Contract Documents to determine quantities.
- 8. Each item in the Schedule of Values and Applications for Payment shall be complete. Include total cost and proportionate share of general overhead and profit for each item.
  - (a) Temporary facilities and other major cost items that are not direct cost of actual work-in-place may be shown either as separate line items in the Schedule of Values or distributed as general overhead expense, at Contractor's option.
- 9. Schedule Updating: Update and resubmit the Schedule of Values before the next Applications for Payment when Change Orders or Construction Change Directives result in a change in the Contract Sum.

# 1.03 Applications for Payment

- A. Each Application for Payment shall be consistent with previous applications and payments as certified by Architect and paid for by Owner.
  - 1. Initial Application for Payment, Application for Payment at time of Substantial Completion, and final Application for Payment involve additional requirements.
- B. Payment Application Times: The date for each progress payment is indicated in the Agreement between Owner and Contractor. The period of construction Work covered by each Application for Payment is the period indicated in the Agreement.
- C. Payment Application Times: Progress payments shall be submitted to County Project Manager by the 25th of the month. Provide a "draft copy" of proposed % complete values for review by the Architect and Owner. The period covered by each Application for Payment is one month, ending on the last day of the month.
- D. Payment Application Forms: Use forms provided by Owner as form for Applications for Payment.

- E. Application Preparation: Complete every entry on form. Notarize and execute by a person authorized to sign legal documents on behalf of Contractor. Architect will return incomplete applications without action.
  - 1. Entries shall match data on the Schedule of Values and Contractor's Construction Schedule. Use updated schedules if revisions were made.
  - 2. Include amounts of Change Orders and Construction Change Directives issued before last day of construction period covered by application.
- F. Transmittal: Upon approval of the "draft copy" by the Architect and Owner, submit a signed and notarized original copy of each Application for Payment to the County Project Manager by a method ensuring receipt (within 24 hours). Submittal shall include waivers of lien and similar attachments if required.
  - 1. Transmit each copy with a transmittal form listing attachments and recording appropriate information about application.
  - Contractor shall submit a Construction Schedule Update (hard copy and electronic copy) in accordance with Section 01 32 16 (Construction Progress Documentation) along with the final copies of each months Application for Payment.
  - Contractor shall submit copies of the current months Photographic Documentation (electronic copy) in accordance with Section 01 32 33 (Photographic Documentation) along with the final copies of each months Application for Payment.
- G. Waivers of Mechanic's Lien: With each Application for Payment, submit waivers of mechanic's lien from every entity who is lawfully entitled to file a mechanic's lien arising out of the Contract and related to the Work covered by the payment.
  - 1. Submit partial waivers on each item for amount requested in previous application, after deduction for retainage, on each item.
  - 2. When an application shows completion of an item, submit final or full waivers.
  - 3. Owner reserves the right to designate which entities involved in the Work must submit waivers.
  - 4. Waiver Forms: Submit waivers of lien on forms, executed in a manner acceptable to Owner.
- H. Initial Application for Payment: Administrative actions and submittals that must precede or coincide with submittal of first Application for Payment include the following:
  - 1. List of subcontractors.
  - 2. Schedule of Values.
  - 3. Contractor's Construction Schedule (preliminary, if not final).
  - 4. Schedule of unit prices.
  - 5. Submittals Schedule (preliminary, if not final).

- 6. List of Contractor's staff assignments.
- 7. List of Contractor's principal consultants.
- 8. Copies of building permits.
- 9. Copies of authorizations and licenses from authorities having jurisdiction for performance of the Work.
- 10. Initial progress report.
- 11. Report of preconstruction conference.
- 12. Certificates of insurance and insurance policies.
- I. Application for Payment at Substantial Completion: After issuing the Certificate of Substantial Completion, submit an Application for Payment showing 100 percent (100%) completion for portion of the Work claimed as substantially complete.
  - 1. Include documentation supporting claim that the Work is substantially complete and a statement showing an accounting of changes to the Contract Sum.
  - 2. This application shall reflect Certificates of Partial Substantial Completion issued previously for Owner occupancy of designated portions of the Work.
- J. Final Payment Application: Submit final Application for Payment with releases and supporting documentation not previously submitted and accepted, including, but not limited, to the following:
  - 1. Evidence of completion of Project closeout requirements.
  - 2. Insurance certificates for products and completed operations where required and proof that taxes, fees, and similar obligations were paid.
  - 3. Updated final statement, accounting for final changes to the Contract Sum.
  - 4. AIA Document G706-1994, "Contractor's Affidavit of Payment of Debts and Claims."
  - 5. AIA Document G706A-1994, "Contractor's Affidavit of Release of Liens."
  - 6. AIA Document G707-1994, "Consent of Surety to Final Payment."
  - 7. Evidence that claims have been settled.
  - 8. Final meter readings for utilities, a measured record of stored fuel, and similar data as of date of Substantial Completion or when Owner took possession of and assumed responsibility for corresponding elements of the Work.
  - 9. Final, liquidated damages settlement statement.

#### PART 2 - Products (Not Used)

## PART 3 - Execution (Not Used)

## END OF SECTION 01 29 00

# SECTION 01 32 16

## CONSTRUCTION PROGRESS DOCUMENTATION

#### PART 1 - General

#### 1.01 Related Documents and Provisions

All Contract Documents should be reviewed for applicable provisions related to the provisions in this document, including without limitation:

- A. General Conditions;
- B. Special Conditions;
- C. Summary of Work; and
- D. Submittals.

## 1.02 Section Includes

- A. Scheduling of Work under this Contract shall be performed by Contractor in accordance with requirements of this Section.
  - 1. Development of Project Schedule (including Initial, Baseline, and Progress Schedule). Contractor shall employ computerized Critical Path Method ("CPM") scheduling ("CPM Schedule").
  - 2. Work Plan Cash Flow of the schedule shall be:
    - (a) Related to the Project Schedule of Values as approved by the Owner.
    - (b) Represent the intended work plan cash-flow.
    - (c) The basis of Earned Value assessment.
  - 3. Submit schedules and reports as specified in the General Conditions.
  - 4. Scheduling best practices identifying technical issues and project float.
- B. Time Impacts including directed scope additions, unexpected critical impacts, inclement weather, and defined liability assignments.
- C. Earned Value Management including schedule health assessment, forecast completion estimation, and schedule efficiency performance indicators.
- D. Monthly Schedule Reporting.

#### 1.03 Qualifications

A. Contractor shall employ experienced scheduling personnel qualified to use the latest version of Primavera P6 Professional or approved equivalent software. Experience level required is set forth below. Contractor may employ such personnel directly or may employ a consultant for this purpose.

- B. Project Scheduler qualifications shall be submitted in writing at the Notice of Intent to Award.
  - 1. The written statement shall identify the individual who will perform CPM scheduling and experience shall be verified by description of construction projects on which individual has successfully applied computerized CPM.
  - 2. Required level of experience shall include at least two (2) projects of similar nature and scope, with a minimum of five (5) years of verifiable experience. The written statement shall provide contact persons for referenced projects with current telephone and address information.
  - 3. Project Scheduler with capability of producing schedule reports and diagrams within 24 hours of Owner's request.
- C. County reserves the right to approve or reject Contractor's scheduler or consultant at any time. County reserves the right to refuse replacing of Contractor's scheduler or consultant, if County believes replacement will negatively affect the scheduling of Work under this Contract.

## 1.04 General

- A. Project Schedule shall be based on and incorporate milestone and completion dates specified in Contract Documents.
- B. Overall time of completion and time of completion for each milestone shown on Project Schedule shall adhere to times in the Contract, unless an earlier (advanced) time of completion is requested by Contractor and agreed to by County. Any such agreement shall be formalized by a Change Order.
  - 1. County is not required to accept an early completion schedule, i.e., one that shows an earlier completion date than the Contract Time.
  - 2. Contractor shall not be entitled to extra compensation in event agreement is reached on an earlier completion schedule and Contractor completes its Work, for whatever reason, beyond completion date shown in its early completion schedule but within the Contract Time.
  - 3. A schedule showing the work completed in less than the Contract Time, and that has been accepted by County, shall be considered to have Project Float. The Project Float is the time between the scheduled completion of the work and the Completion Date. Project Float is a resource available to both County and the Contractor.
- C. Ownership Project Float: Neither the County nor Contractor owns Project Float. The Project owns the Project Float. As such, liability for delay of the Completion Date rests with the party whose actions, last in time, actually cause delay to the Completion Date.

- 1. Float defined as the amount of time between the early start date and the late start date, or the early finish date and the late finish date, of any of the activities in the schedule. Float is not for the exclusive use of or benefit of either the Owner or the Contractor, but its use shall be determined solely by the Owner.
- 2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
- 3. For example, if Party A uses some, but not all of the Project Float and Party B later uses remainder of the Project Float as well as additional time beyond the Project Float, Party B shall be liable for the time that represents a delay to the Completion Date.
- 4. Party A would not be responsible for the time since it did not consume the entire Project Float and additional Project Float remained; therefore, the Completion Date was unaffected by Party A.
- D. The Project Schedule shall be the basis for evaluating job progress, payment requests, and time extension requests. The Contractor is responsible for developing the based on the critical path method (CPM), logical activity duration derivation, using standard scheduling best practices, and logical sequence of execution.
- E. Failure of the Project Schedule to include any element of the Work, or if there are any inaccuracies, will not relieve Contractor from the responsibility of accomplishing the Work in accordance with the Contract. County's acceptance of schedule shall be for its use in monitoring and evaluating job progress, payment requests, and time extension requests and shall not, in any manner, impose a duty of care upon County, or act to relieve Contractor of its responsibility for means and methods of construction.
- F. Recommended scheduling software is the latest version of Primavera P6 or an approved equivalent. Contractor shall transmit contract file to County on USB flash drive or project management system at times requested by County.
- G. Transmit each item under the form approved by County.
  - 1. Identify Project with County Contract number and name of Contractor as well as the data date.
  - 2. Provide space for Contractor's approval stamp and County's review stamps.
  - 3. Submittals received from sources other than Contractor will be returned to the Contractor without County's review.

# 1.05 Initial Schedule (90-day)

A. At the Notice to Proceed, Contractor shall immediately commence development of Initial and Baseline Schedules to ensure compliance with Project Schedule submittal requirements.

- B. Within fourteen (14) calendar days of the Notice to Proceed and before request for first progress payment, the Contractor shall prepare and submit to the Owner an Initial Schedule conforming to, and containing, the milestones required by the Contract Documents.
- C. The Initial Schedule is the basis for the subsequent Baseline Schedule.
- D. Indicate detailed plan for the Work to be completed in first ninety (90) days of the Contract; details of planned mobilization of equipment; sequence of early operations; procurement of materials and equipment. Show Work beyond ninety (90) calendar days in summary form.
- E. Initial Schedule shall be time scaled.
- F. County and Contractor shall meet to review and discuss the Initial Schedule within seven (7) calendar days after it has been submitted to County.
  - 1. County's review and comment on the schedule shall be limited to Contract conformance (with sequencing, coordination, and milestone requirements).
  - 2. Contractor shall make corrections to schedule necessary to comply with Contract requirements and shall adjust schedule to incorporate any missing information requested by County. Contractor shall resubmit Initial Schedule if requested by County.
  - 3. Prescheduling Conference: Conduct conference at Project site to review methods and procedures related to the Preliminary Construction Schedule and Contractor's Construction Schedule, including, but not limited to, the following:
    - (a) Review software limitations and content and format for reports.
    - (b) Verify availability of qualified personnel needed to develop and update schedule.
    - (c) Discuss constraints, including phasing, work stages, area separations, interim milestones, and partial Owner occupancy.
    - (d) Review delivery dates for Owner-furnished products.
    - (e) Review schedule for work of Owner's separate contracts.
    - (f) Review time required for review of submittals and resubmittals.
    - (g) Review requirements for tests and inspections by independent testing and inspecting agencies.
    - (h) Review time required for completion and startup procedures.
    - (i) Review time required for Project closeout and Owner startup procedures, including commissioning activities.
    - (j) Review and finalize list of construction activities to be included in schedule.
    - (k) Review submittal requirements and procedures.
    - (I) Review procedures for updating schedule.

# 1.06 Baseline schedule

- A. Contractor shall, within thirty (30) calendar days from the Notice to Proceed date, submit a detailed proposed Baseline Schedule presenting an orderly and realistic plan for completion of the Work in conformance with requirements as specified herein.
- B. The Baseline Schedule shall include or comply with following requirements:
  - 1. No activity on schedule shall have duration longer than fifteen (15) work days, with exception of submittal, approval, fabrication and procurement activities, unless otherwise approved by County.
    - (a) Activity durations shall be total number of actual work days required to perform that activity.
    - (b) It is recommended activity durations are derived using one of the following best practices methods:
      - (i) Analogous
      - (ii) Parametric
      - (iii) PERT Method
  - 2. Constraints: Should be limited to 'start on or after' or 'finish on or before'.
  - 3. Phasing: Arrange list of activities on schedule by phase.
  - 4. Work under More Than One Contract: Include a separate activity for each contract.
  - 5. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
  - 6. Products Ordered in Advance: Include a separate activity for each product. Delivery dates indicated stipulate the earliest possible delivery date.
  - 7. Owner-Furnished Products: Include a separate activity for each product. Delivery dates indicated stipulate the earliest possible delivery date.
  - 8. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
    - (a) Submittals.
    - (b) Purchases.
    - (c) Mockups.
    - (d) Fabrication.
    - (e) Sample testing.
    - (f) Deliveries.
    - (g) Installation.
    - (h) Tests and inspections.

- (i) Adjusting.
- (j) Curing.
- (k) Building flush-out.
- (I) Startup and placement into final use and operation.
- (m)Commissioning.
- 9. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
  - (a) Structural completion.
  - (b) Permanent space enclosure.
  - (c) Completion of mechanical installation.
  - (d) Completion of electrical installation.
  - (e) Substantial Completion.
- 10. County furnished materials and equipment, if any, identified as separate activities.
- 11. Activities for maintaining Project Record Documents.
- 12. Dependencies (or relationships) between activities.
  - (a) Relationships shall consist of finish-start, finish-finish, and startstart only.
  - (b) Open-end activities should be seen on the Notice to Proceed (NTP) and Final Completion milestones only.
  - (c) Finish-start relationships with positive lag are not allowed.
  - (d) Negative lag is not allowed.
- 13. Processing/approval of submittals and shop drawings for all material and equipment required per the Contract. Activities that are dependent on submittal acceptance or material delivery shall not be scheduled to start earlier than expected acceptance or delivery dates.
  - (a) Include time for submittals, re-submittals and reviews by County. Coordinate with accepted schedule for submission of Shop Drawings, samples, and other submittals.
  - (b) Contractor shall be responsible for all impacts resulting from resubmittal of Shop Drawings and submittals.
  - (c)
- 14. Procurement of major equipment, through receipt and inspection at jobsite, identified as separate activity.
  - (a) Include time for fabrication and delivery of manufactured products for the Work.
  - (b) Show dependencies between procurement and construction.

- 15. Activity description; what Work is to be accomplished avoiding duplicates.
- 16. The Work Plan Cash Flow will provide the cash flow used as the basis for subsequent earned value metric calculation. The total cost of performing each activity shall be total of labor, material, and equipment, as well as overhead and profit of Contractor. Sum of cost for all activities shall equal total Contract value and be correlated with the Schedule of Values.
  - (a) The intent is to identify the monthly cash-flow for the duration of the project.
- 17. Responsibility code for each activity corresponding to Contractor or Subcontractor responsible for performing the Work.
- 18. Identify the activities which constitute the controlling operations or critical path. No more than twenty-five (25%) of the activities shall be critical or near critical. Near critical is defined as float in the range of one (1) to (10) days.
- 19. Twenty (20) working days for developing punch list(s), completion of punch-list items, and final clean-up for the Work or any designated portion thereof. No other activities shall be scheduled during this period.
- 20. Interface with, and coordinate, the work of other contractors, County, and agencies such as, but not limited to, utility companies.
- 21. Show detailed Subcontractor Work activities. In addition, furnish copies of Subcontractor schedules upon which the Project Schedule was built.
  - (a) Also furnish for each Subcontractor, as determined by County, submitted on Subcontractor letterhead, a statement certifying that Subcontractor concurs with Contractor's Baseline Schedule and that Subcontractor's related schedules have been incorporated, including activity duration, cost and resource loading.
  - (b) Subcontractor schedules shall be independently derived and not a copy of Contractor's schedule.
  - (c) In addition to Contractor's schedule, obtain from electrical, mechanical, and plumbing Subcontractors, and other Subcontractors as required by County, productivity calculations common to their trades, such as units per person day, feet of pipe per day per person, feet of wiring per day per person, and similar information.
  - (d) Furnish schedule for Contractor/Subcontractor schedule meetings which shall be held prior to submission of Baseline Schedule to County. County shall be permitted to attend scheduling meetings as an observer.
- 22. Activity durations shall be in Work days.

- 23. Submit with the schedule a list of anticipated non-Work days, such as weekends and holidays. The Project Schedule shall exclude in its Work day calendar all non-Work days on which Contractor anticipates critical Work will not be performed.
- 24. The anticipated days lost due to weather shall be included as a single Adverse Weather Allowance activity with a duration defined in table below prorated for the length of the project and based on NOAA historical data. The duration shall be in working days and be the predecessor to the Substantial Completion milestone on the critical path. Adverse weather day impacts will be managed as prescribed in section 1.12F.

Month	Adverse Weather Allowance (days)
January	6
February	7
March	6
April	4
Мау	1
June	0
July	0
August	0
September	0
October	2
November	4
December	8

- Baseline Schedule Review Meeting: Contractor shall, within fourteen (14) calendar days from the Notice to Proceed date, meet with County to review the Baseline Schedule submittal.
  - 1. Contractor shall have its Project Manager, Project Superintendent, Project Scheduler, and key Subcontractor representatives, as required by County, in attendance. The meeting will take place over a continuous one (1) day period.
  - 2. County's review will be limited to submittal's conformance to Contract requirements including, but not limited to, coordination requirements. However, review may also include:

(a) Clarifications of Contract Requirements.

- (b) Directions to include activities and information missing from submittal.
- (c) Requests to Contractor to clarify its schedule.
- 3. Within seven (7) calendar days of the Schedule Review Meeting, Contractor shall respond in writing to all questions and comments expressed by County at the Meeting.

## 1.07 Baseline Schedule Revisions

- A. Adjustments to Baseline Schedule: Contractor shall have adjusted the Baseline Schedule submittal to address all review comments from Baseline Schedule review meeting and resubmit network diagrams and reports for County's review.
  - 1. County, within fourteen (14) calendar days from date that Contractor submitted the revised schedule, will either:

(a) Accept schedule as submitted, or

- (b) Advise Contractor in writing to review any part or parts of schedule which either do not meet Contract requirements or are unsatisfactory for County to monitor Project's progress, resources, and status or evaluate monthly payment request by Contractor.
- 2. When schedule is accepted, it shall be considered the "Baseline Schedule" which will then be immediately updated to reflect the current status of the work.
- County reserves right to require Contractor to adjust, add to, or clarify any portion of schedule which may later be discovered to be insufficient for monitoring of Work or approval of partial payment requests. No additional compensation will be provided for such adjustments, additions, or clarifications.
- B. Acceptance of Contractor's schedule by County will be based solely upon schedule's compliance with Contract requirements.
  - 1. By way of Contractor assigning activity durations and proposing sequence of Work, Contractor agrees to utilize sufficient and necessary management and other resources to perform work in accordance with the schedule.
  - 2. Upon submittal of schedule update, updated schedule shall be considered "current" Project Schedule.
  - 3. Submission of Contractor's schedule to County shall not relieve Contractor of total responsibility for scheduling, sequencing, and pursuing Work to comply with requirements of Contract Documents, including adverse effects such as delays resulting from ill-timed Work.
- C. Submittal of Baseline Schedule, and subsequent schedule updates, shall be understood to be Contractor's representation that the Schedule

meets requirements of Contract Documents and that Work shall be executed in sequence indicated on the schedule.

D. Contractor shall distribute Baseline Schedule to Subcontractors for review and written acceptance, which shall be noted on Subcontractors' letterheads to Contractor and transmitted to County for the record.

# 1.08 Progress Schedule (monthly schedule update)

- A. Following acceptance of Contractor's Baseline Schedule, Contractor shall monitor progress of Work and adjust schedule on at least a monthly basis to reflect actual progress and any anticipated changes to planned activities.
  - 1. Each schedule update submitted shall be complete, including all information requested for the Baseline Schedule submittal.
  - 2. Each update shall continue to show all work activities including those already completed. These completed activities shall accurately reflect "as built" information by indicating when activities were actually started and completed. The "as-built" activities shall be reviewed and accepted prior to the update schedule review.
- B. A meeting will be held on approximately the twenty-fifth (25th) of each month to review the schedule update submittal and progress payment application.
  - At this meeting, at a minimum, the following items will be reviewed: Percent (%) complete of each activity; Time Impact Evaluations for Change Orders and Time Extension Request; actual and anticipated activity sequence changes; actual and anticipated duration changes; and actual and anticipated Contractor delays
  - 2. These meetings are considered a critical component of overall monthly schedule update submittal and Contractor shall have appropriate personnel attend. At a minimum, these meetings shall be attended by Contractor's General Superintendent and Scheduler.
  - 3. Contractor shall plan on the meeting taking no less than four (4) hours.
- C. Within five (5) working days after monthly schedule update meeting, Contractor shall submit the Progress Schedule.
- D. Within five (5) work days of receipt of above noted revised submittals, County will either accept or reject Progress Schedule.
- E. Neither updating, changing or revising of any report, curve, schedule, or narrative submitted to County by Contractor under this Contract, nor County's review or acceptance of any such report, curve, schedule or narrative shall have the effect of amending or modifying in any way the Completion Date or milestone dates or of modifying or limiting in any way Contractor's obligations

## 1.09 Progress Schedule Review and Revisions

- A. County, within seven (7) days from date that Contractor submitted the schedule update, will either:
  - 1. Accept schedule as submitted, or
  - 2. Advise Contractor in writing to review any part or parts of schedule which either do not meet Contract requirements or are unsatisfactory for County to monitor Project's progress, resources, and status or evaluate monthly payment request by Contractor.
- B. Updating the Project Schedule to reflect actual progress shall not be considered revisions to the Schedule.
- C. To reflect revisions to the Schedule, the Contractor shall provide County with a written narrative with a full description and reasons for each Work activity revised. For revisions affecting the sequence of work, the Contractor shall provide a schedule diagram which compares the original sequence to the revised sequence of work. The Contractor shall provide the written narrative and schedule diagram for revisions two (2) working days in advance of the monthly schedule update meeting.
- D. Schedule revisions shall not be incorporated into any schedule update until the revisions have been reviewed by County. County may request further information and justification for schedule revisions and Contractor shall, within three (3) working days, provide County with a complete written narrative response to County's request.
- E. If the Contractor's revision is still not accepted by County, and the Contractor disagrees with County's position, the Contractor has seven (7) calendar days from receipt of County's letter rejecting the revision to provide a written narrative providing full justification and explanation for the revision. The Contractor's failure to respond in writing within seven (7) calendar days of County's written rejection of a schedule revision shall be contractually interpreted as acceptance of County's position, and the Contractor waives its rights to subsequently dispute or file a claim regarding County's position.
- F. At County's discretion, the Contractor can be required to provide Subcontractor certifications of performance regarding proposed schedule revisions affecting said Subcontractors.

## 1.10 Recovery Schedule

- A. A Recovery Schedule will be submitted when a delay of fourteen (14) calendar days or more to the Final Completion milestone is identified.
- B. The Recovery Schedule is herein defined as the Contractor plan to reconcile current delay days to complete the project on the contract completion date.

# 1.11 Completion Schedule

- A. If schedule performance, estimated through earned value analysis, is forecasting a trending delay of greater than 21 days over 3 or more months, the Contractor and PMCM will meet to discuss remediation through a Completion Schedule.
- B. The Completion Schedule is herein defined as the Contractor plan to establish a project completion date when the current Final Completion date is deemed no longer achievable. When this happens, the Contractor will submit a schedule to complete that demonstrates a new probable project completion (for example, using cash flow analysis).

# 1.12 Project Delays

- A. Time Allowances
  - 1. Time is of the essence. Contract Time may only be changed by Change Order, and all time limits stated in the Contract Documents are to mean that time is of the essence.
- B. Excusable Delay and Inexcusable Delay Defined
  - In the event the Contractor requests an extension of Contract Time for unavoidable delay, such request shall be submitted in accordance with the provisions in the Contract Documents governing Claims and Disputes (Division F, Section 33 and 34). When requesting time, requests must be submitted with full justification and documentation. If the Contractor fails to submit justification, it waives its right to a time extension at a later date. Such justification must be based on the official Construction Schedule as updated at the time of occurrence of the delay or execution of Work related to any changes to the Scope of Work. Any Claim for delay must include the following information as support, without limitation.
  - 2. Excusable Delay. Subject to the provisions on Notice of Delay below, Contract Time may be adjusted in an amount equal to the time lost due to:
    - (a) Changes in the Work ordered by County ("Changes");
    - (b) Acts or neglect by County, Architect/Engineer, any County Representative, utility owners or other contractors performing other work, not permitted or provided for in the Contract Documents, provided that Contractor has performed its responsibilities under the Contract Documents (including but not limited to pre-bid investigations) ("Acts or Neglect"); or

- (c) Fires, floods, epidemics, pandemics, quarantines, abnormal weather conditions beyond the parameters otherwise set forth in this Article, earthquakes, civil or labor disturbances, acts of war or terrorism, or acts of God (together, "force majeure events"), provided damages resulting therefrom are not the result of Contractor's failure to protect the Work as required by Contract Documents ("Force Majeure").
- (d) Work delayed which is out of the control of the Contractor may be an Excusable Delay.
- 3. Inexcusable Delay. Contract Time shall not be extended for any period of time where Contractor (and/or any Subcontractor) is delayed or prevented from completing any part of the Work due to a cause that is within Contractor's risk or responsibility under the Contract Documents. Delays attributable to or within the control of a Subcontractor, or its subcontractors, or supplier, are deemed delays within the control of Contractor.
  - (a) Work delayed which is in the control of the Contractor is an Inexcusable Delay.
- 4. Float. Float shall be treated as a Project resource. Contractor shall not be entitled to a time extension for impacts that consume float, but do not impact the critical path.
- C. Notice of Delay
  - 1. Within seven (7) calendar days of the beginning of any delay (excepting adverse weather delays), Contractor shall notify County in writing, by submitting a Notice of Delay that shall describe the anticipated delays resulting from the delay event in question. If Contractor requests an extension of time, Contractor shall submit a Time Impact Evaluation (TIE) within 10 calendar days of the Notice of Delay. County will determine all claims and adjustments in the Contract Time. No claim for an adjustment in the Contract Time will be valid and such claim will be waived if not submitted in accordance with the requirements of this subparagraph. In cases of substantial compliance with the seven- day notice requirement here (but not to exceed twenty-one calendar days from the beginning of the delay event), County may in its sole discretion recognize a claim for delay accompanied with the proper TIE, provided Contractor also shows good faith and a manifest lack of prejudice to County from the late notice. Contractor will follow the guidelines set forth in section 1.13 and include description of activities impacted by the delay, including the activity ID.
- D. Compensable Time Extensions

- 1. Subject to other applicable provisions of the Contract Documents, Contractor may be entitled to adjustment in Contract Sum in addition to Contract Time only when all of the following conditions are met:
  - (a) The Owner is the sole cause of the delay to the current critical path;
  - (b) The delay is unreasonable under the circumstances involved;
  - (c) The delay was not within the contemplation of Owner and Contractor; and
  - (d) Contractor complies with the claims procedure of the Contract Documents.
  - (e) Excusable delay caused solely by Changes in the Work ordered by County, as provided above, and/or
  - (f) Excusable delay caused solely by Acts or Neglect by County or other person, as provided above.
- E. Non-Compensable Time Extensions
  - 1. Subject to other applicable provisions of the Contract Documents, Contractor may be entitled to adjustment in Contract Time only, without adjustment in Contract Sum, for
    - (a) Periods of excusable delay caused solely by weather (beyond the adverse weather day allowance shown herein) or Force Majeure events as provided above in this Article, or
    - (b) Periods of concurrent delay, where delay results from two or more causes, one of which is compensable (resulting from Changes or Acts or Neglect as set forth above in this Article), and the other of which is non-compensable or inexcusable, such as: acts or neglect of Contractor, Subcontractors or others for whom Contractor is responsible; other acts, omissions and conditions which would not entitle Contractor to adjustment in Contract Time; adverse weather; and/or actions of Force Majeure as provided above in this Article.
- F. Adverse Weather
  - 1. The Contract Adverse Weather Time has been determined with consideration given to the average climate weather conditions prevailing in the County in which the Project is located. (1.06B.24)
  - 2. Contractor shall provide proof that adverse weather actually caused delays to work on the critical path. The proof shall contain the activity ID and name of impacted critical activity. Contractor shall give written notice of intent to claim an adverse weather day within one day of the adverse weather day occurring (1.06B.24).
- 3. In order to qualify as an adverse weather delay with respect to the foregoing parameters: daily rainfall must exceed 0.1 inch at the NOAA station located closest to the Project site, as measured and reported by NOAA. Notwithstanding these allowances, Contractor shall at all times employ all available mitigation measures to enable Work to continue, Contractor shall take reasonable steps to mitigate potential weather delays, such as dewatering the Site, lime treatment, and covering Work and material that could be affected adversely by weather. Failure to do so shall be cause for County to not grant a time extension due to adverse weather, where Contractor could have avoided or mitigated the potential delay by exercising reasonable care.
- 4. Contractor shall include the foregoing precipitation parameters as a monthly activity in its progress schedule. As Work on the critical path is affected by precipitation, Contractor shall notify County and request that the days be moved to the affected activities. Any adverse weather days remaining shall be considered Project float available to either County or Contractor.
- 5. Adverse weather delay for precipitation shall be recognized for the actual period of time Contractor proves it was delayed by precipitation exceeding the specified parameters. For example, and not by way of limitation, if precipitation exceeding the specified parameters does not in fact delay Contractor's progress on the critical path, then no time extension shall be recognized; and conversely, if Contractor proves to County's satisfaction that precipitation exceeding the specified parameters causes delay to Contractor for a period longer than the number of precipitation days incurred (e.g., if it rains or snows during grading work), then Contractor shall be entitled to a time extension equal to the actual period of such delay. Note: Time extension is mitigated in the weather day allowance activity (see section 1.06B24),
- 6. During unfavorable weather, wet ground, or other unsuitable construction conditions, Contractor shall employ best practices to protect the Work, manage the construction site and rainwater during inclement weather. Persons performing the Work shall examine surfaces to receive their Work and shall report in writing to Contractor, with copy to County representative and the Architect conditions detrimental to the Work. Failure to examine and report discrepancies makes the Contractor responsible, at no increase in Contract Sum, for correction, County may require. Commencement of Work constitutes acceptance of surface.
- G. Liquidated Damages

- Time is of the essence. Execution of Contract Documents by Contractor shall constitute its acknowledgement that County will actually sustain damages in the form of Contract administration expenses (such as Project management and consultant expenses) in the amount fixed in the Contract Documents for each and every Day during which completion of Work required is delayed beyond expiration of time fixed for completion plus extensions of time allowed pursuant to provisions hereof.
- 2. Contractor and County agree that because of the nature of the Project, it would be impractical or extremely difficult to fix the amount of such actual damages incurred by County because of a delay in completion of all or any part of the Work. Contractor and County agree that specified measures of liquidated damages shall be presumed to be the amount of such damages actually sustained by County, and that because of the nature of the Project, it would be impracticable or extremely difficult to fix the actual damages.
- 3. Liquidated damages for delay shall cover administrative, overhead, interest on bonds, and general loss of public use damages suffered by County as a result of delay. Liquidated damages shall not cover the cost of completion of the Work, damages resulting from Defective Work, lost revenues or costs of substitute facilities, or damages suffered by others who then seek to recover their damages from County (for example, delay claims of other contractors, subcontractors, tenants, or other third-parties), and defense costs thereof. County may deduct from any money due or to become due to Contractor subsequent to time for completion of entire Work and extensions of time allowed pursuant to provisions hereof, a sum representing then-accrued liquidated damages.
- 4. Contractor shall not be charged for liquidated damages because of any delays in completion of Work on the critical path which are not the fault or negligence of Contractor or its Subcontractors, including acts of God as defined in Public Contract Code Section 7105, acts of enemy, epidemics, and quarantine restrictions. Contractor shall, within ten (10) days of beginning of any delay, notify Owner in writing of causes of delay including documentation and facts explaining the delay. Owner shall review the facts and extent of any delay and shall grant extension(s) of time for completing Work when, in its judgment, the findings of fact justify an extension. Extension(s) of time shall apply only to that portion of Work affected by delay and shall not apply to other portions of Work not so affected. An extension of time may only be granted if Contractor has timely submitted the notice and supporting documentation required by all relating Contract Documents as required herein.

# 1.13 Time Impact Evaluation ("tie") for Change Orders, and Other Delays

## A. Owner Directed Added Work

- 1. When Contractor is directed to proceed with changed Work, the Contractor shall prepare and submit within seven (7) calendar days from the Notice to Proceed a TIE which includes both a written narrative and a delay fragnet integrated into the contemporaneous schedule depicting how the changed Work affects other schedule activities. The schedule diagram shall show how the Contractor proposes to incorporate the changed Work in the schedule and how it impacts the current schedule-update critical path. The Contractor is also responsible for requesting time extensions based on the TIE's impact on the critical path. The diagram must be tied to the main sequence of schedule activities to enable County to evaluate the impact of changed Work to the scheduled critical path.
- 2. Contractor shall be responsible for all costs associated with the preparation of TIEs, and the process of incorporating them into the current schedule update.
- 3. Once agreement has been reached on a TIE, the Contract Time will be adjusted accordingly (via Change Order Request and Change Order). If agreement is not reached on a TIE, the Contract Time may be extended in an amount County allows, and the Contractor may submit a claim for additional time claimed by contractor.
- B. Contract Added Work Claim
  - 1. If the schedule final completion date is extended due to added work scope, the Contractor is required to provide a time and cost impact within fourteen (14) calendar days and prior to proceeding with added work, unless approved by Owner.
  - 2. If the Contractor believes critical work has been delayed due to circumstances beyond their control, a TIE shall be submitted as described above.
  - 3. The TIE will be reviewed with fourteen (14) calendar days and assessed as excusable / compensable, excusable / non-compensable, or non- excusable / non-compensable.
  - 4. Delay Fragnet
    - (a) The duration of the activity relating to the changes in the Work and the resources (manpower, equipment, material, etc.) required to perform the activities within the stated duration.
    - (b) Specific logical ties to the Contract Schedule for the proposed changes and/or delay showing the activity/activities in the Construction Schedule that are affected by the change and/or delay. (A portion of any delay of seven (7) days or more must be provided.)

(c) A revised Construction Schedule must be submitted showing the delay and impact on the Final Completion date.

# 1.14 Time Extensions

- A. The Contractor is responsible for requesting time extensions for time impacts that, in the opinion of the Contractor, impact the critical path of the current Progress Schedule update. Notice of time impacts shall be given in accord with the General Conditions.
- B. Where an event for which County is responsible impacts the projected Final Completion date, the Contractor shall provide a written mitigation plan, including a schedule diagram, which explains how (e.g., increase crew size, overtime, etc.) the impact can be mitigated. The Contractor shall also include a detailed cost breakdown of the labor, equipment, and material the Contractor would expend to mitigate County-caused time impact. The Contractor shall submit its mitigation plan to County within fourteen (14) calendar days from the date of discovery of the impact. The Contractor is responsible for the cost to prepare the mitigation plan.
- C. Failure to request time, provide A, or provide the required mitigation plan will result in Contractor waiving its right to a time extension and cost to mitigate the delay.
- D. No time will be granted under this Contract for cumulative effect of changes.
- E. County will not be obligated to consider any time extension request unless the Contractor complies with the requirements of Contract Documents.
- F. Failure of the Contractor to perform in accordance with the current schedule update shall not be excused by submittal of time extension requests.
- G. If the Contractor does not submit a TIE within the required seven (7) calendar days for any issue, it is mutually agreed that the Contractor does not require a time extension for said issue.
- H. To avoid possible delay risks, the Contractor is aware that governmental agencies, including, without limitation, the County, gas companies, electrical utility companies, water districts, and other agencies may have to approve Contractor-prepared drawings or approve a proposed installation. Accordingly, Contractor shall include in its schedule and bid, time for possible review of its drawings and for reasonable delays and damages that may be caused by such agencies. Thus, Contractor is not entitled to make a claim for damages or delays arising from the required review of Contractor's drawings by third parties.

# 1.15 Schedule Reports

- A. Submit the following reports with the Baseline Schedule and each monthly update.
- B. Required Reports:
  - 1. Two activity listing reports: one sorted by activity number and one by total Project Float. These reports shall also include each activity's early/late and actual start and finish dates, original and remaining duration, Project Float, responsibility code, and the logic relationship of activities.
  - 2. Report showing the longest critical path.
  - 3. Work Plan Cash Flow Report including the following: percentage of Work accomplished, earned value- to date, previous payments, and amount earned for current update period.
  - 4. Schedule plots presenting time-scaled network diagram showing activities and their relationships with the controlling operations or critical path clearly highlighted.
  - 5. Upon request, the Contractor may be required to submit a Planned versus Actual labor histogram calculated by early start.
  - 6. 3-week look-ahead schedule. The 3-week look ahead shall be derived from the update schedule with referenced correlating activities.
  - 7. Actual dates achieved on activities for the past period. This report should be submitted to the County prior to the overall update submittal for field verification.
  - 8. Schedule update narrative describing items such as current critical path, issues, schedule revisions, and other.
  - 9. All reports noted above shall be clearly dated (including data date) and titled.
- C. Other Reports:

In addition to above reports, County may request, from month to month, any of the following reports.

- 1. Activities by early start.
- 2. Activities by late start.
- 3. Activities grouped by Subcontractors or selected trades.
- D. Furnish County with report files on media as described previously in this document.

# 1.16 Progress Schedule Narrative

A. In addition to report submittal requirements for Project Schedule identified in the previous Section, Contractor shall provide a monthly project status report (i.e., written narrative report) to be submitted in conjunction with each schedule as specified herein. Status reporting shall be in form specified below.

- B. Contractor shall prepare monthly written narrative reports of status of Project for submission to County. Written status reports shall include:
  - 1. Status of major Project components (percent (%) complete, amount of time ahead or behind schedule) and an explanation of how Project will be brought back on schedule if delays have occurred.
  - 2. Progress made on critical activities indicated on Project Schedule.
  - 3. Explanations for any lack of work on critical path activities planned to be performed during last month.
  - 4. Explanations for any schedule changes, including changes to logic or to activity durations.
  - 5. List of critical activities scheduled to be performed next month.
  - 6. Status of major material and equipment procurement.
  - 7. Any delays encountered during reporting period.
  - 8. Contractor may include any other information pertinent to status of Project. Contractor shall include additional status information requested by County at no additional cost.
  - 9. Status reports, and the information contained therein, shall not be construed as claims, notice of claims, notice of delay, or requests for changes or compensation.

# 1.17 Lookahead Schedule Report

By the end of the business day on the day prior to the Weekly Progress Meeting, the Contractor shall provide a time-scaled three (3) week Look-ahead Schedule that is based on, and correlated by activity number to, the current accepted schedule (i.e., Initial, Baseline or Progress Schedule). Look-ahead Schedule shall reflect ALL schedule activities that were planned to take place during this period based on the current schedule. Schedule shall include at least the following: area/building, activity ID, activity description, responsible contractor/subcontractor, as well as planned start date, duration and completion date. Activities noted to be on the critical path per the current schedule shall be highlighted accordingly. Contractor may include multiple activities that relate to a single activity ID if this provides clarity to sequencing, etc.

# 1.18 Daily Construction Reports

On a daily basis (prior to the end-of-business on the day of the work being reported), Contractor shall submit via the Project Management Software a Daily Construction Report to County for each workday, including weekends and holidays. Contractor shall develop the Daily Construction Reports on a computer-generated database capable of sorting daily Work, manpower, and man-hours by Contractor, Subcontractor, area, sub-area, and Change Order Work. Obtain County's written approval of Daily Construction Report data base format prior to implementation. Include in report:

A. Project name and Project number.

- B. Contractor's name and address.
- C. Weather, temperature, and any unusual site conditions. Contractor shall note on this report any Notices issued that day relating to these conditions.
- D. Brief description and location of the day's scheduled activities and any special problems and accidents, including Work of Subcontractors. Descriptions shall be referenced to scheduled activities and include all related schedule activity IDs (as well as CORs, CDs, etc).
- E. Worker quantities for its own Work force and for Subcontractors of any tier.
- F. Equipment, other than hand tools, utilized by Contractor and Subcontractors.
- G. Material deliveries.
- H. High and low temperatures and general weather conditions.
- I. Accidents.
- J. Meetings and significant decisions.
- K. Unusual events (refer to special reports).
- L. Stoppages, delays, shortages, and losses.
- M. Meter readings and similar recordings.
- N. Emergency procedures.
- O. Orders and requests of authorities having jurisdiction.
- P. Change Orders received and implemented.
- Q. Construction Change Directives received and implemented.
- R. Services connected and disconnected.
- S. Equipment or system tests and startups.
- T. Partial Completions and occupancies.
- U. Updates to any and all Activity IDs projected to have activity based on the current accepted Project Schedule.

# PART 2 Products – Not used

#### PART 3 Execution - Not used

# END OF SECTION 01 32 06

County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010

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# **SECTION 01 32 19**

# SUBMITTAL SCHEDULES / DAILY REPORTS / FIELD REPORTS

#### PART 1 - General

#### 1.01 Summary

- A. This Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
  - 1. Submittals Schedule.
  - 2. Daily construction reports.
  - 3. Field condition reports.
- B. See Section 01 29 00 "Payment Procedures" for submitting the Schedule of Values.
- C. See Section 01 32 33 "Photographic Documentation" for submitting construction photographs.

#### 1.02 Definitions

- A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling the construction project. Activities included in a construction schedule consume time and resources.
  - 1. Critical activities are activities on the critical path. They must start and finish on the planned early start and finish times.
  - 2. Predecessor Activity: An activity that precedes another activity in the network.
  - 3. Successor Activity: An activity that follows another activity in the network.
- B. Fragnet: A partial or fragmentary network that breaks down activities into smaller activities for greater detail.
- C. Major Area: A story of construction, a separate building, or a similar significant construction element.

#### 1.03 Submittals

- A. Submittals Schedule: Submit via the web-based project management software. Arrange the following information in a tabular format:
  - 1. Scheduled date for first submittal.
  - 2. Specification Section number and title.
  - 3. Submittal category (action or informational).
  - 4. Name of subcontractor.
  - 5. Description of the Work covered.
  - 6. Scheduled date for Architect's final release or approval.

- B. Daily Construction Reports: Submit PDF file via the web-based project management software prior to close-of-business on the day covered by the report.
- C. Field Condition Reports: Submit PDF file via the web-based project management software at time of discovery of differing conditions.

# 1.04 Coordination

A. Coordinate preparation and processing of reports with performance of construction activities and with scheduling and reporting of separate contractors.

# PART 2 - products

# 2.01 Submittals Schedule

- A. Preparation: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, re-submittal, ordering, manufacturing, fabrication, and delivery when establishing dates.
  - 1. Coordinate Submittals Schedule with list of subcontracts, the Schedule of Values, and Contractor's Construction Schedule.
  - 2. Submit concurrently with the first complete submittal of Contractor's Construction Schedule.

# 2.02 Reports

- A. Daily Construction Reports: Prepare a daily construction report recording the following information concerning events at Project site:
  - 1. List of subcontractors at Project site and quantities relating to labor force.
  - 2. Equipment at Project site.
  - 3. Material deliveries.
  - 4. High and low temperatures and general weather conditions.
  - 5. Accidents.
  - 6. Stoppages, delays, shortages, and losses.
  - 7. Meter readings and similar recordings.
  - 8. Orders and requests of authorities having jurisdiction.
  - 9. Services connected and disconnected.
  - 10. Equipment or system tests and startups.
  - 11. Progress on any schedule activity, including all related activity IDs.
- B. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents, prepare and submit a detailed report. Submit with a request for interpretation [on CSI Form 13.2A]. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010

## Part 3 - Execution – (not used)

## END OF SECTION 01 32 19

# **SECTION 01 32 33**

# PHOTOGRAPHIC DOCUMENTATION

#### PART 1 - General

# 1.01 Summary

A. This Section describes the requirements for furnishing photographs depicting work progress.

## 1.02 Description

- A. Furnish photographs of the site construction, for each day worked, throughout the progress of the Work.
- B. Take photographs on cutoff date for each Application for Payment.
- C. In addition, take photographs at beginning and completion of the following elements:
  - 1. Site clearing.
  - 2. Excavation
  - 3. Utility Trenching & Placement
  - 4. Foundations
  - 5. Structural Framing
  - 6. Steel Erections
  - 7. Enclosure of Building (a) Interior
    - (b) Exterior
  - 8. Landscaping
  - 9. Substantial Completion
  - 10. Final Completion
  - 11. As requested by Owner.

# 1.03 Prints

A. NOT USED

# 1.04 Electronic Files

- A. Upload photos to web-based project management software, indexed in folders by date and in chronological order.
- B. All photos to be in a JPEG format.
- C. Make photos available to Architect at any time in electronic format.
- D. Provide a progress photo from 2 agreed upon vantages with each payment application.

# 1.05 Technique

A. Factual presentation, with correct exposure and focus, high resolution and sharpness, maximum depth of field, and minimum distortion.

## 1.06 Views

A. Take ten (10) photographs at each specified time, until Date of Substantial Completion. Consult with Architect at each time for instructions on views required.

## PART 2 – products (Not Used)

## PART 3 – execution (Not Used)

END OF SECTION 01 32 33-

# SECTION 01 33 00

# SUBMITTAL PROCEDURES

#### Part 1. General

#### 1.01 Summary

- A. Section includes requirements for the submittal schedule and administrative and procedural requirements for submitting Shop Drawings, Product Data, Samples, and other submittals.
- B. Related Sections:
  - 1. Section 01 32 16"Construction Progress Documentation" for submitting schedules and reports, including Contractor's construction schedule.
  - 2. Section 01 78 23 "Operation and Maintenance Data" for submitting operation and maintenance manuals.
  - 3. Section 01 78 39 "Project Record Documents" for submitting record Drawings, record Specifications, and record Product Data.
  - 4. Section 01 79 00 "Demonstration and Training" for submitting video recordings of demonstration of equipment and training of Owner's personnel.
- C. See Section 01 77 00 "Closeout Procedures" for submitting warranties.

#### 1.02 Definitions

- A. Action Submittals: Written and graphic information and physical samples that require Architect's responsive action.
- B. Informational Submittals: Written and graphic information and physical samples that do not require Architect's responsive action. Submittals may be rejected for not complying with requirements.

#### 1.03 Action Submittals

A. Submittal Schedule: Submit a schedule of submittals, arranged in chronological order by dates required by construction schedule. Include time required for review, ordering, manufacturing, fabrication, and delivery when establishing dates. Include additional time required for making corrections or modifications to submittals noted by the Architect additional time for handling and reviewing submittals required by those corrections.

#### 1.04 Submittal Administrative Requirements

- A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals, and related activities that require sequential activity.

- 2. Coordinate transmittal of different types of submittals for related parts of the Work so processing will not be delayed because of need to review submittals concurrently for coordination.
  - (a) Architect and County reserve the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- B. Processing Time: Allow time for submittal review, including time for resubmittals, as follows. Time for review shall commence on Architect's receipt of submittal. Contractor is to notify the Architect when new materials for review have been posted to the designated web-based project management software. Time will begin upon successful download of that information. No extension of the Contract Time will be authorized because of failure to transmit submittals enough in advance of the Work to permit processing, including re-submittals.
  - 1. Initial Review: Allow fourteen (14) calendar days for initial review of each submittal. Allow additional time if coordination with subsequent submittals is required. Architect will advise Contractor when a submittal being processed must be delayed for coordination.
  - 2. Intermediate Review: If intermediate submittal is necessary, process it in same manner as initial submittal.
  - 3. Resubmittal Review: Allow fourteen (14) calendar days for review of each re- submittal.
- C. Architect's Digital Data Files: Electronic copies of digital data files of the Contract Drawings will be provided by Architect for Contractor's use in preparing submittals.
  - 1. Architect will furnish Contractor one set of digital data drawing files of the Contract Drawings for use in preparing Shop Drawings and Project record drawings.
    - (a) Architect makes no representations as to the accuracy or completeness of digital data drawing files as they relate to the Contract Drawings.
    - (b) Contractor shall execute a data licensing agreement in the form of AIA Document C106, Digital Data Licensing Agreement as well as any further waivers required by the Architect.
- D. Submittals are to be submitted electronically via the web-based project management software.
  - 1. The following submittals are to be submitted electronically:
    - (a) Product Data
    - (b) Shop Drawings

- (c) Certifications
- (d) Test Data
- (e) Schedules
- 2. Samples shall not be submitted electronically but a transmittal should be to document delivery of such samples.
- E. Identification and Information: Place a permanent label or title block on each cover of submittal item for identification.
  - 1. Indicate name of firm or entity that prepared each submittal on label or title block.
  - 2. Provide a space approximately 6x8 inches on label or beside title block to record Contractor's review and approval markings and action taken by Architect.
  - 3. Include the following information for processing and recording action taken:
    - (a) Project name.
    - (b) Date.
    - (c) Name of Architect.
    - (d) Name of Contractor.
    - (e) Name of subcontractor.
    - (f) Name of supplier.
    - (g) Name of manufacturer.
    - (h) Submittal number or other unique identifier, including revision identifier.
      - (i) Submittal number shall use Specification Section number followed by a decimal point and then a sequential number (e.g., 061000.01). Re- submittals shall include an alphabetic suffix after another decimal point (e.g., 061000.01.A).
    - (i) Number and title of appropriate Specification Section.
    - (j) Drawing number and detail references, as appropriate.
    - (k) Location(s) where product is to be installed, as appropriate.
    - (I) Other necessary identification.
- F. Identification and Information: Identify and incorporate information in each electronic submittal file as follows:
  - 1. Assemble complete submittal package into a single indexed file with links enabling navigation to each item.
  - 2. Name file with submittal number or other unique identifier, including revision identifier.

- (a) File name shall use project identifier and Specification Section number followed by a decimal point and then a sequential number (e.g., 061000.01- LNHS). Re-submittals shall include an alphabetic suffix after another decimal point (e.g., 061000.01.A-LNHS).
- 3. Provide means for insertion to permanently record Contractor's review and approval markings and action taken by Architect
- 4. Include the following information on an inserted cover sheet:
  - (a) Project name.
  - (b) Date.
  - (c) Name and address of Architect.
  - (d) Name of Contractor.
  - (e) Name of firm or entity that prepared submittal.
  - (f) Name of subcontractor.
  - (g) Name of supplier.
  - (h) Name of manufacturer Number and title of appropriate Specification Section.
  - (i) Drawing number and detail references, as appropriate.
  - (j) Location(s) where product is to be installed, as appropriate.
  - (k) Related physical samples submitted directly.
  - (I) Other necessary identification.
- G. Options: Identify options requiring selection by the Architect.
- H. Deviations: Highlight, encircle, and otherwise specifically identify deviations from the Contract Documents on submittals.
- I. Additional Paper Copies: Unless additional copies are required for final submittal, and unless Architect observes noncompliance with provisions in the Contract Documents, initial electronic submittal may serve as final submittal.
- J. Transmittal: Assemble each submittal individually and appropriately for transmittal and handling. Transmit each submittal using a transmittal form. Architect will return submittals, without review, received from sources other than Contractor.
  - 1. On an attached separate sheet, prepared on Contractor's letterhead, record relevant information, requests for data, revisions other than those requested by Architect on previous submittals, and deviations from requirements in the Contract Documents, including minor variations and limitations. Include same identification information as related submittal.
- K. Re-submittals: Make re-submittals in same form as initial submittal.
  - 1. Note date and content of previous submittal.
  - 2. Note date and content of revision in label or title block and clearly indicate extent of revision.

- 3. Resubmit submittals until they are marked with approval notation from Architect's action stamp.
- L. Distribution: Furnish copies of final submittals to manufacturers, subcontractors, suppliers, fabricators, installers, authorities having jurisdiction, and others as necessary for performance of construction activities. Show distribution on transmittal forms.
- M. Use for Construction: Retain complete set of paper copies of submittals on Project site. Use only final submittals that are marked with approval notation from Architect's action stamp.

# 1.05 Contractor's use of architect's cad files

- A. At Contractor's written request, copies of Architect's CAD files of select plans will be provided to Contractor for Contractor's use in connection with Project, subject to the following conditions.
  - 1. Only major site/floor/ceiling/roof plans, or building elevations/sections will be provided.
  - 2. Wall section, details, schedules will not be provided.
  - 3. Title blocks will be removed from the file.
  - 4. Notes and dimensions may be removed from the file.
  - 5. Compliance of the requests for consultant files is at the discretion of the consultant.
  - 6. The following disclaimer will be added to the file:

# Disclaimer and indemnification agreement for computer-based information

The attached computer-based information for the **Construction of the** Maple St Solar Photovoltaic System are provided to (The User) as a courtesy for their sole convenience. The User recognizes that computer-based information is easily changeable, that changes are difficult to detect and that use or conversion of the information provided may introduce errors, inaccuracies or anomalies that the Architect and their consultants can neither predict nor control. The delivery of this electronic data does not constitute the delivery of the professional work product of the Architect shall not be responsible for any modifications made to the electronic files or any products derived from the electronic files which are not prepared by us. By accepting and utilizing this electronic data in lieu of the corresponding drawings and specifications prepared by the Architect, the User agrees that such data is an instrument of service of the Architect, who shall be deemed to be the author of the drawings and data, and shall retain all common law, statutory law and other rights, including copyrights. The User, by accepting the electronic files, agrees to assume all risk and liabilities associated with the use of the information provided by the Architect and understand the Architect makes no claim or warranty as to the suitability or usefulness of the information for any purpose. The User also agrees, to the fullest extent permitted by law, to hold harmless and indemnify the Architect from and

against any and all claims, liabilities, losses, damages and costs, including but not limited to attorney's fees, arising from or in connection with the use, misuse, modification, or misinterpretation of the electronic data provided by the Architect. Use of the attached computer-based information indicates acceptance and constitutes agreement to abide by the terms and conditions of this agreement.

## Part 2. Products

## 2.01 Submittal Procedures

- A. General Submittal Procedure Requirements:
  - 1. Submit electronic submittals via email as PDF files.
    - (a) Architect will return annotated file. Annotate and retain one copy of file as an electronic Project record document file.
  - Closeout Submittals and Maintenance Material Submittals: Comply with requirements specified in Section 01 77 00 "Closeout Procedures."
  - 3. Certificates and Certifications Submittals: Provide a statement that includes signature of entity responsible for preparing certification. Certificates and certifications shall be signed by an officer or other individual authorized to sign documents on behalf of that entity.
    - (a) Provide a digital signature with digital certificate on electronically- submitted certificates and certifications where indicated.
    - (b) Provide a notarized statement on original paper copy certificates and certifications where indicated.
  - 4. Test and Inspection Reports Submittals: Comply with requirements specified in Section 01 40 00 "Quality Requirements."
- B. Product Data: Collect information into a single submittal for each element of construction and type of product or equipment.

- 1. If information must be specially prepared for submittal because standard published data are not suitable for use, submit as Shop Drawings, not as Product Data.
- 2. Mark each copy of each submittal to show which products and options are applicable.
- 3. Include the following information, as applicable:
  - (a) Manufacturer's catalog cuts.
  - (b) Manufacturer's product specifications.
  - (c) Standard color charts.
  - (d) Statement of compliance with specified referenced standards.
  - (e) Testing by recognized testing agency.
  - (f) Application of testing agency labels and seals.
  - (g) Notation of coordination requirements.
  - (h) Availability and delivery time information.
- 4. For equipment, include the following in addition to the above, as applicable:
  - (a) Wiring diagrams showing factory-installed wiring.
  - (b) Printed performance curves.
  - (c) Operational range diagrams.
  - (d) Clearances required to other construction, if not indicated on accompanying Shop Drawings.
- 5. Submit Product Data before or concurrent with Samples.
- 6. Submit Product Data in the following format:
  - (a) PDF electronic file with transmittal as noted above.
- C. Shop Drawings: Prepare Project-specific information, drawn accurately to scale. Do not base Shop Drawings on reproductions of the Contract Documents or standard printed data. Submittals based upon Architect's digital data drawing files will be permitted.
  - 1. Preparation: Fully illustrate requirements in the Contract Documents. Include the following information, as applicable:
    - (a) Identification of products.
    - (b) Schedules.
    - (c) Compliance with specified standards.
    - (d) Notation of coordination requirements.
    - (e) Notation of dimensions established by field measurement.
    - (f) Relationship and attachment to adjoining construction clearly indicated.
    - (g) Seal and signature of professional engineer if specified.
  - 2. Sheet Size: Except for templates, patterns, and similar full-size drawings, submit Shop Drawings on sheets at least 8-1/2 by 11 inches but no larger than 30 by 42 inches. Provide hard copies as required by the Architect.

3. Submit Shop Drawings in the following format:

(a) PDF electronic file.

- D. Samples: Submit Samples for review of kind, color, pattern, and texture for a check of these characteristics with other elements and for a comparison of these characteristics between submittal and actual component as delivered and installed.
  - 1. Transmit Samples that contain multiple, related components such as accessories together in one submittal package. Provide transmittal listing all samples submitted along with quantities.
  - 2. Identification: Attach label on unexposed side of Samples that includes the following:
    - (a) Generic description of Sample.
    - (b) Product name and name of manufacturer.
    - (c) Sample source.
    - (d) Number and title of applicable Specification Section.
  - 3. Disposition: Maintain sets of approved Samples at Project site, available for quality-control comparisons throughout the course of construction activity. Sample sets may be used to determine final acceptance of construction associated with each set.
    - (a) Samples that may be incorporated into the Work are indicated in individual Specification Sections. Such Samples must be in an undamaged condition at time of use.
    - (b) Samples not incorporated into the Work, or otherwise designated as Owner's property, are the property of Contractor.
  - 4. Samples for Initial Selection: Submit manufacturer's color charts consisting of units or sections of units showing the full range of colors, textures, and patterns available.
    - (a) Number of Samples: Submit one (1) full set(s) of available choices where color, pattern, texture, or similar characteristics are required to be selected from manufacturer's product line. Architect will return options selected.
  - 5. Samples for Verification: Submit full-size units or Samples of size indicated, prepared from same material to be used for the Work, cured and finished in manner specified, and physically identical with material or product proposed for use, and that show full range of color and texture variations expected. Samples include, but are not limited to, the following: partial sections of manufactured or fabricated components; small cuts or containers of materials; complete units of repetitively used materials; swatches showing color, texture, and pattern; color range sets; and components used for independent testing and inspection.

- (a) Number of Samples: Submit three (3) sets of Samples. Architect will retain two (2) Sample sets; remainder will be returned. Mark up and retain one returned sample set as a project record sample.
  - (i) If variation in color, pattern, texture, or other characteristic is inherent in material or product represented by a Sample, submit at least three (3) sets of paired units that show approximate limits of variations.
- E. Product Schedule: As required in individual Specification Sections, prepare a written summary indicating types of products required for the Work and their intended location. Include the following information in tabular form:
  - 1. Submit product schedule in the following format:
    - (a) PDF electronic file.
- F. Contractor's Construction Schedule: Comply with requirements specified in Section 01 32 16 "Construction Progress Documentation."
- G. Application for Payment: Comply with requirements specified in Section 01 29 00 "Payment Procedures."
- H. Schedule of Values: Comply with requirements specified in Section 01 29 00 "Payment Procedures."
- Subcontract List: Prepare a written summary identifying individuals or firms proposed for each portion of the Work, including those who are to furnish products or equipment fabricated to a special design. Wherever possible, including CSI numbers with description of work being completed. Also, provide DIR numbers for all subcontractors and vendors listed. Provide an updated list when any changes take place along with and explanation of what changed and why.
  - 1. Submit subcontract list in the following format:
    - (a) PDF electronic file.
- J. Coordination Drawings: Comply with requirements specified in Section 01 31 00 "Project Management and Coordination."
- K. Qualification Data: Prepare written information that demonstrates capabilities and experience of firm or person. Include lists of completed projects with project names and addresses, contact information of architects and owners, and other information specified.
- L. Welding Certificates: Prepare written certification that welding procedures and personnel comply with requirements in the Contract Documents. Submit record of Welding Procedure Specification and Procedure Qualification Record on American

Welding Society (AWS) forms. Include names of firms and personnel certified.

- M. Installer Certificates: Submit written statements on manufacturer's letterhead certifying that Installer complies with requirements in the Contract Documents and, where required, is authorized by manufacturer for this specific Project.
- N. Manufacturer Certificates: Submit written statements on manufacturer's letterhead certifying that manufacturer complies with requirements in the Contract Documents. Include evidence of manufacturing experience where required.
- O. Product Certificates: Submit written statements on manufacturer's letterhead certifying that product complies with requirements in the Contract Documents.
- P. Material Certificates: Submit written statements on manufacturer's letterhead certifying that material complies with requirements in the Contract Documents.
- Q. Material Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting test results of material for compliance with requirements in the Contract Documents.
- R. Product Test Reports: Submit written reports indicating current product produced by manufacturer complies with requirements in the Contract Documents. Base reports on evaluation of tests performed by manufacturer and witnessed by a qualified testing agency, or on comprehensive tests performed by a qualified testing agency.
- S. Research Reports: Submit written evidence, from a model code organization acceptable to authorities having jurisdiction, that product complies with building code in effect for Project.
- T. Schedule of Tests and Inspections: Comply with requirements specified in Section 01 40 00 "Quality Requirements."
- U. Preconstruction Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of tests performed before installation of product, for compliance with performance requirements in the Contract Documents.
- V. Compatibility Test Reports: Submit reports written by a qualified testing agency, on testing agency's standard form, indicating and interpreting results of compatibility tests performed before installation of product. Include written recommendations for primers and substrate preparation needed for adhesion.
- W. Field Test Reports: Submit reports indicating and interpreting results of field tests performed either during installation of product or

after product is installed in its final location, for compliance with requirements in the Contract Documents.

- Maintenance Data: Comply with requirements specified in Section 01 78 23 "Operation and Maintenance Data."
- Y. Design Data: Prepare and submit written and graphic information, including, but not limited to, performance and design criteria, list of applicable codes and regulations, and calculations. Include list of assumptions and other performance and design criteria and a summary of loads. Include load diagrams if applicable. Provide name and version of software, if any, used for calculations. Include page numbers.

# 2.02 Delegated-Design Services (Including Deferred Approvals)

- A. Performance and Design Criteria: Where professional design services or certifications by a design professional are specifically required of Contractor by the Contract Documents, provide products and systems complying with specific performance and design criteria indicated.
  - 1. If criteria indicated are not sufficient to perform services or certification required, submit a written request for additional information to Architect.
- B. Delegated-Design Services Certification: In addition to Shop Drawings, Product Data, and other required submittals, submit digitally-signed PDF electronic file and three (3) paper copies of certificate, signed and sealed by the responsible design professional, for each product and system specifically assigned to Contractor to be designed or certified by a design professional.
  - 1. Indicate that products and systems comply with performance and design criteria in the Contract Documents. Include list of codes, loads, and other factors used in performing these services.

# Part 3. Execution

# 3.01 Contractor's Review

- A. Action and Informational Submittals: Review each submittal and check for coordination with other Work of the Contract and for compliance with the Contract Documents. Note corrections and field dimensions. Mark with approval stamp before submitting to County Project Manager and Architect
- B. Project Closeout and Maintenance/Material Submittals: Refer to requirements in Section 01 77 00 "Closeout Procedures."
- C. Approval Stamp: Stamp each submittal with a uniform, approval stamp. Include Project name and location, submittal number, Specification Section title and number, name of reviewer, date of Contractor's approval, and statement certifying that submittal has

been reviewed, checked, and approved for compliance with the Contract Documents.

## 3.02 Architect's Action

- A. General: County Project Manager and Architect will not review submittals that do not bear Contractor's approval stamp and will return them without action.
- B. Submittals: County Project Manager and Architect will review each submittal, make marks to indicate corrections or modifications required, and return it. Architect will stamp each submittal with an action stamp and will mark stamp appropriately to indicate action taken, as follows:
  - 1. Final Unrestricted Release: Where the submittal is marked "No Exceptions Taken," the Work covered by the submittal may proceed provided it complies with the Contract Documents. Final acceptance will depend on that compliance.
  - 2. Final-but-Restricted Release: Where the submittal is marked "Reviewed with Exceptions as Noted," the Work covered by the submittal may proceed provided it complies with both Architect's notations and corrections on the submittal and the Contract Documents. Final acceptance will depend on that compliance.
  - 3. Returned for Resubmittal: Where the submittal is marked "Revise and Resubmit," do not proceed with the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity for the product submitted. Revise or prepare a new submittal according to Architect's notations and corrections.
  - 4. Rejected: Where the submittal is marked "Rejected," do not proceed with the Work covered by the submittal. Prepare a new submittal for a product that complies with the Contract Documents.
  - 5. Incomplete: Where the submittal is marked "Submit Specified Item," do not proceed with the Work covered by the submittal. Prepare additional information requested, or required by the Contract Documents, that indicates compliance with requirements.
- C. Informational Submittals: County Project Manager and Architect will review each submittal and will not return it, or will return it if it does not comply with requirements. Architect will forward each submittal to appropriate party.
- D. Incomplete submittals are not acceptable, will be considered nonresponsive, and will be returned without review.
- E. Submittals not required by the Contract Documents may not be reviewed and may be discarded.

# END OF SECTION 01 33 00

# **SECTION 01 35 44**

## STORMWATER POLLUTION PREVENTION

#### Part 1. General

#### 1.01 Description

A. The work of this section consists of implementing measures to prevent Storm Water Pollution during construction activities, in accordance with Federal, State, and local regulations, and in accordance with the Storm Water Pollution Prevention Plan (SWPPP) to be prepared for this project.

#### 1.02 Submittals

- A. Submit SWPPP to Owner after contract award and before the preconstruction conference.
- B. Plans showing proposed arrangements and methods for control of erosion, sedimentation, and pollutant conveyance in storm water resulting from construction activities (refer construction drawings) The contractor shall provide final arrangement, methods of control and conveyance in the Storm Water Pollution Prevention Plan that satisfies all State NPDES permit requirements.
- C. Provide sufficient information for evaluation of the following:
  - 1. Erosion protection measures and products
  - 2. Drainage management strategies
  - 3. Surface restoration
- D. Submit schedules for inspection and monitoring of all SWPPP measures.
- E. Submit manufacturer's product information and installation recommendations for silt fence, filter fabric and erosion control blanket, straw bales, and any other materials proposed for use on this project.
- F. Contractor shall register on the State Water Resources Control Board (SWRCB) on- line Storm Water Multiple Application and Report Tracking System (SMARTS) database and submit the User Identification (ID) to the Owner. Owner will file a Notice of Intent (NOI) and link the Contractor User ID as a Data Entry Person for required entries (i.e., SWPPP, Annual Reports, Ad Hoc Reports) in accordance with the determined Risk Level monitoring and sampling requirements.

#### 1.03 Quality Assurance

A. Before commencing construction activities, such as grading, excavation or filling in any part of the site, Contractor shall plan for

temporary structures to guide runoff away from the work area and to capture eroded material before it reaches natural water courses. The measures shall be in accordance with reviewed and approved SWPPP. Arrange construction activities to minimize erosion to the maximum practical extent. Clearing, excavation, and grading shall be limited to those areas of the project site necessary for construction. Minimize the area exposed and unprotected.

B. Clearly mark and delineate the limits of work activities. Do not allow equipment to operate outside the limits of work or to disturb existing vegetation. Complete excavation and grading during the dry season to the maximum extent possible.

# 1.04 Regulatory Requirements

- A. The Contractor shall comply with provisions of Federal, State, and local regulations and requirements that govern the Contractor's operations and storm water and non- storm water discharges from the project site and areas outside the project limits during construction.
- B. If the project site is more than one acre it requires compliance with the State Water Resources Control Board statewide general permit entitled "Order No. 2009-0009–DWQ (as amended by Order No. 2010-0014-DWQ), National Pollutant Discharge Elimination System General Permit No. CAS000002, Waste Discharge Requirements for Discharges of Storm Water Runoff Associated with Construction and Land Disturbance Activities" (Construction General Permit) that regulates discharges of storm water and non-storm water from construction activities disturbing one acre or more of land surface, or that are part of a common plan of development. The Construction General Permit is available for review at:

<u>http://www.waterboards.ca.gov/water\_issues/programs/stormwater/</u> This project shall conform to applicable provisions of this Permit and modifications thereto.

C. This project lies within the boundaries of the San Francisco Bay Region (2) Regional Water Quality Control Board (SFRWQCB). The SFRWQCB has issued Waste Discharge Requirements and National Pollutant Discharge Elimination System (NPDES) Permit for the discharge of stormwater runoff from the municipal separate storm sewer systems (MS4s) including construction sites (San Francisco Bay Region Municipal Regional Stormwater NPDES Permit Order No. R2-2015-0049 NPDES Permit No. CAS612008 November 19, 2015). The MS4 Regional Stormwater NPDES Permit is available to review at:

https://www.waterboards.ca.gov/sanfranciscobay/water\_issues/progra ms/stormwater/Municipal/R2\_2015\_0049\_amended.pdf

This project shall conform to applicable provisions of this Permit and modifications thereto.

- D. Storm Water Pollution Prevention Plan (SWPPP)
  - 1. The SWPPP will include a description of best management practices (BMPs) that will be implemented to reduce the pollutants in stormwater and non-stormwater discharges to assure compliance with the terms and conditions of the Construction General Permit.
  - 2. A Qualified Stormwater Developer (QSD) shall prepare the SWPPP and a Qualified Stormwater Practitioner shall ensure implementation of the SWPPP.
  - 3. The SWPPP shall include the following information and forms:
    - (a) Site description
    - (b) Expected sequencing of operations and construction schedule
    - (c) Weather monitoring procedure
    - (d) Descriptions and details of erosion controls, including dust control
    - (e) Erosion control plans
    - (f) Controls for other potential onsite storm water pollutants
    - (g) Applicable specifications
    - (h) i. Maintenance and inspection procedures and forms
    - (i) Description of potential non-storm water discharges at site
    - (j) Notice of Intent (NOI) form
    - (k) Notice of Termination (NOT) form
    - (I) QSD/QSP Certification forms
    - (m)Other record keeping forms and procedures
    - (n) Good housekeeping practices and procedures, including vehicle wash- down areas, protection of equipment storage and maintenance areas, and sweeping of roadways related to hauling activities
  - 4. The Owner will review the draft SWPPP. Contractor QSD will address Owner review comments and submit revised SWPPP for Owner approval. Upon Owner approval, Contractor QSD and Owner representative will sign the approved SWPPP.
  - 5. Contractor will upload approved SWPPP onto SMARTS database for Notice of Intent (NOI) submittal.
  - 6. When the SWRCB approves the NOI, the SWPPP will be the document in force on the project.
  - 7. Place the SWPPP and all updates in a three-ring binder so that completed inspection forms and other records may be inserted. The Contractor shall maintain a copy of the SWPPP and all associated records and forms on site throughout the construction period.

- 8. The SWPPP shall be available for public inspection. The Contractor shall notify the Owner immediately upon request from the regulatory agencies to enter, inspect, sample, monitor, or otherwise access the project site or the Contractor's records pertaining to water pollution control work. The Contractor and the Owner shall provide copies of correspondence, notices of violation, enforcement actions, or proposed fines by regulatory agencies to the requesting regulatory agency.
- 9. Implement the SWPPP as required throughout the construction period and maintain all erosion control elements in proper working order until final acceptance of project. Do not perform clearing and grubbing or earthwork until applicable BMPs have been installed.
- 10. Prior to construction, the Contractor and all subcontractors shall sign certifications (included in the SWPPP) that they understand the requirements of the Construction General Permit and SWPPP. All Contractor and subcontractor crews shall comply with the requirements of the Construction General Permit under the supervision of the Contractor QSP who will be responsible for implementing the SWPPP. The Contractor QSP shall ensure that emergency procedures and the SWPPP are updated as needed and available for inspection. The SWPPP (including inspection forms) and all data used to complete the NOI shall be provided to the Owner at the completion of the project.
- 11. SWPPP Inspections and Amendments
  - (a) The Contractor QSP and/or trained crew under QSP supervision will perform weekly inspections of the project site in accordance with the SWPPP. Inspections shall be documented on forms provided in the SWPPP binder.
  - (b) It may be necessary to revise the SWPPP during construction to make necessary improvements or to respond to unforeseen conditions noted during construction or site inspections. For that purpose, the SWPPP shall specify the mechanism whereby revisions may be proposed by the Contractor or the Owner and incorporated into the SWPPP, including review and acceptance of minor changes. The Contractor and the Owner will jointly accept and sign each revision to the SWPPP before implementation. Accepted modifications will be implemented within 7 calendar days following the date of the inspection when deficiencies or necessary corrections are first noted.

- (c) Temporary erosion and pollution control measures shall be used to correct conditions that develop during construction that were not foreseen during design, that are needed prior to installation of permanent control features, or that are needed temporarily to control erosion that developed during normal construction practices but are not associated with permanent control features on the project.
- (d) Provide additional temporary erosion and pollution controls made necessary by Contractor's errors or negligence at no additional cost to the Owner.
- E. Notice of Intent (NOI)
  - 1. Owner will complete NOI form on SMARTS and submit to SWRCB after accepted SWPPP has been uploaded by Contractor.
  - 2. Annual Reports are due to the SWRCB SMARTS by September 1 of each year. Contractor shall submit Annual Reports and any Ad Hoc Reports onto SMARTS by August 15 for Owner review.
- F. Notice of Termination (NOT)
- G. Upon final acceptance, the Owner will file the NOT.

# 1.05 **Project Conditions**

- A. The Contractor shall maintain records of work performed on the sediment control structures.
- B. The Contractor shall not remove any erosion or sediment control measure without prior permission from the Owner.
- C. The Contractor shall obtain approval from the Owner prior to making changes to erosion control plans.

#### 1.06 Sequence of Construction

- A. The Contractor shall be responsible for arranging and conducting an Erosion and Sediment Control meeting/briefing to inform all parties scheduled to be on-site during the project of the measures to be implemented for proper erosion and sediment control (may be included as part of the preconstruction meeting).
  - 1. Installation of silt fences, storm drain protection, and all other forms of erosion and sediment control shall not begin until after this meeting has occurred.
- B. The Contractor shall notify the Owner in writing and by telephone of the following events:
  - 1. The required erosion and sediment control meeting/briefing.
  - 2. Following installation of required sediment control structures.
  - 3. Prior to removal of or modification to sediment control structures.
  - 4. Prior to removal of all sediment control structures.

- C. Silt fences, storm drain protection, and all other forms of erosion and sediment control shall be installed, inspected, and accepted by the Contractor before beginning any utility excavation.
- D. Temporary silt fences shall be installed around any stockpiles and/or excavated material that cannot be backfilled during the same day in which it was excavated. Temporary silt fences shall also be placed immediately downstream of any utility trench that has not been backfilled at the end of the working day. Temporary silt fences shall be installed prior to leaving the work site for the day.
- E. Silt fences and storm drain protection shall be inspected by the Contractor weekly. Repairs to these devices shall be completed prior to leaving the work site for the day.
- F. The Contractor shall prevent the deposition of materials onto paved areas. The Contractor shall inspect the paved areas for deposited materials weekly and remove the materials immediately.
- G. Silt fences shall be removed with permission of the Owner within 20 working days after final acceptance of the project and/or after the establishment of permanent stabilization of all excavations and fill areas.

# Part 2. Products

# 2.01 Equipment

A. Before the work begins, sufficient equipment shall be available on the site to assure that the operation and adequacy of the erosion control plans can be continuously maintained.

# 2.02 Erosion Control Measures

- A. Erosion control measures shall consist of silt fencing or equivalent (eg. wattles, etc.), barrier protectors, straw bales, temporary soil retention blankets, excelsior drainage filters, sediment traps and berms.
- B. Berms and excelsior drainage filters shall be used to form sediment traps and to control run- on and run-off into other areas, including creeks, streams, marshes, access roads, well areas, and the staging areas.
- C. Erosion control measures shall be used to contain only direct precipitation in the construction zone. The contained water shall be allowed to percolate into the ground or drain slowly through the drainage filter sediment traps.
- D. Earthen sediment traps or holding ponds shall not be used unless accepted by the Owner.

# Part 3. Execution

# 3.01 General Description

- A. Furnish, install, maintain, and operate necessary control measures and other equipment necessary to prevent erosion to the maximum extent practical, including implementation of Best Management Practices (BMPs).
  - 1. Temporary measures shall be to Contractor's own design and Contractor shall be solely responsible for risks related to the management of erosion control during construction.
- B. Effective measures shall be initiated prior to the commencement of clearing, grading, excavation, or other operations that will disturb the natural erosion protection.
- C. Schedule work to expose areas subject to erosion for the shortest possible time, and preserve natural vegetation to the greatest extent practicable. Temporary storage and construction buildings shall be located, and construction traffic routed, to minimize erosion. Temporary fast-growing vegetation or other suitable ground cover shall be provided as necessary to control runoff.

## 3.02 Methods

- A. Construct berms to reduce runoff velocity as well as direct surface runoff around and away from all fuel containment, storage, and borrow areas.
- B. Divert surface runoff around and away from cut and fill slopes by constructing berms or ditches at the base of disturbed slopes. Provide conveyance for the runoff in temporary pipes or protected channels to temporary sediment traps.
- C. Place drainage filters around all catch basins to create sediment traps to control run- offfrom the construction area.
- D. Excess water used for dust control shall be contained within the demolition areas by the erosion control measures.

# 3.03 Maintenance of Temporary Facilities

- A. Inspect erosion and sediment control structures weekly. Ensure erosion and sediment control structures remain effective throughout excavation and grading operations. Relocate structures as necessary.
- B. Inspect control structures after each significant rainfall. Promptly repair breaches which occur.
- C. The Contractor shall remove entrapped sediment from behind excelsior drainage filter after each storm.

# 3.04 Disposal of Sediment from Stormwater Pollution Control Structures

- A. Sediment excavated from temporary sediment control structures shall be disposed on the site with general fill, or with topsoil. Sediment shall be allowed to dry out as required before reuse.
- B. Contractor shall place the sediment removed from traps and other structures where it will not enter a storm drain or watercourse and where it will not immediately reenter the basin.

# 3.05 Removal of Temporary Storm Water Pollution Control Measures

A. All temporary control measures shall be removed with permission of the Owner within 20 working days after final acceptance of the Project or once grading is completed and slopes have stabilized.

SWPPP Contents

- (a) SWPPP Certifications and Approval
- (b) Risk Level
- (c) Table of Contents
- (d) Qualified SWPPP Developer
- (e) Legally Responsible Person
- (f) Amendment Log
- (g) SWPPP Requirements
  - (i) Permit registration documents
  - (ii) SWPPP availability and implementation
  - (iii) SWPPP amendments
  - (iv) Retention of records
  - (v) Required non-compliance reporting
  - (vi) Annual report
  - (vii) Changes to permit coverage
  - (viii) Notice of Termination
- (h) Project Information
  - (i) Site description
  - (ii) Existing conditions
  - (iii) Existing drainage
  - (iv) Geology and groundwater
  - (v) Project description
  - (vi) Developed condition
  - (vii) Permits and governing documents
  - (viii) Stormwater run-on from off-site areas
  - (ix) Findings of the construction site sediment and receiving water risk determination
  - (x) Construction schedule
  - (xi) Potential construction activity and pollutant sources
  - (xii) Identification of non-stormwater discharges
  - (xiii) Required site map information
- (i) Best Management Practices

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- (i) Schedule for BMP implementation
- (ii) Erosion and sediment control
- (iii) Non-stormwater controls and waste and materials management
- (iv) Post-construction stormwater management measures
- (j) BMP inspection and maintenance
  - (i) Rain Event Action Plans
- (k) Training
- (I) Responsible parties and operators
  - (i) Responsible parties
  - (ii) Contractor list
- (m)Construction Monitoring Program
  - (i) Weather and rain event tracking
  - (ii) Monitoring locations
  - (iii) Safety and monitoring exemptions
  - (iv) Visual monitoring
  - (v) Routine observations and inspections: Routine BMP inspections, Non- stormwater discharge observations
  - (vi) Rain-event triggered observations and inspections: Visual observations prior to a forecasted qualifying rain event, BMP inspections during an extended storm event, Visual observations following a qualifying rain event
  - (vii) Visual monitoring procedures
  - (viii) Visual monitoring follow-up and reporting
  - (ix) Visual monitoring locations
  - (x) Water quality sampling and analysis
  - (xi) Sampling and analysis plan for non-visible pollutants in stormwater runoff discharges: Sampling scheduled, sampling locations, monitoring preparation, analytical constituents, sample collection, sample analysis, data evaluation and reporting
  - (xii) Sampling and analysis plan for pH and turbidity and stormwater runoff discharges: Sampling schedule, sampling locations, monitoring preparation, field parameters, sample collection, field measurements, data evaluation and reporting
  - (xiii) Sampling and analysis plan for non-stormwater discharges: Sampling schedule, sampling locations, monitoring preparation, analytical constituents, sample collection, sample analysis, data evaluation and reporting
  - (xiv) Additional monitoring following an NEL exceedance
  - (xv) Training of sampling personnel
  - (xvi) Sample collection and handling
  - (xvii) Sample documentation procedures
  - (xviii) Records retention
- (n) Attachments

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- (i) Construction General Permit
- (ii) Risk Level calculations
- (iii) Water Pollution Control Drawings
- (iv) Permit Registration Documents/Amendments
- (v) QSD/QSP Certifications
- (vi) SWPPP Amendment Certifications
- (vii) Construction Schedule
- (viii) Construction Activities, Materials Used, and Associated Pollutants
- (ix) CASQA Stormwater BMP Handbook Portal: Construction Fact Sheets
- (x) BMP Inspection Form
- (xi) Training Reporting Form
- (xii) Weather forecast reports
- (xiii) Monitoring records
- (xiv) Field meter instructions

#### END OF SECTION 01 35 44

## **SECTION 01 40 00**

# QUALITY REQUIREMENTS

#### Part 1. General

#### 1.01 Summary

- A. This Section includes administrative and procedural requirements for quality assurance and quality control.
- B. Testing and inspecting services are required to verify compliance with requirements specified or indicated. These services do not relieve Contractor of responsibility for compliance with the Contract Document requirements.
  - 1. Specified tests, inspections, and related actions do not limit Contractor's other quality-assurance and control procedures that facilitate compliance with the Contract Document requirements.
  - 2. Requirements for Contractor to provide quality-assurance and control services required by Architect, Owner, or authorities having jurisdiction are not limited by provisions of this Section.
  - 3. Specific test and inspection requirements are not specified in this Section.

#### 1.02 Definitions

- A. Quality-Assurance Services: Activities, actions, and procedures performed before and during execution of the Work to guard against defects and deficiencies and substantiate that proposed construction will comply with requirements.
- B. Quality-Control Services: Tests, inspections, procedures, and related actions during and after execution of the Work to evaluate that actual products incorporated into the Work and completed construction comply with requirements. Services do not include contract enforcement activities performed by Architect.
- C. Mockups: Full-size, physical assemblies that are constructed on-site. Mockups are used to verify selections made under sample submittals, to demonstrate aesthetic effects and, where indicated, qualities of materials and execution, and to review construction, coordination, testing, or operation; they are not Samples. Approved mockups establish the standard by which the Work will be judged.
- D. Laboratory Mockups: Full-size, physical assemblies that are constructed at testing facility to verify performance characteristics.
- E. Preconstruction Testing: Tests and inspections that are performed specifically for the Project before products and materials are incorporated into the Work to verify performance or compliance with specified criteria.
- F. Product Testing: Tests and inspections that are performed by an NRTL, an NVLAP, or a testing agency qualified to conduct product testing and acceptable to authorities having jurisdiction, to establish product performance and compliance with industry standards.
- G. Source Quality-Control Testing: Tests and inspections that are performed at the source, i.e., plant, mill, factory, or shop.
- H. Field Quality-Control Testing: Tests and inspections that are performed on-site for installation of the Work and for completed Work.
- I. Testing Agency: An entity engaged to perform specific tests, inspections, or both. Testing laboratory shall mean the same as testing agency.
- J. Installer/Applicator/Erector: Contractor or another entity engaged by Contractor as an employee, Subcontractor, or Sub-subcontractor, to perform a particular construction operation, including installation, erection, application, and similar operations.
- K. Using a term such as "carpentry" does not imply that certain construction activities must be performed by accredited or unionized individuals of a corresponding generic name, such as "carpenter." It also does not imply that requirements specified apply exclusively to Tradespeople of the corresponding generic name.
- L. Experienced: When used with an entity, "experienced" means having successfully completed a minimum of five previous projects similar in size and scope to this Project; being familiar with special requirements indicated; and having complied with requirements of authorities having jurisdiction. See specific specification sections for additional experience requirements.

# 1.03 Conflicting Requirements

- A. General: If compliance with two or more standards is specified and the standards establish different or conflicting requirements for minimum quantities or quality levels, comply with the most stringent requirement as defined in the General Conditions. Refer uncertainties and requirements that are different, but apparently equal, to Architect for a decision before proceeding.
- B. Minimum Quantity or Quality Levels: The quantity or quality level shown or specified shall be the minimum provided or performed. The actual installation may comply exactly with the minimum quantity or quality specified, or it may exceed the minimum within reasonable limits. To comply with these requirements, indicated numeric values are minimum or maximum, as appropriate, for the context of requirements. Refer uncertainties to Architect for a decision before proceeding.

# 1.04 Submittals

- A. Qualification Data: For testing agencies specified in "Quality Assurance" Article to demonstrate their capabilities and experience. Include proof of qualifications in the form of a recent report on the inspection of the testing agency by a recognized authority.
- B. Reports: Prepare and submit certified written reports that include the following:
  - 1. Date of issue.
  - 2. Project title and number.
  - 3. Name, address, and telephone number of testing agency.
  - 4. Dates and locations of samples and tests or inspections.
  - 5. Names of individuals making tests and inspections.
  - 6. Description of the Work and test and inspection method.
  - 7. Identification of product and Specification Section.
  - 8. Complete test or inspection data.
  - 9. Test and inspection results and an interpretation of test results.
  - 10.Record of temperature and weather conditions at time of sample taking and testing and inspecting.
  - 11. Comments or professional opinion on whether tested or inspected Work complies with the Contract Document requirements.
  - 12. Name and signature of laboratory inspector.
  - 13. Recommendations on retesting and re-inspecting.
- C. Permits, Licenses, and Certificates: For Owner's records, submit copies of permits, licenses, certifications, inspection reports, releases, jurisdictional settlements, notices, receipts for fee payments, judgments, correspondence, records, and similar documents, established for compliance with standards and regulations bearing on performance of the Work.

# 1.05 Quality Assurance

- A. General: Qualifications paragraphs in this Article establish the minimum qualification levels required; individual Specification Sections specify additional requirements.
- B. Installer Qualifications: A firm or individual experienced in installing, erecting, or assembling work similar in material, design, and extent to that indicated for this Project, whose work has resulted in construction with a record of successful in-service performance.
- C. Manufacturer Qualifications: A firm experienced in manufacturing products or systems similar to those indicated for this Project and with a record of successful in-service performance, as well as sufficient production capacity to produce required units.
- D. Fabricator Qualifications: A firm experienced in producing products similar to those indicated for this Project and with a record of

successful in-service performance, as well as sufficient production capacity to produce required units.

- E. Professional Engineer Qualifications: A professional engineer who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing engineering services of the kind indicated. Engineering services are defined as those performed for installations of the system, assembly, or products that are similar to those indicated for this Project in material, design, and extent.
- F. Specialists: Certain sections of the Specifications require that specific construction activities shall be performed by entities who are recognized experts in those operations. Specialists shall satisfy qualification requirements indicated and shall be engaged for the activities indicated.
  - 1. Requirement for specialists shall not supersede building codes and regulations governing the Work.
- G. Testing Agency Qualifications: An NRTL, an NVLAP, or an independent agency with the experience and capability to conduct testing and inspecting indicated, as documented according to ASTM E 548; and with additional qualifications specified in individual Sections; and where required by authorities having jurisdiction, that is acceptable to authorities.
  - 1. NRTL: A nationally recognized testing laboratory according to 29 CFR 1910.7.
  - 2. NVLAP: A testing agency accredited according to NIST's National Voluntary Laboratory Accreditation Program.
- H. Factory-Authorized Service Representative Qualifications: An authorized representative of manufacturer who is trained and approved by manufacturer to inspect installation of manufacturer's products that are similar in material, design, and extent to those indicated for this Project.
- I. Mockups: Before installing portions of the Work requiring mockups, build mockups for each form of construction and finish required to comply with the following requirements, using materials indicated for the completed Work:
  - 1. Build mockups in location and of size indicated or, if not indicated, as directed by Architect.
  - 2. Notify Architect seven (7) days in advance of dates and times when mockups will be constructed.
  - 3. Demonstrate the proposed range of aesthetic effects and workmanship.
  - 4. Obtain Architect's approval of mockups before starting work, fabrication, or construction.
  - 5. Maintain mockups during construction in an undisturbed condition as a standard for judging the completed Work.

- 6. Demolish and remove mockups when directed, unless otherwise indicated.
- J. Laboratory Mockups: Comply with requirements of preconstruction testing and those specified in individual Sections in Divisions 02 through 48.

# 1.06 Quality Control

- A. Owner Responsibilities: Where quality-control services are indicated as Owner's responsibility, Owner will engage a qualified testing agency to perform these services.
  - 1. Owner will furnish Contractor with names, addresses, and telephone numbers of testing agencies engaged and a description of types of testing and inspecting they are engaged to perform.
  - 2. Costs for retesting and re-inspecting construction that replaces or is necessitated by work that failed to comply with the Contract Documents will be charged to Contractor (contract sum adjusted through change order procedures).
- B. Tests and inspections not explicitly assigned to Owner are Contractor's responsibility. Unless otherwise indicated, provide quality-control services specified and those required by authorities having jurisdiction. Perform quality-control services required of Contractor by authorities having jurisdiction, whether specified or not.
  - 1. Where services are indicated as Contractor's responsibility, engage a qualified testing agency to perform these quality-control services.
    - (a) Contractor shall not employ same entity engaged by Owner, unless agreed to in writing by Owner.
  - 2. Notify testing agencies at least 24 hours in advance of time when Work that requires testing or inspecting will be performed.
  - 3. Where quality-control services are indicated as Contractor's responsibility, submit a certified written report, in duplicate, of each quality-control service.
  - 4. Testing and inspecting requested by Contractor and not required by the Contract Documents are Contractor's responsibility.
  - 5. Submit additional copies of each written report directly to authorities having jurisdiction, when they so direct.
- C. Manufacturer's Field Services: Where indicated, engage a factoryauthorized service representative to inspect field-assembled components and equipment installation, including service connections. Report results in writing as specified in Division 01 Section "Submittal Procedures."
- D. Retesting/Re-inspecting: Regardless of whether original tests or inspections were Contractor's responsibility, provide quality-control

services, including retesting and re-inspecting, for construction that replaced Work that failed to comply with the Contract Documents.

- E. Testing Agency Responsibilities: Cooperate with Architect and Contractor in performance of duties. Provide qualified personnel to perform required tests and inspections.
  - 1. Notify Architect and Contractor promptly of irregularities or deficiencies observed in the Work during performance of its services.
  - 2. Determine the location from which test samples will be taken and in which in-situ tests are conducted.
  - 3. Conduct and interpret tests and inspections and state in each report whether tested and inspected work complies with or deviates from requirements.
  - 4. Submit a certified written report, in duplicate, of each test, inspection, and similar quality-control service through Contractor.
  - 5. Does not release, revoke, alter, or increase the Contract Document requirements or approve or accept any portion of the Work.
  - 6. Do not perform any duties of Contractor.
- F. Associated Services: Cooperate with agencies performing required tests, inspections, and similar quality-control services, and provide reasonable auxiliary services as requested. Notify agency sufficiently in advance of operations to permit assignment of personnel. Provide the following:
  - 1. Access to the Work.
  - 2. Incidental labor and facilities necessary to facilitate tests and inspections.
  - 3. Adequate quantities of representative samples of materials that require testing and inspecting. Assist agency in obtaining samples.
  - 4. Facilities for storage and field curing of test samples.
  - 5. Delivery of samples to testing agencies.
  - 6. Preliminary design mix proposed for use for material mixes that require control by testing agency.
  - 7. Security and protection for samples and for testing and inspecting equipment at Project site.
- G. Coordination: Coordinate sequence of activities to accommodate required quality- assurance and -control services with a minimum of delay and to avoid necessity of removing and replacing construction to accommodate testing and inspecting.
  - 1. Schedule times for tests, inspections, obtaining samples, and similar activities.

# 1.07 Special Tests and Inspections

- A. Special Tests and Inspections: Owner will engage a qualified testing agency, special inspector and/or Essential Services Inspector to conduct special tests and inspections required by authorities having jurisdiction as the responsibility of Owner, and as follows:
  - 1. Verifying that manufacturer maintains detailed fabrication and quality-control procedures and reviewing the completeness and adequacy of those procedures to perform the Work.
  - 2. Notifying Architect and Contractor promptly of irregularities and deficiencies observed in the Work during performance of its services.
  - 3. Submitting a certified written report of each test, inspection, and similar quality- control service to Architect with copy to Contractor and to authorities having jurisdiction.
  - 4. Submitting a final report of special tests and inspections at Substantial Completion, this includes a list of unresolved deficiencies.
  - 5. Interpreting tests and inspections and stating in each report whether tested and inspected work complies with or deviates from the Contract Documents.
  - 6. Retesting and re-inspecting corrected work.

# Part 2. Products (Not Used)

#### Part 3. Execution

# 3.01 Test and Inspection Log

- A. Test and Inspection Log: Prepare a record of tests and inspections. Include the following:
  - 1. Date test or inspection was conducted.
  - 2. Description of the Work tested or inspected.
  - 3. Date test or inspection results were transmitted to Architect.
  - 4. Identification of testing agency or special inspector conducting test or inspection.
- B. Maintain log at Project site. Post changes and revisions as they occur. Provide access to test and inspection log for Architect during normal working hours.

#### 3.02 Repair and Protection

A. General: On completion of testing, inspecting, sample taking, and similar services, repair damaged construction and restore substrates and finishes.

- 1. Provide materials and comply with installation requirements specified in other Specification Sections. Restore patched areas and extend restoration into adjoining areas with durable seams that are as invisible as possible.
- 2. Comply with the Contract Document requirements for Section 01 73 29 "Cutting and Patching."
- B. Protect construction exposed by or for quality-control service activities.
- C. Repair and protection are Contractor's responsibility, regardless of the assignment of responsibility for quality-control services.

# END OF SECTION 01 40 00

### **SECTION 01 42 00**

#### REFERENCES

#### Part 1. General

#### 1.01 Definitions

- A. General: Basic Contract definitions are included in the Conditions of the Contract.
- B. "Approved": When used to convey Architect's action on Contractor's submittals, applications, and requests, "approved" is limited to Architect's duties and responsibilities as stated in the Conditions of the Contract.
- C. "Directed": A command or instruction by Architect. Other terms including "requested," "authorized," "selected," "required," and "permitted" have the same meaning as "directed."
- D. "Indicated": Requirements expressed by graphic representations or in written form on Drawings, in Specifications, and in other Contract Documents. Other terms including "shown," "noted," "scheduled," and "specified" have the same meaning as "indicated."
- E. "Regulations": Laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, and rules, conventions, and agreements within the construction industry that control performance of the Work.
- F. "Furnish": Supply and deliver to Project site, ready for unloading, unpacking, assembly, installation, and similar operations.
- G. "Install": Operations at Project site including unloading, temporarily storing, unpacking, assembling, erecting, placing, anchoring, applying, working to dimension, finishing, curing, protecting, cleaning, and similar operations.
- H. "Provide": Furnish and install, complete and ready for the intended use.
- I. "Project Site": Space available for performing construction activities. The extent of Project site is shown on Drawings and may or may not be identical with the description of the land on which Project is to be built.

#### 1.02 Industry Standards

A. Applicability of Standards: Unless the Contract Documents include more stringent requirements, applicable construction industry standards have the same force and effect as if bound or copied directly into the Contract Documents to the extent referenced. Such standards are made a part of the Contract Documents by reference.

- B. Publication Dates: Comply with standards in effect as of date of the Contract Documents unless otherwise indicated.
- C. Copies of Standards: Each entity engaged in construction on Project should be familiar with industry standards applicable to its construction activity. Copies of applicable standards are not bound with the Contract Documents.
- D. Where copies of standards are needed to perform a required construction activity, obtain copies directly from publication source.

# 1.03 Abbreviations and Acronyms

A. Industry Organizations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

AA	Aluminum Association, Inc. (The)
AAADM	American Association of Automatic Door Manufacturers
AABC	Associated Air Balance Council
AAMA	American Architectural Manufacturers Association
AASHTO	American Association of State Highway and Transportation Officials
AATCC	American Association of Textile Chemists and Colorists (The)
ABAA	Air Barrier Association of America
ABMA	American Bearing Manufacturers Association
ACI	International (American Concrete Institute)
ACPA	American Concrete Pipe Association
AEIC	Association of Edison Illuminating Companies, Inc. (The)
AF&PA	American Forest & Paper Association
AGA	American Gas Association
AGC	Associated General Contractors of America (The)
AHA	American Hardboard Association (Now part of CPA)
AHAM	Association of Home Appliance Manufacturers
AI	Asphalt Institute
AIA	American Institute of Architects (The)

AISC	American Institute of Steel Construction
AISI	American Iron and Steel Institute
AITC	American Institute of Timber Construction
ALCA	Associated Landscape Contractors of America
ALSC	American Lumber Standard Committee, Incorporated
AMCA	Air Movement and Control Association International, Inc
ANSI	American National Standards Institute
AOSA	Association of Official Seed Analysts, Inc
APA	Architectural Precast Association
APA EWA	APA - The Engineered Wood Association
APA EWS	APA - The Engineered Wood Association; Engineered Wood Systems
API	American Petroleum Institute
Spl	Air-Conditioning & Refrigeration Institute
ARMA	Asphalt Roofing Manufacturers Association
ASCE	American Society of Civil Engineers
ASCE/SEI	American Society of Civil Engineers/Structural Engineering Institute (See ASCE)
ASHRAE	American Society of Heating, Refrigerating and Air- Conditioning Engineers
ASME	ASME International
ASSE	American Society of Sanitary Engineering
ASTM	ASTM International (American Society for Testing and Materials International)
AWCI	AWCI International (Association of the Wall and Ceiling Industry International)
AWCMA	American Window Covering Manufacturers Association
AWI	Architectural Woodwork Institute
AWPA	American Wood-Preservers' Association
AWS	American Welding Society
AWWA	American Water Works Association
BHMA	Builders Hardware Manufacturers Association

BIA	Brick Industry Association (The)
BICSI	BICSI
BIFMA	BIFMA International (Business and Institutional Furniture Manufacturer's Association International)
BISSC	Baking Industry Sanitation Standards Committee
CCC	Carpet Cushion Council
CDA	Copper Development Association
CEA	Canadian Electricity Association
CFFA	Chemical Fabrics & Film Association, Inc.
CGA	Compressed Gas Association
CIMA	Cellulose Insulation Manufacturers Association
CISCA	Ceilings & Interior Systems Construction Association
CISPI	Cast Iron Soil Pipe Institute
CLFMI	Chain Link Fence Manufacturers Institute
10	Cool Roof Rating Council
СРА	Composite Panel Association
CPPA	Corrugated Polyethylene Pipe Association
CRI	Carpet & Rug Institute (The)
CRSI	Concrete Reinforcing Steel Institute
CSA	Canadian Standards Association
CSA	CSA International (Formerly: IAS - International Approval Services)
CSI	Cast Stone Institute
CSI	Construction Specifications Institute (The)
CSSB	Cedar Shake & Shingle Bureau
СТІ	Cooling Technology Institute (Formerly: Cooling Tower Institute)
DHI	Door and Hardware Institute
EIA	Electronic Industries Alliance
EIMA	EIFS Industry Members Association
EJCDC	Engineers Joint Contract Documents Committee

EJMA	Expansion Joint Manufacturers Association, Inc.
ESD	ESD Association
FIBA	Federation International de Basketball (The International Basketball Federation)
FM Approvals/FM Global	(Formerly: FMG - FM Global)
FMRC	Factory Mutual Research
FRSA	Florida Roofing, Sheet Metal & Air Conditioning Contractors Association, Inc.
FSA	Fluid Sealing Association
FSC	Forest Stewardship Council
GA	Gypsum Association
GANA	Glass Association of North America
GRI	(Now GSI)
GS	Green Seal
GSI	Geosynthetic Institute
HI	Hydraulic Institute
HI	Hydronics Institute
HMMA	Hollow Metal Manufacturers Association (Part of NAAMM)
HPVA	Hardwood Plywood & Veneer Association
HPW	H. P. White Laboratory, Inc.
IBF	International Badminton Federation
ICEA	Insulated Cable Engineers Association, Inc.
ICRI	International Concrete Repair Institute, Inc.
IEC	International Electrotechnical Commission
IEEE	Institute of Electrical and Electronics Engineers, Inc. (The)
IESNA	Illuminating Engineering Society of North America
IEST	Institute of Environmental Sciences and Technology
IGCC	Insulating Glass Certification Council
IGMA	Insulating Glass Manufacturers Alliance

ILI	Indiana Limestone Institute of America, Inc.
ISO	International Organization for Standardization
ISSFA	International Solid Surface Fabricators Association
ITS	Intertek Testing Service NA
ITU	International Telecommunication Union
KCMA	Kitchen Cabinet Manufacturers Association
LMA	Laminating Materials Association
LPI	Lightning Protection Institute
MBMA	Metal Building Manufacturers Association
MFMA	Maple Flooring Manufacturers Association, Inc
MFMA	Metal Framing Manufacturers Association, Inc.
MH	Material Handling
MHIA	Material Handling Industry of America
MIA	Marble Institute of America
MPI	Master Painters Institute
MSS	Manufacturers Standardization Society of The Valve and Fittings Industry Inc.
NAAMM	National Association of Architectural Metal Manufacturers
NACE (international)	(National Association of Corrosion Engineers International)
NADCA	National Air Duct Cleaners Association
NAGWS	National Association for Girls and Women in Sport
NAIMA	North American Insulation Manufacturers Association
NBGQA	National Building Granite Quarries Association, Inc.
NCAA	National Collegiate Athletic Association
NCMA	National Concrete Masonry Association
NCPI	National Clay Pipe Institute
NCTA	National Cable & Telecommunications Association
NEBB	National Environmental Balancing Bureau
NECA	National Electrical Contractors Association
NeLMA	Northeastern Lumber Manufacturers' Association

NEMA	National Electrical Manufacturers Association
NETA	InterNational Electrical Testing Association
NFHS	National Federation of State High School Associations
NFPA	(National Fire Protection Association)
NFRC	National Fenestration Rating Council
NGA	National Glass Association
NHLA	National Hardwood Lumber Association
NLGA	National Lumber Grades Authority
NOFMA	The Wood Flooring Manufacturers Association (Formerly: National Oak Flooring Manufacturers Association)
NRCA	National Roofing Contractors Association
NRMCA	National Ready Mixed Concrete Association
NSF	(National Sanitation Foundation International)
NSSGA	National Stone, Sand & Gravel Association
NTMA	National Terrazzo & Mosaic Association, Inc. (The)
NTRMA	National Tile Roofing Manufacturers Association (Now TRI)
NWWDA	National Wood Window and Door Association (Now WDMA)
OPL	Omega Point Laboratories, Inc. (Now ITS)
PCI	Precast/Prestressed Concrete Institute
PDCA	Painting & Decorating Contractors of America
PDI	Plumbing & Drainage Institute
PGI	PVC Geomembrane Institute
PLANET	Professional Landcare Network
PTI	Post-Tensioning Institute
RFCI	Resilient Floor Covering Institute
ACLA	Associated Landscape Contractors of America
RCSC	Research Council on Structural Connections
RIS	Redwood Inspection Service
SVE	SAE International

SDI	Steel Door Institute
SEFA	Scientific Equipment and Furniture Association
SEI/ASCE	Structural Engineering Institute/American Society of Civil Engineers
SGCC	Safety Glazing Certification Council
SIA	Security Industry Association
SIGMA	Sealed Insulating Glass Manufacturers Association (Now IGMA)
SJI	Steel Joist Institute
SMA	Screen Manufacturers Association
SMACNA	Sheet Metal and Air Conditioning Contractors' National Association
SMPTE	Society of Motion Picture and Television Engineers
SPFA	Spray Polyurethane Foam Alliance
SPFD	The Society of the Plastics Industry, Inc.; Spray Polyurethane Foam Division)
SPIB	Southern Pine Inspection Bureau (The)
SPRI	Single Ply Roofing Industry
SSINA	Specialty Steel Industry of North America
SSPC	SSPC: The Society for Protective Coatings
STI	Steel Tank Institute
SWI	Steel Window Institute
SWRI	Sealant, Waterproofing, & Restoration Institute
TCA	Tile Council of America, Inc.
TIA/EIA	Telecommunications Industry Association/Electronic Industries Alliance
TMS	The Masonry Society
TPI	Truss Plate Institute, Inc.
TPI	Turfgrass Producers International
TRI	Tile Roofing Institute
UL	Underwriters Laboratories Inc.
UNI	Uni-Bell PVC Pipe Association
USAV	USA Volleyball

USGBC	U.S. Green Building Council
USITT	United States Institute for Theatre Technology, Inc.
WASTEC	Waste Equipment Technology Association
WCLIB	West Coast Lumber Inspection Bureau
WCMA	Window Covering Manufacturers Association
WCSC	Window Covering Safety Council
WCMA	Window Covering Manufacturers Association)
WDMA	Window & Door Manufacturers Association
NWWDA	National Wood Window and Door Association)
WI	Woodwork Institute (Formerly: WIC - Woodwork Institute of California)
WIC	Woodwork Institute of California (Now WI)
WMMPA	Wood Moulding & Millwork Producers Association
WSRCA	Western States Roofing Contractors Association
WWPA	Western Wood Products Association

B. Code Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

BOCA	BOCA International, Inc
IAPMO	International Association of Plumbing and Mechanical
	Officials
ICBO	International Conference of Building Officials
ICBO ES	ICBO Evaluation Service, Inc.
ICC	International Code Council
ICC-ES	ICC Evaluation Service, Inc.
SBCCI	Southern Building Code Congress International, Inc.
	(See ICC)
UBC	Uniform Building Code

C. Federal Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list.

ACE	Army Corps of Engineers
CPSC	Consumer Product Safety Commission
DOC	Department of Commerce
DOD	Department of Defense
DOE	Department of Energy
EPA	Environmental Protection Agency

eral Aviation Administration
eral Communications Commission
and Drug Administration
eral Services Administration
artment of Housing and Urban Development
ence Berkeley National Laboratory
onal Cooperative Highway Research Program
onal Institute of Standards and Technology
sportation Research Board
upational Safety & Health Administration
ic Building Service
e of Public Health and Science
I Utilities Service
e Department
sportation Research Board
artment of Agriculture
al Service

D. Standards and Regulations: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the standards and regulations in the following list.

ADA	Americans with Disabilities Act
ABA	Architectural Barriers Act
CFR	Code of Federal Regulations
DOD	Department of Defense Military Specifications and
	Standards
DSCC	Defense Supply Center Columbus
FED-STD	Federal Standard
FS	Federal Specification
FTMS	Federal Test Method Standard
MILSPEC	Military Specification and Standards
UFAS	Uniform Federal Accessibility Standards

E. State Government Agencies: Where abbreviations and acronyms are used in Specifications or other Contract Documents, they shall mean the recognized name of the entities in the following list

CALTRANS	California Transportation Agency
CBHFT	California, Department of Consumer Affairs Bureau of
	Home Furnishings and Thermal Insulation
CCR	California Code of Regulations
CPUC	California Public Utilities Commission
TFS	Texas Forest Service
FRD	Forest Resource Development

### Part 2. Products (Not Used)

# Part 3. Execution (Not Used)

# END OF SECTION 01 42 00

### **SECTION 01 43 39**

#### MOCKUPS

### PART 1 – GENERAL

#### 1.01 Mockup Summary

A. Mockups will be used to review of appearance, quality of workmanship, coordination, compatibility, and relationships with adjacent materials. Unless otherwise specifically indicated mockups shall be constructed in place at location directed by Architect. Approved mock ups may remain as part of the work.

#### 1.02 Submittals

- A. General: Comply with Section 01 33 00.
- B. Mockups shall not be fabricated until after acceptance of required submittals for all materials to be incorporated into the mockups. This means that the Project schedule shall take into account early submittal of these components to the Architect.
- C. Samples: Prior to construction of mockups, provide samples as specified in the respective Specification Sections included as part of the mockups.

### 1.03 Quality Assurance

- A. Design Concept: Mockup requirements are intended to establish function, workmanship, finish, and color for conformance with the architectural design intent.
- B. Purpose: To verify suitability of colors, finishes, and satisfactory integration of building materials and components indicated and required.
- C. Performance: Mockups shall be constructed for the Architect's review for compliance with the Contract Documents and shall be used as a standard for the final installation.
- D. Make necessary additions and modifications to mockups as directed by the Architect.
- E. Modify mockups, or construct or install new components if requested by the Architect, until final acceptance is obtained.
- F. Mockups shall serve as the standard for subsequent work of like kind after approval by the Architect. Be prepared, at no additional cost to the Owner, to make as many modifications as required to achieve mockups that are acceptable to the Architect and of sufficient quality to serve as the standard for the complete Project.
- G. Following acceptance, mockups shall serve as a performance standard of quality and appearance of the work it represents, including the interface with adjacent

- H. materials and components as applicable.
- I. Coordinate fabrication, delivery, assembly, and installation with related materials to be included in the mockups. Construction of the mockup assemblies shall be under the supervision of the same personnel who will be employed for the subsequent work.
- J. Maintain mockups in neat, clean condition until removal or final acceptance. Repair damage as required to maintain in condition suitable for review and approval.
- K. Scheduling:
  - 1. Construct mockups in a timely manner to permit review and modifications such that the work is not delayed.
  - 2. Do not proceed with ordering of components or construction subject to mockup approval until after approvals have been obtained.
  - 3. Provide the Architect not less than 10 working days notice of the time each component is ready for review.
  - 4. Include line item in the construction schedule for the building section mockup, showing submittals, construction, review, and approval periods.

# Part 2. Products

### 2.01 Materials

A. As specified in the respective Sections of the Specifications.

#### Part 3. Execution

# 3.01 EXTERIOR BUILDING MOCKUP

- A. Provide building mockup of building area indicated where directed by the Architect.
- B. Purpose: Establish standards for work indicated and specified to be included in mock-ups to demonstrate quality of workmanship, materials, colors, and textures required by the Contract Documents. Include roof, roof overhang, soffits, windows, doors, glazing, sealants, siding and cladding, flashings, and other exterior materials.
  - 1. Mockup will be used by the Architect to test color and material alternatives and to approve final colors, textures and workmanship.
  - 2. Interior finishes will not be required to be installed on the interior side of the exterior building mockup.

# END OF SECTION 01 43 39

# **SECTION 01 50 00**

# **TEMPORARY FACILITIES AND CONTROLS**

#### Part 1. General

#### 1.01 Summary

- A. This Section includes requirements for temporary fencing, utilities, support facilities, and security and protection facilities.
- B. See Section 01 73 00 "Execution Requirements" for progress cleaning requirements.
- C. See Divisions 02 through 33 Sections for products in those Sections.

#### 1.02 Definitions

A. Permanent Enclosure: As determined by Architect, permanent or temporary roofing is complete, insulated, and weather tight; exterior walls are insulated and weather tight; and all openings are closed with permanent construction or substantial temporary closures.

#### 1.03 Use Charges

- A. General: Cost or use charges for temporary facilities shall be included in the Contract Sum. Allow other entities to use temporary services and facilities without cost, including, but not limited to, Owner's construction forces, Architect, occupants of Project, testing agencies, and authorities having jurisdiction.
- B. Water Service: Water from Owner's existing water system is not available for use without metering and without payment of use charges. Contractor is responsible for coordination of this activity with the local municipality.
- C. Electric Power Service: Contractor to provide connections and extensions of services as required for construction operations including the office trailers. Contractor will be responsible to coordinate and make arrangements with PG&E to provide temporary power to the construction site. Contractor will be responsible for all costs associated with the installation of temporary power and any and all use charges for the duration of the contract. Owner shall provide temporary power as required to allow for operation of office trailers, and construction power. Contractor shall pursue engineering and installation of temporary power at their own cost.
  - 1. If using a generator, contractor to abide by all local laws and regulation including.
- D. Internet Service/Data: Temporary hardwired service is not currently available in the immediate area of the site, but contractor may

pursue engineering and installation of temporary hardwired service at their own cost. Contractor may need to pursue other options to meet the contractual temporary service requirements (satellite, etc.).

E. Temporary Fencing: Contractor to provide temporary fencing at the perimeter of the project site with adequate protection provided to pedestrians outside of the project site. If there is any exposure to falling objects outside of the project site then the Contractor must provide a covered walkway

# 1.04 Submittals

- A. Site Plan: Show temporary facilities, utility hookups, staging areas, and parking areas for construction personnel and Architect.
- B. Erosion- and Sedimentation-Control Plan: Show compliance with requirements of EPA Construction General Permit or authorities having jurisdiction, whichever is more stringent.
- C. Fire-Safety Program: Show compliance with requirements of NFPA 241 and authorities having jurisdiction. Indicate Contractor personnel responsible for management of fire prevention program.

# 1.05 Quality Assurance

- A. Electric Service: Comply with NECA, NEMA, and UL standards and regulations for temporary electric service. Install service to comply with NFPA 70.
- B. Tests and Inspections: Arrange for authorities having jurisdiction to test and inspect each temporary utility before use. Obtain required certifications and permits.

# **1.06 Project** Conditions

A. Temporary Use of Permanent Facilities: Installer of each permanent service shall assume responsibility for operation, maintenance, and protection of each permanent service during its use as a construction facility before Owner's acceptance, regardless of previously assigned responsibility.

# Part 2. Products

# 2.01 Materials

A. Temporary Chain-Link Fencing: Minimum 2-inch, 9-gage, galvanized steel, chain-link fence with privacy screening fabric mesh; minimum 6 feet high with galvanized steel pipe posts; minimum 2-3/8-inch-OD line posts and 2-7/8-inch-OD corner and pull posts, with 1-5/8-inch-OD top and bottom rails. Galvanized Steel posts will be required to be driven into the ground for support and stability or portable fencing, if appropriate, with sufficient hold down weight to prevent overturning.

# 2.02 Temporary Facilities for Project Site

- A. Field Offices for each site, General: Prefabricated or mobile units with serviceable finishes, temperature controls, and foundations adequate for normal loading.
- B. Field Offices: Provide and maintain for the duration of the Work temporary offices on site for use by the Contractor and a <u>separate</u> onsite trailer for use by the Owner's representatives (including Owner, and Architect).
  - 1. Offices shall be equipped with secure wireless internet capabilities to allow for onsite users access to email and the internet.
  - 2. Offices shall be provided with a door and lock with security bar and a window with a minimum size of 4 feet x 3 feet and security screen. Provide and maintain an electric heater and air conditioning along with adequate electric lighting for each office.
- C. Storage and Fabrication Sheds: Provide sheds sized, furnished, and equipped to accommodate materials and equipment for construction operations.
- D. Temporary Field Fencing: Provide fence size, material and privacy screen fabric mesh to encompass each site, furnish and installed, equipped for entrance of utility trucks (16- foot gates) and man gates for easy access.
- E. Network Setup: The network shall have the following requirements:
- F.
- The internet connection must be separate service and independent of the Contractor's and shall be the fastest speed available in the area with a minimum a 20-Meg upload / 20-Meg download speed. This should be accomplished via a hard-wired connection.
  - (a) Wired network connections must be provided at
    - (i) The Office, Plan room, and the Printer/Scanner.
  - (b) Reasonable access to power for the equipment must be provided.
- 2. If it is necessary to "piggyback" off of an existing hard-wired line, the line provided to the Owner's Representative shall be an unfiltered line, with no limitations set by the main line holder, such as access restrictions or DNS port blocking.
- 3. In the cases where a hard-wired connection is not available, the wireless connection provided must meet the same speed needs of 20-Meg upload / 20-Meg download. It shall also be of sufficient bandwidth to meet the needs of the staff.

4. In the case of sites where the internet speeds do not meet the above requirements, a cellular "hot-spot" is to be provided if resulting in a faster connection, along with a local storage device must be provided for the location to save the project data.

# 2.03 Equipment

- A. Fire Extinguishers: Portable, UL rated; with class and extinguishing agent as required by locations and classes of fire exposures.
- B. HVAC Equipment: Unless Owner authorizes use of permanent HVAC system, provide vented, self-contained, liquid-propane-gas or fuel-oil heaters with individual space thermostatic control.
  - 1. Use of gasoline-burning space heaters, open-flame heaters, or salamander-type heating units is prohibited.
  - 2. Heating Units: Listed and labeled for type of fuel being consumed, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.
  - 3. Permanent HVAC System: If Owner authorizes use of permanent HVAC system for temporary use during construction, provide filter with MERV of 8 at each return air grille in system and remove at end of construction.

# Part 3. Execution

# 3.01 Installation, General

- A. Locate facilities where they will serve Project adequately and result in minimum interference with performance of the Work. Relocate and modify facilities as required by progress of the Work.
- B. Provide each facility ready for use when needed to avoid delay. Do not remove until facilities are no longer needed or are replaced by authorized use of completed permanent facilities.

# 3.02 Temporary Utility Installation for Project Site

- A. General: Install temporary service or connect to existing service.
  - 1. Arrange with utility company, Owner, and existing users for time when service can be interrupted, if necessary, to make connections for temporary services.
- B. Electrical Power and Lighting:
  - Contractor will furnish and pay for power during the course of the work to the extent power is not in the building(s) or on the Site. Contractor shall be responsible for providing temporary facilities required on the Site to point of intended use.
  - 2. Contractor shall furnish, wire for, install and maintain temporary electrical lights wherever it is necessary to provide illumination for the proper performance and/ or observe of the Work: a minimum of 20 foot-candles for rough work and 50 foot-candles for finish work.

- 3. Contractor shall be responsible for maintaining existing lighting levels in the Project vicinity should temporary outage or service interruptions occur.
- C. Sewers and Drainage: Provide temporary utilities to remove effluent lawfully.
  - 1. Connect temporary sewers to municipal system as directed by authorities having jurisdiction. Coordination is the responsibility of the Contractor.
- D. Water Service: Install water service and distribution piping in sizes and pressures adequate for construction and modular passenger terminal.
- E. Sanitary Facilities: Provide temporary toilets, wash facilities, and drinking water for use of construction personnel. Comply with authorities having jurisdiction for type, number, location, operation, and maintenance of fixtures and facilities. Provide connection to existing sanitary sewer for temporary passenger terminal modular.
- F. Heating and Cooling: Provide temporary heating and cooling required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of low temperatures or high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed.
- G. Ventilation and Humidity Control: Provide temporary ventilation required by construction activities for curing or drying of completed installations or for protecting installed construction from adverse effects of high humidity. Select equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate ventilation requirements to produce ambient condition required and minimize energy consumption.
- H. Electric Power Service: County to provide. Contractor shall provide electric power service and distribution system of sufficient size, capacity, and power characteristics required for construction operations including office trailers.
  - 1. See Section 1.3.C
- I. Lighting: Provide temporary lighting with local switching that provides adequate illumination for construction operations, observations, inspections, and traffic conditions.
  - 1. Install and operate temporary lighting that fulfills security and protection requirements without operating entire system.

# 3.03 Temporary Controls

A. Noise Control

- 1. Contractor acknowledges that adjacent facilities may remain in operation during all or a portion of the Work, and it shall take all reasonable precaution to minimize noise as required by applicable class and the Contract Documents.
- 2. Notices of proposed noisy operations, including without limitation, operation of pneumatic demolition tools, concrete saws, and other equipment, shall be submitted to the County a minimum of forty-eight (48) hours in advance of their performance. Contractor responsible for obtaining all permits required for construction noise outside of the times allowable within the noise ordinance.
- 3. Contractor to meet the Conditions of Approval related to Noise Control as defined in the Contract Documents.
- B. Noise and Vibration
  - 1. Equipment and impact tools shall have intake and exhaust mufflers.
  - 2. Contractor shall cooperate with the County to minimize and /or cease the use of noisy and vibratory equipment if that equipment becomes objectionable by its longevity.
  - 3. Contractor to meet the Conditions of Approval related to noise and vibration as defined in the Contract Documents.
- C. Dust and Dirt
  - 1. Contractor shall conduct demolition and construction operations to minimize the generation of dust and dirt, and prevent dust and dirt from interfering with the progress of the Work and from accumulating in the Work and adjacent areas including, without limitation, occupied facilities.
  - 2. Contractor shall periodically water exterior demolition and construction areas to minimize the generation of dust and dirt.
  - 3. Contractor shall ensure that all hauling equipment and trucks carrying loads of soil and debris shall have their loads sprayed with water or covered with tarpaulins, and as otherwise required by local and state ordinance.
  - 4. Contractor shall prevent dust and dirt from accumulating on walks, roadways, parking areas, and planting, and from washing into sewer and storm drain lines.
  - 5. Contractor to meet the Conditions of Approval related to dust and dirt as defined in the Contract Documents.
  - 6. Comply with FAA Orders and Standards.

# 3.04 Support Facilities Installation

- A. General: Comply with the following:
  - 1. Provide incombustible construction for offices, shops, and sheds located within construction area or within thirty (30) feet of building lines. Comply with NFPA 241.

- 2. Maintain support facilities until near Substantial Completion. Remove before Substantial Completion. Personnel remaining after Substantial Completion will be permitted to use permanent facilities, under conditions acceptable to Owner.
- B. Temporary Roads and Paved Areas: Construct and maintain temporary roads and paved areas adequate for construction operations. Locate temporary roads and paved areas within construction limits indicated on Drawings.
  - 1. Provide dust-control treatment that is nonpolluting and nontracking. Reapply treatment as required to minimize dust.
- C. Temporary Roads and Paved Areas: All areas are currently paved.
- D. Traffic Controls: Comply with requirements of authorities having jurisdiction.
  - 1. Protect existing site improvements to remain including curbs, pavement, and utilities.
  - 2. Maintain access for fire-fighting equipment and access to fire hydrants.
- E. Parking: Contractor is to provide temporary parking for construction personnel within confines of the designated construction site. If parking within the designated construction site becomes unavailable due to number of Trades, Subcontractors and Vendors, Contractor shall be responsible for coordinating with the County, or local jurisdiction for parking requirements, locations, permits, shuttle services, etc. Contractor is to review and follow all related Conditions of Approval, Laws and Regulations from the Contra Costa County.
- F. Dewatering Facilities and Drains: Comply with requirements of authorities having jurisdiction. Maintain Project site, excavations, and construction free of water. (Refer to demolition drawings for specifics)
- G. Dispose of rainwater in a lawful manner that will not result in flooding Project or adjoining properties nor endanger permanent Work or temporary facilities.
- H. Project Identification and Temporary Signs: Provide Project identification and other signs as indicated on drawings or required by the County or the City. Install signs where indicated to inform public and individuals seeking entrance to Project. Unauthorized signs are not permitted.
  - 1. Provide temporary, directional signs for construction personnel and visitors.
  - 2. Maintain and touchup signs so they are legible at all times.
- I. Waste Disposal Facilities: Provide waste-collection containers in sizes adequate to handle waste from construction operations. Comply with requirements of authorities having jurisdiction. Comply

with Section 01 73 00 "Execution Requirements" for progress cleaning requirements.

- J. Lifts and Hoists: Provide facilities necessary for hoisting materials and personnel.
  - 1. Truck cranes and similar devices used for hoisting materials are considered "tools and equipment" and not temporary facilities.

### 3.05 Security and Protection Facilities Installation

- A. Environmental Protection: Provide protection, operate temporary facilities, and conduct construction in ways and by methods that comply with environmental regulations and that minimize possible air, waterway, and subsoil contamination or pollution or other undesirable effects.
- B. Temporary Erosion and Sedimentation Control: Provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to adjacent properties and walkways, according to requirements of authorities having jurisdiction.
- C. Stormwater Control: Comply with authorities having jurisdiction. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
- D. Pest Control: Engage pest-control service to recommend practices to minimize attraction and harboring of rodents, roaches, and other pests and to perform extermination and control procedures at regular intervals so Project will be free of pests and their residues at Substantial Completion. Obtain extended warranty for Owner. Perform control operations lawfully, using environmentally safe materials.
- E. Temporary Site Enclosure Fence: All costs associated with the install, monthly fencing rental fees and demobilization of the fencing will be the responsibility of the contractor. Furnish and install any additional site enclosure fence panels in a manner that will prevent people and animals from easily entering site except by entrance gates.
  - 1. Temporary Fence: As required to enclose entire Project site or portion determined sufficient to accommodate construction operations and protection of the public. Operations include temporary offices, parking, staging areas, actual construction site.
- F. Security Enclosure and Lockup: Install substantial temporary enclosure around partially completed areas of construction. Contractor is responsible to ensure that the fencing and all gates are secured and locked prior to leaving the site on a daily basis to prevent unauthorized entrance, vandalism, theft, and similar violations of security.

- G. Barricades, Warning Signs, and Lights: Comply with requirements of authorities having jurisdiction for erecting structurally adequate barricades, including warning signs and lighting.
- H. Temporary Enclosures: Provide temporary enclosures for protection of construction, in progress and completed, from exposure, foul weather, other construction operations, and similar activities. Provide temporary weather-tight enclosure for building exterior.
  - 1. Where heating or cooling is needed and permanent enclosure is not complete, insulate temporary enclosures.
  - 2. Insulate partitions to provide noise protection to occupied areas.
  - 3. Seal joints and perimeter. Equip partitions with dustproof doors and security locks.
  - 4. Protect air-handling equipment.
  - 5. Weather strip openings.
  - 6. Provide walk-off mats at each entrance through temporary partition.
- I. Temporary Fire Protection: Install and maintain temporary fireprotection facilities of types needed to protect against reasonably predictable and controllable fire losses. Comply with NFPA 241.
  - 1. Smoking is prohibited in all construction areas.
  - 2. Supervise welding operations, combustion-type temporary heating units, and similar sources of fire ignition according to requirements of authorities having jurisdiction.
  - 3. Develop and supervise an overall fire-prevention and -protection program for personnel at Project site. Review needs with local fire department and establish procedures to be followed. Instruct personnel in methods and procedures. Post warnings and information.
  - 4. Provide temporary standpipes and hoses for fire protection. Hang hoses with a warning sign stating that hoses are for fire-protection purposes only and are not to be removed. Match hose size with outlet size and equip with suitable nozzles.

# 3.06 Operation, Termination, and Removal

- A. Supervision: Enforce strict discipline in use of temporary facilities. To minimize waste and abuse, limit availability of temporary facilities to essential and intended uses.
- B. Maintenance: Maintain facilities in good operating condition until removal.
  - 1. Maintain operation of temporary enclosures, heating, cooling, humidity control, ventilation, and similar facilities on a 24-hour basis where required to achieve indicated results and to avoid possibility of damage.

- C. Temporary Facility Changeover: Do not change over from using temporary security and protection facilities to permanent facilities until Substantial Completion.
- D. Termination and Removal: Remove each temporary facility when need for its service has ended, when it has been replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with temporary facility. Repair damaged Work, clean exposed surfaces, and replace construction that cannot be satisfactorily repaired.
  - 1. Materials and facilities that constitute temporary facilities are property of Contractor. Owner reserves right to take possession of Project identification signs.
  - At Substantial Completion, clean and renovate permanent facilities used during construction period. Comply with final cleaning requirements specified in Division 01 Section "Closeout Procedures."

# END OF SECTION 01 50 00

### **SECTION 01 60 00**

### PRODUCT REQUIREMENTS

#### Part 1. General

#### 1.01 Summary

- A. This Section includes administrative and procedural requirements for selection of products for use in Project; product delivery, storage, and handling; manufacturers' standard warranties on products; special warranties; product substitutions; and comparable products.
- B. See Section 01 77 00 "Closeout Procedures" for submitting warranties for Contract closeout.
- C. See Divisions 02 through 48 Sections for specific requirements for warranties on products and installations specified to be warranted.

#### 1.02 Definitions

- A. Products: Items purchased for incorporating into the Work, whether purchased for Project or taken from previously purchased stock. The term "product" includes the terms "material," "equipment," "system," and terms of similar intent.
  - 1. Named Products: Items identified by manufacturer's product name, including make or model number or other designation shown or listed in manufacturer's published product literature that is current as of date of the Contract Documents.
  - 2. New Products: Items that have not previously been incorporated into another project or facility, except that products consisting of recycled-content materials are allowed, unless explicitly stated otherwise. Products salvaged or recycled from other projects are not considered new products.
  - 3. Comparable Product: Product that is demonstrated and approved through submittal process, or where indicated as a product substitution, to have the indicated qualities related to type, function, dimension, in-service performance, physical properties, appearance, and other characteristics that equal or exceed those of specified product.
- B. Substitutions: Changes in products, materials, equipment, and methods of construction from those required by the Contract Documents and proposed by Contractor.
- C. Basis-of-Design Product Specification: Where a specific manufacturer's product is named and accompanied by the words "basis of design," including make or model number or other designation, to establish the significant qualities related to type, function, dimension, in-service performance, physical properties,

appearance, and other characteristics for purposes of evaluating comparable products of other named manufacturers.

# 1.03 Submittals

- A. Substitution Requests: Must comply with the requirements of the Contract and General Conditions.
- B. Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - 1. Substitution Request Form: CSI Form 13.1A.
  - 2. Documentation: Show compliance with requirements for substitutions and the following, as applicable:
    - (a) Statement indicating why specified material or product cannot be provided.
    - (b) Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by Owner and separate contractors that will be necessary to accommodate proposed substitution.
    - (c) Detailed comparison of significant qualities of proposed substitution with those of the Work specified. Significant qualities may include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
    - (d) Product Data, including drawings and descriptions of products and fabrication and installation procedures.
    - (e) Samples, where applicable or requested.
    - (f) List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners.
    - (g) Material test reports from a qualified testing agency indicating and interpreting test results for compliance with requirements indicated.
    - (h) Research/evaluation reports evidencing compliance with building code in effect for Project, from a model code organization acceptable to authorities having jurisdiction.
    - (i) Detailed comparison of Contractor's Construction Schedule using proposed substitution with products specified for the Work, including effect on the overall Contract Time. If specified product or method of construction cannot be provided within the Contract Time, include letter from manufacturer, on manufacturer's letterhead, stating lack of availability or delays in delivery.
    - (j) Cost information, including a proposal of change, if any, in the Contract Sum.

- (k) Contractor's certification that proposed substitution complies with requirements in the Contract Documents and is appropriate for applications indicated.
- Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of failure of proposed substitution to produce indicated results.
- 3. Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within seven (7) days of receipt of a request for substitution. Architect will notify Contractor of acceptance or rejection of proposed substitution within fourteen (14) days of receipt of request, or seven (7) days of receipt of additional information or documentation, whichever is later.
  - (a) Form of Acceptance: Change Order.
  - (b) Use product specified if Architect cannot make a decision on use of a proposed substitution within time allocated.
- C. Comparable Product Requests: Submit three copies of each request for consideration. Identify product or fabrication or installation method to be replaced. Include Specification Section number and title and Drawing numbers and titles.
  - Architect's Action: If necessary, Architect will request additional information or documentation for evaluation within one week of receipt of a comparable product request. Architect will notify Contractor of approval or rejection of proposed comparable product request within fourteen (14) calendar days of receipt of request, or seven (7) days of receipt of additional information or documentation, whichever is later.
    - (a) Form of Approval: As specified in Section 01 33 00 "Submittal Procedures."
    - (b) Use product specified if Architect cannot make a decision on use of a comparable product request within time allocated.
- D. Basis-of-Design Product Specification Submittal: Comply with requirements in Section 01 33 00 "Submittal Procedures." Show compliance with requirements.

# 1.04 Quality Assurance

A. Compatibility of Options: If Contractor is given option of selecting between two or more products for use on Project, product selected shall be compatible with products previously selected, even if previously selected products were also options.

# 1.05 Product Delivery, Storage, and Handling

- A. Deliver, store, and handle products using means and methods that will prevent damage, deterioration, and loss, including theft. Comply with manufacturer's written instructions.
- B. Delivery and Handling:
  - 1. Schedule delivery to minimize long-term storage at Project site and to prevent overcrowding of construction spaces.
  - 2. Coordinate delivery with installation time to ensure minimum holding time for items that are flammable, hazardous, easily damaged, or sensitive to deterioration, theft, and other losses.
  - 3. Deliver products to Project site in an undamaged condition in manufacturer's original sealed container or other packaging system, complete with labels and instructions for handling, storing, unpacking, protecting, and installing.
  - 4. Inspect products on delivery to ensure compliance with the Contract Documents and to ensure that products are undamaged and properly protected.
- C. Storage: Store products to allow for inspection and measurement of quantity or counting of units.
  - 1. Store materials in a manner that will not endanger Project structure.
  - 2. Store products that are subject to damage by the elements, under cover in a weather tight enclosure above ground, with ventilation adequate to prevent condensation.
  - 3. Store cementitious products and materials on elevated platforms.
  - 4. Store foam plastic from exposure to sunlight, except to extent necessary for period of installation and concealment.
  - 5. Comply with product manufacturer's written instructions for temperature, humidity, ventilation, and weather-protection requirements for storage.
  - 6. Protect stored products from damage and liquids from freezing.

# 1.06 Manufacturer's Labels and Name Plates

- A. Except as otherwise indicated for required labels and operating data, do not permanently attach or imprint manufacturer's or producer's nameplates or trademarks on exposed surfaces of products which will be exposed to view either in occupied spaces or on the exterior of the completed project. Visible, non-required labels and nameplates shall be removed.
  - 1. Labels: Locate required product labels and stamps on a concealed surface or, where required for observation after installation, on an accessible surface which, in occupied spaces, is not conspicuous.

- 2. Equipment Nameplates: Provide a permanent nameplate on each item of service- connected or power-operated equipment. Locate the nameplate on an easily accessible surface which is inconspicuous in occupied spaces. The name plate shall contain the following information as well as other essential operating data:
  - (a) Name of manufacturer.
  - (b) Model number.
  - (c) Serial number.
  - (d) Capacity.

# 1.07 **Product Warranties**

- A. Warranties specified in other Sections shall be in addition to, and run concurrent with, other warranties required by the Contract Documents. Manufacturer's disclaimers and limitations on product warranties do not relieve Contractor of obligations under requirements of the Contract Documents.
  - 1. Manufacturer's Warranty: Preprinted written warranty published by individual manufacturer for a particular product and specifically endorsed by manufacturer to Owner.
  - 2. Special Warranty: Written warranty required by or incorporated into the Contract Documents, either to extend time limit provided by manufacturer's warranty or to provide more rights for Owner.
- B. Special Warranties: Prepare a written document that contains appropriate terms and identification, ready for execution. Submit a draft for approval before final execution. Manufacturer's Standard Form: Modified to include Project-specific information and properly executed.
  - 1. Specified Form: When specified forms are included with the Specifications, prepare a written document using appropriate form properly executed.
  - 2. Refer to Divisions 2 through 48 Sections for specific content requirements and particular requirements for submitting special warranties.
- C. Submittal Time: Comply with requirements in Division 01 Section 01 77 00 "Closeout Procedures."

# Part 2. Products

# 2.01 Product Selection Procedures

A. General Product Requirements: Provide products that comply with the Contract Documents, that are undamaged and, unless otherwise indicated, that are new at time of installation.

- 1. Provide products complete with accessories, trim, finish, fasteners, and other items needed for a complete installation and indicated use and effect.
- 2. Standard Products: If available, and unless custom products or nonstandard options are specified, provide standard products of types that have been produced and used successfully in similar situations on other projects.
- 3. Owner reserves the right to limit selection to products with warranties not in conflict with requirements of the Contract Documents.
- 4. Where products are accompanied by the term "as selected," Architect will make selection.
- 5. Where products are accompanied by the term "match sample," sample to be matched is Architect's.
- 6. Descriptive, performance, and reference standard requirements in the Specifications establish "salient characteristics" of products.
- B. Product Selection Procedures:
  - 1. Product: Where Specifications name a single product and manufacturer, provide the named product that complies with requirements.
  - 2. Manufacturer/Source: Where Specifications name a single manufacturer or source, provide a product by the named manufacturer or source that complies with requirements.
  - 3. Products: Where Specifications include a list of names of both products and manufacturers, provide one of the products listed that complies with requirements.
  - 4. Manufacturers: Where Specifications include a list of manufacturers' names, provide a product by one of the manufacturers listed that complies with requirements.
  - 5. Available Products: Where Specifications include a list of names of both products and manufacturers, provide one of the products listed, or an unnamed product, that complies with requirements. Comply with provisions in the Contract, General Conditions, and Part 2 "Comparable Products" Article for consideration of an unnamed product.
  - 6. Available Manufacturers: Where Specifications include a list of manufacturers, provide a product by one of the manufacturers listed, or an unnamed manufacturer, that complies with requirements. Comply with provisions in the Contract, General Conditions, and Part 2 "Comparable Products" Article for consideration of an unnamed product.
- 7. Product Options: Where Specifications indicate that sizes, profiles, and dimensional requirements on Drawings are based on a specific product or system, provide the specified product or system. Comply with provisions in the Contract, General Conditions, and Part 2 "Product Substitutions" Article for consideration of an unnamed product or system.
- 8. Basis-of-Design Product: Where Specifications name a product and include a list of manufacturers, provide the specified product or a comparable product by one of the other named manufacturers. Drawings and Specifications indicate sizes, profiles, dimensions, and other characteristics that are based on the product named. Comply with provisions in the Contract, General Conditions, and Part 2 "Comparable Products" Article for consideration of an unnamed product by the other named manufacturers.
- 9. Visual Matching Specification: Where Specifications require matching an established Sample, select a product that complies with requirements and matches Architect's sample. Architect's decision will be final on whether a proposed product matches.
  - (a) If no product available within specified category matches and complies with other specified requirements, comply with provisions in the Contract, General Conditions, and Part 2 "Product Substitutions" Article for proposal of product.
- 10. Visual Selection Specification: Where Specifications include the phrase "as selected from manufacturer's colors, patterns and textures" or a similar phrase, select a product that complies with other specified requirements.
  - (a) Standard Range: Where Specifications include the phrase "standard range of colors, patterns, textures" or similar phrase, Architect will select color, pattern, density, or texture from manufacturer's product line that does not include premium items.
  - (b) Full Range: Where Specifications include the phrase "full range of colors, patterns, textures" or similar phrase, Architect will select color, pattern, density, or texture from manufacturer's product line that includes both standard and premium items.

### 2.02 Comparable Products

- A. Conditions: Architect will consider Contractor's request for comparable product when the following conditions are satisfied. If the following conditions are not satisfied, Architect will return requests without action, except to record noncompliance with these requirements:
  - 1. Procedures within the General Conditions and Contract are followed.

- 2. Evidence that the proposed product does not require extensive revisions to the contract documents that it is consistent with the contract documents and will produce the indicated results, and that it is compatible with other portions of the Work.
- 3. Detailed comparison of significant qualities of proposed product with those named in the Specifications. Significant qualities include attributes such as performance, weight, size, durability, visual effect, and specific features and requirements indicated.
- 4. Evidence that proposed product provides specified warranty.
- 5. List of similar installations for completed projects with project names and addresses and names and addresses of architects and owners, if requested.
- 6. Samples, if requested.

### Part 3. Execution (Not Used)

### END OF SECTION 01 60 00

### **SECTION 01 73 00**

### **EXECUTION REQUIREMENTS**

#### Part 1. General

#### 1.01 Summary

- A. This Section includes general procedural requirements governing execution of the Work including, but not limited to, the following:
  - 1. Construction layout.
  - 2. Field engineering and surveying.
  - 3. General installation of products.
  - 4. Cutting and patching.
  - 5. Progress cleaning.
  - 6. Starting and adjusting.
  - 7. Protection of installed construction.
  - 8. Correction of the Work.
- B. See Section 01 78 39 "Project Record Documents" for submitting final property survey with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, and final cleaning.
- C. Related Requirements:
  - 1. Division 00 General Conditions and Special Conditions
  - 2. Section 01 10 00 "Summary" for limits on use of Project site.
  - 3. Section 01 77 00 "Closeout Procedures" for submitting final property survey (not required for this project) with Project Record Documents, recording of Owner-accepted deviations from indicated lines and levels, and final cleaning.

#### 1.02 Submittals

- A. Landfill Receipts: Submit copy of receipts issued by a landfill facility, licensed to accept hazardous materials, for hazardous waste disposal.
- B. Certificates: Submit certificate signed by land surveyor certifying that location and elevation of improvements comply with requirements.
- C. Survey reports and plots as detailed below.

#### 1.03 Quality Assurance

- A. Land Surveyor Qualifications: A professional land surveyor who is legally qualified to practice in jurisdiction where Project is located and who is experienced in providing land-surveying services of the kind indicated.
- B. Cutting and Patching: Comply with requirements for and limitations on cutting and patching of construction elements.

1. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that results in increased maintenance or decreased operational life or safety.

# 1.04 Examination

- A. Existing Utilities: The existence and location of underground and other utilities and construction indicated as existing are not guaranteed. Before beginning sitework, investigate and verify the existence and location of underground utilities and other construction affecting the Work.
  - 1. Before construction, verify the location and invert elevation at points of connection of sanitary sewer, storm sewer, and water-service piping; and underground electrical services.
  - 2. Furnish location data for work related to Project that must be performed by public utilities serving Project site.
- B. Acceptance of Conditions: Examine substrates, areas, and conditions, with Installer or Applicator present where indicated, for compliance with requirements for installation tolerances and other conditions affecting performance. Record observations.
  - 1. Verify compatibility with and suitability of substrates, including compatibility with existing finishes or primers.
  - 2. Examine roughing-in for mechanical and electrical systems to verify actual locations of connections before equipment and fixture installation.
  - 3. Examine walls, floors, and roofs for suitable conditions where products and systems are to be installed.
  - 4. Proceed with installation only after unsatisfactory conditions have been corrected. Proceeding with the Work indicates acceptance of surfaces and conditions.

# 1.05 Preparation

- A. Existing Utility Information: Furnish information to local utility that is necessary to adjust, move, or relocate existing utility structures, utility poles, lines, services, or other utility appurtenances located in or affected by construction. Coordinate with authorities having jurisdiction.
- B. Field Measurements: Take field measurements as required to fit the Work properly. Recheck measurements before installing each product. Where portions of the Work are indicated to fit to other construction, verify dimensions of other construction by field measurements before fabrication. Coordinate fabrication schedule with construction progress to avoid delaying the Work.
- C. Space Requirements: Verify space requirements and dimensions of items shown diagrammatically on Drawings.

D. Review of Contract Documents and Field Conditions: Immediately on discovery of the need for clarification of the Contract Documents, submit a request for information to Architect. Include a detailed description of problem encountered, together with recommendations for changing the Contract Documents.

# 1.06 Construction Layout

- A. Verification: Before proceeding to lay out the Work, verify layout information shown on Drawings, in relation to the property survey and existing benchmarks. If discrepancies are discovered, notify Architect promptly.
- B. General: Engage a land surveyor to lay out the Work using accepted surveying practices.
  - 1. Establish benchmarks and control points to set lines and levels at each story of construction and elsewhere as needed to locate each element of Project.
  - 2. Establish limits on use of Project site.
  - 3. Establish dimensions within tolerances indicated. Do not scale Drawings to obtain required dimensions.
  - 4. Inform installers of lines and levels to which they must comply.
  - 5. Check the location, level and plumb, of every major element as the Work progresses.
  - 6. Notify Architect when deviations from required lines and levels exceed allowable tolerances.
  - 7. Close site surveys with an error of closure equal to or less than the standard established by authorities having jurisdiction.
- C. Site Improvements: Locate and lay out site improvements, including pavements, grading, fill and topsoil placement, utility slopes, and invert elevations.
- D. Building Lines and Levels: Locate and lay out control lines and levels for structures, building foundations, column grids, and floor levels, including those required for mechanical and electrical work. Transfer survey markings and elevations for use with control lines and levels. Level foundations and piers from two or more locations.
- E. Record Log: Maintain a log of layout control work. Record deviations from required lines and levels. Include beginning and ending dates and times of surveys, weather conditions, name and duty of each survey party member, and types of instruments and tapes used. Make the log available for reference by Architect.

### 1.07 Field Engineering

A. Reference Points: Locate existing permanent benchmarks, control points, and similar reference points before beginning the Work. Preserve and protect permanent benchmarks and control points during construction operations.

- B. Benchmarks: Establish and maintain a minimum of two permanent benchmarks on Project site, referenced to data established by survey control points. Comply with authorities having jurisdiction for type and size of benchmark.
  - 1. Record benchmark locations, with horizontal and vertical data, on Project Record Documents.
- C. Certified Survey: On completion of foundation walls, major site improvements, and other work requiring field-engineering services, prepare a certified survey showing dimensions, locations, angles, and elevations of construction and sitework.
- D. Final Property Survey: Prepare a final property survey showing significant features (real property) for Project. Include on the survey a certification, signed by land surveyor, that principal metes, bounds, lines, and levels of Project are accurately positioned as shown on the survey.
  - 1. Recording: At Substantial Completion, have the final property survey recorded by or with authorities having jurisdiction as the official "property survey."

### 1.08 Installation

- A. General: Locate the Work and components of the Work accurately, in correct alignment and elevation, as indicated.
  - 1. Make vertical work plumb and make horizontal work level.
  - 2. Where space is limited, install components to maximize space available for maintenance and ease of removal for replacement.
  - 3. Conceal pipes, ducts, and wiring in finished areas, unless otherwise indicated.
- B. Comply with manufacturer's written instructions and recommendations for installing products in applications indicated.
- C. Install products at the time and under conditions that will ensure the best possible results. Maintain conditions required for product performance until Substantial Completion.
- D. Conduct construction operations so no part of the Work is subjected to damaging operations or loading in excess of that expected during normal conditions of occupancy.
- E. Tools and Equipment: Do not use tools or equipment that produce harmful noise levels.
- F. Templates: Obtain and distribute to the parties involved templates for work specified to be factory prepared and field installed. Check Shop Drawings of other work to confirm that adequate provisions are made for locating and installing products to comply with indicated requirements.

- G. Anchors and Fasteners: Provide anchors and fasteners as required to anchor each component securely in place, accurately located and aligned with other portions of the Work.
  - 1. Mounting Heights: Where mounting heights are not indicated, mount components at heights directed by Architect.
  - 2. Allow for building movement, including thermal expansion and contraction.
  - 3. Coordinate installation of anchorages. Furnish setting drawings, templates, and directions for installing anchorages, including sleeves, concrete inserts, anchor bolts, and items with integral anchors, that are to be embedded in concrete or masonry. Deliver such items to Project site in time for installation.
- H. Joints: Make joints of uniform width. Where joint locations in exposed work are not indicated, arrange joints for the best visual effect. Fit exposed connections together to form hairline joints.
- I. Hazardous Materials: Use products, cleaners, and installation materials that are not considered hazardous.

# 1.09 Cutting and Patching

- A. Cutting and Patching, General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Existing Warranties: Remove, replace, patch, and repair materials and surfaces cut or damaged during installation or cutting and patching operations, by methods and with materials so as not to void existing warranties
- C. Temporary Support: Provide temporary support of work to be cut.
- D. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.
- E. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other work. Patch with durable seams that are as invisible as practicable. Provide materials and comply with installation requirements specified in other Sections, where applicable
  - 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate physical integrity of installation.

- 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will minimize evidence of patching and refinishing.
- F. Cleaning: Clean areas and spaces where cutting and patching are performed. Remove paint, mortar, oils, putty, and similar materials from adjacent finished surfaces.

# 1.10 Progress Cleaning

- A. General: Clean Project site and work areas daily, including common areas. Coordinate progress cleaning for joint-use areas where more than one installer has worked. Enforce requirements strictly. Dispose of materials lawfully.
  - 1. Comply with requirements in NFPA 241 for removal of combustible waste materials and debris.
  - 2. Do not hold materials more than seven (7) days during normal weather or three (3) days if the temperature is expected to rise above 80 deg F.
  - 3. Containerize hazardous and unsanitary waste materials separately from other waste. Mark containers appropriately and dispose of legally, according to regulations.
- B. Site: Maintain Project site free of waste materials and debris.
- C. Work Areas: Clean areas where work is in progress to the level of cleanliness necessary for proper execution of the Work.
  - 1. Remove liquid spills promptly.
  - 2. Where dust would impair proper execution of the Work, broomclean or vacuum the entire work area, as appropriate.
- D. Installed Work: Keep installed work clean. Clean installed surfaces according to written instructions of manufacturer or fabricator of product installed, using only cleaning materials specifically recommended. If specific cleaning materials are not recommended, use cleaning materials that are not hazardous to health or property and that will not damage exposed surfaces.
- E. Concealed Spaces: Remove debris from concealed spaces before enclosing the space.
- F. Exposed Surfaces in Finished Areas: Clean exposed surfaces and protect as necessary to ensure freedom from damage and deterioration at time of Substantial Completion.
- G. Waste Disposal: Burying or burning waste materials on-site will not be permitted. Washing waste materials down sewers or into waterways will not be permitted.
- H. During handling and installation, clean and protect construction in progress and adjoining materials already in place. Apply protective

covering where required to ensure protection from damage or deterioration at Substantial Completion.

- I. Clean and provide maintenance on completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- J.
- K. Limiting Exposures: Supervise construction operations to assure that no part of the construction completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

# 1.11 Starting and Adjusting

- A. Start equipment and operating components to confirm proper operation. Remove malfunctioning units, replace with new units, and retest.
- B. Adjust operating components for proper operation without binding. Adjust equipment for proper operation.
- C. Test each piece of equipment to verify proper operation. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.
- D. Manufacturer's Field Service: If a factory-authorized service representative is required to inspect field-assembled components and equipment installation, comply with qualification requirements in Section 01 40 00 "Quality Requirements."

# 1.12 Protection of Installed Construction

- A. Provide final protection and maintain conditions that ensure installed Work is without damage or deterioration at time of Substantial Completion.
- B. Comply with manufacturer's written instructions for temperature and relative humidity.

### **1.13 Correction of the Work**

- A. Repair or remove and replace defective construction. Restore damaged substrates and finishes. Comply with requirements in Section 01 73 29 "Cutting and Patching."
  - 1. Repairing includes replacing defective parts, refinishing damaged surfaces, touching up with matching materials, and properly adjusting operating equipment.
- B. Restore permanent facilities used during construction to their specified condition.

- C. Remove and replace damaged surfaces that are exposed to view if surfaces cannot be repaired without visible evidence of repair.
- D. Repair components that do not operate properly. Remove and replace operating components that cannot be repaired.
- E. Remove and replace chipped, scratched, and broken glass or reflective surfaces.

# END OF SECTION 01 73 00

### SECTION 01 73 29

## **CUTTING AND PATCHING**

#### Part 1. General

#### 1.01 Summary

- A. This Section includes procedural requirements for cutting and patching.
- B. See Divisions 2 through 48 Sections for specific requirements and limitations applicable to cutting and patching individual parts of the Work.

### 1.02 Submittals

- A. Cutting and Patching Proposal: Submit a proposal describing procedures at least 10 days before the time cutting and patching will be performed, requesting approval to proceed. Include the following information:
  - 1. Extent: Describe cutting and patching, show how they will be performed, and indicate why they cannot be avoided.
  - 2. Changes to In-Place Construction: Describe anticipated results. Include changes to structural elements and operating components as well as changes in building's appearance and other significant visual elements.
  - 3. Products: List products to be used and firms or entities that will perform the Work.
  - 4. Dates: Indicate when cutting and patching will be performed.
  - 5. Utility Services and Mechanical/Electrical Systems: List services/systems that cutting and patching procedures will disturb or affect. List services/systems that will be relocated and those that will be temporarily out of service. Indicate how long services/systems will be disrupted.
  - 6. Structural Elements: Where cutting and patching involve adding reinforcement to structural elements, submit details and engineering calculations showing integration of reinforcement with original structure.
  - 7. Architect's Approval: Obtain approval of cutting and patching proposal before cutting and patching. Approval does not waive right to later require removal and replacement of unsatisfactory work.

### 1.03 Quality Assurance

A. Structural Elements: Do not cut and patch structural elements in a manner that could change their load-carrying capacity or load-deflection ratio.

- B. Operational Elements: Do not cut and patch operating elements and related components in a manner that results in reducing their capacity to perform as intended or that result in increased maintenance or decreased operational life or safety.
- C. Miscellaneous Elements: Do not cut and patch miscellaneous elements or related components in a manner that could change their load-carrying capacity, and result in reducing their capacity to perform as intended, or that result in increased maintenance or decreased operational life or safety.
- D. Visual Requirements: Do not cut and patch construction in a manner that results in visual evidence of cutting and patching. Do not cut and patch construction exposed on the exterior or in occupied spaces in a manner that would, in Architect's opinion, reduce the building's aesthetic qualities. Remove and replace construction that has been cut and patched in a visually unsatisfactory manner.

# Part 2. Products

### 2.01 Materials

- A. General: Comply with requirements specified in other Sections.
- B. In-Place Materials: Use materials identical to in-place materials. For exposed surfaces, use materials that visually match in-place adjacent surfaces to the fullest extent possible.
  - 1. If identical materials are unavailable or cannot be used, use materials that, when installed, will match the visual and functional performance of in-place materials.

### Part 3. Execution

### 3.01 Examination

- A. Examine surfaces to be cut and patched and conditions under which cutting and patching are to be performed.
  - 1. Compatibility: Before patching, verify compatibility with and suitability of substrates, including compatibility with in-place finishes or primers.
  - 2. Proceed with installation only after unsafe or unsatisfactory conditions have been corrected.

# 3.02 Preparation

- A. Temporary Support: Provide temporary support of Work to be cut.
- B. Protection: Protect in-place construction during cutting and patching to prevent damage. Provide protection from adverse weather conditions for portions of Project that might be exposed during cutting and patching operations.

- C. Adjoining Areas: Avoid interference with use of adjoining areas or interruption of free passage to adjoining areas.
- 3.1 Existing Utility Services and Mechanical/Electrical Systems: Where existing services/systems are required to be removed relocated, or abandoned, bypass such services/systems before cutting to minimize interruption to occupied areas.

# 3.03 Performance

- A. General: Employ skilled workers to perform cutting and patching. Proceed with cutting and patching at the earliest feasible time, and complete without delay.
  - 1. Cut in-place construction to provide for installation of other components or performance of other construction, and subsequently patch as required to restore surfaces to their original condition.
- B. Cutting: Cut in-place construction by sawing, drilling, breaking, chipping, grinding, and similar operations, including excavation, using methods least likely to damage elements retained or adjoining construction. If possible, review proposed procedures with original Installer; comply with original Installer's written recommendations.
  - In general, use hand or small power tools designed for sawing and grinding, not hammering and chopping. Cut holes and slots as small as possible, neatly to size required, and with minimum disturbance of adjacent surfaces. Temporarily cover openings when not in use.
  - 2. Finished Surfaces: Cut or drill from the exposed or finished side into concealed surfaces.
  - 3. Concrete and Masonry: Cut using a cutting machine, such as an abrasive saw or a diamond-core drill.
  - 4. Excavating and Backfilling: Comply with requirements in applicable Division 31 Sections where required by cutting and patching operations.
  - 5. Mechanical and Electrical Services: Cut off pipe or conduit in walls or partitions to be removed. Cap, valve, or plug and seal remaining portion of pipe or conduit to prevent entrance of moisture or other foreign matter after cutting.
  - 6. Proceed with patching after construction operations requiring cutting are complete.
- C. Patching: Patch construction by filling, repairing, refinishing, closing up, and similar operations following performance of other Work. Patch with durable seams that are as invisible as possible. Provide materials and comply with installation requirements specified in other Sections.

- 1. Inspection: Where feasible, test and inspect patched areas after completion to demonstrate integrity of installation.
- 2. Exposed Finishes: Restore exposed finishes of patched areas and extend finish restoration into retained adjoining construction in a manner that will eliminate evidence of patching and refinishing.
- 3. Floors and Walls: Where walls or partitions that are removed extend one finished area into another, patch and repair floor and wall surfaces in the new space. Provide an even surface of uniform finish, color, texture, and appearance. Remove in-place floor and wall coverings and replace with new materials, if necessary, to achieve uniform color and appearance.
- 4. Ceilings: Patch, repair, or re-hang in-place ceilings as necessary to provide an even-plane surface of uniform appearance.
- 5. Exterior Building Enclosure: Patch components in a manner that restores enclosure to a weathertight condition.
- D. Cleaning: Clean areas and spaces where cutting and patching are performed. Completely remove paint, mortar, oils, putty, and similar materials.

# END OF SECTION 01 73 29

### **SECTION 01 74 19**

### CONSTRUCTION WASTE MANAGEMENT

#### Part 1. General

#### 1.01 Summary – Waste Management Goals

- A. Section includes administrative and procedural requirements for salvaging, recycling and disposing of nonhazardous demolition and construction waste.
- B. The Owner has established that this Project shall generate the least amount of waste possible and processes that ensure the generation of as little waste as possible due to error, poor planning, breakage, mishandling, contamination, or other factors shall be employed.
- C. Of the inevitable waste that is generated, as many of the waste materials as economically feasible shall be reused, salvaged, or recycled. Waste disposal in landfills shall be minimized.

#### 1.02 Definitions

- A. Construction Waste: Building and site improvement materials and other solid waste resulting from construction, remodeling, renovation, or repair operations. Construction waste includes packaging.
- B. Demolition Waste: Building and site improvement materials resulting from demolition or selective demolition operations.
- C. Disposal: Removal of off-site waste and subsequent sale, recycling, reuse or deposit in landfill or incinerator acceptable to authorities having jurisdiction.
- D. Hazardous: Exhibiting the characteristics of hazardous substances, i.e., ignitability, corrosivity, toxicity or reactivity.
- E. Recyclable: The ability of a product or material to be recovered at the end of its life cycle and remanufactured into a new product for reuse by others.
- F. Recycle: Recovery of waste from the Project site to another site for subsequent processing in preparation for reuse
- G. Recycling: The process of sorting, cleansing, treating and reconstituting solid waste and other discarded materials for the purpose of recycling. Recycling does not include burning, incinerating, or thermally destroying waste.
- H. Salvage: To remove a waste material from the Project site for subsequent sale or reuse in another facility.
- I. Salvage and Reuse: Recovery of waste and subsequent incorporation into the Work

# 1.03 Performance Requirements

- A. Develop and implement a waste management program resulting in an end-of-project rates for salvage/recycling of minimum 65 percent by weight or volume of the total waste generated by the project.
- B. Regulations: The Contractor shall be responsible for knowing and complying with regulatory requirements, Federal, State, and Local, pertaining to legal disposal of all construction and demolition waste materials.
- C. Coordination: Coordinate the recycling of materials with Owner and Subcontractors as required to conform to the Construction Waste Management Plan.
- D. Site Access and Controls: Conduct waste management operations to ensure minimum interference with roads, streets, walks, walkways, and other adjacent occupied and used facilities.

# 1.04 Quality Assurance

- A. Regulatory Requirements: Comply with hauling and disposal regulations of authorities having jurisdiction.
- B. Waste Management Meetings: Conduct on-site waste management meetings with all subcontractors. Review and discuss the waste management plan, methods, procedures and each party's roles and responsibilities.
- C. Refrigerant Recovery Technician Qualifications: Certified by EPAapproved certification program.
- D. Packing and Shipping
  - 1. Shipping: Coordinate the schedule of product delivery to designated prepared areas in order to minimize site storage time and potential damage to stored materials.
  - 2. Packing: Arrange for the return of packing materials, such as wood pallets, where economically feasible.
- E. Handling
  - 1. Provide equipment and personnel to handle products by methods to prevent soiling or damage.
  - 2. Promptly inspect shipments to assure products comply with requirements, quantities are correct, and products are undamaged.
  - 3. Promptly return damaged shipments or incorrect orders to manufacturer for credit or refund.
- F. Storage: Store products in accordance with manufactures recommendations and periodically inspect to assure that stored products are undamaged and are maintained under required conditions.
- G. Preparation
  - 1. Storage and Protection:

- a. Designate receiving/storage areas for incoming material to be delivered according to installation schedule and to be placed convenient to work area in order to minimize waste due to excessive materials handling and misapplication.
- b. Store and handle materials in a manner as to prevent loss from weather and other damage. Keep materials covered and off the ground, and store in a dry, secure area.
- c. Prevent contact with material that may cause corrosion, discoloration, or staining.
- d. Protect all materials and installations from damage by the activities of other trades.
- H. Waste Management
  - 1. Source separated waste: Separate, store, protect, and handle at the site identified recyclable and salvageable waste products in order to prevent contamination of materials and to maximize recyclability and salvageability of identified materials.
  - 2. Comingled Waste: Waste may be commingled at the site and separated at a recycling facility.
  - 3. Return: Set aside and protect missed-delivered and substandard products and materials and return to supplier for credit.
  - 4. Reuse and Salvage: Set aside, sort, and protect separated products and materials for collection, re-use on site by contractor, and salvage by other.
  - 5. Recycling: Arrange for timely pickups from the site or deliveries to recycling facility in order to prevent contamination of recyclable materials.

### 1.05 Waste Management Plan

- A. General: Develop a waste management plan according to the requirements in this Section, as well as the requirements indicated elsewhere in the documents. Construction Waste Management. Plan shall consist of the following sections: Waste Management Goals, Responsible Parties, Waste Identification, Waste Prevention and Diversion Measures, Contamination Prevention Measures, Communication and Education Measures, Onsite Recycling Operations, and Cost/Revenue Analysis. Distinguish between demolition and construction waste. Indicate quantities by weight or volume, but use same units of measure throughout waste management plan. The plan shall result in end-of-Project rates for salvage/recycling of minimum 65 percent by weight or volume of the total waste generated by the work.
- B. Waste Identification: Indicate anticipated types and quantities of demolition, site- clearing and construction waste generated by the Work. Include estimated quantities and assumptions for estimates

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- C. The list of these materials is to include, at minimum, the following materials:
  - 1. Cardboard.
  - 2. Clean dimensional wood.
  - 3. Beverage containers.
  - 4. Land clearing debris.
  - 5. Concrete.
  - 6. Bricks.
  - 7. Concrete Masonry Units (CMU).
  - 8. Metals from banding, stud trim, ductwork, piping, rebar, roofing, other trim, steel, iron, galvanized sheet steel, stainless steel, aluminum, copper, zinc, lead, brass, and bronze.
  - 9. Drywall.
  - 10. Carpet and carpet pads.

# Part 2. Products – NOT USED

### Part 3. Execution

### 3.01 Waste Management Plan Implementation

- A. Manager: The Contractor shall designate an on-site party (or parties) responsible for instructing workers and overseeing and documenting results of the Waste Management Plan for the Project.
- B. Distribution: The Contractor shall distribute copies of the Waste Management Plan to the Job Site Foreman, each Subcontractor, the Owner, and the Owner's Representative.
- C. Instruction and Training: The Contractor shall provide on-site instruction and train workers, subcontractors and suppliers of appropriate separation, handling, and recycling, salvage, reuse, and return methods to be used.
- D. Separation facilities: The Contractor shall layout and label a specific area to facilitate separation of materials for potential recycling, salvage, reuse, and return. Recycling and waste bin areas are to be kept neat and clean and clearly marked in order to avoid contamination of materials. Waste may be commingled at the site in a specific label area for pickup by the waste hauler and separated at a recycling facility.
- E. Hazardous wastes: Hazardous wastes shall be separated, stored, and disposed of according to local regulations.
- F. Contractor shall provide a monthly summary with the following information:
- G. The amount (in tons or cubic yards) of material landfilled from the Project, the identity of the landfill, the total amount of tipping fees paid at

the landfill, and the total disposal cost. Include manifests, weight tickets, receipt, and invoices.

H. For each material recycled, reused, or salvaged from the Project, the amount (in tons or cubic yards), the date (removed from the jobsite, the receiving party, the transportation cost, the amount of any money paid or received for the recycled or salvaged material, and the net total cost or savings of salvage or recycling each material). Attach manifests, weight tickets, receipts, and invoices.

### 3.02 Recycling Demolition and Construction Waste, General

- A. General: Recycle paper and beverage containers used by on-site workers.
- B. Recycling Incentives: Revenues, savings, rebates, tax credits, and other incentives received for recycling waste materials shall accrue to Owner.

Preparation of Waste: Prepare and maintain recyclable waste materials according to recycling or reuse facility requirements. Maintain materials free of dirt, adhesives, solvents, petroleum contamination, and other substances deleterious to the recycling process.

- C. Procedures: Separate recyclable waste from other waste materials, trash, and debris. Separate recyclable waste by type at Project site to the maximum extent practical according to approved construction waste management plan
- D. Provide appropriately marked containers or bins for controlling recyclable waste until removed from Project site. Include list of acceptable and unacceptable materials at each container and bin. Regularly inspect bins for contamination.
- E. Stockpile processed materials on-site without intermixing with other materials. Place, grade, and shape stockpiles to drain surface water. Cover to prevent windblown dust.
- F. Stockpile materials away from construction area. Do not store within drip line of remaining trees.
- G. Store components off the ground and protect from the weather.
- H. Remove recyclable waste from Owner's property per approved Waste Management Plan.

### 3.03 Disposal of Waste

A. General: Except for items or materials to be salvaged, recycled, or otherwise reused, remove waste materials from Project site and legally dispose of them in a landfill or incinerator acceptable to authorities having jurisdiction.

- 1. Except as otherwise specified, do not allow waste materials that are to be disposed of accumulate on-site.
- 2. Remove and transport debris in a manner that will prevent spillage on adjacent surfaces and areas.

## 3.04 Installation

A. Install product(s) per manufacturer's recommendations to reduce damage to or waste of materials by required replacement.

# 3.05 Transportation

A. Transport materials in covered trucks to prevent contamination of product or littering of surrounding areas.

# 3.06 General Cleaning

- A. Control accumulation of waste materials and trash. Recycle or dispose of off-site at intervals approved by the Owner and in compliance with waste management procedures.
- B. Cleaning materials: Use cleaning materials that are non-hazardous.

# 3.07 Final Cleaning

- A. Cleaning Materials: Only non-hazardous cleaning materials shall be used in the final cleanup.
- B. Recycle, salvage, and return construction and demolition waste from Project.
- C. Arrange for pick-up of salvageable materials in accordance with the Waste Management Plan.
- D. Disposal Operations: Promptly and legally transport and dispose of any trash. Do not burn, bury, or otherwise dispose of trash on the Project site.

# END OF SECTION 01 74 19

### **SECTION 01 74 20**

### CLEANING

#### Part 1. general

#### 1.01 Section Includes

A. Cleaning throughout the construction period, and final project cleaning prior to the acceptance tour.

#### 1.02 Related Sections

A. Section 01 50 00 - Temporary Facilities and Controls

### 1.03 Quality Assurance

- A. Inspection: Conduct daily inspection, and more often if necessary, to verify that requirements of cleanliness are being met.
- B. Codes and Standards: In addition to the requirements specified herein, comply with pertinent requirements of authorities having jurisdiction.

### Part 2. Products

#### 2.01 Cleaning Materials and Equipment

A. Provide required personnel, equipment, and materials needed to maintain the specified standard of cleanliness.

#### 2.02 Compatibility

A. Use cleaning materials and equipment that are compatible with the surfaces being cleaned, as recommended by the manufacturer of the material to be cleaned.

#### Part 3. Execution

#### 3.01 Progress Cleaning

- A. General:
  - 1. Retain stored items in an orderly arrangement allowing maximum access, not impeding drainage or traffic, and providing the required protection of materials.
  - Do not allow the accumulation of scrap, debris, waste material, and other items not required for construction of this work. Debris shall be removed from the site and disposed of in a lawful manner. Disposal receipts or dump tickets shall be furnished to Architect upon request.

- 3. At least twice each month, and more often if necessary, remove scrap debris, and waste material from the job site. Provide adequate storage for items awaiting removal from the job site, observing requirements for fire protection and protection of the ecology.
- B. Site:
  - 1. Daily, and more often if necessary, inspect the site and pick up all scrap, debris, and waste material. Remove items to the place designated for their storage. Flammable waste shall be kept in sealed metal containers until removed from the site.
  - 2. Weekly, and more often if necessary, inspect, arrangements of materials stored on the site; restack, tidy, or otherwise service arrangements to meet the requirements specified above.
  - 3. Maintain the site in a neat and orderly condition.
- C. Structures:
  - 1. Weekly, and more often if necessary, inspect the structures and pick up scrap, debris, and waste material. Remove items to the place designated for their storage.
  - 2. Weekly, and more often if necessary, sweep interior spaces clean.
  - a. "Clean", for the purpose of this subparagraph, shall be interpreted as meaning free from dust and other material capable of being removed by use of reasonable effort and a handheld broom, i.e., "broom-clean".
    - 3. As required preparatory to installation of succeeding materials, clean the structures of pertinent portions thereof to the degree of cleanliness recommended by the manufacturer of the succeeding material, using equipment and materials required to achieve the required cleanliness.
    - 4. Following the installation of finish floor materials, clean the finish floor daily, and more often if necessary, and while work is being performed in the space in which finish materials have been installed.
  - b. "Clean", for the purpose of this subparagraph, shall be interpreted as meaning free from foreign material that, in the opinion of the Architect, may be injurious to the finish floor material, i.e., "vacuum-clean".
- D. General: The General Conditions require general cleaning during construction. Prior to completion of the work, remove from the job site all tools, surplus materials, equipment, scrap, debris, and waste, conduct final progress cleaning as described below.
- E. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to the condition expected in a normal, commercial building cleaning and maintenance program.

Comply with manufacturer's instructions. Unless otherwise specifically directed by the Architect, water and broom clean paved areas on the site and public paved areas directly adjacent to the site. Remove resultant debris

- F. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion.
- 1. Remove labels that are not permanent labels. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
  - G. Clean exposed exterior and interior hard-surfaced finishes to a dustfree condition, free of stains, films, and similar foreign substances. Restore reflective surfaces to their original condition. Leave concrete floors broom clean. Vacuum carpeted surfaces. Sweep and mop vinyl and rubber surfaces.
  - H. Structures:
    - 1. Exterior: In areas affected by the work under this contract, visually inspect exterior surfaces and remove traces of soil, waste material, smudges, and other foreign matter. Remove traces of splashed material from adjacent surfaces. If necessary to achieve a uniform degree of exterior cleanliness, hose down the exterior of the structure.
    - 2. In the event of stubborn stains not removable with water, the Architect may require light sandblasting or other cleaning at no additional cost to the County.
  - I. Interior: In areas affected by the work under this contract, visually inspect interior surfaces and remove traces of soil waste material, smudges, and other foreign matter. Remove traces of splashed materials from adjacent surfaces. Remove paint drippings, spots, stains, and dirt from finished surfaces. Use only the cleaning materials and equipment instructed by the manufacturer of the surface material.
  - J. Glass: Clean glass inside and outside.
  - K. Polished surfaces: On surfaces requiring the routine application of buffed polish, apply the polish recommended by the manufacturer of the material being polished. Glossy surfaces shall be cleaned and shined as intended by the manufacturer
    - 1. Wipe surfaces of mechanical and electrical equipment. Remove excess lubrication and other substances. Clean plumbing fixtures to a sanitary condition. Clean light fixtures and lamps.

- 2. Clean the site, including landscape development areas, of rubbish, litter, and other foreign substances. Sweep paved areas broom clean; remove stains, spills, and other foreign deposits. Rake grounds that are neither paved nor planted to a smooth, even-textured surface.
- L. Pest Control: Engage an experienced, licensed exterminator to make a final inspection and rid the Project of rodents, insects, and other pests.
- M. Removal of Protection: Remove temporary protection and facilities installed for protection of the Work during construction.
- N. Compliance: Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on the County's property. Do not discharge volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from the site and dispose of lawfully.
- O. Extra Materials: Where extra materials of value remain after completion of associated Work, they become the County's property. Dispose of these materials as directed by the Owner.
- P. Timing: Schedule final cleaning as accepted by the Architect to enable the County to accept a completely clean project.
- Q. Cleaning During County's Occupancy
  - 1. Should the County occupy the work or any portion thereof prior to its completion by the Contractor and acceptance by the County, responsibilities for interim and final cleaning of the occupied spaces shall be determined by the Architect in accordance with the General Conditions of the Contract.

# END OF SECTION 01 74 20

### **SECTION 01 77 00**

## CLOSEOUT PROCEDURES

#### General

### 1.01 Summary

- A. This Section includes administrative and procedural requirements for contract closeout, including, but not limited to, the following:
  - 1. Inspection procedures.
  - 2. Final Completion.
  - 3. Warranties.
  - 4. Final cleaning.
  - 5. Repair of work.
- B. See Section 01 29 00 "Payment Procedures" for requirements for Applications for Payment for Substantial and Final Completion.
- C. See Section 01 78 39 "Project Record Documents" for submitting Record Drawings, Record Specifications, and Record Product Data.
- D. See Section 01 78 23 "Operation and Maintenance Data" for operation and maintenance manual requirements.
- E. See Section 01 79 00 "Demonstration and Training" for requirements for instructing Owner's personnel.
- F. See Divisions 02 through 48 Sections for specific closeout and special cleaning requirements for the Work in those Sections.

#### 1.02 Substantial Completion

- A. Preliminary Procedures: Before requesting inspection for determining date of Substantial Completion, complete the following. List items below that are incomplete in request.
  - 1. Prepare a list of items to be completed and corrected (punch list), the value of items on the list, and reasons why the Work is not complete.
  - 2. Advise Owner of pending insurance changeover requirements.
  - 3. Submit specific warranties, workmanship bonds, maintenance service agreements, final certifications, and similar documents.
  - 4. Obtain and submit releases permitting Owner unrestricted use of the Work and access to services and utilities. Include occupancy permits, operating certificates, and similar releases.
  - 5. Prepare and submit Project Record Documents, operation and maintenance manuals, damage or settlement surveys, property surveys, and similar final record information.
  - 6. Deliver tools, spare parts, extra materials, and similar items to location designated by Owner. Label with manufacturer's name and model number where applicable.

- 7. Make final changeover of permanent locks and deliver keys to Owner. Advise Owner's personnel of changeover in security provisions.
- 8. Complete startup testing of systems.
- 9. Submit test/adjust/balance records.
- 10. Terminate and remove temporary facilities from Project site, along with mockups, construction tools, and similar elements.
- 11. Advise Owner of changeover in heat and other utilities.
- 12. Submit changeover information related to Owner's occupancy, use, operation, and maintenance.
- 13. Complete final cleaning requirements, including touchup painting.
- 14. Touch up and otherwise repair and restore marred exposed finishes to eliminate visual defects.
- 15. Successful completion of Functional Testing for equipment requiring LDD Commissioning.
- B. Inspection: Submit a written request for inspection for Substantial Completion. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare the Certificate of Substantial Completion after inspection or will notify Contractor of items, either on Contractor's list or additional items identified by Architect, that must be completed or corrected before certificate will be issued.
  - 1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.
  - 2. Results of completed inspection will form the basis of requirements for Final Completion.

# 1.03 Final Completion

- A. Preliminary Procedures: Before requesting final inspection for determining date of Final Completion, complete the following:
  - 1. Submit a final Application for Payment according to Section 01 29 00 "Payment Procedures."
  - 2. Submit certified copy of Architect's Substantial Completion inspection list of items to be completed or corrected (punch list), endorsed and dated by Architect. The certified copy of the list shall state that each item has been completed or otherwise resolved for acceptance.
  - 3. Submit evidence of final, continuing insurance coverage complying with insurance requirements.
  - 4. Instruct Owner's personnel in operation, adjustment, and maintenance of products, equipment, and systems.
- B. Inspection: Submit a written request for final inspection for acceptance. On receipt of request, Architect will either proceed with inspection or notify Contractor of unfulfilled requirements. Architect will prepare a final Certificate for Payment after inspection or will

notify Contractor of construction that must be completed or corrected before certificate will be issued.

1. Reinspection: Request reinspection when the Work identified in previous inspections as incomplete is completed or corrected.

## 1.04 List of Incomplete Items (punch list)

- A. Preparation: Submit electronic versions of list (including editable file and PDF file). Include name and identification of each space and area affected by construction operations for incomplete items and items needing correction including, if necessary, areas disturbed by Contractor that are outside the limits of construction.
  - 1. Organize list of spaces in sequential order, starting with exterior areas first.
  - 2. Organize items applying to each space by major element, including categories for ceiling, individual walls, floors, equipment, and building systems.
  - 3. Submit list of incomplete items in the following format:
    - (a) MS Excel electronic file. Architect, will return annotated copy.

### 1.05 Warranties

- A. Submittal Time: Submit written warranties on request of Architect for designated portions of the Work where commencement of warranties other than date of Substantial Completion is indicated.
- B. Organize warranty documents into an orderly sequence based on the table of contents of the Project Manual.
  - 1. Bind warranties and bonds in heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8- 1/2-by-11-inch paper.
  - 2. Provide heavy paper dividers with plastic-covered tabs for each separate warranty. Mark tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product and the name, address, and telephone number of Installer.
  - 3. Identify each binder on the front and spine with the typed or printed title "WARRANTIES," Project name, and name of Contractor.
- C. Provide additional copies of each warranty to include in operation and maintenance manuals.

### Products

#### 2.01 Materials

A. Cleaning Agents: Use cleaning materials and agents recommended by manufacturer or fabricator of the surface to be cleaned. Do not use cleaning agents that are potentially hazardous to health or property or that might damage finished surfaces.

### Execution

#### 3.01 Final Cleaning

- A. General: Provide final cleaning. Conduct cleaning and wasteremoval operations to comply with local laws and ordinances and Federal and local environmental and antipollution regulations.
- B. Cleaning: Employ experienced workers or professional cleaners for final cleaning. Clean each surface or unit to condition expected in an average commercial building cleaning and maintenance program. Comply with manufacturer's written instructions.
  - 1. Complete the following cleaning operations before requesting inspection for certification of Substantial Completion for entire Project or for a portion of Project:
    - (a) Clean Project site, yard, and grounds, in areas disturbed by construction activities, including landscape development areas, of rubbish, waste material, litter, and other foreign substances.
    - (b) Sweep paved areas broom clean. Remove petrochemical spills, stains, and other foreign deposits.
    - (c) Rake grounds that are neither planted nor paved to a smooth, even-textured surface.
    - (d) Remove tools, construction equipment, machinery, and surplus material from Project site.
    - (e) Remove snow and ice to provide safe access to building.
    - (f) Clean exposed exterior and interior hard-surfaced finishes to a dirt-free condition, free of stains, films, and similar foreign substances. Avoid disturbing natural weathering of exterior surfaces. Restore reflective surfaces to their original condition.
    - (g) Remove debris and surface dust from limited access spaces, including roofs, plenums, shafts, trenches, equipment vaults, manholes, attics, and similar spaces.
    - (h) Sweep concrete floors broom clean in unoccupied spaces.
    - (i) Vacuum carpet and similar soft surfaces, removing debris and excess nap; shampoo if visible soil or stains remain.

- (j) Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compounds and other noticeable, vision- obscuring materials. Replace chipped or broken glass and other damaged transparent materials. Polish mirrors and glass, taking care not to scratch surfaces.
- (k) Remove labels that are not permanent.
- (I) Touch up and otherwise repair and restore marred, exposed finishes and surfaces. Replace finishes and surfaces that cannot be satisfactorily repaired or restored or that already show evidence of repair or restoration.
  - *(i)* Do not paint over "UL" and similar labels, including mechanical and electrical name plates.
- (m)Wipe surfaces of mechanical and electrical equipment and similar equipment. Remove excess lubrication, paint and mortar droppings, and other foreign substances.
- (n) Replace parts subject to unusual operating conditions.
- (o) Clean plumbing fixtures to a sanitary condition, free of stains, including stains resulting from water exposure.
- (p) Replace disposable air filters and clean permanent air filters. Clean exposed surfaces of diffusers, registers, and grills.
- (q) Clean light fixtures, lamps, globes, and reflectors to function with full efficiency. Replace burned-out bulbs, and those noticeably dimmed by hours of use, and defective and noisy starters in fluorescent and mercury vapor fixtures to comply with requirements for new fixtures.
- (r) Leave Project clean and ready for occupancy.
- C. Comply with safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on Owner's property. Do not discharge volatile, harmful, or dangerous materials into drainage systems. Remove waste materials from Project site and dispose of lawfully.

### END OF SECTION 01 77 00

# 01 78 23

# **OPERATION AND MAINTENANCE DATA**

#### Part 1. General

### 1.01 Summary

- A. This Section includes administrative and procedural requirements for preparing operation and maintenance manuals, including the following:
  - 1. Emergency manuals.
  - 2. Operation manuals for systems, subsystems, and equipment.
  - 3. Maintenance manuals for the care and maintenance of products, materials, and finishes systems and equipment.
- B. See Divisions 02 through 48 Sections for specific operation and maintenance manual requirements for the Work in those Sections.

### 1.02 SUBMITTALS

- A. Manual: Submit one copy of each manual in final form at least fifteen (15) days before final inspection. At discretion of Architect, initial submittals may be as PDF files with both hard copies and PDF files of final version. Architect will return copy with comments within fifteen (15) days after final inspection.
  - 1. Correct or modify each manual to comply with Architect's comments. Submit three (3) copies of each corrected manual within fifteen (15) days of receipt of Architect's comments.

### Part 2. Products

#### 2.01 Manuals, General

- A. Organization: Unless otherwise indicated, organize each manual into a separate section for each system and subsystem, and a separate section for each piece of equipment not part of a system. Each manual shall contain a title page, table of contents, and manual contents.
- B. Title Page: Enclose title page in transparent plastic sleeve. Include the following information:
  - 1. Subject matter included in manual.
  - 2. Name and address of Project.
  - 3. Name and address of Owner.
  - 4. Date of submittal.
  - 5. Name, address, and telephone number of Contractor.
  - 6. Name and address of Architect.

- 7. Cross-reference to related systems in other operation and maintenance manuals.
- C. Table of Contents: List each product included in manual, identified by product name, indexed to the content of the volume, and cross-referenced to Specification Section number in Project Manual.
- D. Manual Contents: Organize into sets of manageable size. Arrange contents alphabetically by system, subsystem, and equipment. If possible, assemble instructions for subsystems, equipment, and components of one system into a single binder.
  - 1. Binders: Heavy-duty, 3-ring, vinyl-covered, loose-leaf binders, in thickness necessary to accommodate contents, sized to hold 8-1/2-by-11-inch (215-by-280- mm) paper; with clear plastic sleeve on spine to hold label describing contents and with pockets inside covers to hold folded oversize sheets.
    - (a) Identify each binder on front and spine, with printed title "OPERATION AND MAINTENANCE MANUAL," Project title or name, and subject matter of contents. Indicate volume number for multiple-volume sets.
  - 2. Dividers: Heavy-paper dividers with plastic-covered tabs for each section. Mark each tab to indicate contents. Include typed list of products and major components of equipment included in the section on each divider, cross- referenced to Specification Section number and title of Project Manual.
  - 3. Protective Plastic Sleeves: Transparent plastic sleeves designed to enclose diagnostic software diskettes for computerized electronic equipment.
  - 4. Drawings: Attach reinforced, punched binder tabs on drawings and bind with text.
    - (a) If oversize drawings are necessary, fold drawings to same size as text pages and use as foldouts.
    - (b) If drawings are too large to be used as foldouts, fold and place drawings in labeled envelopes and bind envelopes in rear of manual. At appropriate locations in manual, insert typewritten pages indicating drawing titles, descriptions of contents, and drawing locations.

# 2.02 Emergency Manuals

- A. Content: Organize manual into a separate section for type of emergency, emergency instructions, and emergency procedures.
- B. Type of Emergency: Where applicable for each type of emergency indicated below, include instructions and procedures for each system, subsystem, piece of equipment, and component for fire, gas leak, water leak, power failure, water outage, equipment failure, and chemical release or spill.

- C. Emergency Instructions: Describe and explain warnings, trouble indications, error messages, and similar codes and signals. Include responsibilities of Owner's operating personnel for notification of Installer, supplier, and manufacturer to maintain warranties.
- D. Emergency Procedures: Include instructions on stopping, shutdown instructions for each type of emergency, operating instructions for conditions outside normal operating limits, and required sequences for electric or electronic systems.

# 2.03 Operation Manuals

- A. Content: In addition to requirements in this Section, include operation data required in individual Specification Sections and equipment descriptions, operating standards, operating procedures, operating logs, wiring and control diagrams, and license requirements.
- B. Descriptions: Include the following:
  - 1. Product name and model number.
  - 2. Manufacturer's name.
  - 3. Equipment identification with serial number of each component.
  - 4. Equipment function.
  - 5. Operating characteristics.
  - 6. Limiting conditions.
  - 7. Performance curves.
  - 8. Engineering data and tests.
  - 9. Complete nomenclature and number of replacement parts.
- C. Operating Procedures: Include start-up, break-in, and control procedures; stopping and normal shutdown instructions; routine, normal, seasonal, and weekend operating instructions; and required sequences for electric or electronic systems.
- D. Systems and Equipment Controls: Describe the sequence of operation, and diagram controls as installed.
- E. Piped Systems: Diagram piping as installed, and identify color-coding where required for identification.

### 2.04 Product Maintenance Manual

- A. Content: Organize manual into a separate section for each product, material, and finish. Include source information, product information, maintenance procedures, repair materials and sources, and warranties and bonds, as described below.
- B. Source Information: List each product included in manual identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross- reference Specification Section number and title in Project Manual.
- C. Product Information: Include the following, as applicable:
  - 1. Product name and model number.

- 2. Manufacturer's name.
- 3. Color, pattern, and texture.
- 4. Material and chemical composition.
- 5. Reordering information for specially manufactured products.
- D. Maintenance Procedures: Include manufacturer's written recommendations and inspection procedures, types of cleaning agents, methods of cleaning, schedule for cleaning and maintenance, and repair instructions.
- E. Repair Materials and Sources: Include lists of materials and local sources of materials and related services.
- F. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds.

### 2.05 Systems And Equipment Maintenance Manual

- A. Content: For each system, subsystem, and piece of equipment not part of a system, include source information, manufacturers' maintenance documentation, maintenance procedures, maintenance and service schedules, spare parts list and source information, maintenance service contracts, and warranty and bond information, as described below.
- B. Source Information: List each system, subsystem, and piece of equipment included in manual identified by product name and arranged to match manual's table of contents. For each product, list name, address, and telephone number of Installer or supplier and maintenance service agent, and cross-reference Specification Section number and title in Project Manual.
- C. Manufacturers' Maintenance Documentation: Manufacturers' maintenance documentation including maintenance instructions, drawings and diagrams for maintenance, nomenclature of parts and components, and recommended spare parts for each component part or piece of equipment:
- D. Maintenance Procedures: Include test and inspection instructions, troubleshooting guide, disassembly instructions, and adjusting instructions, and demonstration and training videotape if available, that detail essential maintenance procedures:
- E. Maintenance and Service Schedules: Include service and lubrication requirements, list of required lubricants for equipment, and separate schedules for preventive and routine maintenance and service with standard time allotment.
- F. Spare Parts List and Source Information: Include lists of replacement and repair parts, with parts identified and cross-referenced to manufacturers' maintenance documentation and local sources of maintenance materials and related services.

- G. Maintenance Service Contracts: Include copies of maintenance agreements with name and telephone number of service agent.
- H. Warranties and Bonds: Include copies of warranties and bonds and lists of circumstances and conditions that would affect validity of warranties or bonds

## Part 3. Execution

### 3.01 MANUAL PREPARATION

- A. Emergency Manual: Assemble a complete set of emergency information indicating procedures for use by emergency personnel and by Owner's operating personnel for types of emergencies indicated.
- B. Product Maintenance Manual: Assemble a complete set of maintenance data indicating care and maintenance of each product, material, and finish incorporated into the Work.
- C. Operation and Maintenance Manuals: Assemble a complete set of operation and maintenance data indicating operation and maintenance of each system, subsystem, and piece of equipment not part of a system.
- D. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to the Work and delete references to information not applicable.
- E. Drawings: Prepare drawings supplementing manufacturers' printed data to illustrate the relationship of component parts of equipment and systems and to illustrate control sequence and flow diagrams. Coordinate these drawings with information contained in Record Drawings to ensure correct illustration of completed installation.
  - 1. Do not use original Project Record Documents as part of operation and maintenance manuals.
- F. Comply with Section 01 77 00 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

# END OF SECTION 01 78 23

## **SECTION 01 78 36**

### WARRANTIES

### Part 1. General

### 1.01 Summary

- A. This Section includes administrative and procedural requirements for preparing warranties of products and installation.
- B. All Contract Documents should be reviewed for applicable provisions related to the provisions in this document, including without limitation:
  - 1. General Conditions, including, without limitation, Warranty/Guarantee Information;
  - 2. Special Conditions.

### 1.02 Submittals

- A. Binders: Contractor shall use commercial quality, 8-1/2 by 11 inch, three-side rings, with durable plastic covers; two inch maximum ring size.
- B. Cover: Contractor shall identify each binder with typed or printed title "WARRANTIES" and shall list title of Project.
- C. Table of Contents: Contractor shall provide title of Project; name, address, and telephone number of Contractor and equipment supplier, and name of responsible principal. Contractor shall identify each item with the number and title of the specific Specification, document, provision, or section in which the name of the product or work item is specified.
- D. Contractor shall separate each warranty with index tab sheets keyed to the Table of Contents listing, providing full information, and using separate typed sheets as necessary. List each applicable and/or responsible Subcontractor(s), supplier(s), and manufacturer(s), with name, address, and telephone number of each responsible principal(s).

### 1.03 Preparation

- A. Contractor shall obtain warranties, executed in duplicate by each applicable and/or responsible subcontractor(s), supplier(s), and manufacturer(s), within ten
- B. (10) days after completion of the applicable item or work. Except for items put into use with City's permission, Contractor shall leave date of beginning of time of warranty until the date of completion is determined.

- C. Contractor shall verify that documents are in proper form, contain full information, and are notarized, when required. Contractor shall co-execute submittals when required.
- D. Contractor shall retain warranties until time specified for submittal.
- E. Manufacturers' Data: Where manuals contain manufacturers' standard printed data, include only sheets pertinent to product or component installed. Mark each sheet to identify each product or component incorporated into the Work. If data include more than one item in a tabular format, identify each item using appropriate references from the Contract Documents. Identify data applicable to Work & delete references to info. not applicable.
- F. Comply with Section 01 77 00 "Closeout Procedures" for schedule for submitting operation and maintenance documentation.

# END OF SECTION 01 78 36
# **SECTION 01 78 39**

# **PROJECT RECORD DOCUMENTS**

### Part 1. General

## 1.01 Summary

- A. This Section includes administrative and procedural requirements for Project Record Documents, including the following:
  - 1. Record Drawings.
  - 2. Record Specifications.
- B. See Section 01 78 23 "Operation and Maintenance Data" for operation and maintenance manual requirements.
- C. See Divisions 02 through 48 Sections for specific requirements for Project Record Documents of the Work in those Sections.

# 1.02 Submittals

- A. Record Drawings: Comply with the following:
  - 1. Submit copies of Record Drawings as follows:
    - (a) Initial Submittal: Submit one copy of marked-up Record Prints for review. Architect will initial and date each sheet and mark whether general scope of changes, additional information recorded, and quality of drafting are acceptable. Architect will return prints for organizing into sets, printing, binding, and final submittal.
    - (b) Final Submittal: Submit one set of the marked-up Record Prints, and the following:
      - (i) PDF file of complete set of record drawings.
      - (ii) Record CAD Drawing Files and Plots.
- B. Record Specifications: Submit copy of Project's Specifications, including addenda and contract modifications.

# Part 2. Products

# 2.01 Record drawings

- A. Record Prints (Progress): Maintain one set of blue- or black-line white prints of the Contract Drawings and Shop Drawings.
  - 1. Preparation: Mark Record Prints to show the actual installation where installation varies from that shown originally. Require individual or entity who obtained record data, whether individual or entity is Installer, subcontractor, or similar entity, to prepare the marked-up Record Prints.
  - 2. Give particular attention to information on concealed elements that would be difficult to identify or measure and record later.

- 3. Record data as soon as possible after obtaining it. Record and check the markup before enclosing concealed installations.
- 4. Mark the Contract Drawings or Shop Drawings, whichever is most capable of showing actual physical conditions, completely and accurately. If Shop Drawings are marked, show cross-reference on the Contract Drawings.
- 5. Mark record sets with erasable, red-colored pencil. Use other colors to distinguish between changes for different categories of the Work at same location.
- Note Construction Change Directive numbers, alternate numbers, Change Order numbers, RFI numbers and similar identification, where applicable. Clearly mark revisions made to original documents – listing reference documents is not sufficient
- B. Record Prints (Final): Immediately before inspection for Certificate of Substantial Completion, review marked-up progress Record Prints with Architect. When authorized, prepare a full set of corrected copies of the Contract Drawings and Shop Drawings.
  - 1. Incorporate changes and additional information previously marked on Record Prints. Erase, redraw, and add details and notations where applicable.
  - 2. Refer instances of uncertainty to Architect for resolution.
- C. Format: Identify and date each Record Drawing; include the designation "PROJECT RECORD DRAWING" in a prominent location.
  - 1. Record Prints: Organize Record Prints and newly prepared Record Drawings into manageable sets. Bind each set with durable paper cover sheets. Include identification on cover sheets. Provide PDF file of full set of record documents.
  - 2. Record CAD Drawings: Organize CAD information into separate electronic files that correspond to each sheet of the Contract Drawings. Name each file with the sheet identification. Include identification in each CAD file.
  - 3. Identification: As follows:
    - (a) Project name.
    - (b) Date.
    - (c) Designation "PROJECT RECORD DRAWINGS."
    - (d) Name of Architect.
    - (e) Name of Contractor.

# 2.02 Record Specifications

- A. Preparation: Mark Specifications to indicate the actual product installation where installation varies from that indicated in Specifications, addenda, and contract modifications.
  - 1. Give particular attention to information on concealed products and installations that cannot be readily identified and recorded later.

- 2. Mark copy with the proprietary name and model number of products, materials, and equipment furnished, including substitutions and product options selected.
- 3. Record the name of manufacturer, supplier, Installer, and other information necessary to provide a record of selections made, note related Change Orders, RFIs and Record Drawings where applicable (incl. revisions made not just referenced document umber).

# 2.03 Miscellaneous Submittals

- A. Assemble miscellaneous records required by other Specification Sections for miscellaneous record keeping and submittal in connection with actual performance of the Work. Bind or file miscellaneous records and identify each, ready for continued use and reference. Miscellaneous records include, but are not limited to, the following:
  - 1. Field records on underground construction and similar work.
  - 2. Surveys showing locations and elevations of underground lines.
  - 3. Invert elevations of drainage piping.
  - 4. Surveys establishing building lines and levels.
  - 5. Authorized measurements using unit prices or allowances.
  - 6. Records of plant treatment.
  - 7. Ambient and substrate condition tests.
  - 8. Certifications received in lieu of labels on bulk products.
  - 9. Batch mixing and bulk delivery records.
  - 10. Testing and qualification of trade persons.
  - 11. Documented qualification of installation firms.
  - 12. Load and performance testing.
  - 13. Inspections and certifications by governing authorities.
  - 14. Final inspection and correction procedures

# Part 3. Execution

# 3.01 Recording and Maintenance

- A. Recording: Maintain one copy of each submittal during the construction period for Project Record Document purposes. Post changes and modifications to Project Record Documents as they occur; do not wait until the end of Project.
- B. Maintenance of Record Documents and Samples: Store Record Documents and Samples in the field office apart from the Contract Documents used for construction. Do not use Project Record Documents for construction purposes. Maintain Record Documents in good order and in a clean, dry, legible condition, protected from deterioration and loss. Provide access to Project Record Documents for Architect's reference during normal working hours.

# END OF SECTION 01 78 39

# **SECTION 01 79 00**

# **DEMONSTRATION AND TRAINING**

#### Part 1. General

#### 1.01 Summary

- A. This Section includes administrative and procedural requirements for instructing Owner's personnel, including the following:
  - 1. Demonstration of operation of systems, subsystems, and equipment.
  - 2. Training in operation and maintenance of systems, subsystems, and equipment.
  - 3. Demonstration and training DVD's/digital storage device.
- B. See Divisions 02 through 48 for specific requirements for demonstration and training for products in those Sections.

#### 1.02 Submittals

- A. Instruction Program: Submit two (2) copies of outline of instructional program for demonstration and training, including a schedule of proposed dates, times, length of instruction time, and instructors' names for each training module. Include learning objective and outline for each training module.
- B. Demonstration and Training DVD's/digital storage device: Submit two
   (2) copies within seven (7) days of end of each training module.

### 1.03 Quality assurance

- A. Facilitator Qualifications: A firm or individual experienced in training or educating maintenance personnel in a training program similar in content and extent to that indicated for this Project, and whose work has resulted in training or education with a record of successful learning performance.
- B. Instructor Qualifications: A factory-authorized service representative, complying with requirements in Section 01 40 00 "Quality Requirements," experienced in operation and maintenance procedures and training.
- C. Pre-instruction Conference: Conduct conference at Project site. Review methods and procedures related to demonstration and training.
- D. Coordinate content of training modules with content of approved emergency, operation, and maintenance manuals. Do not submit instruction program until operation and maintenance data has been reviewed and approved by Architect.

# Part 2. Products

### 2.01 Instruction program

- A. Program Structure: Develop an instruction program that includes individual training modules for each system and equipment not part of a system, as required by individual Specification Sections, and as follows:
  - 1. Maintenance and repair of PV system, including replacement of components, including PV Panels.
- B. Training Modules: Develop a learning objective and teaching outline for each module. Include a description of specific skills and knowledge that participant is expected to master. For each module, include instruction for the following:
  - 1. Basis of System Design, Operational Requirements, and Criteria: Include system and equipment descriptions, operating standards, regulatory requirements, equipment function, operating characteristics, limiting conditions, and performance curves.
  - 2. Documentation: Review emergency, operations, and maintenance manuals; Project Record Documents; identification systems; warranties and bonds; and maintenance service agreements.
  - 3. Emergencies: Include instructions on stopping; shutdown instructions; operating instructions for conditions outside normal operating limits; instructions on meaning of warnings, trouble indications, and error messages; and required sequences for electric or electronic systems.
  - 4. Operations: Include startup, break-in, control, and safety procedures; stopping and normal shutdown instructions; routine, normal, seasonal, and weekend operating instructions; operating procedures for emergencies and equipment failure; and required sequences for electric or electronic systems.
  - 5. Adjustments: Include alignments and checking, noise, vibration, economy, and efficiency
  - 6. Troubleshooting: Include diagnostic instructions and test and inspection procedures.
  - 7. Maintenance: Include inspection procedures, types of cleaning agents, methods of cleaning, procedures for preventive and routine maintenance, and instruction on use of special tools.
  - 8. Repairs: Include diagnosis, repair, and disassembly instructions; instructions for identifying parts; and review of spare parts needed for operation and maintenance.

### Part 3. Execution

### 3.01 Instruction

A. Facilitator: Engage a qualified facilitator to prepare instruction program and training modules, to coordinate instructors, and to coordinate

between Contractor and Owner for number of participants, instruction times, and location.

- B. Engage qualified instructors to instruct Owner's personnel to adjust, operate, and maintain systems, subsystems, and equipment not part of a system.
  - 1. Owner Representative will describe County's operational philosophy.
- C. Scheduling: Provide instruction at mutually agreed on times. For equipment that requires seasonal operation, provide similar instruction at start of each season.
  - 1. Schedule training w/ Owner, thru County Project Manager w/at least 7 days advance notice.
- D. Evaluation: At conclusion of each training module, assess and document each participant's mastery of module by use of a demonstration performance-based test.

# 3.02 Demonstration and training videotapes

- A. General: Engage a qualified commercial photographer to record demonstration and training videos. Record each training module separately. Include classroom instructions and demonstrations, board diagrams, and other visual aids, but not student practice.
- B. At beginning of each training module, record each chart containing learning objective and lesson outline.
- C. Media Format: Provide high-quality Digital Videos Discs (DVD's) or digital storage device (per the preference of the Owner).
- D. Narration: Describe scenes on video by audio narration by microphone while video is recorded. Include description of items being viewed. Describe vantage point, indicating location, direction (by compass point), and elevation or story of construction.

# END OF SECTION 01 79 00

# SECTION 02 41 00

## GENERAL DEMOLITION

### PART 1. GENERAL

#### 1.01 Related Documents

- A. The Drawings and general provisions of the Contract, including General and Special Conditions and Division 01 00 00, General Requirements, apply to the work specified in this section.
- B. Parts 1,2,3,4,5,6, Title 24 of the California Code of Regulations (California Building Code) is to be considered an integral part of this section.
- C. All California Prevailing Wage Laws apply to the work of this section.

### 1.02 Work Included

A. The following is a general description of the work included in this section. This description does not limit the scope of work shown in the drawings nor does it relieve the Contractor of any responsibility for coordination of **ALL** work of this Contract.

Description

Item General Special Coordination

- General Demolition Requirements
- Refer to Related Sections for specific project requirements not included herein.
- B. Related work may be described in other sections of this Project Manual. All sections of this Project Manual are related. Contractor shall coordinate the work of this section will all other sections.

### 1.03 Submittals

A. Provide the following submittals per the requirements of Division 01 00 00.

ltem	Description
Catalog Cuts	• n/a
Product Data	• n/a
Samples	• n/a
Shop Drawings	• n/a
Schedule	<ul> <li>Include Schedule entry on Gantt Chart for General Demolition</li> </ul>

#### 1.04 **References/Standards**

A. The following References and Standards are incorporated into the requirements of this Section as they apply to products, assembly, manufacturing procedures and installation. References shall be utilized in determining "Industry Standards" and other acceptable manufacture and installation methods but shall not relieve the Contractor of any other responsibilities of the Contract. Where conflicts occur between multiple listed references, the Contractor shall assume that the more restrictive standard applies and shall seek determination from the Architect regarding applicable standard.

References	•	n/a
Standard	•	n/a

#### 1.05 **Quality Assurance**

A. Provide the following per Division 01 00 00

ltem	Description
Supervision	<ul> <li>Full time supervision and observation by the Contractor of all on-site Construction Activities.</li> <li>Ensure that all items to be retained in good condition and turned over to owner are properly protected.</li> </ul>
Qualifications of Workers	• General Contractor shall ensure that all workers providing labor on this project are fully competent and experienced in the area of work being performed. General Contractor shall require subcontractors to remove any unqualified workers from the project.
Product Acceptance	• n/a
Substrate Acceptance	<ul> <li>General Contractor (Superintendent) shall verify all substrates / conditions prior to allowing installation of any item.</li> </ul>

#### 1.06 **Quality Control by Contractor**

A. The following specific procedures shall be required to demonstrate adequate levels of quality provided for project components and systems. Exclusion of any item from this list does not relieve the Contractor of any responsibilities for quality procedures covered elsewhere in the Contract Documents.

Item	Description
Supervision	<ul> <li>Per Division 01 00 00</li> </ul>
Testing	• n/a
Special Inspections	• n/a
Mock Ups	• n/a

# 1.07 Quality Control by Owner

A. The following specific procedures shall be required to demonstrate adequate levels of quality provided for project components and systems. Exclusion of any item from this list does not relieve the Contractor of any responsibilities for quality procedures covered elsewhere in the Contract.

Description
-------------

- Per Division 01 00 00
- Inspection n/a Testing • n/a
- Testing n/a Special Inspections • n/a

# 1.08 Closeout

Item

Observation

A. Provide the following Close Out materials in accordance with Division 01 00 00.

Description
• n/a

## 1.09 Warranty

A. Provide written warranty in accordance with Division 01 00 01.

	Item	Description
	Warranty Form	• Per Division 01 00 00
	Warranty Period	• 1 year
	Warranty Start	Date of Substantial Completion
2	DDODUCTO	I

# PART 2. PRODUCTS

Not Used

# PART 3. EXECUTION

# 3.01 Demolition Section of Other Disciplines

- A. This section shall apply to all demolition work defined under any other section of this Specification. Conflicts between this section and other sections shall be resolved by the Architect with favor to the most restrictive stipulation.
- B. All trades shall review all documents to determine the extent to which their demolition work may require support work from other trades.
- C. The Contractor shall be responsible to ensure that all trade work required for demolition tasks is provided regardless of the inclusion of that trade in any Plans or Demolition Sections.
  - 1. Contractor shall provide complete service including specified demolition work as well as collateral requirements for repair, closure, termination or

re-routing. No claims shall be made for incidental or peripheral demolition work associated with any specified work.

# 3.02 Transfer of Responsibility and Disposition of Materials

- A. Contractor shall consult with Owner prior to commencing any demolition and determine which existing items and equipment are of value to the Owner. These items shall be carefully removed to avoid damage and shall be delivered to the Owner as directed.
- B. Title to all remaining items, equipment and fixtures required to be removed, shall be vested in the Contractor whereupon the Owner will not be responsible for the condition, loss or damage to said property. All such items shall be removed from the Owner's property.

# 3.03 Supervision Requirements

- A. Provide continuous supervision of all demolition activities. All demolition work shall be done in conjunction with the Contract Documents and shall accommodate provisions of all aspects of the Documents.
- B. Conduct demolition to minimize interference with adjacent structures.
- C. Conduct operations with minimum interference to public or private accesses.
- D. Maintain egress and access at all times. Do not close or obstruct roadways without permits.
- E. Cease operations immediately if adjacent structures appear to be in danger. Notify Architect.

# 3.04 Site Safety During Demolition Operations

- A. Contractor shall provide fire watch for the site or facility during temporary shutdown of fire alarm or fire suppression systems.
- B. Contractor shall provide security services for the site or facility during temporary shutdown of the security systems.
- C. Contractor shall provide traffic control during disruption of normal traffic flow on site due to temporary alterations in site circulation system.
- D. Contractor shall provide pedestrian control during demolition operations to ensure pedestrians are protected from equipment and materials.
- E. Contractor shall provide vision shielding to prevent observation by the public of cutting and welding torches or any other source of bright light that may damage vision.
- F. Refer to Site Safety Section of this specification.

# 3.05 Demolition Scheduling

A. Contractor acknowledges that Demolition Scheduling shall be coordinated with the Architect for the beneficial operation of the County of San Mateo's

Site. Contractor shall place no limitation on the extent of coordination of Demolition activities for this purpose.

- B. Contractor acknowledges that Demolition work may occur in multiple and repetitive tasks and may occur at different locations at different times, dependent on the needs of the Site to maintain access, operation, utilities, systems and safety.
  - 1. Demolition tasks may be restricted to certain work times based on any one of the following:
    - (a) Occupancy of adjacent areas by the city and the need to minimize disruption at particular times.
    - (b) Need to maintain operation of critical systems and utilities at particular times
  - 2. Demolition tasks may be restricted to certain work areas based on the nature and scope of the task and its potential affect on the users of adjacent occupied spaces.
- C. All Demolition Scheduling shall be reviewed with the Architect prior to commencing operations.
  - 1. Demolition of any items that could affect daily operations of the site shall be scheduled with the County of San Mateo to occur and be replaced with new construction during non-business hours.
  - 2. Demolition Operations may be limited to weekends and holidays.
- D. Contractor shall provide allowance for multiple demolition exercises as may be required to facilitate the County's schedule. Coordination of demolition scheduling with County of San Mateo's operational needs shall not be allowed as the basis of delay claims.

# 3.06 Notification of Demolition

- A. Provide notification of the commencement of any demolition task or phase 48 hours in advance.
- B. Do not commence demolition until the Architect has approved the task or phase and coordinated the schedule with the County.

# 3.07 Demolition Equipment

- A. Contractor shall provide all equipment and materials as may be necessary to perform the demolition work within the limitations of this section. No claims shall be made for special equipment or processes based on limitations of this section.
- B. Contractor shall provide equipment and tools of appropriate size, operation and function to accomplish demolition tasks within the restrictions described herein.

1. Provide equipment of appropriate size to ensure the protection of existing facilities, materials and systems.

# 3.08 Preparation

- A. Provide, erect, and maintain temporary barriers and security devices. Include chain link fence around each phase of construction
  - 1. Include protection of all existing trees.
- B. Mark all items to be removed.
- C. Provide layout as required to direct slab and wall removal. Identify slab and walls requiring precise cutting and demolition for future fitting of new construction.

## 3.09 Dust Control

- A. All demolition activities shall include adequate measures for dust control. Contractor shall provide any and all means necessary to prevent the circulation of dust into adjacent buildings and site areas.
- B. Contractor shall provide the following as needed or at the request of the Architect:
  - 1. Watering shall occur over transit areas including equipment routes, equipment loads and parking areas.
  - 2. Opening protection at all areas that may include plastic barriers, fans and other means to prevent dust from spreading throughout building.
  - 3. Dust removal: Sweep or wash down of adjacent site and building areas that will be used by the public.
- C. Use all means necessary to prevent the spread of dust during performance of the work of this Section; provide dust curtains of fireproof polyethylene where indicated and where applicable, moisten surfaces as required.
- D. Provide sealed membranes with zipper operated doors over corridors and passageways separating the work zone from occupied spaces. Provide sealed membranes over windows and ventilation openings.
  - 1. Membranes shall not limit operability of windows and ventilation systems in areas occupied by the public.
  - 2. In no case shall barriers obstruct required exit routes.

# 3.10 Demolition

- A. Demolish and remove components in an orderly and careful manner, in sequence as indicated on Drawings or as determined by consultation with Architect.
- B. Coordinate all structural shoring and demolition to insure that no settling, deflection or other failure occurs as a result of removal or modification of

existing elements.

- C. Protect existing supporting structural members.
- D. Clearly direct demolition work so that items to remain are protected.
- E. Disconnect, cap and identify designated utilities.
  - 1. Cap utilities at appropriate locations for future service, reconnection.
  - 2. Architect may require removal of piping and raceway beyond specific work areas in order to achieve appropriate location for utility termination.
- F. Execute demolition work to ensure safety of persons and adjacent property against damage by falling debris or other causes in connection of this work.

# 3.11 Termination of Utilities

- A. All demolition of utility piping, wring or ductwork shall include functional termination of piping, wiring and ductwork to remain such that:
  - 1. Operation of remaining systems is not affected or disrupted.
  - 2. Terminations can be accessed for reconnection or re-routing either as part of this work or as specified in other projects.
- B. Demolition of site and building utilities shall provide for termination and investigation regarding the operation of remaining components in adjacent buildings. Contractor shall explicitly ensure that removal of any component specified herein does not prevent remaining components from operating in occupied spaces.

# 3.12 Re-Installation / Reconstruction

- A. Demolition work may require the temporary removal of material, assemblies and equipment that is intended to remain. Demolition work shall require the re-installation of such items to their original condition
  - 1. Reinstallation may affect utility systems and components, electrical systems, communications and data systems and alarm systems.
  - 2. All critical systems shall be maintained in working order for the remainder of the site. No demolition work shall be allowed that will disable and system beyond the extent of a temporary shutdown.
  - 3. Contractor is responsible for returning any system to operation for adjacent areas where work of this contract has interrupted that operation.

# 3.13 Repair and Replacement of Damaged Assemblies, Utilities Systems

- A. Promptly repair damages caused to adjacent facilities by demolition operations, as directed by the Architect and at no cost to the County.
- B. Contractor is responsible for repair, replacement of any system or component damaged by demolition work.

# 3.14 Surface Restoration of Materials to Remain

- A. Where surfaces are to remain unfinished, as indicated on the drawings, Contractor shall restore such surfaces to be free of paint, plaster, fasteners, and holes.
  - 1. Contractor shall power-wash and/or sand/bead-blast all masonry and concrete surfaces indicated as to remain unfinished.
  - 2. Contractor shall extract all fasteners, including powder-driven fasteners, expansion anchors, and lead anchors, and patch resulting holes to match adjacent surface. Alternatively
- B. Surfaces receiving new finishes shall be prepared per Specifications and manufacturer's instructions for the specified finishes.

# 3.15 Disposal of Debris

- A. Remove from the site and legally dispose of all debris resulting from demolition operations.
- B. Provide certification that all materials have been disposed of at appropriate facilities via appropriate methods.
  - 1. Provide dump receipts describing yardage and material content.
  - 2. Provide certification for all hazardous materials.

# 3.16 Recycling

- A. Prepare a recycling plan describing the types and estimated quantities of materials to be removed that can be recycled.
- B. Dispose of all recyclable materials at appropriate disposal facilities as required by local regulatory agencies.
- C. Provide receipts from all facilities at which material was deposited for recycling purposes.

# 3.17 Cleaning

- A. Remove demolished materials from site as work progresses.
  - 1. Provide debris box or trucking as appropriate to task.
  - 2. Remove debris boxes upon filling to capacity.
  - 3. Remove partially filled boxes within one week of delivery.
  - 4. Prevent access to debris boxes by the public.
- B. Leave areas of work in clean condition.
  - 1. Provide sweeping, vacuuming, mopping and other janitorial services as required.

# END OF SECTION 02 41 00

# SECTION 03 10 00

# FORMWORK

# PART 1. GENERAL

# 1.01 Provisions

A. All of the provisions of the General Conditions, Supplementary General Conditions and Special Conditions, Division 1 General Requirements, and any applicable provisions elsewhere in the Contract documents shall apply to work of this Section as fully as if repeated here.

# 1.02 Description

- A. Furnish and install all cast in place concrete formwork as shown and specified, including the following:
  - 1. Design of the formwork, shoring and falsework.
  - 2. Placement of all cast-in anchors, inserts, bolts, sleeves and similar items, including those furnished under other sections.
- B. Related work described elsewhere:
  - 1. See other sections of Division 3.

# 1.03 Quality assurance

- A. Comply with the following codes and standards by the California Building Standards Commission and American Concrete Institute (ACI):
  - 1. 2019 California Building Code (CBC).
  - 2. ACI 347, "Recommended Practice for Concrete Formwork".
  - 3. Applicable jurisdictional agency.

Where provisions of pertinent codes and standards conflict with this Specification, the more stringent will apply.

B. Design and provide engineering services as required, for all formwork and related items such as bracing and blocking, required for the concrete work to be placed on the Project. Design shall be in accordance with the requirements of ACI 347, the requirements of these Contract Documents, and the applicable Building jurisdiction agency.

# 1.04 Sequencing/scheduling

A. Coordinate installation of forms with other Sections, including but not limited to, Mechanical (Division 15), and Electrical (Division 16), to provide all required sleeves, blockouts, openings, reglets, chases, etc., required.

# PART 2. PRODUCTS

## 2.01 Materials

- A. Forms: ACI 347, Table 4.1.
- B. Miscellaneous Materials
  - Ties and spreaders: Factory fabricated, adjustable length, removable or snap-off metal ties, designed to prevent form deflection, and to prevent spalling concrete surfaces upon removal of forms. MeadowBurke, of a type which does not leave an open hole through the concrete and which permits neat, solid patching at every hole. Any metal shall be a minimum of 1-1/2 inches from the outer concrete surface. Site fabricated ties, wire ties, or wood spreaders are not permitted.
  - 2. Corner forms, recess and chamfer strips: Extruded polyvinyl chloride specially produced for concrete formwork; MeadowBurke, Dayton Superior or approved equal.
  - 3. Form coating and/or form release: VOC compliant, of a type which will not harmfully affect the appearance and/or utility of the concrete surface or the application of sealers, paint, vinyl fabric, or any other finishes; BASF MBT Rheofinish 211, Conspec By Dayton Superior, or approved equal.

# PART 3. EXECUTION

### 3.01 Design & general construction

- A. Design and construct all forms, falsework, supports, etc. to be adequate in size and strength for safety, and to resist all loads imposed upon them without deformation, deflection, or settlement.
- B. Design and place shoring so the load from successive parts of the structure will be transmitted directly through the falsework to adequate support, without creating bending or shearing stresses in the concrete. Do not remove shores until supported members have attained sufficient strength to carry the imposed loads. Construct forms to permit their removal without disturbing the original shoring. Re shoring will not be permitted.
- C. Use wedges in pairs, or jacks to bring forms, shoring, or falsework for beams, girders, slabs and other parts of the structure to exact elevations, required camber and uniform bearing before pouring concrete.
- D. Construct formwork to the shape, lines and dimensions of the concrete members and tight enough to prevent cement paste leakage. Tie, brace shore, and support forms to resist pressure from any source. Deflection of any member shall not exceed one eighth inch (1/8 inch). Provide camber in formwork as required for anticipated deflections due to weight and pressures of fresh concrete and construction loads. Where possible, brace forms for exposed concrete without using form ties.

- E. Arrange formwork to allow erection in the proper sequence and permit removal without hammering, prying or damaging the concrete. Identify exposed surfaces so that plywood panel and form tie spacing can be carefully arranged.
- F. Leave temporary openings necessary for inspection and cleaning before depositing concrete.
- G. Form release agent:
  - 1. Thoroughly clean forms and coat with release agent prior to initial use and before each re-use.
  - 2. Apply release agent in strict accordance with manufacturer's directions and coverage recommendations.
  - 3. Avoid starved areas or excessive applications.
  - 4. Apply release agent before reinforcing steel is placed.

## 3.02 Installation

- A. Openings, reglets, inserts, etc.:
  - 1. Refer to the entire set of project drawings for locations, sizes, and types of all openings, reglets, inserts, anchors, sleeves, cans, electric boxes, conduit, etc.
  - 2. Frame for all openings.
  - 3. Install all cast-in items such as listed in 1 above which are not specifically required to be installed in other sections. Coordinate the installation of items to be installed by other sections.
  - 4. Install all cast-in items securely and in exact locations required. Verify that all required cast in items have been installed prior to pouring concrete.
- B. Set screeds for leveling of finish on slabs. Depress slabs where required to receive special floor finishes. Slope slabs to drain where required, or as shown. Check screed elevations frequently during the pour, for concrete elevations.
- C. Install 3/4-inch chamfer at all vertical and horizontal outside corners, unless shown otherwise.
- D. Before depositing concrete, remove all debris from the space to be occupied by the concrete and wet thoroughly. Verify that all reinforcement and inserts are secured in position. Remove all free-standing water. Do not leave wood in concrete, except nailers.
- E. During concrete placement, check formwork and related supports to ensure that forms are not displaced and that completed work will be within tolerances specified in ACI 347.

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# 3.03 Removal & reuse of form

A. Remove forms without damage to the concrete, only after concrete has hardened sufficiently to permit their removal with safety, and the members have attained sufficient strength to safely support the imposed loads. The minimum time before removing forms shall be:

Walls, columns, sides of beams and	24 hours*
girders	
Horizontal forms and joists, beams and girders (Spans 20 ft, and less)	14 days
Horizontal forms and joists, beams and girders (Spans greater than 20	21 days
ft.)	
Slabs (Spans 20 ft. and less)	7 days
Slabs (Spans greater than 20 ft.)	10 days
*If forma aloo aupport formwork for othe	or mombare, romoval time for the latter will

\*If forms also support formwork for other members, removal time for the latter will govern.

- B. Forms may be stripped in less than the specified days, provided all of the following are met:
  - 1. Tests indicate an adequate strength as designated by the Structural Engineer at an earlier time,
  - 2. The Structural Engineer approves the time of stripping, and
  - 3. Immediately after stripping the concrete is sprayed with a clear sealer.
- C. Form material may be re-used providing it is straight, free from nails, hardened concrete, or other injurious matter, and has edges and surfaces in good condition.
- D. Clean and re oil or apply form release to wood forms after each reuse.
- E. Upon removal of forms, bolts, wires, clamps, rods, etc., not necessary to the work, shall be removed to minimum of 1-1/2 inches from the surface.
- F. Forms for exposed concrete surfaces shall be removed in such manner as to preclude damage to finish. Pinch bars and similar tools shall not be used for prying against exposed surfaces.

# END OF SECTION 03 10 00

## SECTION 03 20 00

## **REINFORCING STEEL**

## PART 1. GENERAL

#### 1.01 **Provisions**

A. All of the provisions of the General Conditions, Supplementary General Conditions and Special Conditions, Division 1 General Requirements, and any applicable provisions elsewhere in the Contract documents shall apply to work of this Section as fully as if repeated here.

#### 1.02 Description

- A. Furnish and install all steel reinforcement and related items required for concrete work as shown and specified.
- B. All concrete shall be reinforced unless specifically marked "not reinforced" on the drawings. If no reinforcement is shown, reinforce in the same manner shown in similar places. Unless otherwise noted, all reinforcement shall be deformed.

#### 1.03 Quality assurance

- A. Comply with the following codes and standards by the California Building Standards Commission, American Concrete Institute (ACI), Concrete Reinforcing Steel Institute (CRSI) and American Welding Society (AWS):
  - 1. 2019 California Building Code (CBC).
  - 2. ACI 117 "Standard Specification for Tolerances for Concrete Construction and Materials".
  - 3. ACI 301 "Specifications for Structural Concrete for Buildings".
  - 4. ACI 315 "Manual of Standard Practice for Detailing Reinforced Concrete Structures".
  - 5. ACI 318 "Building Code Requirements for Structural Concrete".
  - 6. CRSI 63 "Recommended Practice for Placing Reinforcing Bars".
  - 7. CRSI 65 "Recommended Practice for Placing Bar Supports, Specifications and Nomenclature".
  - 8. CRSI "Manual of Standard Practice".
  - 9. AWS B2.1"Welding Procedure and Performance Qualification".
  - 10. AWS D1.4 "Structural Welding Code-Reinforcing Steel".

Where provisions of pertinent codes and standards conflict with this Specification, the more stringent will apply.

- B. Comply with the referenced ASTM standards for materials.
- C. Qualifications for Welding Work:
  - 1. Qualify welding processes and welding operators in accordance with AWS B2.1.
  - 2. Provide certification that welders to be employed in work have satisfactorily passed AWS qualification tests and possess a current card.
  - 3. If re-certification of welders is required, re-testing will be Contractor's responsibility.
- D. Testing and Inspection:
  - 1. All material shall bear mill tags showing quantity, grade and heat number identification, mill analysis and test reports.
  - 2. Reinforcement placement shall be checked and inspected by the Project Inspector prior to placement of concrete.
  - 3. Perform tensile tests and bend tests per CBC Section 1910A.2.
  - Reinforcement placement shall be checked and inspected by the Project Inspector prior to placement of concrete. See ACI 318 Section 26.13.3.3(a).

## 1.04 Delivery, storage and handling

- A. Deliver reinforcement to project site in bundles marked with metal tags indicating bar size, length and heat number.
- B. Store reinforcement off the ground on platforms, skids, or other supports.
- C. Store reinforcement in a manner that will avoid excessive rusting and coating with grease, oil, and other deleterious materials.
- D. Store reinforcement in separate piles or racks to avoid loss of identification after bundles are broken.

### 1.05 Submittals

- A. Submit shop drawings showing sizes, grades, schedules, splicing, bending and placing details of reinforcement necessary for complete and accurate location of reinforcement.
- B. Details of reinforcement not covered shall be in accordance with ACI 318 and ACI 315.
- C. Detailing, fabricating, and spacing of reinforcement shall be equal or superior to ACI 315, unless otherwise indicated.
- D. If welding reinforcing bars are indicated on the Drawings, or specified herein, submit the reinforcing bar manufacturer's Certificate of Weldability for each type of reinforcing steel.

- E. Contractor shall check all Drawings for anchor bolt sizes and locations, anchors, inserts, conduits, sleeves, and other items, which are required to be cast in concrete.
  - 1. Make necessary provisions as required so that reinforcing steel will not interfere with placement of such embedded items.
- F. Reinforcing steel shall not be fabricated or placed before Shop Drawings have been approved and returned to Contractor.
- G. Review of shop drawings will not relieve Contractor of responsibility for errors or for failure in accuracy and complete placing of the work.

# PART 2. PRODUCTS

# 2.01 Materials

- A. General:
  - 1. All reinforcement material: new and free from scale, rust, or coatings, which will reduce bond to concrete.
  - 2. Unless otherwise noted, use only deformed reinforcement.
- B. Reinforcing steel: Deformed billet steel bars, ASTM A615, Grade 60, except stirrups and ties #3 or smaller may be Grade 40. ASTM A706 for reinforcement to be welded.
- C. Tie wire: 16 gauge or heavier, black annealed steel wire.
- D. Welded wire fabric: ASTM A185.
- E. Accessories:
  - Chairs, spacers, ties, and other devices: as necessary for proper placement and to adequately support the reinforcing in conformance. Supports shall be steel or precast blocks designed and fabricated in accordance with CRSI standards.
  - 2. Devices such as chairs which will later be exposed: galvanized or otherwise corrosive resistant.

### 2.02 Fabrication

- A. Fabricate all reinforcement in strict accordance with the ap¬proved shop drawings. Do not use bars with kinks or bends not shown on the drawings or on the approved shop drawings.
- B. Reinforcing steel shall be cut and bent cold to exact lengths and shapes to comply with Drawings, reviewed shop drawings, and referenced codes and standards.
  - 1. Contractor is responsible to assure that reinforcement will comply with Drawings, reviewed shop drawings, and referenced codes and standards.

C. Do not bend or straighten the reinforcing steel in a manner that will damage the material.

# PART 3. EXECUTION

## 3.01 Preparation

- A. Prior to installation, carefully inspect the installed work of all other trades and verify that all such work is complete and to the point where this installation may properly commence. Verify that concrete reinforcement may be installed in strict accordance with all referenced standards, the approved shop drawings, and the drawings.
- B. In the event of discrepancy, immediately notify the Architect. Do not proceed with installation in areas of discrepancy until all such discrepancies have been fully resolved.
- C. Before placing new reinforcing, clean all dirt, hardened concrete, loose rust, and all other materials which will hinder bond from existing reinforcing extending into the new work.

## 3.02 Installation

- A. Prior to placing reinforcing, verify that required formwork is properly and securely installed.
- B. Comply with the referenced standards. Place bars as shown, properly secured and supported, in the correct position and so as to prevent movement during concrete pouring. Support bars so that they will not displace when walked upon.
  - 1. Maintain reinforcement at proper distance from form face.
  - 2. Displacement of reinforcement shall be immediately corrected.
  - 3. Tie wires shall be bent away from form.
- C. Provide clearances, laps, and splices as shown, and as required in the referenced standards if not shown.
- D. Do not bend or straighten the reinforcing steel in a manner that will damage the material.
- E. When there has been a delay in placing concrete, reinforcement shall be inspected and, if necessary, cleaned, relocated, and tied at no additional cost to the Owner.
- F. Wherever reinforcing bars are moved more than one bar diameter to avoid interference with other reinforcement, conduits, piping, inserts, sleeves, etc., obtain Structural Engineer's approval for method of procedure before concrete is placed.
- G. Splices not shown on the Drawings shall be approved by Structural Engineer in writing.

- H. Unless permitted in writing by Structural Engineer or these specifications herein, reinforcement shall not be bent after being partially embedded in hardened concrete.
- I. Dowels shall be tied securely in place before concrete is deposited.
  - 1. In event there are no bars in position to which dowels may be tied, No. 3 bars (minimum) shall be added to provide proper support and anchorage.
  - 2. Bending of dowels larger than #5 after placement of concrete will not be permitted, unless otherwise indicated on Drawings.
- J. Lay welded-wire fabric flat in place. Lap splices shall be made in such a way that the overlapped area equals the distance between outermost crosswires plus two inches. Stagger laps to avoid continuous laps in either direction. Comply with ACI 318 Section 25.5.3 and 25.5.4.
- K. In the event of a discrepancy, immediately notify the Structural Engineer. Do not proceed until all such discrepancies are fully resolved.

# END OF SECTION 03 20 00

# SECTION 03 30 00

# CAST IN PLACE CONCRETE

## PART 1. GENERAL

#### 1.01 Provisions

A. All of the provisions of the General Conditions, Supplementary General Conditions and Special Conditions, Division 1 General Requirements, and any applicable provisions elsewhere in the Contract documents shall apply to work of this Section as fully as if repeated here.

### 1.02 Description

A. Furnish and install all cast-in-place concrete and related work as shown and specified.

### 1.03 Related Work

- A. Concrete Formwork: Section 03 10 00.
- B. Reinforcing Steel: Section 03 20 00.
- C. Concrete Drilled Piers: Section 31 63 29.

## 1.04 Quality Assurance

- A. Except where different requirements are specified, comply with the following codes and standards by the California Building Standards Commission, American Concrete Institute (ACI), and American Welding Society (AWS):
  - 1. 2019 California Building Code (CBC).
  - 2. ACI 117 "Standard Specifications for Tolerances for Concrete Construction and Materials".
  - 3. ACI 211.1 "Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete".
  - 4. ACI 214R "Evaluation of Strength Test Results of Concrete".
  - 5. ACI 301 "Specifications for Structural Concrete".
  - 6. ACI 304R "Recommended Practice for Measuring, Mixing, Transporting, and Placing Concrete".
  - 7. ACI 304.2R "Placing Concrete by Pumping Methods".
  - 8. ACI 305R "Hot Weather Concreting".
  - 9. ACI 306R "Cold Weather Concreting".
  - 10. ACI 308.1 "Standard Specification for Curing Concrete".
  - 11. ACI 318 "Building Code Requirements for Structural Concrete".

12. AWS D1.4 "Structural Welding Code- Reinforcing Steel"

Where provisions of pertinent codes and standards conflict with this Specification, the more stringent will apply.

- B. Comply with the referenced ASTM standards for materials and testing.
- C. Coordination: The Contractor shall be responsible for installation of all accessories embedded in concrete and for provision of holes, etc., necessary for execution of the work of other trades.
- D. Testing and Inspection:
  - 1. Testing laboratory will be hired and paid for by Owner to:
    - (a) Review all concrete mixes.
    - (b) Test all concrete ingredients.
    - (c) Test all cylinders.
    - (d) Perform inspection services to meet code requirements for special inspection.
    - (e) Review certificates of compliance, and samples of materials proposed for use.
    - (f) Take samples as required from sources designated by Contractor.
  - 2. Contractor shall:
    - (a) Deliver all cylinders to laboratory carefully and at the proper time.
    - (b) Use weighmaster at batching plant.
    - (c) Hire and pay for testing agency to:
      - (i) Test extra cylinders for Contractor's use.
      - (ii) Retest when concrete does not meet specifications.
    - (d) Assist testing laboratory in making all cylinders and slump tests.
  - 3. Provide the testing laboratory free access to all places where concrete materials are stored, proportioned or mixed. All materials, equipment and methods used shall be subject to its inspection, test and approval.
  - 4. The following tests will be taken. Provide all materials to be tested.
    - (a) Cement will be tested at place of manufacturer if:
      - (i) Certification from cement manufacturer that the cement proposed for use on the project has been manufactured and tested in compliance with the requirements of specification is not available; OR
      - (ii) Affidavit is not provided by the concrete supplier that identifies the cementitious material used for the project by the manufacturer's lot number, date of shipment from the manufacturer, date of receipt of

cementitious material.

- (b) Aggregate. See 1.5 below for submittal requirements.
  - (i) Sieve Analysis: In accordance with "Standard Method of Test for Sieve Analysis of Fine and Coarse Aggregate", ASTM C-136.
  - (ii) Organic Impurities Test: In accordance with "Standard method of Test for Organic Impurities and Sands for Concrete", ASTM C-40-84.
  - (iii) Fineness Test: In accordance with "Standard Method of Test for Amount of Material Finer No. 200 Sieve in Aggregate", ASTM C-117.
- (c) Compressive Test Cylinders: Comply with CBC Section 1905A.1.16. Samples for strength tests of each class of concrete placed each day shall be taken not less than once a day, or not less than once for each 50 cubic yards of concrete, or not less than once for each 2,000 square feet of surface area for slabs or walls. Additional samples for seven-day compressive strength tests shall be taken for each class of concrete at the beginning of the concrete work or wherever the mix or aggregate is changed.
- (d) Slump tests: Take slump tests of concrete in accordance with "Tentative Methods of Test for Consistency of Portland Cement Concrete for Pavements or for Pavement Base", ASTM C 143, taken when cylinders are made and at any other time at testing laboratory's discretion.
- E. Record of Work: A record shall be kept by the Contractor listing the time and date of placement of all concrete for the structure. Such record shall be kept until the completion of the Project and shall be available to the Architect for examination at any time.

# 1.05 Submittals

- A. Submit list of all products to be used.
- B. Transit-mix delivery slips:
  - 1. Keep record at the job site showing time and place of each pour of concrete, together with transit-mix delivery slips certifying contents of the pour.
  - 2. Make the record available to the Architect for his inspection upon request.
  - 3. Upon completion of this portion of the work, deliver the record and the delivery slips to the Architect.
- C. Submit Materials for testing as follows:
  - 1. Aggregates: Submit to the testing laboratory at least 15 days before

concrete is required a fifty pound (50 #) sample of fine aggregate and a one hundred pound (100 #) sample of each size of coarse aggregate proposed for use. Select these samples to fairly represent the average quality and grading of the aggregate in question. When aggregates have been approved as acceptable for use, make no change without written permission of the Architect. Maintain stocks of accepted aggregates so that no pour need be interrupted.

- 2. Cement: Submit mill tests.
- D. Prepare on-site samples as specified below.
- E. Testing Laboratory will submit design mixes as evidence that the design requirements have been met, but not for formal review and approval.
- F. Submit temperature and placement records.
- G. Submit design mixes. Prior to pouring any concrete, the Contractor shall submit all concrete mixes to the Architect for approval. Separate mix designs shall be submitted for each type of concrete to be used in the Project. Submittals shall include all information used in designing the mixes. See 2.2 for design procedures.
- H. Test Reports: Testing Laboratory will submit reports on tests and inspections performed to Owner, Architect, Contractor, and organization being tested and inspected.
- I. Test Reports: "Special Inspection" reports of concrete compression, yield, air content, shrinkage, and slump test by Testing Laboratory.
- J. Certificates:
  - 1. Certification that materials meet requirements specified.
  - 2. Certification from vendors that samples originate from and are representative of each lot proposed for use.

# 1.06 Sequencing/Scheduling

- A. Schedule and coordinate with suppliers and other trades so that the embedment of items in concrete does not delay the project.
- B. Take all precautions to maintain alignment and prevent damage of such items during placement of concrete.
- C. Cutting and/or patching made necessary by failure or delay in complying with these requirements shall be at no cost to Owner.

# 1.07 Delivery, Storage, And Handling

A. Hauling time: Discharge all concrete transmitted in a truck mixer, agitator, or other transportation device within 1-1/2 hours after the mixing water has been added.

- B. Extra Water: Deliver concrete to the job in exact quantities required by the design mix. Should extra water be required before depositing the concrete, the Contractor's Superintendent shall have sole authority to authorize the addition of water. Any additional water added to the mix after leaving the batch plant shall be indicated on the truck ticket and signed by the person responsible. Where extra water is added to the concrete, it shall be mixed thoroughly for forty revolutions of the drum or 3-1/2 minutes at mixing speed, whichever is greater. Samples for control tests shall be taken after additional water has been thoroughly mixed.
- C. Pre-wet lightweight aggregate and keep stockpiled after wetting for at least 12 hours before using.

# PART 2. PRODUCTS

## 2.01 Materials

- A. General: Use ready-mix concrete conforming to ASTM C94 and ACI 318 Section 26.4.2 - 26.4.4. No on-job mixed concrete will be allowed.
- B. Cement: ASTM C150, Type II. Temperature delivered at plant not to exceed 150 degrees F. Use only one manufacturer for all cement unless approved by Architect.
- C. Pozzolan (Fly Ash): ASTM C618 Class F or N. Unless noted otherwise on Drawings, amount, measured by weight, shall not exceed 25% of the total cementitious content. Fly ash is not permitted in mixes receiving integral color additives.
- D. Aggregates:
  - 1. Fine aggregate: ASTM C33, natural, washed, clean sand. Use same sand for all concrete. Comply with gradation limits specified in standard.
  - Coarse aggregate: ASTM C33. Footing concrete may use standard local aggregate. All other aggregate: Limestone or granite. Comply with the following:
    - (a) Use only hard, durable material from established sources with proven history of successful use in producing concrete with minimum shrinkage, free from harmful amount of clay, shale, or other deleterious substances in amounts greater than those permitted in ASTM C33.
    - (b) Use aggregate containing no thin or elongated pieces. Any piece having a major dimension more than 2 1/2 times the average thickness shall be considered thin or elongated.
    - (c) Comply with gradation limits specified in ASTM C33.
- E. Air-entraining Admixture: Conform to ASTM C260.
- F. Water Reducing Admixture: Conform to ASTM C494, Types A or D. High range water reducing admixtures types F & G shall not be used unless Page 22 of 112 SECTION 03 30 00 CAST IN PLACE CONCRETE

approved in writing by the Structural Engineer.

- G. Accelerating Admixture: Conform to ASTM C494, Types C or E, except that calcium chloride or admixtures containing calcium chloride shall not be used.
- H. Water: Clean, potable, and free of deleterious substances.
- I. Miscellaneous Materials:
  - 1. Curing and sealing compound: ASTM C309, Type 1, Class B clear, dissipating, non-yellowing, resin based. W.R. Meadows 1000 series, BASF Kure-N-Seal WB, or approved equal.
  - Grout: Non shrink to comply with Corps of Engineers Specification CRD C621 and ASTM C1107. BASF Embeco 885, Burke by Edoco NF NS Grout, or approved equal.
  - 3. Bonding Agent: Larsen Products Weldcrete, W.R. Meadows Intralok, or approved equal.
  - 4. Expansion Joint filler: Resilient and non-extruding type, pre- molded bituminous impregnated fiberboard units complying with ASTM D1751.
  - Waterstops: Rubber type complying with Corps of Engineers Specification CRD – C513 or polyvinyl chloride (PVC) complying with Corps of Engineers Specification CRD – C572.
  - 6. Joint Sealer: ASTM D6690, hot poured type.
  - 7. Vapor Barrier: Polyethylene sheets not less than 10 mils thickness and are resistant to decay when tested in accordance with ASTM E154.
  - 8. Epoxy grout: Master Builder Concresive Standard Liquid LPL.

# 2.02 Design & Mixes

- A. The quantity of cement and admixture required per cubic yard of concrete is given for estimating purpose only. The contractor shall base his bid on these quantities. The Owner reserves the right to vary the cement content upward or downward, and the contract price shall be adjusted if necessary based on the market price of bulk cement delivered to the batching plant.
- B. The exact amount of cement, fine and coarse aggregate, and water to be used shall be determined by the design mix. The actual slump used shall not exceed amounts listed in the table. These proportions shall produce concrete of maximum density, minimum shrinkage and required minimum strength. The concrete shall work readily into the corners and angles of the forms and reinforcement without excessive puddling, spading or vibration and without permitting the materials to segregate or free water to collect on the surface. The amount of water used shall be the minimum consistent with the requirements. In general, the workability shall be improved by adjusting the grading rather than by adding water.

- C. A sample load of each of the specified mixes may be poured in the foundation at the earliest possible date to check workability of the concrete. Test cylinders shall be prepared and tested as specified to verify compliance of the concrete with the specifications. All concrete shall develop the specified minimum strength. Adjustments will be made if test results warrant changes.
- D. Basis for mix designs shall be as follows:

	Max. Size	28 Day Comp	Cement	Maximum
Class	Aggregate	strength fc	Sks/Yd/Min.	Slump
А	1 in.	5,000	6.60	4-1/2 in.
В	3/4 in.	5,000	7.10	4-1/2 in.

\*Slump may be increased to 5 in. only if necessary in areas of congested bars.

Note: Listed quantity of cement per yard of concrete is for cost estimation purposes only.

- E. Use the various classes of concrete mixes for the following locations:
  - 1. Class A: All footings (at Contractor's option, Class B may be used).
  - 2. Class B: All concrete not otherwise specified.
- F. Class B concrete shall include 4% (plus or minus 1%) entrained air.
- G. Measure fine and coarse aggregates separately. The method of measuring aggregates shall be subject to the approval of the testing laboratory and shall be such that all ingredients can be uniformly and accurately controlled and easily checked.
- H. The batching plant shall be equipped with an electric metering device capable of determining moisture content of sand. This device shall be subject to the approval of the testing laboratory.
- No admixtures will be allowed, except as specified herein, unless authorized by the Structural Engineer. All requests for approval or substitution must be made by the Contractor and be accompanied by sufficient information and test data for evaluation. No calcium chloride shall be added to concrete. Dosage shall be per manufacturer's recommendation.

# PART 3. EXECUTION

# 3.01 Preparation

- A. Examine units of work to be placed and verify that:
  - 1. Construction of formwork is complete.
  - 2. Required reinforcement, inserts, and embedded items are in place.
  - 3. Form ties at construction joints are tight.
  - 4. Areas to receive concrete are free of debris and excess water.
- B. Thoroughly clean the areas to ensure proper placement and bonding of

concrete.

- C. Thoroughly clean all transporting and handling equipment.
- D. Notify Architect and Project Representative at least 48 hours before concrete will be placed.
- E. Ensure availability of sufficient labor personnel, equipment, and materials to place concrete correctly in accordance with schedule. Adequate scaffolding, ramps, and walkways shall be provided so that personnel and equipment are not supported by in- place reinforcement.
- F. Protect finished surfaces adjacent to areas to receive concrete.

# 3.02 Mixing

- A. In general, provide ready mixed concrete in compliance with the referenced ACI standards and ASTM C94, as modified herein.
- B. Mix all concrete mixed in transit mixer for a period of not less than 10 minutes at a peripheral drum speed of 200 ft. per minute. At least 3 minutes of the mixing period shall be at the job site.
- C. Start the discharge of concrete not more than 45 minutes after the introduction of mixing water. Complete placing of concrete within 90 minutes of the first introduction of water into the mix.
- D. Do not add water to the mix after leaving the plant without the specific approval of the Project Representative or Architect. Upon his approval, water may be added as long as slump does not exceed that specified and the designed water/cement ratio is not exceeded.
- E. Do not use lightweight concrete for which the fresh bulk density varies by more than four pounds per cubic foot from the required fresh bulk density.

# 3.03 Temperature Controls

- A. In general, comply with the following temperature limitations:
  - 1. Maximum temperature of concrete at time of placement: 85 degrees F.
  - 2. Minimum temperature of concrete at time of placement: 50 degrees F.
- B. Should the ambient temperature at time of concrete placement exceed 85 degrees F, or should it be expected to rise above this temperature for the 3 days following placement, submit a program for hot weather concreting as recommended in ACI 305R. Do not place concrete under these conditions unless such a program has been approved by the Structural Engineer. Do not add cement to the mix at a temperature higher than 150 degrees F. The program may include such treatment as follows:
  - 1. Cooling aggregates.
  - 2. Using cold water or crushed ice for mixing.

- 3. Limiting mixing speed.
- 4. Special admixtures or curing procedures.
- 5. Protecting or cooling reinforcement.
- C. Should the ambient temperature at time of concrete placement be below 50 degrees F or should it be expected to drop below this temperature for the 3 days following placement, submit a program for cold weather concreting as recommended in ACI 306R. Do not place concrete under these conditions unless such a program has been approved by the Structural Engineer. Do not permit concrete to freeze for 7 days following placement. The program may include such treatment as follows:
  - 1. Heating aggregates.
  - 2. Heating water.
  - 3. Heating forms.
  - 4. Enclosing area of pour.
  - 5. Special admixtures or curing procedures.
- D. Keep accurate and detailed records of concrete pour locations, temperatures of air and concrete, and curing methods. Turn records over to Architect at completion of work.
- E. Cure some test cylinders under conditions same as the placed concrete is if so requested by Architect or testing laboratory.

### 3.04 Placing General

- A. Keep a record of the time and date of placing all concrete in each portion of the project. Make this record open to the inspection of the Architect at any time.
- B. Carry on concrete placing, once started, as a continuous operation until the section of approved reinforcement, size and shape is completed. Use pour cut offs of approved details and locations.
- C. Handle concrete as rapidly as practical from the mixer or transporting unit to the place of final deposit by methods which prevent segregation or loss of ingredients. Deposit it as nearly as practical, in its final position to avoid rehandling or flowing. Do not drop concrete freely where reinforcing bars will cause segregation, no more than five (5) feet. Deposit concrete to maintain a plastic surface approximately horizontal. Do not deposit concrete that has partially hardened.
- D. In pouring columns, use openings in the forms, elephant trunks, or other approved devices which will permit the concrete to be placed without segregation and the accumulation of hardening concrete.
- E. Install such devices so the concrete will be dropped vertically. Provide pour

holes in the forms to the extent necessary to insure filling or to allow necessary inspection.

- F. Consolidate all concrete thoroughly using approved mechanical vibrators.
  - 1. Use Mechanical vibrators, having a minimum frequency of 10,000 rpms, at each point of dump, and keep a stand by vibrator in good working condition, but not in use, on the job until all concrete is placed. Vibrators shall not be used to move concrete laterally.
  - 2. Internal vibration must be direct action in the concrete and not against forms or reinforcement. Vibrate each pour until the water shows indications of rising, but not until the water has risen.
  - 3. Along the faces of the forms, use suitable tools during the pour to force large particles away from the forms and bring mortar to the surface of the forms. In addition, when approved by the Structural Engineer, external form vibration may be used. Take all appropriate means to provide fully filled out, smooth, clean and properly aligned surfaces free from pockets and blemishes.
  - 4. Tamp slabs with a jitterbug to depress the rock and push float with a fill float as necessary. Take care at all times that the wet slab meets the screeds accurately and does not rise above or fall below them.
  - 5. Do not vibrate lightweight concrete to the extent that large particles of aggregate float to the surface.
- G. In general, place concrete only against firm surfaces which have been sufficiently dampened to prevent rapid absorption of water from freshly poured concrete.
- H. Moisten earth and spray forms and reinforcement with water before placing concrete.
- I. Keep forms and reinforcement clean above placement line by removing clinging concrete with wire brush before placing next lift.
- J. When temporary spreaders are used in the forms, the spreaders shall be removed as their service becomes unnecessary.

# 3.05 Construction/Control Joints

- A. Joints shall be located and constructed as indicated on the Drawings or as approved by the Structural Engineer.
- B. All reinforcement shall be continuous across joints; except that reinforcement or other fixed metal items shall not be continuous through expansion joints, or through construction joints in slabs on grade unless noted otherwise on the Drawings.
- C. Construction Joints:

- 1. Unit of operation shall not exceed eighty (80) feet in any horizontal location.
- 2. Sandblast the entire top surface of all horizontal construction joints with coarse sand to clean and roughen the joint for bond to next mortar matrix. Clean away all drippings, sand, debris, etc., so next pour is placed on clean, solid, rough surface.
- 3. Water-blasting or other means can be used in lieu of sanblasting.
- 4. Fresh concrete shall not be placed against adjacent hardened concrete until it is at least twenty-four (24) hours old.
- D. Control Joints in slabs:
  - Control joints shall be produced by forming a weakened plane in the slab by the use of rigid inserts impressed in the concrete during placement, snap- out plastic joint forming inserts, or concrete sawing. Regardless of method used, it shall be 1/4 the depth of the slab thickness and between 1/8 and 3/16 inch wide.

# 3.06 Tolerances

- A. Unless otherwise specified herein or is shown on the Drawings, permissible deviations from established lines, grades, and dimensions shall be those specified in ACI 117.
- B. Unless otherwise noted, place slabs to the following tolerance: 1/8 inch in ten (10) feet. Also no more than 1/8-inch maximum deviation above or below the established datum lines.
- C. Areas with floor drains: Unless detailed otherwise, pitch floors carefully to floor drains so as to afford an even fall from all parts of the room using screed extending from the flow drain in fan shape.
- D. Construct new concrete columns to the following tolerances for all exposed surfaces:
  - 1. In any 10' of length: 1/4" out of plumb.
  - 2. Member thickness: -1/4", +1/2".

# 3.07 Grouting

- A. Grout where required; proportion and install in accordance with manufacturer's recommendations to produce a grout which will not shrink and which will attain a minimum compressive strength of 4000 pounds per square inch at seven (7) days.
- B. Where grout will not be encased in concrete, use non staining, non metallic type.
- C. Grout shall not be re-tempered or subjected to vibration from any source.

#### 3.08 Sacking

- A. Perform where required immediately after forms are removed with a mixture of fine sand and cement, thoroughly rubbing the entire surface, filling all small holes and irregularities and producing a uniform appearance throughout the surface.
- B. Sacking is in addition to the patching and repair work required for all surfaces in Article 3.11 below.
- C. Architect may require sacking any concrete surfaces which he determines are unacceptable, at no extra cost to the Owner.

#### 3.09 Curing, Protection & Sealing

- A. Protect concrete from injurious action of the elements and defacement of any nature during construction operations. Keep all forms sufficiently wet to prevent drying out of concrete. Protect slabs and exposed corners of concrete from traffic or use which will damage them in any way.
- B. Curing: Immediately following placing, keep concrete continuously moist by means of one of the following methods:
  - 1. Interior Slabs: Apply membrane curing compound to freshly poured concrete floors following manufacturer's directions. Surface must be clear and free of oil, grease, dirt or foreign matter. Spray apply to concrete surfaces for curing within approximately one to two hours after completion of finishing operations and/or immediately after disappear-ance of the "sheen" of surface moisture. Coat surfaces uniformly leaving no pinholes or gaps, at a rate not to exceed 200 sq. ft. per gallon for broom finished surfaces. Do not puddle or leave heavy surface film.
    - (a) At integrally colored or trowel applied color hardener curing shall be accomplished using Schofield "Colorwax" at exterior conditions and "Colorcure" at interior conditions, applied in accordance with the manufacturer's instructions.
  - 2. Interior Slabs, Concrete Finish: Near completion of job, remove dirt, grease, oil, etc., by sanding mopping and wet vacuuming. Allow to dry thoroughly. Apply membrane curing compound for sealing by brush, airless spray or Squeegee following manufacturer's directions. Apply small amount on surface and immediately work it into pores. Do not let it puddle and do not cover more area than can be worked in 10 15 minutes as setting will start. Use without thinning. Apply in two coats at the rate of 600 sq. ft. per gallon each. Allow first coat to dry a minimum of 24 hrs.
  - Interior Slabs to Receive Finish Flooring or Carpet: Complete as in Item 1 above. No additional sealer required near job completion. Clean slabs of oil, grease, dirt, etc.
  - 4. Exterior Slabs: Complete as in Item 1 above. No additional sealer Page 29 of 112 SECTION 03 30 00

required near job completion. Clean slabs of oil, grease, dirt, etc.

5. Walls, columns and other vertical surfaces of concrete that have had their formwork removed, shall be kept continuously moist for a period of 14 days, by means of water being mist applied a minimum of three times each day, or by application of a membrane as specified above for slabs and flatwork. Concrete that has had the formwork left in place for a minimum of 7 days will not require additional moisture application or membrane treatment.

# 3.10 Concrete Finishes

- A. Slab Finish:
  - 1. General: Uniformly spread, screed and float concrete.
  - 2. Trowel: Apply two (2) steel troweling operations at surfaces to receive carpet, resilient materials, thin-set tile and where left exposed, finished to achieve burnished surface. Follow second troweling with light brooming perpendicular to direction of traffic to form non-slip surface.
  - 3. Broom: Apply at exterior walks, perpendicular to direction of traffic flow.
- B. Joints: Mark off exposed joints, where indicated, with ¼ inch radius edging tool. Markings to be clean cut, straight and square with respect to border. Tool edges of exposed expansion and contraction joints, border edges, and wherever concrete adjoins other material or vertical surfaces.

# 3.11 Patching

A. Repair defects in concrete work as follows: Chip voids to depth of at least one (1) inch or to remove all loose material with the edges perpendicular to the surface and parallel to form markings. Fill voids, surface irregularities, chipped areas, etc., by patching, gunite and/or rubbing, as directed. Duplicate the appearance of unpatched work. Prepare a sample of a repaired condition for approval by Architect before proceeding with all of this work.

# END OF SECTION 03 30 00
### SECTION 05 12 00

## STRUCTURAL STEEL

## PART 1. GENERAL

### 1.01 **PROVISIONS**

A. All of the provisions of the General Conditions, Supplementary General Conditions and Special Conditions, Division 1 General Requirements, and any applicable provisions elsewhere in the Contract documents shall apply to work of this Section as fully as if repeated here.

### 1.02 Description

- A. Work Included:
  - 1. All structural steel, shop galvanizing and painting, field touch up, and cleaning of steel which is not painted.
  - 2. Include all steel items embedded in poured in place concrete such as anchor bolts, nuts, plates, etc.
  - 3. All labor, material and equipment required to supply and install structural steel, as indicated on the drawings and specified herein.
  - 4. Include miscellaneous metals to match existing, such as railings.

### 1.03 Related work

- A. Cast-in-Place concrete: Section 03 30 00.
- B. Paints and Stains: Section 09 90 00.
- C. Protective Coatings: Section 09 96 00.

### 1.04 Quality assurance

- A. Except where different requirements are specified, comply with provisions of following codes, specifications and standards by the California Building Standards Commission, American Institute of Steel Construction (AISC), American Welding Society (AWS), and The Society for Protective Coatings (SSPC):
  - 1. 2019 California Building Code (CBC).
  - 2. AISC 303 "Code of Standard Practice for Steel Buildings and Bridges".
  - 3. AISC 360 "Specification for Structural Steel Buildings", including the Commentary and Supplements thereto as issued.
  - 4. AISC 341 "Seismic Provisions for Structural Steel Buildings".
  - 5. AISC 358 "Pre-qualified Connections for Special and Intermediate Steel Moments Frames for Seismic Applications".
  - 6. AISC "Specifications for Structural Joints using ASTM A325 or A490

Bolts", approved by the Research Council on Structural Connections.

- 7. AISC "Architecturally Exposed Structural Steel", (supplement to Modern Steel Construction, May 2003).
- 8. AWS B2.1 "Welding Procedure and Performance Qualification".
- 9. AWS D1.1 "Structural Welding Code- Steel".
- 10. AWS D1.8 "Structural Welding Code for Seismic Applications".

11.SSPC PA-1 "Shop, Field, and Maintenance Painting".

12. SSPC SP-2 "Hand Tool Cleaning".

Where provisions of pertinent codes and standards conflict with this Specification, the more stringent will apply.

- B. Comply with the referenced ASTM standards for materials and testing.
- C. Qualifications for Welding Work:
  - 1. Qualify welding processes and welding operators in accordance with AWS B2.1.
  - 2. Provide certification that welders to be employed in work have satisfactorily passed AWS qualification tests and possess a current card.
  - 3. If re-certification of welders is required, re-testing will be Contractor's responsibility.
- D. Shop Fabrication:
  - 1. Work shall be fabricated in an approved fabrication plant recognized by the project's local building jurisdiction per CBC Section 1704.2.2.
- E. Testing and Inspection:
  - 1. Contractor shall provide testing laboratory with complete identification, mill analysis and test reports of steel to be used. The testing laboratory will verify steel source and mill tests. In case of inadequate identification (judged by the testing laboratory), the contractor shall provide samples and pay for tests that would include tension and elongation test, bend or flattening test, and chemical analysis of unidentified steel material. See Section 2203.1 of the CBC.
  - 2. The contractor shall notify the Owner and testing laboratory well in advance of the shop fabrication of any unit to allow time to arrange testing and inspection.
  - 3. The testing laboratory shall inspect high strength bolting, check shop and field welding and may use any aid to visual inspection it considers necessary.
  - The testing laboratory shall check shop fabrication and field erection for conformance to the drawings and the referenced AISC documents. Page 32 of 112
     SECTION 05 12 00

- 5. In case of any failures of any tests made, the Contractor shall pay for further testing until material or work meets requirements.
- 6. Testing laboratory will inspect prime paint for thickness, coverage and compliance with specifications.
- F. Verification of Accuracy:
  - 1. Engage and pay for a registered civil engineer or licensed land surveyor to check the alignment, plumbness, elevation, and overall accuracy of the erected framing at an appropriate stage during construction and at completion of erection. He shall submit written verification that the entire installation is in accordance with the contract documents.
- G. Allowable Tolerances:
  - 1. Unless otherwise specified, furnish and install all structural steel to comply with ASTM A6 and AISC Code of Standard Practice.
  - 2. Unless otherwise specified, install all steel which remains exposed to comply with the referenced AISC Specification "Architecturally Exposed Structural Steel."
  - 3. Further, for all columns and beams, the attention of the Contractor is directed to Section 6.4.2 of the referenced "Code of Standard Practice for Steel Buildings and Bridges" which states that "completed members shall be free of twists, bends, and open joints. Sharp kinks or bends shall be cause for rejection." Take special care that column base plates are parallel and perpendicular to faces of columns and that bolt holes are accurately placed.

### 1.05 Submittals

- A. Product data: Submit producer's or manufacturer's specifications and installation instructions for following products. Include laboratory test reports and other data to show compliance with specifications (including specified standards).
  - 1. Structural steel (each type), including certified copies of mill reports covering chemical and physical properties.
  - 2. High-strength bolts (each type), including nuts and washers.
  - 3. Structural steel primer paint.
  - 4. Shrinkage-resistant grout.
  - 5. Load indicating washers at high-strength bolted connections.
- B. Shop Drawings:
  - 1. Contractor shall submit shop drawings prepared under supervision of a professional engineer registered in the State of California, including complete details and schedules for fabrication and assembly of structural

steel members' procedures and diagrams. No work shall be started until shop drawings have been reviewed and returned.

- 2. Include details of cuts, connections, camber, holes, and other pertinent data. Indicate welds by standard AWS symbols, and show size, length, and type of each weld.
  - (a) Designate members and connections that are specified as "SLRS" on the Drawings.
  - (b) Designate locations of shop welds that are specified as "Demand Critical Welds" on the Drawings.
  - (c) Designate locations and dimensions of "protected zones".
  - (d) Gusset plates shall be drawn to scale.
- 3. Provide setting drawings, templates, and directions for installation of anchor bolts and other anchorages to be installed by others.
- C. Certificates:
  - 1. Structural Steel: Submit certified copies of mill test reports indicating the physical and chemical properties of all structural steel used. Correlate individual heat numbers with each specified structural section.
  - 2. High Strength Bolts (A325): Submit certified copies of inspection test reports for bolts by the Production Lot Method, indicating proof load, tensile load, tensile strength (wedge test), and hardness. Any lot without satisfactory test reports shall be re-tested at the Contractor's expense.
  - Direct tension indicator washers: Submit certified copies of inspection test reports for washers by the Production Lot Method, showing compliance with ASTM F959.
  - 4. Submit two (2) copies of certified verification of accuracy.
- D. Submit Welding Procedure Specifications (WPS) for all welding, including welding done using AWS pre-qualified procedures.
- E. Record Drawings:
  - 1. After all work of this section has been completed, correct or revise the shop drawings and erection diagrams to correspond with the actual installation made. Provide two sets of prints showing the corrected condition to the Architect for the Owner's use.
- F. See Section 01 30 00 for Submittal General Requirements.

### 1.06 Delivery, storage and handling

- A. Deliver materials to site at such intervals to insure uninterrupted progress of work.
- B. Deliver anchor bolts and anchorage devices, which are to be embedded in

cast-in-place concrete, in ample time to not delay work.

- C. Store materials to permit easy access for inspection and identification. Keep steel members off ground, using pallets, platforms, or other supports. Protect steel members and packaged materials from erosion and deterioration.
- D. Do not store materials on structure in a manner that might cause distortion or damage to members or supporting structures. Repair or replace damaged materials or structures as directed.

### **1.07** Job coordination

A. Steel contractor shall cooperate and coordinate his work with other contractors for anchor bolts and other required inserts, templates, etc. Align this work prior to installation of other materials.

# PART 2. PRODUCTS

## 2.01 Materials

- A. Metal Surfaces, General: For fabrication of work which will be exposed to view, use only materials which are smooth and free of surface blemishes including pitting, seam marks, roller marks, rolled trade names and roughness. Remove such blemishes by grinding, or by welding and grinding, prior to cleaning, transient and application of surface finishes.
- B. Structural Steel Wide-flange Shapes: ASTM A992, Grade 50.
- C. Other Rolled Shapes, Plates and Bars: ASTM A36m unless stated otherwise..
- D. Structural Tubing: ASTM A500 Grade B.
- E. Anchor Bolts: ASTM F1554, Grade 55, unless stated otherwise.
- F. Threaded Rods: ASTM A193, Grade B7.
- G. High Strength Bolts: ASTM F3125/F3125M, Grade A325 (Grade A325M), Type 1, heavy-hex steel structural bolts; ASTM A563, Grade DH (ASTM A563M, Class 10S), heavy-hex carbon-steel nuts; and ASTM F436/F436M, Type 1, hardened carbon-steel washers; all with plain finish.
  - 1. Direct-Tension Indicators: ASTM F959/F959M, Type 325-1 (Type 8.8-1), compressible-washer type with plain finish
- H. Machine Bolts: Where machine bolts (M.B.) are called for, they are to be ASTM A307 with full shank bearing wherever possible. Where this is impracticable, threads of not more than 2/3rds of the thickness of the piece on one side of the shear plane will be permitted.
- I. Electrodes for Welding: Comply with AWS Code, E70xx.
- J. Structural Steel Primer Paint: Submit fabricator's standard rust-inhibiting primer for approval.

- 1. Exposed steel: Tnemec V10-99, Rust-Oleum 1069 Heavy Duty Primer, or approved equal. Finish paint color per Owner.
- K. Non-shrink Grout: Comply with Corps of Engineers Specification CRD C621 and ASTM C1107; BASF Embeco 885 or Masterflow 928, Dayton Superior Edoco NF NS Grout, or approved equal.
- L. Galvanizing: ASTM A123.
- M. Galvanized Steel Bar Grating: As manufactured by McMaster-Carr, McNichols, or approved equal.
- N. Galvanizing Repair Paint: High zinc dust content paint for re-galvanizing welds in galvanized steel, complying with the Military Specification MIL-P-21035 (Ships).

### 2.02 Fabrication

- A. Shop Fabrication and Assembly:
  - 1. Fabricates and assemble structural assemblies in shop to greatest extent possible. Fabricate items of structural steel in accordance with AISC Specifications and as indicated on final shop drawings. Provide camber in structural members where indicated.
  - 2. Properly mark and match-mark materials for field assembly. Fabricate for delivery sequence which will expedite erection and minimize field handling of materials.
  - 3. Where finishing is required, complete assembly, including welding of units, before start of finishing operations. Provide finish surfaces of members exposed in final structure free of markings, burrs, and other defects.
- B. Welded Construction: Comply with AWS Code for procedures, appearance and quality of welds, and methods used in connecting welding work.
- C. Holes for Other Work:
  - 1. Provide holes required for securing other work to structural steel framing as shown on final shop drawings.
  - 2. Threaded nuts welded to framing, and other specialty items to as indicated to receive other work.
  - 3. Cut, drill, or punch holes perpendicular to metal surfaces. Do not flame cut holes or enlarge holes by burning. Drill holes in bearing plates.

### 2.03 Shop painting

- A. General: Shop prime paint structural steel, except as follows:
  - 1. Members or portions of members to be embedded in concrete. Paint embedded steel which is partially exposed or exposed portion and initial 2" of embedded areas only.

- 2. Surfaces to be galvanized.
- 3. Within 1/2 inch of the toe of welds prior to welding.
- B. Surface preparation: After inspection and before shipping, clean steel work to be painted in compliance with SSPC SP-2 "Hand Tool Cleaning", SP-3 "Power Tool Cleaning", or SP-6 "Commercial Blast Cleaning". Remove oil, grease, and similar contaminates in compliance with SSPC SP-1 "Solvent Cleaning".
- C. Painting: Immediately after surface preparation, apply structural steel primer in accordance with manufacturer's instructions and at a rate to provide a uniform dry film thickness of not less than 2.0 mils. Use painting methods which result in full coverage of joints, corners, edges and exposed surfaces.

### PART 3. EXECUTION

### 3.01 Inspection

- A. Erector must examine areas and conditions under which structural steel work is to be installed and notify Contractor in writing of conditions detrimental to proper and timely completion of work. Do not proceed with work until satisfactory conditions have been corrected in a manner acceptable to the Erector.
- B. Erector shall inspect and approve the location and alignment of all structural embedments and templates prior to pouring of concrete.

### 3.02 Hoisting and erection

- A. Steel contractor shall include provision for all hoisting and erection equipment necessary to complete his operations.
- B. Owner will provide electrical power. Steel contractor shall provide any additional electrical devices, equipment, and conductors from that point necessary to perform his work and shall be responsible for their compliance with all regulations.
- C. Steel contractor shall provide and maintain any and all safety railings, toe boards, etc. required for the erection of steel framing floor plates and shall leave the safety devices around all perimeters of floor areas for the contractor's use during the remainder of construction as required. Contractor will disassemble and store this material, when not required, for pick up by the Steel Contractor.
- D. Temporary Shoring and Bracing: Steel Contractor shall be responsible for bracing the erected frame in a manner which will assure proper alignment for the steel frame. Provide temporary guy lines to achieve proper alignment of structures as erection proceeds. Remove temporary members and connections when permanent members are in place and final connections are made.

- E. Temporary Planking: Provide temporary planking and working platforms as necessary to effectively complete work.
- F. Anchor Bolts:
  - 1. Furnish anchor bolts and other connections required for securing structural steel to in-place concrete work as indicated on drawings to be part of this contract.
  - 2. Furnish templates and other devices as necessary for pre-setting bolts and other anchors to accurate locations.
- G. Setting Bases and Bearing Plates:
  - 1. Clean concrete bearing surfaces of bond-reducing materials and roughen to improve bond to surfaces. Clean bottom surfaces of base and bearing plates.
  - 2. Set loose and attached base plates and bearing plates for structural member on wedges or other adjusting devices.
- H. Tighten anchor bolts after supported members have been positioned and plumbed. Do not remove wedges or shims, but if protruding, cut off flush with edge of base or bearing plate prior to packing with grout.
- I. Pack grout solidly between bearing surfaces and bases or plates to ensure that no voids remain. Finish exposed surfaces, protect installed materials, and allow to cure.
- J. Field Assembly:
  - Set structural frames accurately to lines and elevations indicated. Align and adjust various members forming a part of a complete frame or structure before permanently fastened. Clean bearing surfaces and other surfaces which will be in permanent contact before assembly. Perform necessary adjustments to compensate for discrepancies in elevations and alignment.
  - 2. Level and plumb individual members of structure within specified AISC tolerances.
  - 3. Establish required leveling and plumbing measurements on mean operating temperature of structure. Make allowances for difference between temperature at time of erection and mean temperature at which structure will be when completed in service.
  - 4. Splice members only where indicated and accepted on shop drawings.
- K. Erection Bolts: On exposed welded construction, remove erection bolts, fill holes with plug welds and grind smooth at exposed surfaces.
- L. Comply with AISC Specifications for bearing, adequacy of temporary Page 38 of 112 SECTION 05 12 00 STRUCTURAL STEEL

connections, alignment, and removal of paint on surfaces adjacent to field welds. Do not enlarge unfair holes in members by burning or by use of drift pins, except in secondary bracing members. Ream holes that must be enlarged to admit bolts.

- M. Gas Cutting: Do not use gas-cutting torches in field for correcting fabrication errors in primary structural framing. Cutting will be permitted only in secondary members which are not under stress, as acceptable to Engineer. Finish gas-cut sections equal to a sheared appearance when permitted.
- N. Touch-up Painting:
  - 1. Immediately after erection, clean field welds, bolted connections, and abraded areas of shop paint. Apply paint to exposed areas using same material as used for shop painting.
  - 2. Apply by brush or spray to provide a minimum dry film thickness of 2.0 mils.

# 3.03 Workmanship

- A. Fabrication and erection of all steel shall conform to the tolerances and other provisions of the AISC Code of Standard Practice. Where members are permanently exposed, conform also to the provisions of the AISC Specification for Architecturally Exposed Structural Steel.
- B. It is specifically stipulated that burned holes are not acceptable.
- C. All details shall conform to details shown on the drawings or, where not shown, shall be consistent with those shown or based on the specifications already referred to and as illustrated in the handbook "Steel Construction" of the American Institute of Steel Construction, latest edition.
- D. Provide all temporary bracing, shoring, planking, etc. required to erect the frame. The contractor shall coordinate the work and provide the temporary supports necessary for completing the work safely and without unnecessary delays.
- E. Damage to members in shipment or handling shall be corrected as directed by the Structural Engineer.

# 3.04 Welding

- A. Follow applicable sections of A.W.S. specifications.
- B. Welds shall be made by operators whose qualifications have been approved by Owner's testing laboratory representative and as prescribed in A.W.S. "Qualification Procedure: (except welds which do not carry calculated stress). Details of joints shall comply with requirements for A.W.S. joints accepted without qualification tests.

C. Unless noted otherwise, weld thickness is minimum size specified in Section J2 of AISC 360. Butt welds are full penetration welds (use back up plate or chip and back weld). Welds not required to be full penetration welds are specifically noted on drawings.

# END OF SECTION 05 12 00

### SECTION 09 96 00

### **PROTECTIVE COATINGS**

### PART 1. GENERAL

### 1.01 Related Documents

- A. The Drawings and general provisions of the Contract, including General and Special Conditions and Division 1, General Requirements, apply to the work specified in this section.
- B. Parts 1,2,3,4,5,6, Title 24 of the California Code of Regulations (California Building Code) is to be considered an integral part of this section.

### 1.02 Work Included

A. The following is a general description of the work included in this section. This description does not limit the scope of work shown in the drawings nor does it relieve the Contractor of any responsibility for coordination of ALL work of this Contract.

Item Drotoctive Costings	Description
Frolective Coalings	<ul> <li>Work under this section consists of surface preparation, priming and painting necessary to complete work.</li> </ul>
	• Use coating systems specified in this section to finish all steel components in a shop environment, unless otherwise indicated. Coatings at contact points, welding locations are to be applied and touched-up in the field. Without restricting volume or generality, work to be performed under this section may include, but is not limited to:
	Structural steel and support braces.

B. Related work may be described in other sections of this Project Manual. All sections of this project manual are related. Contractor shall coordinate the work of this section with all other sections.

### 1.03 Submittals

A. Provide the following submittals per the requirements of Division 1.

ltem	Description
Product Data:	<ul> <li>Submit manufacturer's literature describing products to be provided, giving manufacturer's</li> </ul>
	name, product name, and product line number for each material.
	<ul> <li>Submit technical data sheets for each coating,</li> </ul>
	giving descriptive data, curing times, mixing,
	thinning, and application requirements.

ltem	Description
	<ul> <li>Submit color charts showing manufacturer's full range of standard colors.</li> </ul>
Quality Assurance	Certificates:
Submittals:	<ul> <li>Provide manufacturer's certification that products to be used comply with specified requirements and are suitable for intended application. Submit listing of not less than 5 of applicator's most recent applications representing similar scope and complexity to Project requirements. List shall include information as follows: <ul> <li>(a) Project name and address</li> <li>(b) Name of Owner</li> <li>(c) Name of Contractor</li> <li>(d) Name of Engineer/Architect</li> <li>(e) Date of completion</li> </ul> </li> </ul>
Manufacturer's Instructions	<ul> <li>Submit manufacturer's installation procedures, if not on product data sheets, which shall be basis for accepting or rejecting actual installation procedures.</li> </ul>
Samples	<ul> <li>Submit samples for Architect's review of color and texture only. Compliance with all other requirements is the exclusive responsibility of the Contractor. Provide a listing of the materials and application for each coat of each finish sample</li> <li>On 12" x 12" hardboard, provide two samples of each color and material, with texture to simulate actual conditions. Resubmit each sample as requested until required sheen, color, and texture is achieved</li> <li>Samples shall be created utilizing the same process that will be used in the field. If painting is to be brushed, then samples shall be brushed. If painting is to be rolled, then samples</li> </ul>
Paint Schedule	<ul> <li>Submit a paint schedule that is tied to the heat</li> </ul>
	number logs.

## 1.04 References / Standards

- A. The following References and Standards are incorporated into the requirements of this Section as they apply to products, assembly, manufacturing procedures and installation. References shall be utilized in determining "Industry Standards" and other acceptable manufacture and installation methods but shall not relieve the Contractor of any other responsibilities of the Contract. Where conflicts occur between multiple listed references, the Contractor shall assume that the more restrictive standard applies and shall seek determination from the Architect regarding applicable standard.
  - 1. ASTM E 84 Standard Test Method for Surface Burning Characteristics of Building Materials; 2008.
  - 2. ASTM D16 Terminology Relating to Paint, Varnish, Lacquer, and Related Products.
  - 3. ASTM D3359 Test Method for Measuring Adhesion by Tape Test.
  - 4. ASTM D4263 Test Method for Indicating Moisture in Concrete by the Plastic Sheet Method.
  - 5. ASTM D4541 Test Method for Pull Off Strength of Coatings Using Portable Adhesion-Testers.
  - 6. ASTM D1005 Test for determining dry film thickness.
  - 7. ASTM D4417 Test for determining surface profile.
  - 8. SSPC-SP1 Specification for Solvent Cleaning.
  - 9. SSPC-SP2 Hand Tool Cleaning; Society for Protective Coatings; 1982 (Ed. 2004).
  - 10.SSPC-SP3 Power Tool Cleaning; Society for Protective Coatings; 1982 (Ed. 2004).
  - 11.SSPC-SP5 White Metal Blast Cleaning; Society for Protective Coatings; 2006.
  - 12.SSPC-SP6 Commercial Blast Cleaning; Society for Protective Coatings; 2006.
  - 13. SSPC-SP7 Brush-Off Blast Cleaning; Society for Protective Coatings; 2006.
  - 14. SSPC-SP10 Near-White Blast Cleaning; Society for Protective Coatings; 2000 (Ed. 2004).
  - 15.SSPC-SP11 Power Tool Cleaning to Bare Metal; Society for Protective Coatings; 1987 (Ed. 2004).
  - 16. SSPC-SP16 Surface Preparation of Galvanized and Non-Ferrous Metals

- 17.SSPC-PA1 Painting Application Specification.
- 18. SSPC-PA2 Measurement of Dry Paint Thickness with Magnetic Gages.
- 19. SSPC-SP12 Water Jetting.

### 1.05 Definitions

- A. Terms PAINT shall in a general sense have reference to, zinc primers, acrylic, polyurethane and epoxy type coatings and application of these materials.
- B. DRY FILM THICKNESS (DFT): Thickness, measured in mils (1/1000 inch), of a coat of paint in cured state.

### 1.06 Quality Assurance

A. Provide the following per Division 1

ltem	Description
Documents	<ul> <li>Maintain one copy of each referenced document that applies to application on site.</li> </ul>
Qualifications of Workers	<ul> <li>Applicator shall be trained in application techniques and procedures of coating materials</li> </ul>
	and shall demonstrate a minimum of 5 years successful experience in such application.
	1. Maintain, throughout duration of application, a staff of painters who are fully qualified per SSPC QP-3 requirements (Option)
	2 Coating inspection shall be performed by
	qualified third-party NACE level II coating inspector.
Single Source	• Provide secondary materials, which are produced
Responsibility:	or are specifically recommended by coating
	system manufacturer to ensure compatibility of system.
Pre-Installation	<ul> <li>Schedule a meeting to be held on-site before</li> </ul>
Meeting:	shop application of coating systems begins.
	<ul> <li>Meeting shall be attended by Contractor, Owner's representative, 3rd Party Inspector, Coating</li> </ul>
	Applicators, and Manufacturer's representative.
	<ul> <li>Topics to be discussed at meeting shall include but not be limited to:</li> </ul>
	1. A review of Contract Documents shall be
	resolved.
	2. Review items such as environmental conditions, surface conditions, surface
	preparation, application procedures, protection following application, and during shipping.

Description
3. Establish which areas on-site will be
available for use as storage areas and working
area to conduct QA/QC of application.
<ul> <li>Prepare and submit, to parties in attendance, a written report of pre-installation meeting</li> </ul>
discussions. Report shall be submitted within 5 days following meeting.
<ul> <li>Architect reserves the right to reject any material not installed per current industry standards or recommended installation instructions of the Manufacturer, or not installed per these Specifications</li> </ul>

### 1.07 Delivery & Storage

A. Provide the following per Division 1

<b>Item</b> Packing and Shipping:	<ul> <li>Description</li> <li>Deliver products in manufacturer's original unopened containers. Each container shall have manufacturer's label, intact and legible.</li> </ul>
Include on label for	<ul> <li>Manufacturer's name</li> </ul>
each container:	<ul> <li>Type of paint</li> </ul>
	<ul> <li>Manufacturer's stock number</li> </ul>
	<ul> <li>Color name and number</li> </ul>
	<ul> <li>Instructions for thinning, where applicable</li> </ul>
Storage and	• Store materials in a designated protected area,
Protection:	per manufacturer's printed data sheet instructions.
Project Conditions	

A. Provide the following per Division 1

Item
Environmental
Requirements:

.

1.08

### Description

• Apply coating materials per manufacturer's printed data sheet instructions:

 Refer to specific product data sheets for minimum surface temperature requirements.
 Surface temperatures shall be at least 5 degrees
 F (15 degrees C) above dew point and in a rising mode.

2. Provide for proper ventilation using explosion-proof equipment. Allow to run during the complete cure cycle of the coating.

3. Adequate illumination shall be provided using explosion-proof lights and equipment.

4. Atmosphere shall be free of airborne dust.

# 1.09 Quality Control by Owner

A. The following specific procedures shall be required to demonstrate adequate levels of quality provided for project components and systems. Exclusion of any item from this list does not relieve the Contractor of any responsibilities for quality procedures covered elsewhere in the Contract.

ltem	Description
Inspection	<ul> <li>Per field quality control section</li> </ul>

### 1.10 Close Out

A. Provide the following Close Out materials in accordance with Division 1.

<b>Item</b> Product Manuals	<ul> <li>Description</li> <li>Maintenance and Operations instructions / manuals provided by all product / material</li> </ul>
	manufacturers.
System Manuals	<ul> <li>Maintenance and Operations instructions / manuals provided by subcontractors for assemblies / systems.</li> </ul>
Surplus Materials	<ul> <li>Provide (1) full gallon kit of Urathane for each site on the project.</li> </ul>
Training	<ul> <li>As per Manufacturer's instructions.</li> </ul>

# 1.11 Warranty

A. Provide written warranty in accordance with Division 1.

ltem	Description
Warranty	<ul> <li>Correct defective Work within a one-year period after Date of Substantial Completion at no cost to the Owner.</li> <li>Include coverage for bond to substrate.</li> </ul>

Warranty Period Warranty Start 1 year Date of Substantial Completion

# PART 2. PRODUCTS

### 2.01 Manufacturers

- A. Protective Coating Acceptable Manufacturer:
  - 1. Sherwin-Williams Protective & Marine Group.
- B. Equivalent materials shall be submitted to Engineer for consideration and shall be made at least ninety (90) days prior to the date of bids.
  - 1. Requests for substitution shall include evidence of satisfactory past performance on similar projects.
  - 2. Substitutions shall meet minimum performance requirements per ASTM performance standards listed on the accepted manufacturer's product data sheet.
  - 3. Substitutions will not be considered that change number of coats or do not

meet specified total dry film thickness.

4. Contractor shall state in the bid the amount of deduct to use equivalent materials to those specified.

### 2.02 Materials

- A. Coatings General: Provide complete multi-coat systems formulated and recommended by manufacturer for the applications indicated, in the thicknesses indicated; number of coats specified does not include primer or filler coat.
  - 1. Lead content: Not greater than 0.06 percent by weight of total nonvolatile content.
  - 2. Maximum volatile organic compound (VOC) content: As required by applicable regulations.
  - 3. Colors: Selected from manufacturer's standard colors.
- B. Prime Coat Ferrous Metal Shop Only
  - 1. Product: Zinc Clad II Plus Inorganic Zinc Primer.
  - 2. Dry film thickness, per coat: 2.0 to 4.0 mils.
- C. Prime Coat Ferrous and Galvanized Metal Repair Primer
  - 1. Product: Corothane I, Galvapac One Pack Zinc Primer.
  - 2. Dry film thickness, per coat: 2.0 to 4.0 mils.
- D. Prime Coat Galvanized Metal Surfaces Shop and Field
  - 1. Macropoxy 646 SE or 846 PW
  - 2. Dry film thickness, per coat: 4.0 to 8.0 mils.
- E. Intermediate Coat Ferrous and Galvanized Metal Surfaces Shop and Field
  - 1. Macropoxy 646 SE or 846 PW
  - 2. Dry film thickness, per coat: 4.0 to 8.0 mils.
- F. Finish Coat Ferrous and Galvanized Metal Surfaces Shop and Field
  - 1. Waterbased Acrolon -100.
  - 2. Dry film thickness, per coat: 2.0 to 4.0 mils.
- G. Stripe Coat- Ferrous and Galvanized Metal Surfaces Shop and Field
  - 1. Product: Macropoxy 646 SE or 846 PW
  - 2. Dry film thickness, per coat: 4.0 to 8.0 mils.

# 2.03 Material Quality

A. Provide premium quality grade of the various types of coatings as regularly manufactured by approved paint materials manufacturers. Materials not

displaying the manufacturer's identification as a standard, premium-grade product will not be acceptable.

B. Provide undercoat paint (Primer) produced by the same manufacturer as the finish coats. Use only thinners approved by the paint manufacturer, and use only within recommended limits.

## 2.04 Accessories

- A. Coating Application Accessories:
  - 1. Provide application accessories as indicated in coating manufacturer's application instructions, including but not limited to cleaning agents, etching agents, cleaning cloths, sanding materials, and clean-up materials.
  - 2. Material not specifically identified but needed for proper application shall be of a quality not less than specified products.
  - 3. Specific product mixing and thinning instructions are to be found in the manufacturer's printed data sheets.

# PART 3. EXECUTION

### 3.01 Contractor's Examination

- A. Verify existing conditions each day before starting work. Ambient conditions shall be verified minimum of three times per eight-hour shift.
- B. Verify that substrate surfaces are ready to receive work as instructed by the coating manufacturer. Obtain and follow manufacturer's instructions for examination and testing of substrates.
- C. Examine areas and conditions under which application of coating systems shall be performed for conditions that will adversely affect execution, permanence, or quality of coating system application.
- D. Correct conditions detrimental to timely and proper execution of work.
- E. Do not proceed until unsatisfactory conditions have been corrected.
- F. Commencement of installation constitutes acceptance of conditions and responsibility for satisfactory performance.

# 3.02 Surface Preparation

- A. Protection
  - 1. Take precautionary measures to prevent fire hazards and spontaneous combustion.
  - 2. Provide drop cloths, shields, and other protective equipment.
  - 3. Protect elements surrounding work from damage or disfiguration.
  - 4. As Work proceeds, promptly remove spilled, splashed, or splattered

materials from surfaces. Leave storage area neat and clean at all times.

- B. Surface preparation procedure
  - 1. General requirement:
    - (a) Prior to application of primer, surfaces shall be prepared to receive specified paintings system in compliance with manufacturer's recommendations and specifications of The Society of Protective Coatings as indicated in Schedule below.
    - (b) All surfaces to be coated shall be free of oils, grease, and other similar contaminants and rinsed, as necessary, in accordance to SSPC-SP1 Solvent Cleaning and or Pressure Washing (3500 psi) before proceeding with further surface preparation or coating operations.
    - (c) Surface contamination levels will be tested in randomly selected areas after the washing of steel and or coatings with 3500 psi has been completed. Levels must be less than 8 μg / sq cm for chlorides. If any of the test data indicates levels in excess of the specifications, rewashing will be required following the same original process until required salt levels have been achieved.
  - 2. Ferrous metal surfaces:
    - (a) For shop-primed-surfaces feather edges to make touch-up areas inconspicuous. Field welds and touch-ups shall be prepared to conform to original surface preparation standards.
    - (b) Shop applied finishes that are damaged during transportation, construction or installation shall be thoroughly cleaned and touched up in field. Use repair procedures which insure complete protection of adjacent finish.
    - (c) Remove all weld spatter and hard edges by grinding per NACE RP 188.
  - 3. Galvanized steel surfaces:
    - (a) Solvent clean metal to remove contamination and oils in compliance with SSPC-SP1.
    - (b) Brush blast clean all surfaces per SSPC SP16, Surface Preparation of Galvanized and Non-Ferrous metals.
- C. Surface preparation application
  - 1. New Metal: Remove all visible oil, grease, soil, dirt and other soluble contaminants in accordance with SSPC-SP1. All exterior surfaces shall be abrasive blast cleaned to a Commercial Finish, removing all existing paint, rust, dirt, mill scale and foreign matter by the recommended methods outlined in The Society for Protective Coatings Specification SSPC-SP10 (NACE No. 2).

2. New Metal: Field Repair of Mechanical Damage: Remove all visible oil, grease, soil, dirt and other soluble contaminants in accordance with SSPC-SP1. All exterior surfaces shall be machine tool cleaned to a Commercial Finish, removing all existing paint, rust, dirt, mill scale and foreign matter by the recommended methods outlined in The Society for Protective Coatings Specification SSPC-SP1.

## 3.03 Priming/ Materials Preparation

- A. Apply primer to all surfaces, unless specifically not required by coating manufacturer. Apply in accordance with coating manufacturer's instructions.
- B. New Ferrous Metal: Ferrous metal items including (Specifier to list items required) shall be primed immediately after blasting and before any rusting occurs. Apply one coat of Zinc-rich primer Zinc Clad II Plus.
- C. Field Repair of Mechanical Damage: Items or areas damaged by mechanical damage means or other damage shall be primed immediately after blasting and before any rusting occurs. Apply one coat of Corothane I for field application to all bare steel surfaces. This coating shall be applied at a dry film thickness of 2.0 to 4.0 mils.
- D. Galvanized Metal: Galvanized metal items shall be primed within 8 hours after completion of surface preparation. Ensure that the metal surfaces are not exposed to deleterious atmospheric conditions. Apply one coat of Macropoxy 646 Epoxy primer to all primed surfaces. This coating shall be applied at a dry film thickness of 5.0 to 10.0 mils.
- E. Mix and prepare painting materials in accordance with manufacturer's directions.
- F. Store materials not in actual use in tightly covered containers. Maintain containers used in storage, mixing, and application of paint in a clean condition, free of foreign materials and residue.
- G. Stir materials before application to produce a mixture of uniform density and stir as required during the application of the materials. Do not stir surface film into the material. Remove the film, and if necessary, strain the material before using.

### 3.04 Application

- A. General requirements
  - 1. Apply coatings in accordance with manufacturer's instructions, to thicknesses specified.
  - 2. Apply in uniform thickness coats, without runs, drips, pinholes, brush marks, or variations in color, texture, or finish. Finish edges, crevices, corners, and other changes in dimension with full coating thickness.
  - 3. Apply primer, intermediate, and finish coats to comply with wet and dry

film thicknesses and spreading rates for each type of material as recommended by manufacturer and in accordance with SSPC-PA2.

- 4. Number of coats specified shall be minimum number acceptable. Apply additional coats as needed to provide a smooth, even application to meet or exceed dry film thicknesses. Closely adhere to re-coat times recommended by manufacturer. Allow each coat to dry thoroughly before applying next coat.
- 5. Employ only application equipment that is clean, properly adjusted, and in good working order, and of type recommended by coating manufacturer.
- 6. Thinning requirements for specified products are to be found in the paint manufacturer's printed data sheets and are to be strictly adhered to.
- B. Stripe Coat- Ferrous Metal and Galvanized Metal
  - Prior to finish coat, stripe coat all welds, edges of metal cut-out, pits, rough surfaces and steel edges with one complete coat of epoxy. This involves applying a separate coat via brushes or rollers. Stripe coat via spray application is not permitted nor is applying the stripe coat and primer / intermediate coat together.
- C. Intermediate Coat Ferrous Metal and Galvanized Metal
  - 1. Apply one complete coat of epoxy intermediate coat at a dry film thickness of 5.0 to 10.0 mils. To achieve complete finish coat coverage, the intermediate coat color should be noticeably different than the specified finish coat color. When feasible, the field intermediate coat should be in the same finish coat color family (blue, beige, etc.) with a difference in light reflectance value of 10-25%.
  - 2. Ensure that primed surfaces remain clean and free of any surface defects prior to the application of intermediate coats.
- D. Finish Coat Ferrous Metal and Galvanized Metal
  - 1. Apply one complete coat of Polyurethane finish coat at a dry film thickness of 3.0 to 4.0 mils. The Engineer/Owner shall select color. Certain finish coat colors may require two-coats depending upon the method of application and color of the intermediate coat.

# 3.05 Repair/Restoration

- A. At completion of Work, touch-up and restore finishes where damaged.
- B. Defects in Finished Surfaces:
  - 1. When stain, dirt, or undercoats show through final coat, correct defects and cover with additional coats until coating is of uniform finish, color, appearance and coverage.
- C. Touch-up of minor damage shall be acceptable where result is not visibly

different from surrounding surfaces. Where result is visibly different, either in color, sheen, or texture, recoat entire surface.

D. All surface preparation methods and coatings shall conform to the requirements specified herein. Prior to repairing coatings, the contractor shall perform representative repair procedures on identified areas in the witness of a third party inspector or engineers representative. Repair procedure must be approved by engineer's representative prior to completing all coating repairs.

#### 3.06 Cleaning

- A. Collect waste material that could constitute a fire hazard, place in closed metal containers, and remove daily from site.
- B. Clean surfaces immediately of overspray, splatter, and excess material.
- C. After coating has cured, clean and replace finish hardware, fixtures, and fittings previously removed.
- D. During progress of work, keep premises free from unnecessary accumulation of tools, equipment, surplus materials and debris.
- E. Upon completion of work, leave premises neat and clean.

#### 3.07 **Field Quality Control**

- A. Manufacturer's Service:
  - 1. A representative of the paint manufacturer shall be available to provide on-site technical assistance, and guidance for application of the paint system as needed.
- B. Inspector's Services:
  - 1. Documents:
    - (a) Review Contract Documents and applicable sections of referenced standards.
  - 2. Painting Inspection:
    - (a) Verify cleaning operations to surfaces are to condition specified.
    - (b) Verify conformance of paint to specification.
    - (c) Check for thickness of each coating, and final DFT.
    - (d) Check touch-up for final finish.
    - (e) Contractor will have both wet and dry film gauges onsite for inspector's use.
    - (f) All coated surfaces shall be holiday tested as per NACE SPO-188. After holidays or voids are repaired, they shall be re-tested to ensure all areas are corrected according to this specification.
    - (g) Coating inspector shall perform the visual inspection, anchor profile Page 52 of 112 **SECTION 09 96 00**

measurement, surface cleanliness, dry film thickness measurement between coats and the holiday detection, for all lining and coating. Coating applicator shall touch up areas, where coating inspection was performed, to the satisfaction of the coating inspector and/or the owner representative

- (h) If the test fails the coating the coating shall be repaired to the satisfaction of the Coating Inspector and the Owner Representative. Coating inspector will have the right to perform additional tests as necessary.
- 3. Reports:
  - (a) Submit written progress reports, which coordinate with the steel heat logs, describing inspections made and showing action taken to correct non-conforming work. Report uncorrected deviations from Contract Documents.
  - (b) Inspector shall maintain a record of all daily actives and all information is accessible to all parties, including but not limited to Architect, DSA inspector & EOR.

## 3.08 Protection

A. Protect painted areas against damage until paint system is fully cured and during transportation.

# 3.09 One Year Anniversary Inspection

- A. Owner shall set a date for a one-year inspection.
- B. Inspection will be attended by an owner's representative, engineer, and painting contractor.

# 3.10 Schedule

- A. In general, apply coatings to surfaces of (specifier to include items), including surfaces to be embedded in concrete or consealed in gypsum and metal flashing.
- B. Ferrous Metal Surfaces
  - 1. Prime Coat
    - (a) Product: Zinc Clad II Plus Inorganic Zinc Primer.
    - (b) Dry film thickness, per coat: 2.0 to 4.0 mils.
  - 2. Intermediate Coat
    - (a) Product: Macropoxy 646 SE or 846 PW
    - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.

- 3. Finish Coat
  - (a) Product: Waterbased Acrolon 100.
  - (b) Dry film thickness, per coat: 2.0 to 4.0 mils.
- 4. Stripe Coat
  - (a) Product: Macropoxy 646 SE or 846 PW
  - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.
- C. Galvanized Metal Surfaces
  - 1. Prime Coat
    - (a) Product: Macropoxy 646 SE or 846 PW
    - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.
  - 2. Intermediate Coat
    - (a) Product: Macropoxy 646 SE or 846 PW
    - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.
  - 3. Finish Coat
    - (a) Product: Waterbased Acrolon -100.
    - (b) Dry film thickness, per coat: 3.0 to 4.0 mils.
  - 4. Stripe Coat
    - (a) Product: Macropoxy 646 SE or 846 PW
    - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.
- D. Repair of Damaged Coating Ferrous & Galvanized Metal
  - 1. Prime Coat
    - (a) Product: Corothane I Galvapak Zinc Rich Primer
    - (b) Dry film thickness, per coat: 2.0 to 4.0 mils.
  - 2. Intermediate Coat
    - (a) Product: Macropoxy 646 SE or 846 PW
    - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.
  - 3. Finish Coat
    - (a) Product: Waterbased Acrolon 100.
    - (b) Dry film thickness, per coat: 2.0 to 4.0 mils
  - 4. Stripe Coat
    - (a) Product: Macropoxy 646 SE or 846 PW
    - (b) Dry film thickness, per coat: 4.0 to 8.0 mils.

# END OF SECTION 09 96 00

## SECTION 26 05 10

## GENERAL ELECTRICAL REQUIREMENTS

### PART 1. GENERAL

### 1.01 Description of Work

- A. The work of this Section consists of providing all required labor, supervision, materials and equipment to satisfactorily complete all electrical installations that are shown on the Drawings, included in these specifications, or otherwise needed for a complete and fully operating facility.
- B. Furnish and install all required in-place equipment, conduits, conductors, cables and any miscellaneous materials for the satisfactory interconnection and operation of all associated electrical systems.

### 1.02 Related Work

 A. This Section provides the basic Electrical Requirements which supplement the General Requirements of Division 1 and apply to all Sections of Division 26.

### 1.03 Submittals

- A. As specified in Division 1. Submit to the Architect shop drawings, manufacturer's data and certificates for equipment, materials and finish, and pertinent details for each system specified. Information to be submitted includes manufacturer's descriptive literature of cataloged products, equipment, drawings, diagrams, performance and characteristic curves as applicable, test data and catalog cuts. Obtain written approval before procurement, fabrication, or delivery of the items to the job site. Partial submittals are not acceptable and will be returned without review. Furnish manufacturer's name, trade name, catalog model or number, nameplate data, size, layout dimensions, capacity, project specification and paragraph reference, applicable Federal, Industry and Technical Society Publication References, and years of satisfactory service of each item required to establish contact compliance. Photographs of existing installations and data submitted in lieu of catalog data are not acceptable and will be returned without approval.
- B. Organize submittals for equipment and items related to each specification section together as a package.
- C. Proposed substitutions of products will not be reviewed or approved prior to awarding of the Contract.
- D. Substitutions shall be proven to the Architect or Engineer to be equal or superior to the specified product. Architect's decision is final. The Contractor shall pay all costs incurred by the Architect and Engineer in reviewing and

processing any proposed substitutions whether or not a proposed substitution is accepted.

- E. If a proposed substitution is rejected, the contractor shall furnish the specified product at no increase in contract price.
- F. If a proposed substitution is accepted, the contractor shall be completely responsible for all dimensional changes, electrical changes, or changes to other work which is a result of the substitution. The accepted substitution shall be made at no additional cost to the owner or design consultants.

# 1.04 Quality Assurance

- A. Codes: All electrical equipment and materials, including installation and testing, shall conform to the latest editions following applicable codes:
  - 1. California Electrical Code (CEC).
  - 2. Occupational Safety and Health Act (OSHA) standards.
  - 3. All applicable local codes, rules and regulations.
  - 4. Electrical Contractor shall posses a C-10 license and all other licenses as may be required. Licenses shall be in effect at start of this contract and be maintained throughout the duration of this contract.
- B. Variances: In instances where two or more codes are at variance, the most restrictive requirement shall apply.
- C. Standards: Equipment shall conform to applicable standards of American National Standards Institute (ANSI), Electronics Industries Association (EIA), Institute of Electrical and Electronics Engineers (IEEE), and National Electrical Manufacturers Association (NEMA).
- D. Underwriter Laboratories (UL) listing is required for all equipment and materials where such listing is offered by the Underwriters Laboratories. Provide service entrance labels for all equipment required by the NEC to have such labels.
- E. The electrical contractor shall guarantee all work and materials installed under this contract for a period of one (1) year from date of acceptance by owner.
- F. All work and materials covered by this specification shall be subject to inspection at any and all times by representatives of the owner. Work shall not be closed in or covered before inspection and approval by the owner or his representative. Any material found not conforming with these specifications shall, within three (3) days after being notified by the owner, be removed from premises; if said material has been installed, entire expense of removing and replacing same, including any cutting and patching that may be necessary, shall be borne by the contractor.

## 1.05 Drawings

- A. Drawings: The electrical Drawings shall govern the general layout of the completed construction.
  - 1. Locations of equipment, panels, pullboxes, conduits, stub-ups, ground connections are approximate unless dimensioned; verify locations with the Architect prior to installation.
  - 2. Review the Drawings and Specification Divisions of other trades and perform the electrical work that will be required for those installations.
  - 3. Should there be a need to deviate from the Electrical Drawings and Specifications, submit written details and reasons for all changes to the Architect for approval.
  - 4. The general arrangement and location of existing conduits, piping, apparatus, etc., is approximate. The drawings and specifications are for the assistance and guidance of the contractor, exact locations, distances and elevations are governed by actual field conditions. Accuracy of data given herein and on the drawings is not guaranteed. Minor changes may be necessary to accommodate work. The contractor is responsible for verifying existing conditions. Should it be necessary to deviate from the design due to interference with existing conditions or work in progress, claims for additional compensation shall be limited to those for work required by unforeseen conditions as determined by the Architect.
  - 5. All drawings and divisions of these specifications shall be considered as whole. This contractor shall report any apparent discrepancies to the Architect prior to submitting bids.
  - 6. The contractor shall be held responsible to have examined the site and compared it with the specifications and plans and to have satisfied himself as to the conditions under which the work is to be performed. He shall be held responsible for knowledge of all existing conditions whether or not accurately described. No subsequent allowance shall be made for any extra expense due to failure to make such examination.

### 1.06 Closeout Submittals

A. Manuals: Furnish manuals for equipment where manuals are specified in the equipment specifications or are specified in Division 1.

### 1.07 Coordination

- A. Coordinate the electrical work with the other trades, code authorities, utilities and the Architect.
- B. Provide and install all trenching, backfilling, conduit, pull boxes, splice boxes, etc. for all Utility Company services to the locations indicated on the Drawings. All materials and construction shall be in accordance with the

requirements for all the Utility Companies. Prior to performing any work, the Electrical Contractor shall coordinate with the various Utility Companies to verify that all such work and materials shown on the Drawings are of sufficient sizes and correctly located to provide services on the site. The Electrical Contractor shall verify with all the Utility Companies that additional contractor furnished and installed work is not required. If additional work, materials, or changes are required by any of the Utility Companies, the Electrical Contractor shall advise the Architect of such changes and no further work shall then be performed until instructed to do so by the Architect.

- C. Utility Company charges shall be paid by the Owner.
- D. Contractor shall pay all inspection and other applicable fees and procure all permits necessary for the completion of this work.
- E. Where connections must be made to existing installations, properly schedule all the required work, including the power shutdown periods.
- F. When two trades join together in an area, make certain that no electrical work is omitted.
- G. The contractor shall obtain the PG&E substructure package prior to any related work. The contractor shall coordinate all PG&E installation requirements with PG&E Greenbook and PG&E substructure package. Contractor is responsible for attending PG&E construction meetings, etc.

# 1.08 Job Conditions

- A. Operations: Perform all work in compliance with Division 1
  - 1. Keep the number and duration of power shutdown periods to a minimum.
  - 2. Show all proposed shutdowns and their expected duration on the construction schedule. Schedule and carry out shutdowns so as to cause the least disruption to operation of the Owner's facilities.
  - 3. Carry out shutdown only after the schedule has been approved, in writing, by the owner. Submit power interruption schedule 15 days prior to date of interruption.
- B. Construction Power: Unless otherwise noted in Division 1 of these specifications, contractor shall make all arrangements and provide all necessary facilities for temporary construction power from the owner's on site source. Energy costs shall be paid for by the Owner
- C. Storage: Provide adequate storage for all equipment and materials which will become part of the completed facility so that it is protected from weather, dust, water, or construction operations.

# 1.09 Damaged Products

A. Notify the Architect in writing in the event that any equipment or material is damaged. Obtain approval from the Architect before making repairs to Page 59 of 112 SECTION 26 05 10

damaged products.

### 1.10 Locations

- A. General: Use equipment, materials and wiring methods suitable for the types of locations in which they are located.
- B. Dry Locations: All those indoor areas which do not fall within the definition below for Wet Locations and which are not otherwise designated on the Drawings.
- C. Wet Locations: All locations exposed to the weather, whether under a roof or not, unless otherwise designated on the Drawings.

# 1.11 Safety and Indemnity

- A. The Contractor is solely and completely responsible for conditions of the job site including safety of all persons and property during performance of the work. This requirement will apply continually and not be limited to normal working hours. The contractor shall provide and maintain throughout the work site proper safeguards including, but not limited to, enclosures, barriers, warning signs, lights, etc. to prevent accidental injury to people or damage to property.
- B. No act, service, drawing review or construction review by the Owner, the Engineer or their Consultants is intended to include reviews of the adequacy of the Contractors safety measures in or near the construction site.
- C. The Contractor performing work under this Division of the Specifications shall hold harmless, indemnify, and defend the Owner, the Engineer, their consultants, and each of their officers, agents and employees from any and all liability claims, losses, or damage arising out of or alleged to arise from bodily injury, sickness, or death of a person or persons and for all damages arising out of injury to or destruction of property arising directly or indirectly out of or in connection with the performance of the work under this Division of the Specifications, and from the Contractor's negligence in the performance of the work described in the construction contract documents, but not including liability that may be due to the sole negligence of the Owner, the Engineer, their Consultants or their officers, agents and employees.
- D. The project work area does not contain asbestos materials. However, if a work area is encountered that does contain asbestos materials, the contractor is advised to coordinate with the owner and its asbestos abatement consultant all measures necessary to provide installation of conduit, and hangers. All asbestos containing materials related work shall conform to the directions given by the owner. Nothing herein shall be construed to create a liability for American Consulting Engineers regarding asbestos abatement measures.

# 1.12 Access Panels and Doors

- A. The Contractor shall install access panels as required where floors, walls or ceilings must be penetrated for access to electrical, control, fire alarm or other specified electrical devices. The minimum size panel shall be 14" x 14" in usable opening. Where access by a service person is required, minimum usable opening shall be 18" x 24".
- B. All access doors installed lower than 7'-0" above finished floor and exposed to public access shall have keyed locks.
- C. Where specific information or details relating to access panels differ from these specifications, shown on drawings and or details or on other Divisions of work, these requirements shall supersede these specifications.
- D. Approved Manufacturers: Subject to compliance with requirements under Architectural Specifications, Milcor, Karp, Nystrom or Cesco.
  - 1. Milcor Style K (plaster)
  - 2. Milcor Style DW (gypsum board)
  - 3. Milcor Style M (masonry)
  - 4. Milcor Style "Fire Rated" where required.

# PART 2. PRODUCTS

# 2.01 Standard of Quality

- A. Products that are specified by manufacturer, trade name or catalog number establish a standard of quality and do not prohibit the use of equal products of other manufacturers provided they are approved by the Architect prior to installation.
- B. Material and Equipment: Provide materials and equipment that are new and are current products of manufacturers regularly engaged in the production of such products. The standard products shall have been in satisfactory commercial or industrial use for two years prior to bid opening. The two-year period includes use of equipment and materials of similar size under similar circumstances. For uniformity, only one manufacturer will be accepted for each type of product.
- C. Service Support: Submit a certified list of qualified permanent service organizations including their addresses and qualification for support of the equipment. These service organizations shall be convenient to the equipment installation and able to render service to the equipment on a regular and emergency basis during the warranty period of the contract.
- D. Manufacturer's Recommendations: Where installation procedures are required to be in accordance with manufacturer's recommendations, furnish printed copies of the recommendations prior to installation. Installation of the item shall not proceed until recommendations are received. Failure to furnish Page 61 of 112

recommendation shall be cause for rejection of the equipment or material.

# 2.02 Nameplates

- A. For each piece of electrical equipment, provide a manufacturer's nameplate showing his name, location, the pertinent ratings, the model designation, and shop order number.
- B. Identify each piece of equipment and related controls with a rigid laminated engraved plastic nameplate. Unless otherwise noted, nameplates shall be melamine plastic 0.125 inch thick, white with black center core. Surface shall be matte finish. Corners shall be square. Accurately align lettering and engrave into the core. Minimum size of nameplates shall be 0.5 by 2.5 inches unless otherwise noted. Where not otherwise specified, lettering shall be a minimum of 0.25 inch high normal block style. Engrave nameplates with the inscriptions indicated on the Drawings and, if not so indicated, with the equipment name. Securely fasten nameplates in place using two stainless steel or brass screws.

# 2.03 Fasteners

A. Fasteners for securing equipment to walls, floors and the like shall be either hot-dip galvanized after fabrication or stainless steel.

# 2.04 Finish Requirements

- A. Equipment: Refer to each electrical equipment section of these Specifications for painting requirements of equipment enclosures. Repair any final paint finish which has been damaged or is otherwise unsatisfactory, to the satisfaction of the Architect.
- B. Wiring System: In finished areas, paint all exposed conduits, boxes and fittings to match the color of the surface to which they are affixed.

# PART 3. EXECUTION

# 3.01 Workmanship

- A. Ensure that all equipment and materials fit properly in their installation.
- B. Perform any required work to correct improperly fit installation at no additional expense to the owner.
- C. All electrical equipment and materials shall be installed in a neat and workmanship manner in accordance with the NECA Standard of Installation Manual and Workmanship of the entire job shall be first class in every respect.

# 3.02 Equipment Installations

- A. Provide the required inserts, bolts and anchors, and securely attach all equipment and materials to their supports.
- B. Do all the cutting and patching necessary for the proper installation of work

and repair any damage done.

- C. Earthquake restraints: all electrical equipment, including conduits over 2 inches in diameter, shall be braced or anchored to resist a horizontal force acting in any direction as per Title 24, part 2, table 16a-o, part 3.
- D. Structural work: All core drilling, bolt anchor insertion, or cutting of existing structural concrete shall be approved by a California registered structural consulting engineer prior to the execution of any construction. At all floor slabs and structural concrete walls to be drilled, cut or bolt anchors inserted, the contractor shall find and mark all reinforcing in both faces located by means of x-ray, pach-ometer, or prof-ometer. Submit sketch showing location of rebar and proposed cuts, cores, or bolt anchor locations for approval.

## 3.03 Field Tests

- A. Test shall be in accordance with Acceptance testing specifications issued by the National Electrical Testing Association (NETA).
- B. Perform equipment field tests and adjustments. Properly calibrate, adjust and operationally check all circuits and components, and demonstrate as ready for service. Make additional calibration and adjustments if it is determined later that the initial adjustments are not satisfactory for proper performance. Perform equipment field test for equipment where equipment field tests are specified in the equipment Specifications. Give sufficient notice to the Architect prior to any test so that the tests may witnessed.
- C. Provide instruments, other equipment and material required for the tests. These shall be of the type designed for the type of tests to be performed. Test instrument shall be calibrated by a recognized testing laboratory within three months prior to performing tests.
- D. Operational Tests: Operationally test all circuits to demonstrate that the circuits and equipment have been properly installed and adjusted and are ready for full-time service. Demonstrate the proper functioning of circuits in all modes of operation, including alarm conditions.
- E. Re-testing will be required for all unsatisfactory tests after the equipment or system has been repaired. Re-test all related equipment and systems if required by the Architect. Repair and re-test equipment and systems which have been satisfactorily tested but later fail, until satisfactory performance is obtained.
- F. Maintain records of each test and submit five copies to the Architect when testing is complete. All tests shall be witnessed by the Architect. These records shall include:
  - 1. Name of equipment tested.
  - 2. Date of report.

- 3. Date of test.
- 4. Description of test setup.
- 5. Identification and rating of test equipment.
- 6. Test results and data.
- 7. Name of person performing test.
- 8. Owner or Architect's initials.
- G. Items requiring testing shall be as noted in the additional electrical sections of these specifications.

## 3.04 Cleaning Equipment

A. Thoroughly clean all soiled surfaces of installed equipment and materials.

### 3.05 Painting of Equipment

- A. Factory Applied: Electrical equipment shall have factory applied painting system which shall, as a minimum, meet the requirements of NEMA ICS 6 corrosion-resistance test and the additional requirements specified in the technical section.
- B. Field Applied: Paint electrical equipment as required to match finish of adjacent surfaces.

### 3.06 Records

- A. Maintain one copy of the contract Drawing Sheets on the site of the work for recording the "as built" condition. After completion of the work, the Contractor shall carefully mark the work as actually constructed, revising, deleting and adding to the Drawing Sheets as required. The following requirements shall be complied with:
  - 1. Cable Size and Type: Provide the size and type of each cable installed on project.
  - 2. Substructure: Where the location of all underground conduits, pull boxes, stub ups and etc. where are found to different than shown, carefully mark the correct location on the Drawings. Work shall be dimensioned from existing improvements.
  - 3. Size of all conduit runs.
  - 4. Routes of concealed conduit runs and conduit runs below grade.
  - 5. Homerun points of all branch circuit.
  - 6. Location of all switchgear, panels, MCC, lighting control panels, pullcans, etc.
  - 7. Changes made as a result of all approved change orders, addendums, or field authorized revisions.

- 8. As Builts: At the completion of the Work the Contractor shall review, certify, correct and turn over the marked up Drawings to the Architect for his use in preparing "as built" plans.
- 9. As built Drawings shall be delivered to the Architect within ten (10) days of completion of construction.

# 3.07 Clean Up

A. Upon completion of electrical work, remove all surplus materials, rubbish, and debris that accumulated during the construction work. Leave the entire area neat, clean, and acceptable to the Architect.

# 3.08 Mechanical and Plumbing Electrical Work

- A. The requirements for electrical power and/or devices for all mechanical and plumbing equipment supplied and/or installed under this Contract shall be coordinated and verified with the following:
  - 1. Mechanical and Plumbing Drawings.
  - 2. Mechanical and Plumbing sections of these Specifications.
  - 3. Manufacturers of the Mechanical and Plumbing equipment supplied.
- B. The coordination and verification shall include the voltage, ampacity, phase, location and type of disconnect, control, and connection required. Any changes that are required as a result of this coordination and verification shall be a part of this Contract.
- C. The Electrical Contractor shall furnish and install the following for all mechanical and plumbing equipment:
  - 1. Line voltage conduit and wiring.
  - 2. Disconnect switches.
  - 3. Manual line voltage controls.
- D. Automatic line voltage controls and magnetic starters unless otherwise noted, shall be furnished by the Mechanical and/or Plumbing Contractor and installed and connected by the Electrical Contractor. All line voltage control wiring installed by the Electrical Contractor shall be done per directions from the Mechanical and/or Plumbing Contractor.
- E. All low voltage control wiring for Mechanical and Plumbing equipment shall be installed in conduit. Furnishing, installation and connection of all low voltage conduits, boxes, wiring and controls shall be by the Mechanical and/or Plumbing Contractor.
- F. Manual motor starters, where required, shall have toggle type operators with pilot light and melting alloy type overload relays, SQUARE D COMPANY, Class 2510, Type FG-1P (surface) or Type FS-1P (flush) or ITE, WESTINGHOUSE or GENERAL ELECTRIC equal.

### 3.09 Access Doors

A. The Electrical Contractor shall furnish and install access doors wherever required whether shown or not for easy maintenance of electrical systems: As an example, fire alarm devices, controls, junction boxes, etc. Access doors shall provide for complete access to equipment for both removal and replacement of equipment.

## END OF SECTION 26 05 10
#### SECTION 26 05 11

### ELECTRICAL DEMOLITION

#### PART 1. GENERAL

#### 1.01 Description of Work

- A. General Remove all material designated to be removed on the drawings and that is surplus to the needs of the system as may be designated by the Owner's Representative. Specific work shall be provided as specified below:
- B. Remove Existing Equipment Electrical Equipment to be removed shall include but not be limited to switchboards, panel boards, concrete foundations, equipment supports, lighting fixtures, conductors, conduit, raceway and other items as shown on the drawings or specified.
- C. Clean Surface Areas Clean all floors, streets, sidewalks, driveways, parking lots and landscaped areas of all trash and debris deposited as a result of the work. Clean daily and maintain the property free of trash and debris.

#### 1.02 Related Work

- A. See the following specification sections for work related to the work of this section.
  - 1. 26 05 12 Shutdowns, Switching, Phasing and Cutovers

#### 1.03 Standards and Codes

- A. Work and material shall be in compliance with and according to the requirements of the latest revision of the following standards and codes.
  - 1. California Electrical Code (CEC).

#### PART 2. PRODUCTS

#### NOT USED

#### PART 3. EXECUTION

#### 3.01 Disposal

A. Except where specifically noted otherwise on the drawings or elsewhere in these specifications, the contractor assumes ownership of all material removed from the project site and assumes all responsibility for its proper disposal.

#### 3.02 Cleanup

A. Contractor shall maintain the work site in a neat and orderly state. Contractor shall remove demolition material from the job site daily. No demolition material shall be left on the job site after working hours without written approval from the Owner's Representative.

## END OF SECTION 26 05 11

#### SECTION 26 05 19

### LOW VOLTAGE WIRE AND CABLE

#### PART 1. GENERAL

#### 1.01 Description of Work

A. The work of this Section consists of providing all wire and cable rated 600 volts or less, including splices and terminations, as shown on the Drawings and as described herein.

#### 1.02 Related Work

- A. See the following Specification Section for work related to the work in this Section:
  - 1. 26 05 33 Conduits, Raceways and Fittings.
  - 2. 26 05 34 Junction and Pull Boxes.

#### 1.03 Submittals

- A. In accordance with Division 1.
- B. Submit complete material list with the manufacturer's specifications and published descriptive literature for all materials proposed for use.

#### 1.04 Quality Assurance

A. Field tests shall be performed as specified in paragraph 3.04 of this Section.

#### PART 2. PRODUCTS

#### 2.01 Conductors

- A. Conductors shall be copper, type THHN/THWN/MTW oil and gasoline resistant, 600 volt rated insullation. Minimum power and control wire size shall be No. 12 AWG unless otherwise noted.
- B. Conductors shall be stranded except that sizes #10 and smaller for receptacle circuits shall be solid and of the sizes indicated.
- C. Minimum power and control wire size shall be No. 12 AWG unless otherwise noted.
- D. All conductors used on this Project shall be of the same type and conductor material.

#### 2.02 Cables

- A. All individual conductors shall be copper with type THHN/THWN, 600 volt rated insulation.
- B. Insulation Marking All insulated conductors shall be identified with printing colored to contrast with the insulation color.

- C. Color Coding As specified in paragraph 3.03.
- D. Special Wiring Where special wiring is proposed by an equipment manufacturer, submit the special wiring requirements to the Owner's Representative and, if approved, provide same. Special wire shall be the type required by the equipment manufacturer.
- E. Other Wiring Wire or cable not specifically shown on the Drawings or specified, but required, shall be of the type and size required for the application and as approved by the Owner's Representative.
- F. Manufacturer Acceptable manufacturers including Cablec, Southwire, or equal.

#### 2.03 Terminations

- A. Manufacturer Terminals as manufactured by T&B, Burndy or equal.
- B. Cable Termination for Copper Crimp style two-hole NEMA spade terminals designed and rated for copper cable.
- C. Wire Terminations Crimp on ring-tongue terminals, insulated sleeve, of proper size for the wire used.
- D. End Seals Heat shrink plastic caps of proper size for the wire on which used.

#### 2.04 Tape

A. Tape used for terminations and cable marking shall be compatible with the insulation and jacket of the cable and shall be of plastic material.

### PART 3. EXECUTION

#### 3.01 Cable Installation

- A. Clean Raceways Clean all raceways prior to installation of cables as specified in Section 26 05 33 Conduits Raceway and Fittings.
- B. Cable Pulling Exercise care in pulling wires and cables into conduit or wireways so as to avoid kinking, putting undue stress on the cables or otherwise abrading them. No grease will be permitted in pulling cables. Only soapstone, talc, or UL listed pulling compound will be permitted. The raceway construction shall be complete and protected from the weather before cable is pulled into it. Swab conduits before installing cables and exercise care in pulling, to avoid damage to conductors.
- C. Bending Radius Cable bending radius shall be per applicable code. Install feeder cables in one continuous length.
- D. Equipment Grounding Conductors Provide an equipment grounding conductor, whether or not it is shown on the Drawings, in all conduits or all raceways.

- E. Panelboard Wiring In panels, bundle incoming wire and cables which are No. 6 AWG and smaller, lace at intervals not greater than 6 inches, neatly spread into trees and connect to their respective terminals. Allow sufficient slack in cables for alterations in terminal connections. Perform lacing with plastic cable ties or linen lacing twine. Where plastic panel wiring duct is provided for cable runs, lacing is not necessary when the cable is properly installed in the duct.
- F. Provide #10awg conductors for all 20 amp 120v branch circuits over 100 feet.

#### 3.02 Cable Terminations and Splices

- A. Splices UL Listed wirenuts.
- B. Terminations Shall comply with the following:
  - 1. Make up and form cable and orient terminals to minimize cable strain and stress on device being terminated on.
  - 2. Burnish oxide from conductor prior to inserting in oxide breaking compound filled terminal.

### 3.03 Circuit and Conductor Identification

A. Color Coding - Provide color coding for all circuit conductors. Insulation color shall be white for neutrals and green for grounding conductors. Ungrounded conductor colors shall be as follows:

VOLTAGE	<u>208/120V</u>	<u>480/277V</u>
Phase A	Black	Brown
Phase B	Red	Orange
Phase C	Blue	Yellow
Neutral	White	Grey
Ground	Green	Green

- B. Color coding shall be in the conductor insulation for all conductors #10 AWG and smaller; for larger conductors, color shall be either in the insulation or in colored plastic tape applied at every location where the conductor is readily accessible.
- C. Circuit Identification All underground distribution and service circuits shall be provided with plastic identification tags in each secondary box and at each termination. Tags shall identify the source transformer of the circuit and the building number(s) serviced by the circuit.

### 3.04 Field Tests

A. All systems shall test free from short circuits and grounds, shall be free from mechanical and electrical defects, and shall show an insulation resistance between phase conductors and ground of not less than the requirements of the CEC. All circuits shall be tested for proper neutral connections.

### END OF SECTION 26 05 19

#### SECTION 26 05 26

#### GROUNDING

#### PART 1. GENERAL

#### 1.01 Description of Work

A. The work of this section consists of furnishing, installing, connection and testing of all grounding systems as specified herein and as shown on the Drawings.

#### 1.02 Related Work

- A. See the following specification sections for work related to work in this section.
  - 1. Section 26 05 10 Electrical General Requirements.
  - 2. Section 26 05 19 Low Voltage Wire and Cable

#### 1.03 Submittals: In accordance with Section 26 05 10 Submittals.

A. Submit manufacturer's literature for review.

#### 1.04 Standards and Codes

- A. American Society for Testing and Materials (ASTM) Publication:
  - 1. B8-1986, Standard Specification for Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft.
  - 2. B228-1988, Copper Clad Steel Conductors Specification.
- B. The latest editions following applicable codes:
  - 1. California Electrical Code (CEC).
  - 2. Occupational Safety and Health Act (OSHA) standards.
  - 3. All applicable local codes, rules and regulations.

#### 1.05 Quality Assurance

A. Each and every concealed connection must be inspected by the Owner's Representative before it is covered up by the Contractor.

#### PART 2. PRODUCTS

#### 2.01 General

A. The grounding system shall consist of the grounding conductors, ground bus, ground fittings and clamps, and bonding conductors as shown on the Drawings and as required by codes and local authorities.

#### 2.02 System Components

A. A. Ground Rods: Ground rods shall be cone pointed copper clad Grade 40

HS steel rods conforming to ASTM B228. The welded copper encased steel rod shall have a conductivity of not less than 27% of pure copper. Rods shall be not less than 3/4-inch in diameter and ten feet long, unless otherwise indicated. Rods longer than ten feet shall be make up of ten foot units joined together with threaded couplings. The manufacturer's trademark shall be stamped near the top.

- B. Ground Conductors: Buried conductors shall be medium-hard drawn bare copper; other conductors shall be soft drawn copper. Sizes over No. 6 AWG shall be stranded conforming to ASTM B8. In all conduit runs, a green insulated copper ground wire, sized to comply with codes, shall be installed.
- C. Ground Connections: Exposed ground connections shall be high copper alloy bolted pressure types or exothermically welded type as notes. Buried connections shall be either exothermically welded type or approved compression types for connection of copper to copper or copper to steel, as required. Lug for attachment of cables to steel enclosures shall be of the binding post type with a 1/2-13NC stud. Each post shall accommodate cables from #4 AWG to #2/0 AWG.
- D. Ground Rod Boxes: Boxes shall be nine-inch diameter precast concrete units with cast iron traffic covers. Units shall be 12 inches deep. Covers shall be embossed with the wording "Ground Rod".
- E. Ground Bus: 2" x 1/4" x (length as specified on drawings) copper busbar. Provide isolation stand off bushings. Provide drilled and tapped 3/8" diameter holes on 2 foot centers. Provide "ALCU" lugs and bronze bolts. Connect busbar to main grounding system and bond to metallic domestic cold water pipe with #8 ground conductor.

### PART 3. EXECUTION

### 3.01 Installation

- A. Ground all equipment, including, but not limited to, panel boards, terminal cabinets and outlet boxes, for which a ground connection is required per the NEC, even though not specifically shown on the Drawings.
- B. The ground pole of receptacles shall be connected to their outlet boxes by means of a copper ground wire connecting to a screw in the back of the box.
- C. Provide a ground rod box for each ground rod so as to permit ready access for the connection and/or removal of any pressure connectors to facilitate testing.
- D. Where ground rods must be driven to depths over ten feet, increase rod diameter used, sufficiently to prevent the rod from bending or being damaged.
- E. Make embedded or buried ground connections, taps and splices with exothermically welded connections or approved compression type connectors.

- F. Make connections of grounding conductors to equipment ground buses and enclosures using binding post type connectors.
- G. Effectively bond structural steel for buildings to the grounding system, "UFER" ground.
- H. Install a ground rod in each primary handhole. Connect the ground conductor installed for each primary duct bank to the ground rod in each handhole. Bond metal conduits to handhole ground rod.

#### 3.02 Testing

- A. Conduct ground resistance tests using a ground resistance tester with a scale reading of 25 ohms maximum.
- B. Test methods shall conform to IEEE Standard 81 using the three-electrode method. Conduct test only after a period of not less than 48 hours of dry weather.
- C. Take resistance readings for each ground rod individually and for each system as a whole without benefit of chemical treatment or other artificial means. Ground resistance readings shall not exceed 25 ohms. If readings are not to the Contracting Officer's approval, provide lengthened or additional ground rods (maximum of two additional rods).
- D. Furnish to the Owner's Representative a test report with recorded data of each ground rod location and each system.

### END OF SECTION 26 05 26

#### SECTION 26 05 33

### CONDUITS, RACEWAYS AND FITTINGS

#### PART 1. GENERAL

#### 1.01 Description of Work

A. The work of this section consists of furnishing and installing conduits, raceways and fittings as shown on the Drawings and as described herein.

#### 1.02 Related Work

- A. See the following specification sections for work related to the work in this section:
  - 1. 26 05 43 Underground Ducts.
  - 2. 26 05 44 In Grade Pull Boxes
  - 3. 26 05 19 Low Voltage Wire and Cable.
  - 4. 26 05 34 Junction and Pull Boxes

#### 1.03 Submittals

- A. As specified in Division 1.
  - 1. Catalog Data: Provide manufacturer's descriptive literature.
  - 2. Single Submittal: A single complete submittal is required for all products covered by this Section.

### PART 2. PRODUCTS

#### 2.01 Conduits, Raceways

- A. Electrical Metallic Tubing (EMT) shall be hot-dip galvanized after fabrication. Couplings shall be compression or setscrew type.
- B. Flexible Conduit: Flexible metal conduit shall be galvanized steel.
- C. Galvanized Rigid Steel Conduit (GRS) shall be hot-dip galvanized after fabrication. Couplings shall be threaded type.
- D. Rigid Non-metallic Conduit: Rigid non-metallic conduit shall be PVC Schedule 40 (PVC-40 or NEMA Type EPC-40) conduit approved for underground use and for use with 90\*C wires.
- E. The use of "MC Cable shall not be permitted without written approval.

### 2.02 Conduit Supports

- A. Supports for individual conduits shall be galvanized malleable iron one-hole type with conduit back spacer.
- B. Supports for multiple conduits shall be hot-dipped galvanized Unistrut or Superstrut channels, or approved equal. All associated hardware shall be Page 76 of 112
  SECTION 26 05 33

hot-dip galvanized.

- C. Supports for EMT conduits shall be galvanized pressed steel single hole straps.
- D. Clamp fasteners shall be by wedge anchors. Shot in anchors shall not be allowed.

#### 2.03 Fittings

- A. Provide threaded-type couplings and connectors for rigid steel conduits. Provide compression (watertight) steel type (die-cast zinc or malleable iron type fittings not allowed), or setscrew type for EMT. Provide threaded couplings and Meyers hubs for rigid steel conduit exposed to weather.
- B. Fittings for flexible conduit shall be Appleton, Chicago, IL, Type ST, O-Z Gedney Series 4Q by General Signal Corp., Terryville, CT, T & B 5300 series, or approved equal.
- C. Fittings for use with rigid steel shall be galvanized steel or galvanized cast ferrous metal; access fittings shall have gasketed cast covers and be Crouse Hinds Condulets, Syracuse, NY, Appleton Unilets, Chicago, IL, or approved equal. Provide threaded-type couplings and connectors; setscrew type and compression-type are not acceptable.
- D. Fittings for use with rigid non-metallic conduit shall be PVC and have solventweld-type conduit connections.
- E. Union couplings for conduits shall be the Erickson type and shall be Appleton, Chicago, IL, Type EC, O-Z Gedney 3-piece Series 4 by General Signal Corp., Terryvile, CT, or approved equal. Threadless coupling shall not be used.
- F. Bushings
  - 1. Bushings shall be the insulated type.
  - 2. Bushings for rigid steel shall be insulated grounding type, O-Z Gedney Type HBLG, Appleton Type GIB, or approved equal.
- G. Conduit Sealants
  - 1. Fire Retardant Types: Fire stop material shall be reusable, non-toxic, asbestos-free, expanding, putty type material with a 3-hour rating in accordance with UL Classification 35L4 or as specified on the Drawings.

#### PART 3. EXECUTION

#### 3.01 Conduit, Raceway and Fitting Installation

- A. For conduit runs exposed to weather provide rigid metal (GRS).
- B. For conduit run underground, in concrete or masonry block walls and under concrete slabs, install minimum <sup>3</sup>/<sub>4</sub>" size nonmetallic (PVC) with PVC elbows. Where conduits transition from underground or under slab to above grade

install wrapped rigid metal (GRS) elbows and risers.

- C. For conduit runs concealed in steel or wood framed walls or in ceiling spaces or exposed in interior spaces above six feet over the finished floor, install EMT.
- D. Flexible metal conduit shall be used only for the connection of recessed lighting fixtures and motor connections unless otherwise noted on the Drawings. Liquid-tight steel flexible conduit shall be used for motor connections.
- E. The minimum size raceway shall be 3/4-inch unless indicated otherwise on the Drawings.
- F. Installation shall comply with the CEC.
- G. From pull point to pull point, the sum of the angles of all of the bends and offset shall not exceed 270 degrees.
- H. Conduit Supports: Properly support all conduits as required by the NEC. Run all conduits concealed except where otherwise shown on the drawings.
  - Exposed Conduits: Support exposed conduits within three feet of any equipment or device and at intervals not exceeding NEC requirements; wherever possible, group conduits together and support on common supports. Support exposed conduits fastened to the surface of the concrete structure by one-hole clamps, or with channels. Use conduit spacers with one-hole clamps.
    - (a) Conduits attached to walls or columns shall be as unobtrusive as possible and shall avoid windows. Run all exposed conduits parallel or at right angles to building lines.
    - (b) Group exposed conduits together. Arrange such conduits uniformly and neatly.
  - 2. Support all conduits within three feet of any junction box, coupling, bind or fixture.
  - 3. Support conduit risers in shafts with Unistrut Superstrut, or approved equal, channels and straps.
- I. Moisture Seals: Provide in accordance with NEC paragraphs 230-8 and 300-5(g).
- J. Where PVC conduit transitions from underground to above grade, provide rigid steel 90's with risers. Rigid steel shall be half-lap wrapped with 20-mil tape and extend minimum 12" above grade.
- K. Provide a nylon pull cord in each empty raceway.
- L. Provide galvanized rigid steel factory fittings for galvanized rigid steel conduit.
- M. Slope all underground raceways to provide drainage; for example, slope

conduit from equipment located inside a building to the pull box or manhole located outside the building.

N. Conduits shall be blown out and swabbed prior to pulling wires.

## END OF SECTION 26 05 33

#### **SECTION 26 05 34**

#### JUNCTION AND PULL BOXES

#### PART 1. GENERAL

#### 1.01 **Description of Work**

- A. The work of this Section consists of providing all required labor, supervision, materials and equipment to satisfactorily complete all electrical installations shown on the drawings, included in these Specification, or otherwise needed for a complete and fully operating facility. The work shall include but not be limited to the following:
- B. Furnish and install all required material, supports and miscellaneous material for the satisfactory interconnection of all associated electrical systems.

#### 1.02 **Related Work**

- A. See the following specification sections for work related to the work of this section.
  - 1. 26 05 10 General Electrical Requirements.
  - 2. 26 05 33 Conduits, Raceway and Fittings.
  - 3. 26 05 19 Low Voltage Wire and Cable.

#### 1.03 Standards and Codes

- A. Submit in accordance with the requirements of Section 26 05 10: Electrical General Provisions, the following items:
  - 1. Pull boxes larger than 6"x 6"x 4".

#### PART 2. PRODUCTS

#### 2.01 **Outlet boxes, Junction and Pull boxes**

- A. Standard Outlet Boxes: Galvanized, one-piece die formed or drawn steel, knock-out type of size and configuration best suited to the application indicated on the Drawings. Minimum box size shall be 4 inches square by 1-1/2 inches deep with mud rings as required.
- B. Switch boxes: Minimum box size shall be 4 inches square by 1-1/2 inches deep with mud rings as required. Install multiple switches in standard gang boxes with raised device covers suitable for the application indicated.
- C. Conduit bodies: Cadmium plated, cast iron alloy. Conduit bodies with threaded conduit hubs and neoprene gasketed, cast iron covers. Bodies shall be used to facilitate pulling of controls or to make changes in conduit direction only. Splices are not permitted in conduit bodies. Crouse-Hinds Form 8 Condulets, Appleton Form 35 Unilets or equal.
- D. Sheet Metal Boxes: Use standard outlet or concrete ring boxes wherever Page 80 of 112 **SECTION 26 05 34**

possible; otherwise use a minimum 16 gauge galvanized sheet metal, NEMA I box sized to Code requirements with covers secured by cadmium plated machine screws located six inches on centers. Circle AW Products, Hoffman Engineering Company or equal.

E. Flush Mounted Pull boxes and Junction boxes: Provide overlapping covers with flush head cover retaining screws, prime coated.

### PART 3. EXECUTION

#### 3.01 Outlet Boxes

- A. General:
  - 1. All outlet boxes shall finish flush with building walls, ceilings and floors except in mechanical and electrical rooms above accessible ceiling or where exposed work is called for on the Drawings.
  - 2. Install raised device covers (plaster rings) on all switch and receptacle outlet boxes installed in masonry or stud walls or in furred, suspended or exposed concrete ceilings. Covers shall be of a depth to suit the wall or ceiling finish.
  - 3. Leave no unused openings in any box. Install close-up plugs as required to seal openings.
- B. Box Layout:
  - 1. Outlet boxes shall be installed at the locations and elevations shown on the drawings or specified herein. Make adjustments to locations as required by structural conditions and to suit coordination requirements of other trades.
  - 2. Locate switch outlet boxes on the latch side of doorways.
  - 3. Outlet boxes shall not be installed back to back nor shall through-wall boxes be permitted.
  - 4. For outlets mounted above counters, benches or backsplashes, coordinate location and mounting heights with built-in units. Adjust mounting height to agree with required location for equipment served.
- C. Supports:
  - 1. Outlet Boxes installed in metal stud walls shall be equipped with brackets designed for attaching directly to the studs or shall be mounted on specified box supports.
  - 2. Fixture outlet boxes installed in suspended ceiling of gypsum board or lath and plaster construction shall be mounted to 16 gauge metal channel bars attached to main ceiling runners.
  - 3. Fixture outlet boxes installed in suspended ceilings supporting acoustical tiles or panels shall be supported directly from the structure above where

pendant mounted lighting fixture are to be installed on the box.

- 4. Fixture Boxes above tile ceilings having exposed suspension systems shall be supported directly from the structure above.
- 5. Outlet and / or junction boxes shall not be supported by grid or fixture hanger wires at any locations.

### 3.02 3.02 Junction and Pull Boxes

- A. General:
  - 1. Install junction or pull boxes where required to limit bends in conduit runs to not more than 360 degrees or where pulling tension achieved would exceed the maximum allowable for the cable to be installed. Note that these boxes are not shown on the Drawings.
  - 2. Locate pull boxes and junction boxes in concealed locations above removable ceilings or exposed in electrical rooms, utility rooms or storage areas.
  - 3. Install raised covers (plaster rings) on boxes in stud walls or in furred, suspended or exposed concrete ceilings. Covers shall be of a depth to suit the wall or ceiling finish.
  - 4. Leave no unused openings in any box. Install close-up plugs as required to seal openings.
  - 5. Identify circuit numbers and panel on cover of junction box with black marker pen.
- B. Box Layouts:
  - 1. Boxes above hung ceilings having concealed suspension systems shall be located adjacent to openings for removable recessed lighting fixtures.
- C. Supports:
  - 1. Boxes installed in metal stud walls shall be equipped with brackets designed for attaching directly to the studs or shall be mounted on specified box supports.
  - 2. Boxes installed in suspended ceilings of gypsum board or lath and plaster construction shall be mounted to 16 gauge metal channel bars attached to main ceiling runners.
  - 3. Boxes installed in suspended ceilings supporting acoustical tiles or panels shall be supported directly from the structure above.
  - 4. Boxes mounted above suspended acoustical tile ceilings having exposed suspension systems shall be supported directly from the structure above.

#### SECTION 26 05 43

#### UNDERGROUND DUCTS

#### PART 1. GENERAL

#### 1.01 Description of Work

- A. The work of this section consists of furnishing and installing raceways, raceway spacers and encasing material with necessary excavation for underground ducts.
- B. Encasement Encasement shall be sand for all other raceways.
- C. Where required All raceways, where run underground in and excavation shall be installed in compliance with the requirements of this Section. Conduits run underground without encasement shall be as indicated in the Drawings.

#### 1.02 Related Work

- A. See the following specification sections for work related to the work of this section.
  - 1. 31 23 00 Excavation and Backfill
  - 2. 26 05 33 Conduit Raceway and Fittings

#### 1.03 Standards and Codes

- A. Work and material shall be in compliance with and according to the requirements of the latest revision of the following standards and codes.
- B. National Fire Protection Association (NFPA), National Electrical Code (NEC) -Latest Revision:
  - 1. Underground Installations NEC Article 300
  - 2. Rigid Nonmetallic Conduit NEC Article 347
- C. California Electrical Code (CEC).
- D. Construction of Underground Electric Supply and Communication Systems, State of California Public Utilities Commission, General Order No. 128.

#### 1.04 Submittals

- A. As specified in Division 1 and Section 26 05 10.
- B. Catalog Data: Provide manufacturer's descriptive literature.
- C. Single Submittal: A single complete submittal is required for all products covered by this Section.

#### PART 2. PRODUCTS

#### 2.01 Raceways

A. As specified in Section 26 05 33 Conduits, Raceways and Fittings.

#### 2.02 Spacers

- A. Molded plastic as furnished by the raceway manufacturer, to cradle and position the raceways in the excavation for placing the encasement.
- B. Shape to accurately fit the raceway, provide the correct raceway spacing, to interlock in place and stack.

#### PART 3. EXECUTION

#### 3.01 Excavation

A. As specified in Section 31 23 00, Excavation and Backfill and as required for the work shown on the Drawings.

#### 3.02 Raceway

- A. Install raceways in spacers. Spacers installed at intervals of five feet and within one inch each side of all bends and joints.
- B. Solvent weld connections.

#### 3.03 Sand Encasement

A. As shown on drawings and specified in Section 31 23 00 - Excavation and Backfill.

#### 3.04 Backfill

A. As shown on drawings and specified in Section 31 23 00 - Excavation and Backfill.

### END OF SECTION 26 05 34

#### SECTION 26 05 44

#### IN GRADE PULL BOXES

#### PART 1. GENERAL

#### 1.01 Description of Work

A. The work of this section consists of providing all labor, supervision, tools, materials, and performing all work necessary to furnish and install pre-cast concrete vaults and pull boxes with necessary excavation.

#### 1.02 Related Work

- A. See the following specification sections for work related to the work of this section.
  - 1. 31 23 00 Excavation and Backfill.
  - 2. 03 00 00 Concrete.
  - 3. 26 05 43 Underground Ducts.

#### 1.03 Standards and Codes

- A. Work and material shall be in compliance with and according to the requirements of the latest revision of the following standards and codes.
  - National Fire Protection Association (NFPA), National Electrical Code (NEC) - Latest Revision.
  - 2. California Electrical Code (CEC).
  - 3. American Society for Testing and Materials (ASTM):
    - (a) A 185 Welded Steel Wire Fabric for Concrete Reinforcement.
    - (b) A 615 Deformed and Plain Billet Steel Bars for Concrete Reinforcement.
    - (c) C 33 Concrete Aggregates.
    - (d) C 478 Pre-cast Reinforced Concrete Vault Sections, Specification for.

#### 1.04 Submittals

- A. As specified in Division 1 and Section 26 05 10.
  - 1. Catalog Data: Provide manufacturer's descriptive literature.
  - 2. Single Submittal: A single complete submittal is required for all products covered by this Section.

#### PART 2. PRODUCTS

#### 2.01 Materials and Equipment

- A. General Requirements
  - Concrete vaults and pull boxes for electrical power, controls and other communication circuits shall consist of pre-cast reinforced concrete boxes, extensions' bases, and covers as specified herein and as indicated on the Drawings. Pre-cast units shall be the product of a manufacturer regularly engaged in the manufacture of pre-cast vaults and pull boxes. Acceptable manufacturers are Christy, Utility Vault, Brooks, Associated Concrete or equal.
- B. Construction
  - 1. Pre-cast concrete vaults and pull boxes for electrical power distribution and communication circuits with associated risers and tops shall conform to ASTM C478 and ACI 318. Vaults and pull boxes shall be the type noted on the Drawings and shall be constructed in accordance with the applicable details as shown. Tops, walls and bottoms shall consist of reinforced concrete. Walls and bottom shall be of monolithic concrete construction. Duct entrances and windows shall be located near the corners of structures to facilitate cable racking. Provide all necessary lugs, rabbets, and brackets. Set pulling-in irons and other built-in items in place prior to pouring concrete. A pulling-in iron shall be installed in the wall opposite each duct entrance. All steel other than "rebar" shall be hot dipped galvanized after fabrication.
- C. Cable Racks
  - 1. Vaults shall be provided with galvanized cable racks, including rack arms and insulators, and shall be adequate to accommodate the indicated cables; porcelain insulators shall be provided for electrical vaults only.
- D. Covers
  - 1. The word "ELECTRICAL" shall be cast in the top face of all electrical power vault and cable boxes.
  - 2. The words "FIRE ALARM" shall be cast in the top face of all fire alarm vault and cable boxes.
  - 3. The word "SIGNAL" shall be cast in the top face of all telecom, intercom, CATV, data, EMS, security and/or clock vault and cable boxes.
- E. Sumps
  - 1. Where indicated on the drawings, drain sumps shall be provided.

- F. Concrete
  - Aggregates used in the concrete mix, either coarse or fine, excluding light weight aggregates, shall conform to ASTM C 33. Aggregates shall be properly graded and free of deleterious substances to produce a homogeneous concrete mix when blended with cement.
- G. Cement
  - 1. The cement shall be Type II low alkali Portland cement and shall meet the requirement of ASTM C 150.
- H. Compressive Strength
  - 1. Sufficient cement content shall be used per batch to produce a minimum compressive strength of 3,000 psi at 28 days.
- I. Reinforcing Steel
  - 1. Welded wire mesh for street lighting boxes shall conform to ASTM A 185.
  - 2. Reinforcing bars for primary and secondary electrical vaults and pull boxed, and communication vaults and pull boxes shall be intermediate grade billet steel conforming to ASTM A 615.
- J. Ladders
  - 1. Ladders for vaults shall be sized as required, stationary galvanized steel.

#### PART 3. EXECUTION

#### 3.01 Installation

- A. Pre-cast vaults and pull boxes shall be installed approximately where indicated on the Drawings. The exact location of each vault or pull box shall be determined after careful consideration has been given to the location of other utilities, grading, and paving. All vaults, cable boxes and secondary pull boxes shall be installed with a minimum of 6-inch thick crushed rock or sand bedding.
- B. Paved areas
  - 1. Vaults and pull boxes located in areas to be paved shall be installed such that the top of the cover shall be flush with the finished surface of the paving.
- C. Unpaved Areas
  - 1. In unpaved areas, the top of vaults and pull box covers shall be approximately 2 inches above finished grade.
- D. Joint Seals
  - 1. Section joints of pre-cast vaults and pull boxes shall be sealed with compound as recommended by the manufacturer.

- E. Trenching, Backfilling, and Compaction:
  - Trenching, backfilling and compaction shall be as specified in Section 31 23 00 - Excavation and Backfill.
- F. Grounding:
  - Ground rods an associated copper ground loop shall be installed in all vaults. Ground loop shall be properly connected to the cable shielding, at each cable joint or splice by means of a minimum number 4 AWG or equivalent braided tinned copper wire. Ground rods shall be protected with a double wrapping of pressure-sensitive plastic tape for a distance of two inches above and six inches below concrete penetrations. Ground wires shall be neatly and firmly attached to vault cable support racks.

### END OF SECTION 26 05 44

#### **SECTION 26 24 16**

#### PANELBOARDS AND DISTRIBUTION PANELS

#### PART 1. GENERAL

#### 1.01 Description of Work

A. The work of this Section consists of providing panelboards and circuit breakers as shown on the Drawings and as described herein.

#### 1.02 Related Work

- A. See the following specification sections for work related to the work in this Section.
  - 1. 26 05 10 General Electrical Requirements
  - 2. 26 05 26 Grounding
  - 3. 26 05 19 Line Voltage Wire and Cable
  - 4. 26 08 16 Circuit Breakers

#### 1.03 Submittals

- A. Shop Drawings As specified in Division 1 and Section 26 05 10. For each panelboard and distribution panels furnished under this Contract, submit manufacturer's name, catalog data, and the following information:
  - 1. Panelboard / distribution panel type.
  - 2. Main bus and terminal connection sizes.
  - 3. Location of line connections.
  - 4. Cabinet dimension.
  - 5. Gutter space.
  - 6. Gauge of boxes and fronts.
  - 7. Finish data.
  - 8. Voltage rating.
  - 9. Breaker manufacturer, types, trip rating, and interrupting ratings.
  - 10. When information is available on the Drawings, show breaker circuit numbers and locations along with trip ratings on a panelboard layout.
- B. Single Submittal A single complete submittal is required for all products covered by this Section.
- C. Closeout Submittals: Submit operation and maintenance data for panelboards and circuit breakers including nameplate data, parts lists, factory and field-test reports, recommended maintenance procedures and typewritten as-built panel schedules. Submit in accordance with Division 1.

#### 1.04 Warranty

A. Manufacturer shall warrant specified equipment free from defects in materials and workmanship for the lesser of one (1) year from the date of installation or eighteen (18) months from the date of purchase.

#### PART 2. PRODUCTS

#### 2.01 Panelboards

- A. General: Lighting and Receptacle Panelboards shall be the automatic circuit breaker type. The number and arrangement of circuits, trip ratings, spares and blank spaces for future circuit breakers shall be as shown on the Drawings or, if not shown, 42 circuits. All circuit breakers shall be quick-make, quick-break, thermal-magnetic bolt-on type, with 1, 2 or 3 poles as shown, each with a single operating handle. Tandem or piggyback breakers shall not be used.
- B. Nameplates:
  - Each panelboard shall have a field mounted identifying, rigid, plastic nameplate giving the panel identification as shown on the Drawings. Nameplates shall be laminated with black characters minimum 3/16" high on a white laminated background. Nameplates shall be attached with screws.
  - 2. Each panelboard shall have a manufacturer's nameplate showing the voltage, bus rating, number of phases, frequency and number of wires.
- C. Construction:
  - 1. Door and trim shall be finished to match color of surrounding wall. Box shall be hot-dip galvanized, field finished to match the front.
  - 2. Panelboards and enclosures shall conform to requirements of all relevant codes. Panelboards shall be suitable for use as service equipment.
  - Panelboards shall be furnished with door-in-door or hinged trim fronts with key latch, on inner door and a typed directory card and holder.
    Panelboard circuits shall be arranged with odd numbers on the left and even numbers on the right. Provide weatherproof, NEMA type 3R enclosures for outdoor installation.
- D. Busbars: Panelboard busbars shall be phase sequence type suitable for bolton circuit breakers. All busbars shall be copper. Panelboard bus current ratings shall be determined by heat-rise tests conducted in accordance with UL 67.
  - 1. Busbars shall be braced for the indicated short circuit level scheduled.
  - 2. Busbars shall be installed completely throughout the panel for installation

of both required and future breakers. Schedules indicate spaces for future breakers.

- 3. Busbars shall be designed so circuit breakers may be changed without machining, drilling or tapping.
- 4. Separate isolated Neutral and Ground busbars shall be provided. If called for on panel schedules, Neutral busbar may be oversized. Ground busbar shall be identified with green stripe and fully bonded to enclosure.
- E. Circuit Breakers: Circuit breakers shall be the molded case type with trip and interrupting ratings as shown on the Drawings.
- F. Series ratings shall not be allowed unless specifically noted on drawings.
- G. Typed Circuit Directories: All panelboards shall have typed directories identifying all circuits installed behind plastic cover provided by the panelboard manufacturer.
- H. Manufacturer:
  - 1. Panelboards shall be Square D, Siemens or approved equal.

## 2.02 Distribution Panels

- A. General: Distribution panels shall be the automatic circuit breaker type. The number and arrangement of circuits, trip ratings, spares and blank spaces for future circuit breakers shall be as shown on the Drawings. All circuit breakers shall be quick-make, quick-break, thermal-magnetic bolt-on type, with 1, 2 or 3 poles a shown, each with a single operating handle. Tandem or piggyback breakers shall not be used.
- B. Nameplates:
  - Each distribution board shall have a field mounted identifying, rigid, plastic nameplate giving the panel identification as shown on the Drawings. Nameplates shall be laminated with black characters minimum 3/16" high on a white laminated background. Nameplates shall be attached with screws.
  - 2. Each distribution panel shall have a manufacturer's nameplate showing the voltage, bus rating, number of phases, frequency and number of wires.
- C. Construction:
  - 1. Door and trim shall be finished to match color of surrounding wall. Box shall be hot-dip galvanized, field finished to match the front.
  - 2. Distribution panels and enclosures shall conform to requirements of all relevant codes. Distribution panels shall be suitable for use as service.
  - 3. Distribution panels shall have a front door with key latch and a typed directory card and permanently attached holder. Adhesive backed holders are not acceptable. Distribution panel's circuits shall be arranged with odd

numbers on the left and even numbers on the right. Provide weatherproof, NEMA type 3R enclosures for outdoor installation.

- D. Busbars: Distribution panel's busbars shall be phase sequence type suitable for bolt-on circuit breakers. All busbars shall be copper. Panelboard bus current ratings shall be determined by heat-rise tests conducted in accordance with UL 67.
  - 1. Busbars shall be braced for the indicated short circuit level scheduled.
  - 2. Busbars shall be installed completely throughout the panel for installation of both required and future breakers. Schedules indicate spaces for future breakers.
  - 3. Busbars shall be designed so circuit breakers may be changed without machining, drilling or tapping.
  - 4. Separate isolated Neutral and Ground busbars shall be provided. If called for on panel schedules, Neutral busbar may be oversized. Ground busbar shall be identified with green stripe and fully bonded to enclosure.
- E. Circuit Breakers: Circuit breakers shall be the molded case type with trip and interrupting ratings as shown on the Drawings.
- F. Series rating shall not be allowed unless specifically noted on drawings.
- G. Manufacturer:
  - 1. Distribution panels shall be Square D, Siemens or approved equal.

### PART 3. EXECUTION

# 3.01 Installation: Panelboards and Distribution Panels shall be installed where indicated on the Drawings, and in accordance with the manufacturer's instructions.

#### 3.02 Installation

- A. Panelboards and Distribution Panels shall be installed with the top of the box 6'-6" above the floor. Panelboards and Distribution Panels shall be plumb within 1/8-inch. The highest breaker-operating handle shall not be higher than 72 inches above the floor.
- B. Floor mounted Panelboards and Distribution Panels shall be installed on a concrete housekeeping slab. The concrete slab shall be a minimum of 4" above finished floor, with minimum of 6" extension beyond equipment. The concrete slab shall have a ½" chamfer. See Division 3 for concrete work requirements.

### 3.03 Field Tests

A. Insulation Resistance Tests: Perform insulation resistance tests on circuits with #2 AWG and larger conductors to be energized with a line-to-neutral voltage of 120 volts or more. Make these tests after all equipment has been connected, except that equipment, which may be damaged by the test voltage, shall not be connected. Test the insulation with a 500Vdc insulation resistance tester with a scale reading 100 megohms. The insulation resistance shall be 2 megohms or more. Submit results for review.

- B. Grounding: Grounding shall conform to Section 26 05 26.
- C. Continuity: Panelboard and Distribution Panel circuits shall be tested for continuity prior to energizing. Continuity tests shall be conducted using a dc device with a bell or buzzer.

### END OF SECTION 26 24 16

#### SECTION 26 28 16

#### CIRCUIT BREAKERS

#### PART 1. GENERAL

#### 1.01 Description of Work

A. The work of this Section consists of providing circuit breakers as shown on the Drawings and as described herein.

## **1.02** Related Work: See the following Specification Sections for work related to the work in this Section.

A. Section 26 05 10 - General Electrical Requirements

#### 1.03 Submittals

- A. Shop Drawings Submittals shall be in accordance with Division 1. For each circuit breaker furnished under this Contract, submit manufacturer's name, catalog data, and the following information:
  - 1. Terminal connection sizes.
  - 2. Voltage rating.
  - 3. Breaker manufacturer, types, trip ratings and interrupting ratings.
- B. Single Submittal A single complete submittal is required for all products covered by this Section.
- C. Closeout Submittals: Submit in accordance with Division 1 and Section 26 05 10 operation and maintenance data for circuit breakers including nameplate data, parts lists, manufacturer's circuit breaker timer, current, coordination curves, factory and field test reports and recommended maintenance procedures.

#### 1.04 Warranty

A. Manufacturer shall warrant specified equipment free from defects in materials and workmanship for the lesser of one (1) year from the date of installation of eighteen (18) months from the date of purchase.

#### PART 2. PRODUCTS

## 2.01 2.01 Circuit Breaker: Each circuit breaker shall consist of the following

- A. A molded case breaker with an over center toggle-type mechanism, providing quick-make, quick-break action. Each circuit breaker shall have a permanent trip unit containing individual thermal and magnetic trip elements in each pole. Circuit breakers shall have variable magnetic trip elements which are set by a single adjustment to assure uniform tripping characteristics in each pole.
- B. Breaker shall be calibrated for operation in an ambient temperature of 40\*C. Page 94 of 112 SECTION 26 28 16

- C. Each circuit breaker shall have trip indication by handle position and shall be trip-free.
- D. Three pole breakers shall be common trip.
- E. The circuit breakers shall be constructed to accommodate the supply connection at either end of the circuit breaker. Circuit breaker shall be suitable for mounting and operation in any position.
- F. Breakers shall be rated as shown on Drawings.
- G. Series rating of circuit breakers shall not be allowed unless specifically noted on drawings.
- H. Breakers shall be UL listed. Circuit breakers shall have removable lugs.
- I. Lugs shall be UL listed for copper and aluminum conductors.
- J. Breakers shall be UL listed for installation of mechanical screw type lugs.
- K. Circuit breakers serving HACR rated loads shall be HACR type. Circuit breakers serving other motor loads shall be motor rated.
- L. Breakers indicated as "current limiting " (CL), shall be of the non-fused type; Square D I-Limiter, Cutler Hammer Limit-R, or ITE Sentron only.

#### PART 3. EXECUTION

#### 3.01 Mounting

A. The highest breaker operating handle shall not be higher than 72 inches above the floor.

### END OF SECTION 26 28 16

#### SECTION 26 31 00

#### PHOTOVOLTAIC SYSTEM

#### PART 1. GENERAL

#### 1.01 Scope of Work

- A. Provide a complete turnkey installation of a building integrated photovoltaic PV power system. Furnish all labor, material, tools, equipment, and services required for the complete installation of the PV power system.
- B. The photovoltaic PV power system shall supply alternating current, complete with PV modules, supports, power panels, inverters, equipment supports, related wiring and other items required to provide a complete operational system. The complete photovoltaic system, including all major balance of system components must be designed and supplied by PV manufacturer for integration.
- C. Provide and install a 186.12KW complete photovoltaic systems including but not limited to Sun Power photovoltaic solar panel, SMA string and disconnects and support as shown on the drawings.
- D. Contractor shall provide all equipment and materials required to construct the photovoltaic array and interconnect it to the utility grid. See drawings for additional requirements.
- E. Contractor shall provide all equipment and materials required to ground the photovoltaic panels to the building grounding wire. See Array Grounding and electrical drawings for additional requirement.
- F. Provide the application to Utility company for the net metering agreement and Utility company rebates. The system shall be designed to meet all of the requirements of the Utility company in order to obtain the rebates and proper net metering agreement.

#### 1.02 Section Includes

- A. General installation requirements
- B. Photovoltaic system requirements

#### 1.03 Related Sections

See the following Specification Sections for work related to the work in this Section.

- A. 26 05 10 General Electrical Requirements
- B. 26 05 26 Grounding
- C. 26 05 19 Low Voltage Wire and Cable

#### 1.04 References

- A. California Electric Code
- B. California Building Code
- C. IEEE 1262
- D. IEEE 929-2000
- E. UL 1703 Flat-Plate Photovoltaic Modules and Panels; 2002
- F. NEC Article 690, Photovoltaic Systems
- G. NEMA WD 6-Wiring Device, dimensional Requirements
- H. NEMA WD 1-General Requirements for Wiring Devices
- I. Photovoltaic Power Systems and the 2008 NEC: Suggested Practices

#### 1.05 Submittals

- A. Electrical Diagram and installation drawing of the complete photovoltaic components: including photovoltaic modules, inverter/transformer, fuses, cables, conductors, connectors and all other related equipment.
- B. Complete Photovoltaic material list as provided by photovoltaic manufacturer.
- C. Installation Schedule and Plan of Work.
- D. Equipment data sheets and installation/operation manuals for all major components.
- E. Shop drawings and complete system diagram indicating all components.
- F. Prior to bid award, Contractor shall provide additive and deductive unit pricing for photovoltaic module installation, per module. This price to include module material and mounting hardware cost.
- G. Contractor shall provide product technical data for all equipment required but not precisely specified including product catalog sheets, standard specifications and details.

### 1.06 Quality Assurance

#### A. CONTRACTOR QUALIFICATIONS

- 1. Contractor shall possess at least one of the following State of California Contractor Licenses: C46 – Solar Contractor, C10 – Electrical Contractor.
- 2. Preferential bid status shall be granted to bidders providing active U.S. customer references representing successful grid-connected PV projects completed under the California Self Generation Incentive Program.
- 3. Preferential bid status shall be granted to bidders providing evidence that the superintendent and foreman selected for supervision of this job have maintained positions of similar responsibility in at least one photovoltaic

project.

- 4. The installer of the PV power system shall have a minimum of 5 years of experience installing photovoltaic systems.
- 5. The installer of the PV power system shall be a certified manufacturer's representative of the PV panels to be installed. The installer shall have factory trained staff, certified by the photovoltaic manufacturer, familiar with the installation of the specified PV panels, inverters, etc.
- **B. INSTALLATION WARRANTY** 
  - 1. Contractor shall provide a 5-year comprehensive warranty on all labor and parts supplied.
  - 2. Provide written limited warranty, executed by manufacturer, agreeing to repair or replace components of the entire building integrated photovoltaic system against defects in materials and or manufacturing workmanship for a period of five years from the date of complete installation.
- C. Installation and equipment shall comply with all applicable codes, including but not limited to Articles 690, 705, and 250 of the 2002 NEC. All products that are listed, tested, identified, or labeled by UL, FM, ETL, Photovoltaic modules shall be certified to meet IEEE standard 1262, IEEE 929-2000 and listed to UL standard 1703. All inverters shall be certified as meeting the requirement of UL 1741.
- D. Prior to installation of Panels, manufacturer must certify installer and approve installation procedures. Contractor must supply certification from manufacturer prior to start of installation.
- E. Manufacturer of photovoltaic panels is required to have a minimum of ten (10) years of successful experience continuously manufacturing solar electric panels.
- F. The installer of the PV power system shall be a certified manufacturer's representative of the PV panels to be installed. The installer shall have factory trained staff, certified by the photovoltaic manufacturer, familiar with the installation of the specified PV panels, inverters, etc.

### 1.07 Delivery, Storage, and Handling

- A. Deliver photovoltaic system to job site properly packaged to provide protection against transportation damage.
- B. Contractor shall be responsible for transferring the photovoltaic modules from the module manufacturer's truck to on-site storage containers.
- C. Adequately protect equipment placed in storage at the construction site that can be affected by weather conditions, dirt or other contaminants.
- D. Panels are the responsibility of the Contractor while they are on-site storage container during the construction period. Before installation each photovoltaic Page 98 of 112 SECTION 26 31 00 PHOTOVOLTAIC SYSTEM

module shall be kept in approved storage until it is required for fabrication.

- E. Contractor shall assure that photovoltaic panels stacked on a rooftop do not exceed the rooftop live load limit. Live load limits shall be obtained from the Structural Engineer of Record.
- F. Photovoltaic modules shall be protected from dirt and physical damage at all times. Contractor must follow care instructions provided by the module manufacturer.
- G. Once module is removed from storage, module quick-connects shall be mated or capped at the daily time of work-cessation in order to reduce corrosion of contacts.

### PART 2. PRODUCTS

#### 2.01 Building Integrated Photovoltaic Generating System

- A. The complete BIPV system shall be designed, supplied and installed by single contractor that is certified by the photovoltaic module manufacturer for the installation of their product. The contractor shall be responsible for the complete design and installation of the photovoltaic modules, compatible integration of system components, and for optimum system performance.
- B. Photovoltaic Modules:
  - 1. Photovoltaic Modules:
    - (a) Photovoltaic Modules shall be Polycrystalline Silicon Modules as Manufactured by Sun Power
    - (b) Modules shall be Sun Power 470 Watt Module
  - 2. Module Electrical Characteristics:

(a)	Maxim Power (Pmax):	470 Watts
(b)	Tolerance of Pmax:	+5%/-0%
(c)	Cell Configuration:	60 in Series
(d)	Open Circuit Voltage(Voc):	91.5V
(e)	Max Power at Voltage(Vpm):	77.6V
(f)	Short Circuit Current(Isc):	6.45A
(g)	Max Power at Current(Ipm):	6.06A
(h)	Module Efficiency (%):	21.7%
(i)	Max System (DC) Voltage:	600V
(j)	Series Fuse Rating:	15A
(k)	NOCT:	47 °C

(I) Temp. Coefficient(Pmax): -0.29% / °C

(m)Temp. Coefficient(Voc):	-223.2mV / °C
(n) Temp. Coefficient(Isc):	2.9.mA / °C

3. Module Mechanical Characteristics:

	(a) Dimensions:	41.2" x 81.4" x 1.8"
	(b) Cable Length (I):	1230mm
	(c) Output Interconnect Cable:	10AWG with MC4 Locking Connector
	(d) Weight:	56 lbs
	(e) Max Load:	50 lbs/sf
4.	Qualifications:	
	(a) UL Listed:	UL 1703
	(b) Fire Rating:	Class C
	(c) NEC:	NEC 2008 Compliant

5. Warranty: 25-year limited warranty on power output.

- C. Inverter:
  - 1. The inverter system will convert the DC power from the photovoltaic arrays to commercial AC power.
    - (a) The inverter shall be sized to match the capacity of the photovoltaic array and deliver the maximum energy to the load (grid).
    - (b) Peak efficiency shall not be less than 95%.
    - (c) The inverter shall have a power-conditioning transformer built into the unit.
    - (d) The inverter shall have a built-in load break rated AC and DC disconnect switches.
    - (e) The inverter shall be provided with the RS-485 Communications port.
  - 2. The inverter shall meet the following requirements:
    - (a) IEEE, UL 1741
    - (b) IEEE 1547
    - (c) CEC's Eligible Equipment List
    - (d) NEC
  - 3. Manufacturer:
    - (a) SMA.
- D. Disconnect Switch Located Adjacent to the Main Switchboard
  - 1. Provide AC disconnect switch adjacent to the Main Switchboard. AC

disconnect shall be as follows:

- (a) Size AC disconnect as indicated on the drawings.
- (b) AC disconnect shall be approved type and model meeting all of the requirements of the Utility company. Prior to installation the contractor shall submit the disconnect switch catalog data to the Utility company for approval.
- (c) AC disconnect switch shall be mounted in a location acceptable to the Utility company, no further than 15' from the main switchboard.
- (d) AC disconnect switch shall be accessible to the Utility company at all times.
- (e) AC disconnect switch shall be capable of being locked in a visibly open position by a standard Utility Company Lock.
- (f) Provide a placards with the following words in ¼" high lettering per CEC 690-17:

### **"WARNING – ELECTRIC SHOCK HAZARD**

## DO NOT TOUCH TERMINALS – TERMINALS ON BOTH THE LINE AND LOAD SIDES MAY BE ENERGIZED IN THE OPEN POSITION"

### "PHOTOVOLTAIC SYSTEM UTILITY DISCONNECT SWITCH"

- (g) Disconnect switches shall be rated for 600VAC.
- (h) Provide fuses as required by system.
- (i) Manufacturer: Square D
- E. Metering/Monitoring
  - 1. Provide ethernet based metering system.
  - 2. System shall provide web-based monitoring of the photovoltaic system.
  - 3. Connections to the inverters shall be via RS485 connection.
  - 4. All cabling, network cabling, network connections shall be provided for a complete metering/monitoring system.
  - 5. Manufacturer: FatSpaniel.
- F. Net Generation Output Meter(NGOM):
  - 1. Provide NGOM meter adjacent to the inverter.
  - 2. NGOM shall meet the requirements of PG&E CSI rebate requirements.
  - 3. Provide CT's as required to connect the meter.

#### PART 3. EXECUTION

#### 3.01 Preparation

A. Contractor must field-confirm locations of new and existing equipment and other obstructions and perform pre-construction system layout to assure array conformity to as-built roof dimensions.

#### 3.02 Examination

- A. Do not begin installation until all mounting surfaces have been properly prepared.
- B. If preparation of mounting surfaces is the responsibility of another installer, notify Owner/Engineer of unsatisfactory preparation before proceeding with installation.

#### 3.03 Photovoltaic Array Installation

A. Project design drawings shall be approved by the Architect/Engineer and are to be followed as closely as possible during installation of photovoltaic modules and performance of all electrical work. If the Contractor determines that actual site conditions do not accommodate a particular aspect of the approved design, the Architect/Engineer must approve all Contractorproposed design changes in writing before deviation from the original design occurs.

#### B. PHOTOVOLTAIC MODULE MOUNTING

- Contractor shall install photovoltaic modules per the architectural drawings and utilizing a commercial racking system. Contractor shall also follow solar module installation instructions provided by the module manufacturer, except where these instructions conflict with the commercial racking system installation sheet.
- 2. Photovoltaic module shall be Sun Power or equal as shown on the drawings.

#### C. ARRAY WIRING

- 1. Where conflict arises, this section shall supersede Division 26 00 00.
- 2. Photovoltaic array wiring configurations shall be as shown in the electrical drawings.
- 3. All conductors shall be 90° C rated stranded Cu.
- 4. All above-roof and exterior conduit shall be GRS, all interior conduit shall be EMT conduit.
- All conductors exposed to direct or indirect sunlight shall be of type USE-2 or approved equivalent. All DC conductors in above-ground conduit shall be THHN or equivalent. All DC conductors in below-ground conduit shall be THWN-2 or equivalent.
- 6. Where outside of conduit, conductors shall be secured to array mounting structure with UV-rated wire ties or UL-listed power wiring securing means. Such securing shall prevent conductors from moving due to wind or contacting the roof surface.
- 7. All PV source circuit conductors shall enter conduit through rain-tight gland fittings and junction boxes. Gland fitting may be Heyco M4516 or approved equivalent. All components shall be UL-listed, and shall be used in their approved manner.
- 8. All photovoltaic source circuit conductors shall be routed as directly as possible to junction boxes.
- 9. All conductor splices unprotected by j-boxes shall employ MC-connectors or approved equivalent.
- 10. Contractor shall assure that system DC voltage drop does not exceed 1% of nominal voltage. If necessary, Contractor shall increase installed DC conductor size in order to meet this specification.
- 11. All array wiring and wiring methods shall comply with CEC Article 690.
- D. DC ELECTRICAL EQUIPMENT
  - 1. Combiner boxes and DC disconnects shall be mounted on carport structure nearest to the connected photovoltaic modules. Combiner box manufacturer and part number shall be as specified in the electrical drawings or approved equivalent.
  - 2. DC electrical equipment shall be labeled as indicated in the electrical drawings.
  - 3. Inverter shall have built in isolation transformer and AC DC disconnect as shown on the drawings.
- E. ARRAY GROUNDING
  - 1. All photovoltaic module frames shall be grounded and bonded to a continuous #6 AWG bare Cu ground conductor using a UL listed outdoor rated ground clamp.
  - 2. Grounding lug may be IIsco GBL-4DBT or approved equivalent.
  - 3. One #6 AWG ground conductor shall bond and ground one module frame per source circuit to the equipment ground conductor.
  - 4. Array grounding shall comply with CEC Articles 690 and 250.
- F. SHADING PREVENTION
  - Contractor shall verify the location of existing equipment and objects that will cause shading on the PV array and notify the Architect/Owner/Engineer of any obstructions that will cause shadows on PV array. The contractor shall be responsible for making any minor

adjustments necessary in order to install the array avoiding any existing equipment shadows that may fall on the array.

- G. AESTHETICS
  - 1. Photovoltaic modules shall be installed in straight, planar rows and columns to the greatest extent possible.
  - 2. Photovoltaic array conduit runs shall be routed so as to minimize visibility from the ground.

# H. DC/AC POWER CONVERTER

- 1. The Power Converters with isolation transformer shall be installed according to the manufacturer's installation.
- 2. Contractor shall provide all equipment needed to physically install, interconnect and operate the Power Converters in compliance with CEC and utility requirements. See Summary of Work for scope.
- I. SIGNAGE
  - 1. All photovoltaic system components shall be labeled per the electrical drawings and CEC Article 690.
  - 2. Utility AC safety switch shall be labeled as required by utility, and site directory plaque shall be installed as required by utility.
  - 3. All combiner boxes and DC disconnects shall be labeled with consecutive identifiers per the single-line diagram.
    - (a) Combiner boxes shall be labeled CB "1", CB "2", etc.
    - (b) DC disconnects shall be labeled DCD "1", DCD "2", etc.

# 3.04 Photovoltaic Array Commissioning

- A. All electrical wiring for connection to the photovoltaic array to power converter and grid shall be performed by a licensed, certified, electrical contractor.
- B. When measured at mid-day, all photovoltaic module open-circuit voltages shall be within 10% of one another. Contractor shall mark all photovoltaic modules not in compliance, defer installation of these modules, and notify the District immediately.

# C. SYSTEM STARTUP GUIDELINES

- 1. Check all electrical and mechanical fasteners for tightness. Check the polarity of all electrical connections. Ensure that all system components are isolated from each other electrically by removal of fuses or setting appropriate breakers or switches to OFF position.
- 2. Using combiner box terminals, check and record all photovoltaic source circuit open-circuit voltages.
- 3. If voltage and current readings are within specifications, proceed with Page 104 of 112 SECTION 26 31 00 PHOTOVOLTAIC SYSTEM

system startup. If not, troubleshoot system wiring as needed.

- 4. Using a fuse puller, insert photovoltaic source circuit fuses into combiner boxes.
- 5. Power up Power Converter according to manufacturer's instructions.
- D. Provide the service of the manufacture trained certifier who shall visit the job site and verify the following:
  - 1. Component Level: Check voltages and polarities at selected locations. Array strings are checked at the last module of the string. Combiner boxes, main array junction boxes; inverter and transformer are checked for physical damage.
  - 2. Array Level: Check voltages, polarities and short circuit currents at combiner boxes. Module wiring is inspected and module output is tested at the combiner box. The combiner box is checked to ensure that it is mounted properly and fasteners are tight. Check voltages, polarities and short circuit currents at main combiner boxes. Check that inverter input voltage levels and polarities are correct. Sub-array wiring is inspected and sub-array output (Voc and polarity) is tested at the main array junction boxes. Main array junction boxes are checked to ensure that they are mounted properly and fasteners are tight. Test BOS housing ventilation systems.
  - 3. Electrical Inspection and Approval: Using a post-installation checklist and the maintenance checklist included in the Operations and Maintenance Manual, the entire system is physically inspected and electrically tested.
- E. Contractor shall assure that all construction debris including materials packaging is removed from all rooftops and work areas, and that photovoltaic modules are clean.

# 3.05 Rebates And Utility Metering Applications

- A. The Contractor shall prepare and submit the Utility company's net metering application and provide/obtain all approvals required by the utility company for grid-tied solar applications.
  - 1. The Contractor shall prepare and submit the application for the approval of the Pacific Gas and Electric company.
  - 2. The Contractor shall coordinate all requirements with the Owner and Owner's Representative.
- B. The Contractor shall prepare and submit the Utility company's rebate application and provide/obtain all approvals required by the utility company.
  - 1. The Contractor shall prepare and submit the application for all rebates for approval to the Pacific Gas and Electric company.
  - 2. The Contractor shall coordinate all requirements with the Owner and the Page **105** of **112** SECTION 26 31 00 PHOTOVOLTAIC SYSTEM

Owner's Representative.

- 3. All rebates shall be provided to the Owner.
- 4. The Contractor shall be responsible for meeting all of the requirements of the utility company in order to obtain the rebate for the installed product.

# END OF SECTION 26 31 00

# SECTION 26 50 00

# LIGHTING

#### PART 1. GENERAL

#### 1.01 Description of Work:

A. The work of this section consists of providing a lighting system complete, including fixtures, lamps, hangers, reflectors, glassware, lenses, auxiliary equipment, ballasts and sockets.

#### 1.02 Related Work

- A. See the following specification sections for work related to the work of this section:
  - 1. 26 05 10 General Electrical Requirements.
  - 2. 26 05 33 Conduit, Raceway and Fittings.
  - 3. 26 05 19 Low Voltage Wire and Cable.
  - 4. 26 05 34 Junction and Pull Boxes.

#### 1.03 Submittals: In accordance with Division 1.

- A. Submit descriptive data, photometric curves for each fixture configuration proposed.
- B. Submit shop drawings showing proposed methods for mounting lighting fixtures.
- C. Seismic Requirements: Submit:
  - 1. Sketch or description of the anchorage system.
- D. Submit Operation and Maintenance Data per Division 1.

# 1.04 Warranty: High Intensity Discharge lamps which fail within the first year after final acceptance shall be replaced by the Contractor with the warranty clause of the General Provisions.

#### PART 2. PRODUCTS

#### 2.01 Fixtures

- A. Fixtures shall be of the types, wattages and voltages shown on the Drawings and be UL classified and labeled for the intended use.
- B. Substitutions will not be considered unless the photometric distribution curve indicates the proposed fixture is equal to or exceeds the specified luminaire.
- C. Luminaire wire, and the current carrying capacity thereof shall be in accordance with the CEC.
- D. Luminaires and lighting equipment shall be delivered to the project site

complete, with suspension accessories, aircraft cable, stems, canopies, hickeys, castings, sockets, holders, ballasts, diffusers, frames, and related items, including support and braces.

# 2.02 Ballasts:

- A. Ballasts shall be of the types shown on the drawings. Ballasts shall be CBM certified and bear the UL label. Magnetic ballasts shall be the high power factor type. Electronic ballasts shall be suitable for lamps specified by Advance, Magnatek/Universal,Triad or approved equal. Electronic ballast shall be CBM certified and have 15% total harmonic distortion or less.
- B. All ballasts for fixtures installed outdoors shall provide reliable starting of lamps at 0\*F at 90% of the nominal line voltage.
- C. Ballasts producing excessive noise (above 36 dB) or vibration will be rejected and shall be replaced at no expense to the Owner.

# 2.03 Lamps:

- A. Lamps shall be new at the time of acceptance and shall be General Electric, Osram /Sylvania, Phillips, or approved equal.
- B. Unless otherwise noted on the drawings, lamps shall be T8, 3500\*K, and 85 CRI minimum.

# 2.04 LED:

- A. LEDs shall be new at the time of acceptance and shall be Cree, Samsung, Phillips or approved equal.
- B. Unless otherwise noted on the drawings, lamps shall be 3500\*K, and 85 CRI minimum.
- C. Drivers shall be 0-10V dimming drivers compatible with the LED system.

# PART 3. EXECUTION

#### 3.01 Installation:

- A. General:
  - 1. All fixtures and luminaires shall be clean and lamps shall be operable at the time of acceptance.
  - 2. Install luminaires in accordance with manufacturer's instructions, complete with lamps, ready for operation as indicated.
  - 3. Align, mount, and level the luminaires uniformly.
  - 4. Avoid interference with and provide clearance for equipment. Where an indicated position conflicts with equipment locations, change the location of the luminaire by the minimum distance necessary.
- B. Mounting and Supports:

- 1. Mounting heights shall be as shown on the Drawings. Unless otherwise shown, mounting height shall be measured to the centerline of the outlet box for wall mounted fixtures and to the bottom of the fixture for suspended fixtures and to the bottom of the fixture for all other types.
- 2. Luminaire supports shall be anchored to structural members.
- 3. Pendant luminaires shall be provided with ball aligners to assure a plumb installation and shall have a minimum 25-degree clean swing from horizontal in all directions. Sway bracing shall be installed as required to limit the movement of the fixture. Fixtures shall be allowed to sway a maximum of 45° without striking any object.
- 4. Fixture supports shall be designed to resist earthquake forces of seismic zone 4.
- 5. Refer to fixture mounting details on drawings for installation requirements.
- C. Pendant Fixture Mounting: Provide flexible fixture hangers unless otherwise noted on Drawings.

# END OF SECTION 26 50 00

# SECTION 31 63 29

# CONCRETE DRILLED PIERS

### PART 1. GENERAL

### 1.01 Conditions & requirements

A. All of the provisions of the General Conditions and Division 1 - General Requirements, and any applicable provisions elsewhere in the Contract documents shall apply to work of this Section as fully as if repeated here.

#### 1.02 Description

- A. Furnish and install all drilled piers (caissons) as shown and specified.
- B. Related work described elsewhere:
  - 1. Formwork: Section 03 10 00.
  - 2. Concrete reinforcement: Section 03 20 00.
  - 3. Cast-in-place concrete: Section 03 30 00.
- C. Comply with all requirements of Section 03 10 00, 03 20 00, and 03 30 00 for the work of this section, except where different requirements are stated below. Comply with all additional requirements included below. In case of conflict, the requirements of this section take precedence.

#### 1.03 Quality assurance

- A. Except where different requirements are specified, comply with the following codes and standards by the California Building Standards Commission and American Concrete Institute (ACI):
  - 1. 2019 California Building Code (CBC).
  - 2. ACI 117 "Standard Specifications for Tolerances for Concrete Construction and Materials".
  - 3. ACI 336.1 "Specification for the Construction of Drilled Piers".
- B. Comply with the referenced ASTM standards for materials and testing.
- C. Perform this work by a qualified contractor regularly engaged in constructing the type of foundation piers required.
- D. Examine the Soils report, test borings, and the site to determine all conditions affecting the work.
- E. Drilling test shafts is not permitted without prior approval from the Owner's Representative. All test shafts must be properly backfilled with suitable material outlined in the Soils report.

# PART 2. PRODUCTS

# 2.01 Materials

- A. Reinforcing: As specified in Section 03 02 00.
- B. Concrete: As specified in Section 03 30 00, Class A or B.

# PART 3. EXECUTION

# 3.01 Drilling piers

- A. Cross-stake locations of drilled piers so that centerlines may be retained after drilling for use in placing dowels.
- B. Make pier excavations using whatever equipment, of an approved type, that may be required for doing the work. Drill piers plumb and true, of diameter and to depth shown on drawings. Bottom of all pier excavations shall be free of all loose dirt and debris. Take every precaution to prevent loose earth from falling into excavation before and during placing of concrete. Provide dewatering as necessary to maintain caisson holes in dry condition with no more that 2 inches of water at the bottom.
- C. Excavate each shaft at location shown on drawings in a plumb condition. Place the center point of the top of each pier within 3 inches of its true location. Maximum acceptable tolerance from plumb in any shaft, measured at center of shaft, shall not exceed 2 inches for first 10 feet, and 1 inch additional for every 10 feet in depth.
- D. Case pier holes with steel casing to protect sides of holes from sloughing, to assist de-watering, wherever a questionable condition exists, or as directed in the Soils report. In addition, provide casing if required by applicable regulations of governmental regulating boards or any other authorities having jurisdiction. Each bidder is responsible for determining for himself the extent of metal casing required.
  - 1. Wherever metal casing must be used, it shall consist of 1 piece extending upwards from bottom of drilling. Inside diameter of metal casings shall not be less than scheduled diameter of pier.
- E. If slurry displacement method is used due to soil conditions or as directed in the Soils report, submit proposed mix design or manufacturer's product data to Owner's Representative for approval.
  - 1. Maintain slurry levels above any unstable zones a distance sufficient to prevent sloughing.
  - 2. Where piers are installed below groundwater or in caving holes, maintain the slurry level in the excavation not less than five feet above the groundwater level.
- F. All work in connection with drilling of holes shall be subject to inspection and approval of the Architect and Soils Engineer. Provision shall be made to Page 111 of 112 SECTION 31 63 29

permit inspection and approval. Any drilled pier excavation which must be entered by workmen, Architect's representative or Building Inspector shall be cased with metal casing before anyone enters excavation.

- G. Material excavated from drilled piers shall be removed from building pad area. Material suitable for backfill shall be stockpiled where directed. Material unsuitable for backfill shall be removed from site.
- H. Anticipated elevations of pier bottoms are shown on structural drawings. Base bid shall be based on concrete piers being installed as shown and scheduled on structural drawings. Architect or his representative shall verify and approve depth.

# 3.02 Placing concrete

- A. Place reinforcement and pour concrete immediately after piers are drilled, inspected, and approved by Architect. Pour piers continuously for length of piers. Place concrete in pier holes not cased by means of a hopper centered over hole. Overall length of hopper and chute shall be a minimum of 6'-0" long. Pump concrete or use placing devices to prevent free fall greater than six (6) feet.
- B. When used, withdraw casing from excavation as concrete is placed. Bottom of casing shall be at least 4 feet below top of concrete level at all times during placement. Top of metal casing must extend a minimum of six (6) inches above grade at top of excavation.
- C. If the water depth at the bottom of the excavation cannot be reduced to two (2) inches, concrete may be placed but the mix shall have the cement content increased by one (1) sack per cubic yard and the slump decreased correspondingly for the portion of concrete placed in the water and at a point at least 1'-0" above the water level. This concrete mix shall be placed through a tremie maintained at or below the level of the concrete until a point 1'-0" above the water level is reached.
- D. Place reinforcement centered in hole. Use devices to maintain reinforcement in its true position before and during concrete placement.
- E. Vibrate top five (5) feet of concrete, after temporary casing has been withdrawn or when casing is permanent.
- F. Place concrete in pier in one continuous operation. If a construction joint is unavoidable, level, roughen, and clean surface prior to re-commencement of concrete placement. Provide reinforcing dowels or a shear key as required by the Structural Engineer. The Structural and Soils Engineers shall approve construction joint elevation.

# END OF SECTION 31 63 29

County of San Mateo – Department of Public Works San Mateo County Correctional Center Solar Photovoltaic System PB010

# **SECTION 32 01**

# 13.62 FOG SEAL

### PART 1 - GENERAL

- 1.1 SECTION INCLUDES
  - A. Work and materials necessary for the completion of pavement seal coats as indicated on the drawings and specified herein.
- 1.2 **REFERENCE STANDARDS** 
  - A. FHWA MUTCD Manual on Uniform Traffic Control Devices for Streets and Highways; U.S. Department of Transportation, Federal Highway Administration; current edition at <u>http://mutcd.fhwa.dot.gov</u>.
  - B. California Department of Transportation (C.D.T.) Standard Specifications as follows:
    - 1. Section 37 Bituminous Seals.
    - 2. Traffic Manual
    - 3. Highway Design Manual
- 1.3 QUALITY ASSURANCE
  - A. Perform Work in accordance with State of California Department of Transportation standards.
- 1.4 REGULATORY REQUIREMENTS
  - A. Conform to applicable code for paving work on public facilities.
- 1.5 **FIELD CONDITIONS** 
  - A. Do not apply seal if temperature of pavement or the atmosphere is less than 50 degrees F or if the high within 24 hours after placement is less than 65 degrees F. Do not apply if rain is imminent or the air temperature is expected to be below 36 degrees F within 24 hours after placement.

#### 1.6 **PROTECTION OF EXISTING IMPROVEMENTS**

A. Protect and cover existing manholes, valve and monument covers, grates, and other exposed facilities within the area of application using plastic or oil resistant construction paper secured by tape of adhesive to the facility being

SECTION 32 01 13.62 FOG SEAL

covered. Reference covered facilities with enough control points to locate the facilities after application of the seal coat.

B. Install measures to prevent spills and discharges into existing on-site stormwater facilities. Measures include, but are not limited to gravel bags, straw wattles, and fiber rolls.

# PART 2 - PRODUCTS

- 2.1 MATERIALS
  - A. Asphaltic Emulsion: California Department of Transportation Standards, Grade CSQS1h.
- 2.2 EQUIPMENT
  - A. Commercial mixing and spreading equipment that complies with California Department of Transportation standards for applying fog seal materials.
- 2.3 FOG SEAL MIXES AND MIX DESIGN
  - A. California Department of Transportation standards.

# PART 3 - EXECUTION

- 3.2 **PREPARATION** 
  - A. Thoroughly clean surfaces free of dirt, sand, gravel, oil and other foreign matter.
  - B. Protect adjacent curbs, walks, fences, and other items from receiving seal.
- 3.3 APPLICATION
  - A. Begin pavement sealing as soon as practicable after surface has been cleaned and dried.
  - B. Apply fog seal with mixing and spreading equipment per California Department of Transportation standards.
- 3.4 DRYING AND PROTECTION
  - A. Protect newly sealed pavement so that the seal is not picked up by tires, smeared, or tracked.
  - B. Provide barricades, warning signs, and flags as necessary to prevent traffic crossing newly sealed pavement.

# END OF SECTION

#### SECTION 32 17 23

#### PAINTED PAVEMENT MARKINGS

#### PART 1 - GENERAL

#### 1.01 SECTION INCLUDES

Work and materials necessary for the completion of pavement markings as indicated on the drawings and specified herein.

#### 1.02 REFERENCE STANDARDS

- A. FHWA MUTCD Manual on Uniform Traffic Control Devices for Streets and Highways; U.S. Department of Transportation, Federal Highway Administration; current edition at <a href="http://mutcd.fhwa.dot.gov">http://mutcd.fhwa.dot.gov</a>.
- B. California Department of Transportation (C.D.T.) Standard Specifications as follows:
  - 1. Section 84 Markings.
  - 2. Traffic Manual
  - 3. Highway Design

#### 1.03 SUBMITTALS

- A. Product Data: Manufacturer's data sheets on each product to be used, including:
  - 1. Preparation instructions and recommendations.
  - 2. Storage and handling requirements and recommendations.
  - 3. Installation methods.
- 1.04 DELIVERY, STORAGE, AND HANDLING
  - A. Deliver paint in containers of at least 5 gallons accompanied by batch certificate.
  - B. Store products in manufacturer's unopened packaging until ready for installation.
  - C. Store and dispose of solvent-based materials, and materials used with solvent-based materials, in accordance with requirements of local authorities having jurisdiction.

#### **PART 2 - PRODUCTS**

#### 2.01 MATERIALS

- A. Line Marking Paint: White or as selected by Owner
- B. Temporary Marking Tape: Preformed, reflective, pressure sensitive adhesive tape in color(s) required; Contractor is responsible for selection of material of sufficient durability as to perform satisfactorily during period for which its use is required.
- 2.02 EQUIPMENT
  - A. Commercial compressed air spray striping machine capable of applying an even coating at the manufacturer's recommended thickness in an even width across the stripe.
    - Or

B. Commercial airless spray striping machine capable of applying an even coating at the manufacturer's recommended thickness in an even width across the stripe.

#### PART 3 - EXECUTION

#### 3.01 EXAMINATION

- A. Inspect existing pavement surfaces for conditions and defects that will adversely affect quality of work, and which cannot be put into an acceptable condition through normal preparatory work as specified.
- B. Do not place marking over unsound pavements. If these conditions exist, notify Owner/Architect/Engineer.
- C. Starting installation constitutes contractor's acceptance of surface as suitable for installation.
- D. Verify that fog seal is complete, has been accepted by Owner/Architect/Engineer.

#### 3.01 PREPARATION

- A. Prepare surfaces using the methods recommended by the manufacturer for achieving the best result for the substrate under the project conditions.
- B. Thoroughly clean surfaces free of dirt, sand, gravel, oil and other foreign matter.
- C. Protect adjacent curbs, walks, fences, and other items from receiving paint.
- D. Temporary Pavement Markings; When required, apply temporary markings of the color, width, and length as indicated or directed.
  - 1. Prior to permanent paint application, remove temporary marking with approved method so that the pavement surface is not damaged.

#### 3.02 APPLICATION

- A. Begin pavement marking as soon as practicable after surface has been cleaned and dried.
- B. Do not apply paint if temperature of surface to be painted or the atmosphere is less than 50 degrees F or more than 95 degrees F.
- C. Apply in accordance with manufacturer's instructions using an experienced technician that is thoroughly familiar with equipment, materials, and marking layouts.
- D. "Free hand" painting of arrows, symbols, or wording shall not be allowed.
- E. Apply uniformly painted markings of color(s), lengths, and widths as indicated on the drawings true, sharp edges and ends.
- F. Parking Lots: Apply parking space lines, entrance and exit arrows, and other markings indicated on drawings.
  - 1. Hand application by pneumatic spray is acceptable.
- G. Symbols: Use a suitable template that will provide a pavement marking with true, sharp edges and ends, of the design and size indicated.
- 3.03 DRYING, PROTECTION, AND REPLACEMENT
  - A. Protect newly painted markings so that paint is not picked up by tires, smeared, or tracked.
  - B. Provide barricades, warning signs, and flags as necessary to prevent traffic crossing newly painted markings.

- C. Allow paint to dry at least the minimum time specified by the applicable paint standard and not less than that recommended by the manufacturer.
- D. Remove and replace markings that are applied at less than minimum material rates; deviate from true alignment; exceed length and width tolerances; or show light spots, smears, or other deficiencies or irregularities.
- E. Remove markings in manner to avoid damage to the surface to which the marking was applied, using carefully controlled sand blasting, approved grinding equipment, or other approved method.
- F. Replace removed markings at no additional cost to Owner.

#### **END OF SECTION**

Geotechnical Report & Supplemental Letter





Project No. 09515.000.003

March 16, 2021

Ms. Suna Yatagama County of San Mateo Public Works 555 County Center, Floor 5 Redwood City, CA 94063-1665

Subject: Maple Street Correctional Facility – Solar Power Generation 1300 Maple Street Redwood City, California

# SUPPLEMENTAL GEOTECHNICAL RECOMMENDATIONS FOR SOLAR PANEL ARRAYS

Dear Ms. Yatagama:

As requested, we prepared these recommendations for design of the proposed solar panel arrays at the existing Maple Street Correctional Facility in Redwood City, California. The solar panel arrays will be supported by a carport system located within the existing parking area in the southern area adjacent to US-101. We understand subsequent phases may include additional solar panels in the parking area to the north and west of the building. According to your Structural Engineer, Rinne and Peterson, column loads are anticipated to range from 11 to 15 kips and lateral demand will likely be less than 3 kips. Your design team has indicated that both cast-in-drilled-hole (CIDH) concrete piers and spread footings are currently being considered for this structure.

#### **SPREAD FOOTINGS**

The proposed solar array structures can be supported on spread footings bearing in the existing onsite soil. We provide the following geotechnical design parameters for conventional footings.

DESIGN PARAMETER	ALTERNATIVE 1 VALUE	ALTERNATIVE 2 VALUE
Allowable Bearing Capacity	1,000 psf	1,500 psf
Estimated Total Settlement	Up to ¾ inch Up to 1 inch	
Estimated Differential Settlement	1/2 inch over 25 ft	
Minimum Footing Depth	12 in	
Maximum Width or Length of Footing	48 in	
Passive Lateral Resistance	300 pcf equivalent fluid pressure	
Coefficient of Friction	0.2	5*

#### **TABLE 1: Spread Footing Design Parameters**

\*A combination of both passive lateral resistance and friction may be used if the friction coefficient is reduced by 50 percent

The maximum allowable bearing pressure is provided for dead-plus-live loads and is a net value; the weight of the footing may be neglected for design purposes. This value may be increased by one-third for the short-term effects of wind or seismic loading. Footings located adjacent to utility trenches should have their bearing surfaces below an imaginary 1:1 (horizontal:vertical) plane projected upward from the bottom edge of the trench to the footing.

County of San Mateo Public Works Maple Street Correctional Facility – Solar Power Generation SUPPLEMENTAL GEOTECHNICAL RECOMMENDATIONS FOR SOLAR PANEL ARRAYS

During construction, we should be retained to observe the footing excavations; foundation subgrade preparation (such as processing and compacting the exposed soil) may be necessary depending on the consistency of the foundation subgrade soil.

#### CIDH DRILLED PIER

Alternatively, the proposed solar array structures may be supported by cast-in-drilled-hole (CIDH) concrete pier foundations. The piers should be designed in accordance with the following recommendations.

#### TABLE 2: CIDH Pier Design Parameters

DESIGN PARAMETER	VALUE
Minimum Pier Diameter	12 inches
Minimum Pier Depth	8 feet
Downward Load Capacity (Skin Friction)	500 psf
Lateral Load Capacity (Passive Resistance)	300 pcf

Long-term settlement associated with CIDH piers designed in accordance with parameters provided above is estimated to be less than ½ inch. The skin friction and lateral capacity values may be increased by one-third when considering seismic or wind loads. Provided that the area adjacent to the piers are confined by hardscape or pavement and up to ½-inch lateral movement at the pier top is acceptable, passive resistance may be applied over two pier diameters.

The bottoms of pier holes should be dry, clean, and free of loose soil before reinforcing or structural steel is installed and concrete is placed. Depression at the top of the piers resulting from drilling operations or from any other cause should be backfilled to prevent ponding. Concrete collars occurring at the top of piers as a result of excessive concrete placement should be removed. Pier drilling operations and concrete placement should be coordinated so that pier holes are left open a minimum amount of time. Pier holes should not be allowed to desiccate significantly before placement of concrete and certainly not to the point of showing shrinkage cracks. We recommend that the excavation of piers be performed under our observation to confirm that the piers are founded in suitable materials and constructed in accordance with the recommendations presented in this letter.

#### 2019 CBC SEISMIC DESIGN PARAMETERS

Based on the subsurface conditions encountered in the borings previously performed on the site, we characterized the site as "Site Class D." We provide the 2019 CBC seismic design parameters in Table 3, which include design spectral response acceleration parameters based on the mapped Risk-Targeted Maximum Considered Earthquake (MCER) spectral response acceleration parameters.

# TABLE 3:2019 CBC Seismic Design ParametersLatitude:37.493 Longitude: -122.219

PARAMETER	VALUE
Site Class	D
Mapped MCE <sub>R</sub> Spectral Response Acceleration at Short Periods, $S_S$ (g)	1.63
Mapped MCE <sub>R</sub> Spectral Response Acceleration at 1-second Period, S <sub>1</sub> (g)	0.66
Site Coefficient, Fa	1
Site Coefficient, F <sub>v</sub>	Null*
MCE <sub>R</sub> Spectral Response Acceleration at Short Periods, S <sub>MS</sub> (g)	1.63
MCE <sub>R</sub> Spectral Response Acceleration at 1-second Period, S <sub>M1</sub> (g)	Null*
Design Spectral Response Acceleration at Short Periods, Sps (g)	1.08
Design Spectral Response Acceleration at 1-second Period, S <sub>D1</sub> (g)	Null*
Mapped MCE Geometric Mean (MCE <sub>G</sub> ) Peak Ground Acceleration, PGA (g)	0.703
Site Coefficient, F <sub>PGA</sub>	1.1
$MCE_G$ Peak Ground Acceleration adjusted for Site Class effects, PGA <sub>M</sub> (g)	0.774
Long period transition-period, T <sub>L</sub> (sec)	12
*Poquires site specific ground motion bezard analysis per ASCE 7.16 Section 11.4.9	

\*Requires site-specific ground motion hazard analysis per ASCE 7-16 Section 11.4.8

Considering the proposed construction, we anticipate the fundamental periods of the proposed solar array structures to be less than  $1.5T_s$ ; this should be confirmed by the structural engineer. If the fundamental period of the structures is less than  $1.5T_s$ , the structural engineer may consider exception(s) of Section 11.4.8 of ASCE 7-16 as follows:

"A ground motion hazard analysis is not required for structures... where, structures on Site Class D sites with  $S_1$  greater than or equal to 0.2, provided the value of the seismic response coefficient  $C_s$  is determined by Eq. (12.8-2) of ASCE 7-16 for values of  $T \le 1.5T_s$  and taken as equal to 1.5 times the value computed in accordance with Eq. (12.8-3) of ASCE 7-16 for  $1.5T_s < T \le T_L$ ."

#### CLOSING

We strived to perform our professional services in accordance with generally accepted geotechnical engineering practices currently employed in the area; no warranty is express or implied.

If you have any questions or comments regarding this letter, please call and we will be glad to discuss them with you.



Attachment: Selected References



# SELECTED REFERENCES

- 1. ENGEO; Geotechnical Exploration, San Mateo County Replacement Correctional Facility, Redwood City, California; November 30, 2012; Project No. 9515.000.000.
- 2. ENGEO; Testing and Observation Services during Backfill of Demolition, Environmental Excavations, Rough Grading and Utility Backfill; San Mateo County Replacement Correctional Facility, Redwood City, California; October 11, 2013; Project No. 9515.000.001.
- 3. Bartos Architecture; County of San Mateo Solar Study, Maple Street Correctional Facility Site, County of San Mateo, 1300 Maple Street, Redwood City, CA; December 2020.

# **GEOTECHNICAL EXPLORATION**

SAN MATEO COUNTY REPLACEMENT CORRECTIONAL FACILITY REDWOOD CITY, CALIFORNIA

pect Excellence -

# Submitted to:

Mr. Sam Lin San Mateo County Sheriff's Office Jail Planning Unit 400 County Center, 3rd Floor Redwood City, CA 94063

> Prepared by: ENGEO Incorporated

November 30, 2012

Project No. 9515.000.000

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Project No. **9515.000.000** 

November 30, 2012

Mr. Sam Lin San Mateo County Sheriff's Office – Jail Planning Unit 400 County Center, 3<sup>rd</sup> Floor Redwood City, CA 94063

Subject: San Mateo County Replacement Correctional Facility Redwood City, California

# **GEOTECHNICAL EXPLORATION**

Dear Mr. Lin:

ENGEO prepared this geotechnical report as part of our phase 2 geotechnical scope of service for the proposed replacement correctional facility project in Redwood City, California, as outlined in our agreement dated May 25, 2012. We characterized the subsurface conditions at the project site and provide geotechnical recommendations for design.

Our experience and that of our profession clearly indicate that the risk of costly design, construction, and maintenance problems can be significantly lowered by retaining the design geotechnical engineering firm to review the project plans and specifications and provide geotechnical observation and testing services during construction. We will be glad to discuss these additional services with you as the project design progresses.

If you have any questions regarding the contents of this report, please call and we will be glad to discuss them with you.

PROFESS/ON TAN ROFESSIN Sincerely, No. 2880 ENGEO Incorporated Exp. 9/30/2014 No. 2191 Exp. 3/31/20 Janet Kan, PG, GE Mark M. Gilbert, GE jk/mmg/jf:gex

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- Figure 6 Estimated Consolidation Settlement in 30 years

#### APPENDIX A - Borelogs, ENGEO

- APPENDIX B Cone Penetration Test Logs, John Sarmiento & Associates
- APPENDIX C Laboratory Test Data, ENGEO
- APPENDIX D Corrosivity Test Results, CERRCO
- **APPENDIX E** Liquefaction Analysis
- **APPENDIX F** Pile Capacity Chart
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# **1.0 INTRODUCTION**

# **1.1 SCOPE OF SERVICES**

The purpose of this geotechnical report is to evaluate the subsurface conditions at the site and provide design-level geotechnical recommendations for the proposed replacement correctional facility and associated site improvements. The scope of our services included:

- Reviewing available literature, geologic maps, and geotechnical reports pertinent to the site.
- Drilling six hollow-stem auger and mud rotary borings.
- Analyzing the geological and geotechnical data.
- Developing geotechnical recommendations for site development.
- Preparing this report to summarize our findings.

This geotechnical exploration report supersedes the following geotechnical documents previously prepared by ENGEO for the project:

- Transmittal Revised Vertical and Lateral Capacities for Driven Piles dated October 19, 2012.
- Geotechnical Feasibility Report dated July 11, 2012.
- Transmittal Supplemental Vertical Capacities for Preliminary Pile Design dated September 14, 2012.
- Preliminary Foundation Design Recommendation Letter dated June 29, 2012.

This report was prepared for the exclusive use of our client and their consultants for design of this project. In the event that any changes are made in the character, design or layout of the development, we must be contacted to review the conclusions and recommendations contained in this report to determine whether modifications are necessary. This document may not be reproduced in whole or in part by any means whatsoever, nor may it be quoted or excerpted without our express written consent.

# **1.2 PROJECT LOCATION AND DESCRIPTION**

The roughly 4.8-acre site is located along Chemical Way in Redwood City, California, as shown on Figure 1. The site is bounded by Highway 101 to the south, Maple Street to the west, Blomquist Street to the north, and the Union Pacific Railroad and Malibu Grand Prix Mini Kart Racing Complex to the east. The project site is currently occupied by several single-story industrial buildings constructed around the cul-de-sac of Chemical Way. The majority of the site is paved, except for some vegetated areas bordering the road and in landscape strips. At this time, the above-grade structure at 70 Chemical Way has been demolished and the tenants of 20, 50 and 80 Chemical Way have moved out.



Figure 2 shows the approximate locations of our exploratory borings and cone penetration tests (CPTs) relative to the former site features. Based on the topographic map provided to us, site grades generally range from Elevation 9 to 11 feet (NAVD 88).

# **1.3 PROJECT DESCRIPTION**

Based on our review of the fine grading and drainage plan dated October 12, 2012, and the conceptual architectural plans dated September 27, 2012, we understand that the main correctional building is to be located in the center of the site and is to be up to three stories high. Paved parking is to be located on the north and south side of the building with the main ingress and egress driveways along the east and west boundary of the site. A transformer/generator pad and a two-story-high central utility plant (CUP) is planned on the southeast side of the site. The approximate footprints of the proposed structures are shown on Figure 2. We anticipate the project site grades will be raised by 2 to 3 feet to achieve a finished grade of approximately Elevation 12 feet.

# **1.4 SITE HISTORY**

We reviewed historic aerial photos from 1943, 1956, 1965, 1974, 1982, 1993, 1998 and 2005. These photos indicate that in 1943 the site was an undeveloped marshland surrounded by Smith Slough and tributaries of the Redwood Creek. By 1956, the project site appeared to be in the process of marshland reclamation. The general outline of the major slough channel was still visible on the 1956 historic aerial photo. By 1965, Highway US-101 and Maple Street was in place and the project site is shown to be entirely covered with fill. No major development is shown within the project site on the 1965 photo.

Aerial photos from 1974 to 1982 showed construction of Chemical Way and the four surrounding parcels. Buildings and structures are observed within the four parcels in these photos. Aerial photos from 1993, 1998, and 2005 show little change and resemble the current site conditions.

# **1.5 PREVIOUS GEOTECHNICAL STUDIES**

ENGEO prepared a Geotechnical Feasibility Report dated July 11, 2012, for the subject project. During the feasibility studies, we reviewed relevant publically available geotechnical reports and performed a preliminary exploration including six cone penetration test (CPT) probes. Based on our preliminary findings, the major site development considerations were:

- Consolidation settlement of Bay Mud deposits
- Potential liquefaction-induced differential settlements
- Presence of existing fill

This design-level report includes supplemental exploration and provides geotechnical recommendations for design.



# 2.0 GEOLOGY AND SEISMICITY

The regional and local geology and seismicity were evaluated as part of this investigation. The evaluation was based on our review of published reports, our experience in the project area, and the results of the Phase 2 geotechnical investigation.

# 2.1 **REGIONAL AND LOCAL GEOLOGY**

Geologic mapping by Brabb (2000) indicates the site is underlain by artifical fill (af) and Bay Mud deposits (Qhb), as shown on Figure 3. Historic aerial photographs show the project site to be located within a former marshland through the late 1960s to early 1970s. The California Division of Mines and Geology (CDMG, 1974), currently known as the California Geological Survey, mapped the approximate thickness of younger Bay Mud at the site ranging from 0 to 20 feet. As mapped by CDMG, the depth to bedrock within the vicinity of the site is over 250 feet below ground surface (bgs).

# 2.2 FAULTING AND SEISMICITY

The project site is not located within a State of California zone for faulting and no known active faults cross the site. The Bay Area contains numerous active earthquake faults. The major active faults near the project site include the San Andreas and Monte Vista to the south, the Hayward to the northeast and San Gregorio to the west. The nearest active faults are summarized in the table below.

Fault	Distance from Site (km)	Moment Magnitude	
San Andreas	7.8	7.9	
Monte Vista – Shannon	9.6	6.8	
Hayward	22.3	7.1	
San Gregorio	22.2	7.3	
Calaveras	32.2	7.0	

# **TABLE 2.2-1**

Nearest Active Known Faults

Although not zoned by the State of California, Bortugno (1991) and Jennings (1994) map the Palo Alto fault approximately <sup>1</sup>/<sub>4</sub> mile east of the site. Recent geologic mapping of the Palo Alto area by Brabb (2000) does not show the fault trace on the map. The Palo Alto fault is not zoned as requiring further study by the State of California. The planning documents from the City of Palo Alto state that currently available geologic data does not indicate that this fault is considered active or considered a hazard. Therefore, the potential for surface fault rupture from seismic activity along the Palo Alto fault is considered low in our opinion.



Numerous small earthquakes occur every year in the San Francisco Bay Region, and larger earthquakes have been recorded and can be expected to occur in the future. Figure 4 shows the approximate locations of these faults and significant historic earthquakes recorded within the San Francisco Bay Region.

The site is located within an area susceptible to liquefaction according to the State of California Seismic Hazard Zones Map (CGS, Palo Alto Quadrangle, 2006) and site-specific liquefaction analysis should be performed to determine the level of liquefaction hazard. The Working Groups on California Earthquake Probabilities (2008) prepared the Uniform California Earthquake Rupture Forecast and predicted a 63 percent probability for one or more magnitude 6.7 or great earthquake from 2007 to 2036.

# 3.0 FIELD EXPLORATION

Our design-level field exploration included drilling six borings within the project site. During our feasibility study, six Cone Penetration Test (CPT) soundings were advanced within the project site.

The location and elevations of our explorations are approximate and were determined based on distances from existing site features; they should be considered accurate only to the degree implied by the method used. The approximate locations of our borings and former CPTs are shown on Figure 2.

# 3.1 **BORINGS**

ENGEO observed the drilling of six borings and logged the subsurface conditions at each location. We retained a truck-mounted drill rig and crew to advance the borings using 8-inch-diameter hollow-stem auger and mud rotary methods. Borings 1-BH1 through 1-BH5 were advanced to depths ranging from  $64\frac{1}{2}$  to  $101\frac{1}{2}$  feet below existing grade within the proposed main detention facility footprint. Boring 1-BH6 was advanced to a depth of  $63\frac{1}{2}$  feet within the proposed CUP footprint. Borings were backfilled in accordance with our approved San Mateo drilling permit.

Prior to drilling the boreholes, the upper 4 to 24 inches of surficial paving materials were cored and the upper 5 feet of soil was excavated by hand auger. We obtained soil samples at various intervals using standard penetration tests and California Modified Sampler (3-inch O.D. split-spoon with 2.5-inch I.D. liners). Shelby tubes samples were obtained in the soft Bay Mud soils. The blow counts were obtained by dropping a 140-pound hammer through a 30-inch free fall. The sampler was driven 18 inches and the number of blows was recorded for each 6 inches of penetration. Unless otherwise indicated, the blows per foot recorded on the boring log represent the accumulated number of blows required to drive the last 1 foot of penetration; the blow counts presented on the bore logs have not been converted using any correction factors. When sampler driving was difficult, penetration was recorded only as inches penetrated for 50 hammer blows.



We used the field logs to develop the report logs in Appendix A. The logs depict subsurface conditions at the exploration locations for the date of exploration; however, subsurface conditions may vary with time

# 3.2 SURFACE CONDITIONS

The majority of the site is covered by structures, parking lot pavement, or landscaping at the perimeter of the site. Based on the A.L.T.A. survey prepared by BKF dated November 17, 2010, the site grades generally range from Elevation 9 to 11 feet (NAVD 88 datum).

In general, the borehole locations encountered 3 to 8 inches of asphaltic concrete (AC) over 8 to 21 inches of aggregate base (AB) over subgrade soil. Within 70 Chemical Way (1-BH2 and 1-BH3), the asphaltic concrete was encountered directly over subgrade.

# **3.3 SUBSURFACE CONDITIONS**

In general, the exploratory borings encountered a similar subsurface soil profile as described in the geotechnical feasibility report. Beneath the surficial pavement section, fill materials, including gravelly sand, clayey gravel, clayey silt, sandy silt, silty clay and fat clay were encountered. Occasional fragments of concrete, glass and wood were observed in the existing fill layer. The existing fill extends to approximately 4 to 6 feet below the ground surface.

Beneath the existing fill, the borings generally encountered soft to medium stiff organic clay deposits, known as young Bay Mud. The young Bay Mud extended to roughly 12 to 15 feet bgs and was at most 9 feet thick at the locations explored. The upper 2 to 3 feet of the young Bay Mud layer showed evidence of desiccation and was generally medium stiff to stiff. Within the cul-del-sac of Chemical Way, the Bay Mud deposits encountered at 1-B4 were medium stiff to stiff. Consistent with the subsurface soil encountered during the feasibility study, the bottom of Bay Mud was located at approximately Elevation 0 feet along the southern end to Elevation -2 feet at the northern end of the site.

A layer of medium stiff to stiff clay, also known as older Bay Mud, was encountered below the young Bay Mud at approximately 12 to 18 feet bgs with a thickness varying between 4 to 5 feet. Beneath the stiff clay, a layer of sandy and gravely deposits that extended to a depth of approximately 25 feet bgs was encountered. This sandy and gravelly layer was not encountered at the northern corner of the site near 1-CPT1.

Beneath the sandy and gravelly layer, fine-grained alluvial deposits including silty clay and clayey silt were encountered and extended to approximately 35 feet bgs. Then, interbedded layers of silty clay, silty sand and sand were encountered and extended to approximately 55 feet bgs. Below 55 feet, fine-grained alluvium consisting of silty clay and clayey silt was encountered and extended to the termination depths of our boreholes.

We have included our exploration logs in Appendix A. The logs contain the soil type, color, consistency, and visual classification in general accordance with the Unified Soil Classification



System. The logs graphically depict the subsurface conditions encountered at the time of the exploration. Appendix A also provides additional exploratory information in the general notes to the logs. The CPT plots from our geotechnical feasibility report are included in this report as Appendix B.

For project design purposes, we developed the following idealized subsurface profile.

Depth* (feet)	Soil Type	Soil Description	
0 to 5	FILL	Clayey sand and gravelly clay with concrete, asphalt, brick fragments.	
5 to 13	OH	Organic Clay (Bay Mud), soft to medium stiff and highly compressible	
13 to 18	CL	Clay, stiff and moderately compressible	
18 to 25	SP/SM	Sand, Silty Sand and Gravel, medium dense	
25 to 30	GM/GP	Gravel and silty gravel, loose	
30 to 35	CL/ML	Silty clay and Clayey Silt, medium stiff to stiff	
35 to 55	ML/SM	Interbedded silty clay, silty sand, medium stiff to stiff	
Below 55	CL-ML	Silty clay and clayey silt (Alluvium), stiff to very stiff	

**TABLE 3.3-1**Idealized Subsurface Profile

Cross section A-A showing the general subsurface soil profile beneath the project site is included as Figure 5.

# 3.4 **GROUNDWATER CONDITIONS**

Due to the drilling method, groundwater level was not measured from Borings 1-B1, 1-B2, 1-B3, 1-B4 and 1-B6. We encountered groundwater at approximately 12 feet below the ground surface at Boring 1-B5. During our feasibility studies, groundwater was encountered between 8 and 12 feet bgs in our CPT holes. The groundwater levels recorded between Spring 2007 through Spring 2010 at the monitoring wells within 70 Chemical Way fluctuated between 3 to 14 feet bgs.

Fluctuations in the level of groundwater may occur due to variations in rainfall, tides, and other factors not evident at the time measurements were made. For project sites located in close vicinity to the San Francisco Bay, groundwater generally exists within the Bay Mud, although it generally does not appear as free water. Instead, groundwater will seep slowly out of the Bay Mud. Moreover, groundwater levels within bayfront sites are usually influenced by tidal changes.

For design purposes, we recommend a design groundwater level of approximately Elevation 5 feet.



# 3.5 LABORATORY TESTING

Select samples recovered during drilling activities were tested to determine the following soil characteristics:

Soil Characteristic	Testing Method	Location of Results
Natural Unit Weight and Moisture Content	ASTM D-2216	Appendix A
Plastic Limit and Liquid Limit	ASTM D-4318	Appendix C
Grain Size Distribution and Percent Passing No. 200 Sieve	ASTM D-1140	Appendix C
Unconfined Compression	ASTM D-2166	Appendix C
Laboratory Miniature Vane Shear	ASTM D4648	Appendix C
Incremental Consolidation	ASTM D-2435	Appendix C
Triaxial Compression – Undrained, Unconsolidated (TXUU)	ASTM-D2850	Appendix C

**TABLE 3.5-1** 

Laboratory Testing Methods

Select soil samples were transported under a chain of custody to CERCO Analytical for laboratory corrosion testing. Test results are discussed later in this report and included as Appendix D.

# 4.0 CONCLUSIONS

From a geologic and geotechnical standpoint, the project site is suitable for the proposed replacement correctional facility development provided that the recommendations presented in this report are incorporated into project design and are implemented during construction. Based on this geotechnical exploration and findings from our feasibility studies, the main geotechnical/geological issues to be addressed at the site include compressible soil and risk of liquefaction.

We summarize below the significant geologic and geotechnical hazards that should be considered in design of the project. The major geologic and geotechnical site hazards include potential seismic hazards, settlement of compressible soils, strong ground shaking during an earthquake, corrosive soil, and the impact of shallow groundwater on building design and construction.

# 4.1 SEISMIC HAZARDS

Potential seismic hazards resulting from a nearby moderate to major earthquake can generally be classified as primary and secondary. The primary effect is ground rupture, also called surface faulting. The common secondary seismic hazards include ground shaking, liquefaction, and ground lurching. The following sections present a discussion of these hazards as they apply to



the site. Based on topographic and lithologic data, the risk of regional subsidence or uplift is considered low to negligible at the site, in our opinion.

# 4.1.1 Ground Rupture

The site is not located within a State of California Earthquake Fault Hazard Zone and no known active faults cross the site. As discussed under the Site Seismicity section, although the Palo Alto Fault mapped by Jennings (1994) and Bortugno (1991) is near the site, the Palo Alto fault is mapped as a concealed fault and not zoned as requiring further study by the State of California. Therefore, it is our opinion that ground rupture is not likely to occur at the site.

# 4.1.2 Ground Shaking

An earthquake of moderate to high magnitude generated within the San Francisco Bay Region could cause considerable ground shaking at the site, similar to that which has occurred in the past. To mitigate the shaking effects, planned structures should be designed using sound engineering judgment and conform to the 2010 California Building Code (CBC) requirements.

Seismic design provisions of current building codes generally prescribe minimum lateral forces, applied statically to the structure, combined with the gravity forces of dead-and-live loads. The prescribed lateral forces are generally considered to be substantially smaller than the actual peak forces that would be associated with a major earthquake.

The California Geological Survey supports a web database that includes probabilistic peak horizontal ground accelerations (PHGA) for the state. The probabilistic data is based on the USGS/CGS Probabilistic Seismic Hazards Assessment (PSHA, 2008) model, and yielded the following PHGA for various earthquake events.

TABLE 4.1.2-1Probabilistic Peak Horizontal Ground AccelerationLatitude: 37.492541Longitude: -122.219241				
Probability of Exceedance (%)Return Period (years)PHGA				
10% in 50 years	475	0.47g		
2% in 50 years	2475	0.71g		

# 4.1.3 Soil Liquefaction

Liquefaction is a phenomenon in which saturated, cohesionless soil is subject to a temporary, but essentially total, loss of shear strength because of pore pressure buildup under the reversing cyclic shear stresses associated with earthquakes. The project site is mapped within a potentially liquefiable zone as identified by the State of California Seismic Hazard Zone Map, Palo Alto Quadrangle (2006). Maps prepared by the Associations of Bay Area Governments (ABAG, 2001) indicate that the site has a very high potential for liquefaction.



The ABAG and USGS maps are intended to be used for baseline studies since they are based on correlation between liquefaction potential and geologic units applied across a region mapped with a scale 1:24,000 to 1:200,000. These maps are acknowledged to be limited and detailed liquefaction potential evaluation with geotechnical borings and site-specific studies by a licensed professional are necessary.

During our feasibility study, we performed a preliminary liquefaction assessment of the soil conditions encountered in the CPT probes using the computer program CLIQ Version 1.3. As discussed in the geotechnical feasibility report, sandy and silty deposits encountered between 17 and 50 feet are potentially liquefiable.

We performed liquefaction analyses on the test borings based on guidelines provided in CGS Special Publication 117A (2008), Youd et al. (1997), Seed et al. (1982), Boulanger and Idriss (2004) and Bray and Sancio (2006). SPT blow counts of saturated silt and sand layers previously identified in the feasibility report as potentially liquefiable were utilitized to calculate the Cyclic Stress Ratio (CSR). The scaled Cyclic Resistance Ratio (CRR) is divided by the CSR to determine the factor of safety (F.S.) against liquefaction within the given soil profile layer. Our analysis considers a peak acceleration of 0.71g (2% in 50 years) and a groundwater level at 5 feet bgs (approximately at Elevation 5). Results of the liquefaction analyses are included in Appendix E.

Based on the liquefaction analysis performed during the feasibility study, thin sandy and silty layers encountered within the western and southern portion of the site between 34 and 50 feet bgs (1-CPT1, 1-CPT2 and 1-CPT3) are potentially liquefiable. Upon review of the nearby Borelogs 1-B1 and 1-B5, these potentially liquefiable deposits are classified as sandy silt and silty sand lenses in a clayey soil stratum. The sandy silt and silty sand lenses have a Plasticity Index (PI) of 13 to 19, a water content at approximately 50 to 70 percent of the Liquid Limit ( $w_c$ =0.5 to 0.7LL) and a fines content of over 50%. According to Bray and Sancio (2006), silty and sandy lenses with these properties are not susceptible to liquefaction.

For the central to northeastern portion of the site, results of the liquefaction analysis performed during feasibility study show that sandy and silty deposits encountered at 1-CPT4, 1-CPT5 and 1-CPT6 between 15 to 30 feet bgs are potentially liquefiable. Upon review of Borelogs 1-B2, 1-B3, 1-B4 and 1-B6, old bay clay, a soil deposit with low susceptibility to liquefaction hazard, was encountered between 15 to 18 feet bgs. Then, an upper sandy deposit layer classified as medium dense silty sand and clayey sand with gravel was encountered between 20 to 25 feet. The lower gravelly deposits between 25 to 30 feet bgs are classified as loose sandy gravel. The upper sandy deposit has a PI of 19, a water content at approximately 60 to 70 percent of the Liquid Limit ( $w_c$ =0.6 to 0.7LL) and a fines content ranging from 12 to 34 percent. According to Bray and Sancio (2006), soil with these properties is not susceptible to liquefaction. The lower gravelly deposits have less than 5 percent fines and SPT blow counts (N) of 8. According to Seed et al. (1982), gravelly deposits with these properties may be potentially liquefiable.

Ishihara (1985) has shown that the presence of a sufficient thickness of a non-liquefiable surface layer may prevent the observable effect of at-depth liquefaction from reaching the surface. A more recent study by Youd and Garris (1995) expanded on the work of Ishihara to include data



from over 308 exploratory borings, 15 different earthquakes, and several ranges of recorded peak ground acceleration. Considering the capping effects from overlying non-liquefiable layers and additional engineered fill to be placed to raise site grades, the soil above the potentially liquefiable soils are thick enough to resist upward pressure and the liquefiable lenses are thin enough to provide only a limited reservoir of water. Accordingly, we do not anticipate the occurrence of sand boils at the site should liquefaction occur.

# 4.1.4 Liquefaction-Induced Ground Settlement

As discussed in the feasibility study and according to methods outlined in Seed and Tokimatsu (1984), Ishihara and Yoshimine (1992) and Zhang et al. (2002), should liquefaction of the sandy deposits occur, theoretical liquefaction-induced settlements in the range of approximately  $\frac{1}{2}$  to 2 inches may occur at the project site as a result of a large seismic event.

As discussed above, potentially liquefiable sandy and silty deposits between 15 and 25 feet bgs within the central and northeast portion and deposits between 34 and 50 feet bgs within the west and south portion of the site are not susceptible to liquefaction due to the relatively high fines content and plasticity of the fine particles. We performed a liquefaction induced ground settlement analysis on the potentially liquefiable gravelly deposits between 25 and 35 feet within the central and northeast portion of the site according to methods outline in Youd et al. (2001). Our analysis show theoretical liquefaction-induced settlements up to 2 inches may occur at the project site as a result of a large seismic event.

In general, differential settlement across building footprints is considered roughly half of the total settlement value. For design purposes, we recommend considering up to 1 inch of liquefaction induced differential settlements across building footprint in the event that liquefaction of susceptible layers were to occur.

# 4.1.5 Earthquake-Induced Densification

Densification of granular soils above groundwater level can cause settlement during an earthquake. Since the soil deposits encountered above groundwater at the site are considered medium dense to dense and generally cohesive, it is our opinion that the potential for densification due to an earthquake is considered low.

# 4.1.6 Lateral Spreading

Lateral spreading involves lateral ground movements caused by earthquake vibrations. These lateral ground movements are often associated with a weakening or failure of an embankment or soil mass overlying a layer of liquefied sands or weak soils.

The northern boundary of the project site is located approximately 500 feet from a major tributary of the Redwood Creek. Based on published nautical charts for the Redwood Creek, the bottom of the creek channel near the project site is anticipated at approximately Elevation -2 feet. The potentially liquefiable soils encountered within the project site are located below the bottom



of the creek channel and do not intersect with the free face created by the creek banks. Thus, it is our opinion that the risk of lateral spreading within the project site is low.

# 4.2 EXISTING FILL

As noted above, the project site was capped with approximately 4 to 6 feet of fill. Placement of fill within the site appears to have been performed as early as the 1970s. Concrete, asphalt and brick fragments were observed in the near-surface soil samples collected from the boreholes. During site exploration, the auger rig was able to penetrate through the existing fill, indicating that debris within the existing fill may likely consist of smaller size fragments, at least at the locations explored.

It should be noted that due to the relatively small diameters of geotechnical exploratory holes, the amount and size of the debris and rubble within the existing fill cannot be accurately evaluated. Moreover, it is common for marshland along the San Francisco Bay reclaimed in the 1960s and 1970s to receive construction debris and rubble as fill. If information about the amount and size of debris and rubble within the existing fill is important for the construction bid, test pits or 12- to 18-inch-diameter bucket auger holes can be excavated during site demolition activities to obtain additional information related to the characteristic of the existing fill.

In addition, environmental remediation excavations are planned at 70 Chemical Way. Limits, including depth, of environmental remediation excavations should be surveyed and carefully documented. Backfill within environmental remediation excavations should be compacted and tested under the observation of the geotechnical engineer.

# 4.3 COMPRESSIBLE BAY MUD

The site is underlain by compressible Bay Mud approximately 8 feet thick, extending to Elevation -2 to -5 feet. Based on our review of historical aerial photos and geotechnical documents, the existing fills and structures appear to have been placed approximately 40 years ago.

The upper 2 to 3 feet of the young Bay Mud layer showed evidence of desiccation and were generally medium stiff to stiff. Soft to medium stiff Bay Mud was generally encountered beneath the desiccated crust within the project site, with the exception of 1-B4 (cul-de-sac of Chemical Way) where medium stiff to stiff Bay Mud deposits were encountered.

We anticipate the Bay Mud deposits to be moderately compressible and will undergo additional consolidation settlement from the weight of new fill and new building loads supported shallow foundations. The design of surface grades, underground utilities, and all structures and improvements must accommodate or resist potential differential settlement.


#### 4.3.1 Total Settlement Estimate

We calculated the consolidation settlement based on several loading scenarios and results of laboratory tests, as presented in Table 4.3.1-1. Figure 6 shows the estimated consolidation settlement under various fill thicknesses for the 30 years following fill placement

<b>TABLE 4.3.1-1</b>				
Estimated Consolidation Settlement in 30 Years				

Loading Type	Load (psf)	Approximate Consolidation Settlement in 30 years (inches)
2 feet of Import Fill	250	1
3 feet of Import Fill	375	2
3 feet of Lightweight Fill	165	1/2
Mat Foundation without ground improvement*	1,000	6
Deep Foundation	**	Less than <sup>1</sup> / <sub>2</sub> inch

\* Design recommendations related to mat foundations and ground improvement methods are discussed in subsequent sections of the report.

\*\*For structures supported on properly designed deep foundation or mat foundation over improved soil, the structural loads will be transferred to competent soils at depth and will not result in consolidation of Bay Mud deposits. However, downdrag forces on deep foundation systems should be considered as surrounding areas undergo consolidation settlement.

Consolidation settlement is usually most significant within the first year after placement of new fill or addition of structural load. Settlement rates will then slow down and gradually achieve the above estimated settlement. Based on laboratory test results, we anticipate approximately 80 percent of the estimated settlement to occur within the first year after placement of fill or structural loads. Design recommendations for shallow foundations and ground improvement methods are provided in subsequent sections.

Liquefaction settlement, discussed in Section 4.1.3, should be considered in addition to long-term consolidation settlement if the liquefaction hazard is not mitigated.

#### 4.3.2 Long-Term Differential Settlement

Differential settlement can occur between areas receiving different amount of fills, between structures supported on different foundation systems and between pile-supported structures and surrounding areas. We estimate differential settlement between pile-supported structures and surrounding areas to range from approximately 1 to 2 inches. In addition, differential settlement between shallow foundations and surrounding areas is estimated to range from 3 to 5 inches.



# 4.4 EXPANSIVE SOIL

The existing fill materials include gravelly sand, clayey gravel, clayey silt, sandy silt, silty clay and fat clay. Some of the clayey fill materials appear to contain reconditioned Bay Mud deposits. Based our observation on surficial soil samples collected during field exploration, the clayey existing fill may be moderately to highly expansive. Expansive soil can experience volume changes with fluctuations in moisture content.

This can cause heaving and cracking of lightly loaded slab-on-grade, pavements, and structures founded on shallow foundations. We understand that site grades will be raised by 2 to 3 feet to achieve planned finished grades. Import fill should consist of relatively non-expansive soil, with a Plasticity Index less than 15. Recommendations on import fill are provided in later sections of this report.

# 4.5 CORROSIVE SOIL

Seven select soil samples were transported under a chain of custody to Cerco Analytical, Inc. for laboratory corrosion testing. The soil samples were tested for soluble sulfate concentrations, chloride ion concentration, resistivity and pH. These tests provide an indication of the corrosion potential of the soil environment on buried concrete structures and metal pipes.

Sample Number	Soil Type	Soluble Sulfate (ppm)	Chloride Ion (ppm)	Resistivity (Ohm-cm)	pН	Redox (mV)
1-B2@3'	Clayey Gravel (Existing Fill)	310	N.D	1,900	8.0	310
1-B2@8.5'	Clay (Bay Mud)	610	3,800	900	8.1	480
1-B6@9'	Clay (Bay Mud)	130	360	610	7.9	140
1-B3@20'	Sand with silt	98	580	850	8.2	480
1-B4@31'	Silty Clay	730	4,000	140	7.8	330
1-B4@46'	Silty Clay	230	2,100	230	8.1	480
1-B4@71'	Clayey Silt	16	110	1,600	8.7	250

# TABLE 4.5-1 Soil Corrosivity Test Results

As indicated in the Cerco laboratory letters included in Appendix D, the soil samples tested show "corrosive" to "severely corrosive" properties to buried metal and steel embedded in a concrete mortar because of the resistivity and chloride ion measurements. In addition, the sulfate ion concentrations for some of the tested samples indicate that the soil may be potentially detrimental to reinforce concrete structures and cement mortar-coated steel.

We recommend retaining a corrosion consultant to provide specific design recommendations for corrosion protection for buried metals and concrete elements. The design team should also consider Redwood City's specific requirements for underground improvements constructed in a



Bay Mud environment. For example, City Standard Section 02661 requires cathodic protection such as epoxy coatings for buried metallic improvements.

The 2010 CBC references the 2008 American Concrete Institute Manual, ACI 318 (Chapter 4, Sections 4.2 and 4.3) for concrete requirements. ACI Tables 4.2.1 and 4.3.1 provide sulfate exposure categories and classes, and concrete requirements in contact with soil based upon the exposure risk as excerpted below.

Sulfate Exposure Category	Exposure Class (S)	Water-Soluble Sulfate in Soil (% by Weight)
Not Applicable	S0	$SO_4 < 0.10$
Moderate	S1	$0.10 \le {\rm SO_4} {<}\ 0.20$
Severe	S2	$0.20 \leq \mathrm{SO}_4 \leq 2.00$
Very Severe	S3	$SO_4 > 2.00$

# ACI TABLE 4.5-2 Sulfate Exposure Categories and Classe

# ACI TABLE 4.5-3

#### Requirements for Concrete by Exposure Class

Fynosure	Max	Min f'e	Cement Type			Calcium
Class	w/cm	(psi)	ASTM C150	ASTM C595	ASTM C1157	Chloride Admixture
SO	N/A	2500	No Type restriction	No Type restriction	No Type restriction	No restriction
S1	0.5	4000	$\mathrm{II}^{\dagger\ddagger}$	IP(MS), IS(<70), (MS)	MS	No restriction
S2	0.45	4500	$V^{\ddagger}$	IP(HS), IS(<70), (HS)	HS	Not permitted
S3	0.45	4500	V + pozzolan or slag <sup>§</sup>	IP(HS) + pozzolan or slag or IS(<70) (HS) + pozzolan or slag <sup>§</sup>	HS + pozzolan or slag <sup>§</sup>	Not permitted

Notes: † For seawater exposure, other types of portland cements with tricalcium aluminate (C<sub>3</sub>A) contents up to 10 percent are permitted if the w/cm does not exceed 0.40.

<sup>‡</sup> Other available types of cement such as Type III or Type I are permitted in Exposure Classes S1 or

S2 if the  $C_3A$  contents are less than 8 or 5 percent, respectively.

<sup>§</sup> The amount of the specific source of the pozzolan or slag to be used shall not be less than the amount that has been determined by service record to improve sulfate resistance when used in concrete containing Type V cement. Alternatively, the amount of the specific source of the pozzolan or slag to be used shall not be less than the amount tested in accordance with ASTM C1012 and meeting the criteria in ACI 4.5.1.

In accordance with the criteria presented in Table 19-A-4 of the 2007 CBC, the test results indicate "negligible" to "moderate" sulfate exposure.



# 5.0 EARTHWORK RECOMMENDATIONS

As used in this report, the term "moisture condition" refers to adjusting the moisture content of the soil by either drying if too wet or adding water if too dry. We define "structural areas" as any area sensitive to settlement of compacted soil. These areas include, but are not limited to building pads, sidewalks, pavement areas, and retaining walls. The relative compaction and optimum moisture content of soil and aggregate base referred to in this report are based on the most recent ASTM D1557 test method. Compacted soil is not acceptable if it is unstable. It should exhibit only minimal flexing or pumping, as determined by an ENGEO representative.

Due to the presence of Bay Mud, special grading techniques and lighter earthwork equipment than normal may be required when site grades are lowered close to or exposing the Bay Mud deposits underlying the existing fills and the desiccated Bay Mud crust. The underlying Bay Mud is soft and sensitive to repeated loading from heavy equipment. In areas with open excavations in Bay Mud, lightweight equipment with mud tracks will likely be necessary.

We understand that environmental remediation excavations will be backfilled with engineered fill. ENGEO should also be present during backfill operations. We recommend notifying ENGEO at least 48 hours prior to backfilling activities in order to coordinate field testing activities. The as-built location of the excavation should be provided to ENGEO for review.

Grading and site development plans should be developed in coordination with ENGEO.

# 5.1 SITE DEMOLITION CONSIDERATIONS

Based on our discussions with the project team, a demolition contractor is under contract to remove site improvements, their foundations, buried structures including abandoned utilities, and associated backfill soil. Under the existing demolition contract, the contractor is required to remove buried structures and associated backfill located within the upper 4 feet of existing grade. We understand that the demolition contractor will loosely backfill the resulting demolition excavations with onsite soil.

In addition, contaminated soils identified in the Site Management Plan (West, 2010) will be excavated by the demolition contractor. The environmental remediation excavation is planned to extend 8 feet below finished grade. As shown on the demolition plans prepared by West Environmental Service & Technology dated April 2012, the excavation will be backfilled with crushed rock wrapped in filter fabric (Mirafi 140NC or approved equivalent) up to at least 1 foot above groundwater level. Engineered fill compacted to at least 95 percent of maximum dry density will be used to backfill the remainder of the excavation. The upper 6 inches of the excavation will be capped with Class 2 Aggregate Base compacted to at least 95 percent of maximum dry density. Based on the subsurface conditions encountered in our field exploration, Bay Mud deposits may be exposed at the base of the environmental remediation excavation. The environmental remediation contractor should be aware of potential soft soil conditions.



If during site demolition activities any existing structures are found to be supported on piles or piers, the Geotechnical Engineer and Structural Engineer should be notified. Depending on the locations of the pile/piers, they may remain in-place or be partially removed. We recommend that the location of the piles/piers be surveyed if they are left in place. Moreover, tree root bulb excavations, if any, should extend at least 3 feet from existing grade.

We recommend the layout and depth of demolition excavations be surveyed and accurately recorded for future site grading and foundation construction purposes. In addition, any buried structures extending beyond the contracted removal depth should be documented, including the type, size, direction and location, prior to backfilling the demolition excavations. Buried structures not removed during site demolition activities may be in conflict with future foundation elements or utilities. Thus, buried structures not removed during site demolition activities and located within future structural areas should be removed or properly abandoned during mass grading activities. It may be more efficient to remove buried structures entirely or abandon them in-place by grouting or other techniques during the demolition phase.

Since demolition activities can significantly affect the project design, we recommend that ENGEO be present to document the location and extent of the demolition excavations together with the demolition contractor. In addition, we recommend that ENGEO be retained to perform compaction tests during backfill activities of the environmental remedial excavation(s).

# 5.2 GENERAL SITE GRADING

All debris and loose backfill from demolition activities and other non-engineered fill should be removed from areas to be graded, from areas to receive fill or structures, and from those areas to serve as borrow. The Geotechnical Engineer in the field should determine the depth of removal of such materials at the time of grading.

The bottom of all excavations should be cleaned to a firm undisturbed soil surface determined by the Geotechnical Engineer. This surface should then be scarified, moisture conditioned, and backfilled with compacted engineered fill. No loose or uncontrolled backfilling of depressions resulting is permitted during site grading activities.

# 5.3 EXISTING FILL REMOVAL

The subsurface exploration shows the project site is generally capped by approximately 4 to 6 feet of fill consisting of clayey, sandy and gravelly soils mixed with debris. Based on the blow counts and tip resistance recorded on our borelogs and CPT logs, the fills are generally stiff and medium dense. For portions of the site development that are not supported on driven piles, we recommend the existing fills be overexcavated to provide a minimum engineered fill cap at least 5 feet thick. This will require partial overexcavation where new fills are proposed. For example, if 3 feet of new fill is proposed, then a 2-foot-deep overexcavation would be required to provide the minimum 5-foot-thick engineered fill cap. The bottom of the over-excavated areas should be scarified, mixed, moisture conditioned to a depth of 12 inches, and compacted. The condition of the base of the over-excavated areas should be evaluated by an ENGEO representative prior to



backfilling. The depth of over-excavation may need to be increased if unstable conditions such as large voids or presence of organics are observed by the ENGEO representative at the time of grading.

The over-excavated areas should be backfilled with engineered fill. The excavated existing fill may be suitable for use as engineered fill material provided it is processed to remove concentrations of organic material, debris, and particles greater than 8 inches in maximum dimension (see Acceptable Fill below).

# 5.4 ACCEPTABLE FILL

Onsite soil material is generally suitable as fill material provided it is processed to remove concentrations of organic material, debris, and particles greater than 8 inches in maximum dimension.

#### 5.4.1 Recycled Materials

If desired, the existing asphaltic concrete and aggregate base could be considered for use as engineered fill provided the materials are broken down to meet a 6-inch or less particle size and placed in a separate stockpile outside the limits of grading. The material may be blended with site soils and placed within street or parking areas below subgrade. We understand that the Regional Water Quality Control Board generally accepts the reuse of asphaltic concrete as recycled aggregate base without additional analytical testing provided that the material will be encapsulated under an asphalt/concrete roadway. The roadway surface should be relatively impervious to infiltration to limit percolation. Additionally, recycled asphaltic concrete materials must be placed at least five feet above the seasonal high groundwater elevation. As discussed above, we recommend a project design groundwater Elevation of 5 feet.

We do not recommend placement of recycled material within the main correctional facility footprint. Reuse of existing paving materials as engineered fill in roadways could increase the R-value of the subgrade soil, add a "green" recycling component to the project and reduce the cost to export and dispose these materials.

#### 5.4.2 Import Fill

Imported fill materials should have a plasticity index less than 12 and have at least 20 percent passing the No. 200 sieve. Environmental testing should also be performed on imported fill. Import fill containing recycled asphaltic concrete should not be placed within the main correctional facility footprint. ENGEO should be allowed to sample and test proposed imported fill materials at least 72 hours prior to delivery to the site.

If the stormwater infiltration opportunities are considered during civil design, imported fill should also meet permeability requirements provided by the Civil Engineer.



# 5.4.3 Lightweight Fill

Lightweight fill should meet requirements of imported fill and have a maximum wet density (total unit weight after compaction) of 70 pounds per cubic foot (pcf). Lightweight fill includes lightweight aggregates (e.g., pumice rock from Clear Lake, California or approved equivalent), lightweight slurry (e.g., cell-crete or approved equivalent), or polystyrene blocks (e.g., geofoam or approved equivalent).

#### 5.5 FILL PLACEMENT

During site grading activities, once a suitable firm base is achieved for general fill areas, the exposed non-yielding surface should be scarified, moisture conditioned, and recompacted to provide adequate bonding with the initial lift of fill. All fills should be placed in lifts not to exceed 10 inches in thickness or the depth of penetration of the compaction equipment used, whichever is less.

#### 5.5.1 General Fill

The following compaction control requirements should be applied to site soils and import soils with Plasticity Index less than 12:

Test Procedures:	ASTM D-1557.
Required Moisture Content:	Not less than 2 percentage points over optimum moisture content.
Minimum Relative Compaction:	Not less than 90 percent.

The following compaction control requirements should be applied to site soils with Plasticity Index over 12:

Test Procedures:	ASTM D-1557.
Required Moisture Content:	Not less than 3 percentage point over optimum moisture content.
Minimum Relative Compaction:	Not less than 90 percent. Soil with high plasticity should not be used as pavement subgrade.



The upper 12 inches of pavement subgrade should achieve the following compaction specifications:

Test Procedures:	ASTM D-1557.
Required Moisture Content:	Not less than 2 percentage point over optimum moisture content.
Minimum Relative Compaction:	Not less than 95 percent. As noted above, soil with high plasticity should not be used as pavement subgrade.

Relative compaction refers to in-place dry density of the fill material expressed as a percentage of the maximum dry density based on ASTM D-1557. Optimum moisture is the moisture content corresponding to the maximum dry density. Additional compaction requirements may be required based on additional laboratory testing during grading.

It is important that all site preparations for site grading be done under the observation of the Geotechnical Engineer's field representative. The Geotechnical Engineer's field representative should observe all graded area preparation, including demolition and stripping, following the recommendations contained in this report. The final grading plans should be submitted to the Geotechnical Engineer for review.

#### 5.5.2 Underground Utility Backfill

The contractor is responsible for conducting all trenching and shoring in accordance with CALOSHA requirements. Project consultants involved in utility design should specify pipe bedding materials. In general, trench backfill should have a maximum particle size of 6 inches. We recommend moisture conditioning trench backfill to at least 2 percent above the optimum moisture content and compact to a minimum relative compaction of 90 percent. The trench backfill should be placed in loose lifts not exceeding 8 inches. Jetting of backfill is not an acceptable means of compaction.

#### 5.5.3 Landscape Fill

Landscape fill should be process, place and compact in lifts not to exceed 10 inches in thickness or the depth of penetration of the compaction equipment used, whichever is less. Landscape fill should be compacted to at least 85 percent relative compaction (ASTM D1557).

#### 5.6 **GROUND IMPROVEMENTS**

Various ground improvement techniques are available to reduce and mitigate the potential risk of settlement due to consolidation of the Bay Mud and liquefaction and seismic settlement. Common ground improvement techniques deemed suitable for the project conditions includes:



- Surcharge Program
- Placement of Light Weight Fill

The above-ground improvement options are discussed in following sections.

# 5.6.1 Surcharge Program

One alternative to mitigate post-construction settlement around structures supported on deep foundation is to surcharge the site to account for new fill loads. It is our opinion that a surcharge program for the planned CUP area can also reduce the amount of differential settlement within the building footprint and also allow the CUP building to be supported on shallow foundation.

The practicality of a surcharge program is highly dependent on the construction schedule and availability of surcharge fill material. If the construction schedule allows for a 3-month surcharge window, we anticipate that 2 to 3 inches of settlement will occur when a 5-foot-high surcharge fill is placed at finished grade of the CUP building footprint for 3 months. This will reduce the post construction settlement of the CUP building to approximately 2 to 3 inches assuming a uniform foundation load of 1,000 pounds per square foot (psf).

# 5.6.2 Placement of Lightweight Fill

Bay Mud settlement can be mitigated by removing the existing fill and replacement with lightweight fill. In addition, utilizing lightweight fill to achieve finished grades will also reduce the amount of consolidation settlement. Depending on the type of lightweight fill, total settlement could be reduced by 40 to 60 percent when lightweight fill is used in lieu of regular soil fill.

#### 5.7 GRADED SLOPES

Graded cut or fill slope, including surcharge fills, should be no steeper than 2:1 (horizontal:vertical) and less than 5 feet high. Excavations extending into soft Bay Mud deposits should consider temporary shoring. Steeper and higher graded slopes can be constructed based on results of supplemental slope stability analysis and may require geogrid reinforcement or use of select fill.

#### 5.8 SURFACE DRAINAGE

The project Civil Engineer is responsible for designing surface drainage. As a minimum, we recommend the following to allow positive drainage as the project site settles due to compression of Bay Mud deposits.

1. Design surface grades to avoid grade reversal from long-term differential settlement of the Bay Mud.



2. Slope finished grade away from building exteriors at a minimum of 3 percent for a distance of at least 5 feet. It should be noted that long-term settlement around pile-supported areas could increase drainage and slope gradient. Such change in grade may affect ADA ramps design and other site improvements.

The building pads should be positively graded at all times to provide rapid removal of surface water runoff away from the foundation systems and to prevent ponding of water under foundations or seepage toward the foundation systems at any time during or after construction. All surface water should be collected and discharged into outlets approved by the Civil Engineer. Landscape mounds must not interfere with this requirement.

All roof stormwater should be collected and directed to downspouts. Stormwater from roof downspouts should not be allowed to discharge onto splashblocks or into landscape areas within 5 feet from the foundation; rather they should discharge through the curb and into the street or onto an impermeable material that drains into the street. If discharging into a landscape area is required, the finished surface should be sloped away from the foundation at a gradient of at least 3 percent within 5 feet from the foundation. ENGEO should be consulted to develop alternate recommendations if these criteria are not feasible.

During demolition and mass grading activities, ponding of stormwater must not be allowed at the site and particularly on the building pads during work stoppage for rainy weather. Before the grading is halted by rain, positive slopes should be provided to carry surface runoff in a controlled manner to a discharge point approved by the Civil Engineer.

#### 5.9 STORMWATER INFILTRATION OPPORTUNITIES

We understand that site grades will be raised by 2 to 3 feet of imported fill. If permeable surfaces are planned for the proposed development, the permeability of the import fill should be considered to allow stormwater infiltration. As discussed in Section 5.4.1, we do not recommend recycled aggregate base containing asphaltic concrete fragments to be used for roadways covered with permeable pavers unless further environmental testing is performed.

Based on the subsurface condition encountered in this study, the upper 5 feet of the soil at the site generally consists of fill with variable amounts of clay. Thus, the existing site soils may not have adequate permeability values to handle stormwater infiltration in grassy swales or permeable pavers, unless subdrains are installed. Thus, we recommend assuming little stormwater infiltration will occur through the existing site soils. To reduce soil saturation and undermining adjacent pavements, earthen-sided bioretention systems should be situated entirely outside a 1:1 (horizontal:vertical) line of projection extending downwards from adjacent curbs, hardscape/pavements, and shallow foundations. Alternatively, the bioretention systems should have 2:1 or flatter sloping excavations below the exposed surface.

A structural solution comprising bioretention retaining side walls could also be considered where the bioretention areas are located within a 1:1 line of projection of planned improvements and structures. The bioretention retaining walls should be designed for the full height of the



bioretention area excavation using recommendations in Section 9.0 and considering at-rest earth pressures (drained or undrained, whichever is required). Surcharges from vehicular traffic and buildings should also be incorporated if within a 1:1 line of projection extending upward from the bottom of the outer edge of the excavation.

We recommend that planned bioretention areas within 10 feet from a building, street, or site retaining wall incorporate a minimum 10-mil vapor retarder lining for the bioswale excavations. The vapor retarder should line the entire excavation. If the bioretention area is constructed with concrete side walls and bottom, the vapor retarder lining may be omitted unless there are instances where the building walls and bioretention retaining walls are combined.

We also recommend the permeable material and sandy loam material receive moderate compaction effort during construction to achieve at least 85 percent relative compaction, but not more than 90 percent.

# 5.10 LANDSCAPING CONSIDERATION

It is important to avoid adverse drainage or irrigation conditions near building foundations. We recommended planted areas adjacent to a structure to use of watertight planter boxes with controlled discharge or the use of plants that require very little moisture.

Sprinkler systems should not be installed where they may cause ponding or saturation of foundation soils within 3 feet from walls. Irrigation of landscaped areas should be limited to that necessary to sustain vegetation. The Landscape Architect and prospective owners should be informed of the surface drainage and irrigation requirements included in this report.

# 6.0 FOUNDATION RECOMMENDATIONS

The major consideration in foundation design for this project is the total and differential settlements due to compressible Bay Mud under static loading conditions, such as those imposed by fills and foundation loads. In addition, the Bay Mud is generally soft and will not sustain heavy loads without significant deflection or even bearing capacity failure.

We recommend that the proposed main detention facility be supported on a deep foundation (driven piles) system. Small standalone ancillary structures that have lighter loads and are not settlement sensitive may be supported on mat foundations bearing in the engineered fill.

#### 6.1 2010 CBC SEISMIC DESIGN PARAMETERS

As discussed in Section 4.1.2, the effects of seismic shaking can be partially mitigated by designing the proposed structures in accordance with the 2010 CBC requirements. Based on the seismic shear wave velocities obtained from 1-CPT6, site soils generally have a  $Vs_{30}$  of 200 m/s (656 ft/s). Considering the thickness of the young Bay Mud, the measured  $Vs_{30}$  value and the



stiffness of site soils, the project site can be classified as a Site Class D, stiff soil profile, in accordance with the 2010 CBC Section 1613.5.2. The site may be characterized for design based on Chapter 16 of the 2010 CBC using the following information:

# TABLE 6.1-12010 CBC Design CriteriaLatitude: 37.493 Longitude: -122.219

Categorization/Coefficient	Design Value
Mapped MCE Spectral Response Acceleration at Short Periods, $S_S$	1.564
Mapped MCE Spectral Response Acceleration at a Period of 1 second, $S_1$	0.775
Site Class	D
MCE, 5% Damped, Spectral Response Acceleration at Short Periods Adjusted for Site Class Effects, $S_{MS}$	1.564
MCE, 5% Damped, Spectral Response Acceleration at a Period of 1 second Adjusted for Site Class Effects, $S_{M1}$	1.163
Design, 5% Damped, Spectral Response Acceleration at Short Periods, $S_{DS}$	1.043
Design, 5% Damped, Spectral Response Acceleration at a Period of 1 second, $S_{D1}$	0.775
Long-period Transition Period, T <sub>L</sub>	12 seconds

Based on seismic design criteria provided by the Jail Planning Unit, the planned correctional facility is not considered an essential structural and will be designed according to the 2010 CBC.

# 6.2 PILE FOUNDATIONS

Deep foundation systems are suitable for the main correctional facility and the CUP structure. Based on our experience, driven precast pre-stressed concrete piles are generally used for larger or settlement-sensitive structures in the vicinity of the project site.

Pile driving will generate minor vibrations and could adversely affect nearby improvements, particularly freshly placed concrete. If concerned about the impacts of pile driving on offsite facilities, we recommend that the conditions of buildings and improvements within 150 feet of the site boundaries be photographed and surveyed to document existing conditions prior to the start of pile driving activities. Additionally, vibration monitoring could be performed during the driving of the initial piles to further evaluate the impacts of pile driving. If vibrations during pile driving will negatively influence the adjacent structures and improvements, consideration should be given to supporting the structure on drilled in-place piles such as a CIDH, Fundex or Tubex piles. Recommendations for these piles can be provided separately.

As discussed above, differential settlement between pile-supported structures and surrounding areas is anticipated to be up to approximately 2 inches. Thus, entries and pipe connections to pile-supported buildings will require flexibility to accommodate the anticipated differential settlement.



# 6.2.1 Vertical Capacities

We reviewed the subsurface data and using our experience in the area and professional judgment developed an idealized soil profile to represent the general soil conditions for design. Vertical pile capacity charts showing the calculated allowable vertical capacity versus foundation depth of each pile type from existing ground elevation are provided in Appendix F.

Pile lengths are highly dependent on the structural loads and the downdrag caused by settlement of the Bay Mud. The piles will derive their vertical capacity primarily from skin friction within the stiff soil layers below the Bay Mud. We understand that depending on the thickness of the pile cap, the top of the driven piles may range from 0 to 5 feet below existing grade. Vertical capacities of driven piles are shown in Tables F-1A and F-1B of Appendix F. The vertical capacities consider skin friction and partial end bearing. Under seismic conditions, the allowable capacities can be increased by one-third. The allowable vertical capacities provided in Appendix Finclude a downdrag force caused by the long-term settlement of the Bay Mud under static fill loads. The structural engineer should confirm that the total structural load on the pile plus the downdrag load will not exceed the structural capacity of the selected pile. To reduce pile group effects, space piles at least 3 diameters apart, center to center. For square piles, use the least dimension for determining the effective diameter.

Foundation plans are not available at this time. On a preliminary basis, we estimate that post-construction pile foundation settlements will be less than 1 inch. Differential settlement between adjacent columns will be dependent on the final design of these foundation elements, although we anticipate that differential settlement will be less than about  $\frac{3}{4}$  to  $\frac{1}{2}$  inch between columns. Once column spacings, loads and pile group configurations are determined, we should be retained to review the information and update our recommendations.

Entries and pipe connections to pile-supported buildings require flexibility to accommodate the significant differential settlement that can occur. Vibrations and noise related to pile driving may impact neighboring infrastructure and improvement such as sidewalks, curbs and streets and appropriate existing conditions surveys and construction monitoring program should be considered.

#### 6.2.2 Lateral Capacities

Lateral load resistance for pile-supported structures is developed through pile bending/soil interaction. The magnitude of the lateral load resistance is dependent upon several factors, including axial load on the pile, pile stiffness, pile embedment length, conditions of fixity at the pile cap, the physical properties of surrounding soil, and the magnitude of allowable lateral deflections. General concrete and steel pile properties were provided to us by the Structural Engineer and summarized under Table F-2 in Appendix F.

We developed two generalized subsurface profiles and developed LPILE soil input criteria for lateral pile capacity and load-deflection computation. LPILE analysis soil parameters provided in



Tables F-3A and F-3B in Appendix F consider the top of the pile at the ground surface and alternatively embedded 5 feet below ground surface. In addition, the groundwater table is estimated to be at Elevation 5 feet (5 feet bgs).

We used the computer program LPILE to estimate lateral pile loads for the various concrete piles and steel H piles under free head and fixed head conditions. The free head condition also considers <sup>1</sup>/<sub>4</sub>- and <sup>1</sup>/<sub>2</sub>-inch pile top deflections. We estimated maximum bending moments and points of fixity for driven piles for <sup>1</sup>/<sub>4</sub>- and <sup>1</sup>/<sub>2</sub>-inch pile top deflection for both fixed and free head conditions. We consider "point of fixity" as a point of zero lateral deflection. We also assumed a minimum 28-day compressive strength of 6,000 pounds per square inch (psi) for pile concrete.

Tables F-4A, F-4B, F-5A and F-5B in Appendix F summarized the lateral deflection and bending moment obtained from the LPILE analysis. If actual pile stiffness varies by no more than 20 percent of those included in Table F-2, then load deflection characteristics can be approximated by multiplying the deflection values by the ratio of the pile stiffness. For pile stiffness significantly different from the values listed in Table F-2, we should be contacted to provide revised lateral pile characteristics.

The lateral capacities and bending moments provided in Appendix F represent the probable response of a single pile under short-term loading conditions and do not include a factor of safety. Suitable factors of safety should be selected based on the type of loading.

#### 6.2.3 Lateral Capacity Group Reduction

Research has shown that the lateral capacity of a group of piles is generally less than that of a single pile for pile spacing less than 6 to 8 pile diameters. We recommend the multipliers provided in Table 6.2.3-2 to be considered in the LPILE/GROUP analysis. These multipliers are based on AASHTO LRFD BDS where "D" is pile diameter.

Preliminary Design P-Multiplier Values By Row Position				
	Design P-Multiplier			
Pile Spacing (Center-to-Center)	3D	5D	7D	8D
Lead Row	0.75	1.0	1.0	1.0
Second Row	0.55	0.85	1.0	1.0
Third and Higher Rows	0.40	0.70	0.90	1.0

<b>TABLE 6.2.3-1</b>					
Preliminary Desig	Preliminary Design P-Multiplier Values By Row Position				
Design P-Multiplier					

#### 6.2.4 Passive Resistance Against Pile Caps and Grade Beams

Lateral loads may also be resisted by passive pressure along the sides of pile caps and grade beams where poured neatly against undisturbed native soil or newly constructed engineered fill. The passive pressure is based on an equivalent fluid pressure in pounds per cubic foot (pcf). We recommend an allowable passive lateral pressure of 300 pcf (FS=1.5) for use in design. The



allowable passive lateral pressure should be reduced to 175 pcf (FS=1.5) if the pile caps and grade beams are backfilled instead of excavated. Appropriate factor of safety should be applied to the ultimate passive lateral pressure value in the structural design.

#### 6.2.5 Corrosion Protection

As discussed in Section 4.5, some site soils are considered corrosive to buried metal and steel embedded in a concrete mortar coating. We recommend that all concrete, at or below grade, be designed for "moderate" sulfate exposure conditions. As outlined in the table under Section 4.2 above, Type II cement may be used for concrete, provided it has a minimum 28-day concrete compressive strength of at least 4,000 psi and a water-cement ratio no greater than 0.50; however, it should be noted that structural engineering design requirements for concrete might result in more stringent concrete specifications.

A corrosion consultant should be retained to provide specific design recommendations for corrosion protection for buried metals and piles.

#### 6.2.6 Pile Driving and Testing

#### 6.2.6.1 Predrilling

We recommend predrilling the upper 5 feet to avoid pile damage and reduce the effect of soil heave. This is critical where stiff soil overlies soft Bay Mud. As discussed above, existing fill within the upper 5 feet of the project site generally may contain concrete, asphalt, rubble and construction debris. The diameter of the predrilled hole should be no larger than the minimum pile dimension (i.e. 14-inch-diameter hole for a 14-inch-square pile). Where pile caps are embedded at least 5 feet, the depth of predrilling may be reduced or eliminated; we should consulted in the field to evaluate the actual conditions at these locations.

#### 6.2.6.2 Indicator Pile Driving

We recommend installing indicator piles to assist in evaluating the driving system performance and establishing the final pile driving criteria. The indicator piles should be driven to:

- Develop production-driving criteria.
- More accurately estimate production pile lengths based on driving resistance and depth to various soil layers.
- Assist in identifying driving issues prior to ordering and casting the final production piles.
- Determine any necessary changes to the recommended pre-drill depth.
- Evaluate the contractors pile driving system.



In general, it is desired that the indicator and production piles be driven with the same pile driving system. Determination of pile driving equipment and pile section for the production piles can be based on hammer energy required to drive the selected piles efficiently without damaging them.

Based on our experience with the soil conditions in the Redwood City area, a pile-driving hammer capable of delivering a minimum rated driving energy of approximately 70,000 foot-pounds would be the minimum size necessary to drive piles to a 100-ton capacity. We recommend that the contractor perform a wave equation analysis to confirm the compatibility and drivability of the pile driving system with the pile type and soil conditions at the site. The wave equation analysis will also help confirm that the pile driving stresses will not exceed the allowable pile stresses. We should be retained to review the wave equation results prior to mobilization of pile-driving equipment to the site.

We recommend indicator piles be cast at least 5 feet longer than needed to confirm field pile capacities and final design lengths. Indicator piles may be driven as production piles provided that minimum recommended tip elevations are achieved and no structural damage occurs to the pile from installation.

The Geotechnical Engineer should be retained prepare an indicator pile program, including the number and layout, once foundation plans are finalized.

#### 6.2.6.3 <u>Pile Load Tests</u>

When a large number of piles are planned, performing a pile load test prior to production pile installation can aid in optimizing pile foundation design and likely reduce foundation costs by reducing pile lengths. Pile load tests are optional and can be performed if desired by the Owner to further optimize the pile foundation design.

Prior to test pile installation, we will review the indicator pile driving logs and the wave equation analysis to select the appropriate test locations. The contractor is generally responsible for the design, operation, and safety of the load test system. This includes supplying and installing all of the necessary components, including the dial gauges and reference beams. The load test should be performed in accordance with ASTM D1143 (Reapproved 1994) *Standard Test Method for Piles Under Static Axial Compressive Load, Standard Loading Procedure*. The Standard Loading Procedure requires loading up to 200 percent of the design load. Because testing a pile to failure can provide the best information for determining actual capacities, we recommend that additional loading be performed if the pile does not fail under 200 percent of the design load. In this case, we recommend that Section 5.1 of ASTM D1143 be performed, *Loading in Excess of Standard Test Load*, and the maximum load be increased to 300 percent of design load. An optional uplift capacity load test may be performed in accordance with ASTM D3689-90 *Standard Test Method for Individual Piles Under Static Axial Tensile Load*.



Test piles should be driven to between the recommended minimum and probable tip elevations using the same hammer as that used for indicator and production pile driving. Load test piles should not be used as production piles. It may be feasible to use at least one of the indicator piles for the load test reaction piles.

The Geotechnical and Structural Engineer should be retained to review the load test program prior to mobilization of pile test equipment to the site. We should also be retained to monitor and evaluate the entire pile load test, including test pile installation. Following our analysis of the load testing, revised minimum pile lengths necessary to achieve the desired pile capacities can be developed jointly with the Structural Engineer. The Geotechnical Engineer should be retained to observe and record the results of all production pile driving.

#### 6.2.6.4 <u>Production Pile Installation</u>

Following our analysis of the indicator pile installation and load tests, we should be retained to establish the minimum and probable pile tip elevations necessary to achieve the desired pile capacities.

Production piles should be driven using the same hammer and system as the indicator and load test piles. We will use data obtained from the indicator pile program, load tests, wave equation analysis, and this geotechnical report to develop pile driving criteria for production piles. The Geotechnical Engineer should be retained to observe and record the results of all production pile driving.

#### 6.3 SHALLOW FOUNDATIONS

Shallow foundations may be considered for light to moderately loaded smaller structures, such as the CUP and the transformer pad. Shallow foundations should be designed to resist estimated settlements (total and differential). We recommend implementing a surcharge program within the CUP and transformer pad footprint to reduce post-construction differential settlements, if these structures are supported on shallow foundations.

#### 6.3.1 Conventional Mat Foundations

Conventional mat foundation should have a minimum thickness of 15 inches and be designed to have an edge cantilever span distance of 5 feet and an interior span distance of minimum 15 feet. It should also be designed to withstand one inch of differential movement over a 20-foot distance without experiencing distress to the structure to address the potential for liquefaction-induced settlement and consolidation settlement. The rigid mats may be designed to impose a maximum average bearing pressure of 1,000 pounds per square foot (psf) for dead-plus-live loads. The allowable bearing capacity may be increased to 1,500 psf in areas of loading concentration. These values may be increased by one-third when considering transient loads, such as wind or seismic. If a spring constant is needed for initial design, a modulus of subgrade reaction ( $k_s$ ) of 40 pounds per square inch per inch of deflection (psi/in) can be used. However, the true subgrade reaction of the mat will result in a variable subgrade modulus that matches the tendency for



dishing settlement. Once the dimensions and loads for the proposed mat foundations are known, we should be retained to perform additional settlement analysis to refine the mat design and develop a variable subgrade modulus that properly models the future settlement.

Resistance to lateral loads may be provided by frictional resistance between the foundation concrete and the subgrade soils and by passive earth pressure acting against the side of the foundation. A coefficient of friction of 0.35 can be used between concrete and the subgrade. Passive pressures can be taken as equivalent to the pressure developed by a fluid having a weight of 300 pounds per cubic foot (pcf).

We anticipate differential settlement between mat supported structures and surrounding areas to range from 3 to 5 inches without a surcharge program and 1 to 2 inches if a surcharge program is implemented. Thus, entries and pipe connections to the mat supported structures will require flexibility to accommodate the estimated differential settlement that will occur. Without surcharging, the mat will tend to settle more than the surrounding area so surface grades will need to be designed to maintain positive drainage away from the building.

#### 6.3.2 Auxiliary Structure Foundations

We anticipate that auxiliary structures such as isolated light poles, carport shelters and trellis may be planned. We anticipate the auxiliary structures to be lightly loaded, with less than 200 pounds per square foot of structural load. We recommend lightly loaded auxiliary be supported on shallow spread footings to avoid drilled pier excavations that could penetrate into the Bay Mud. The intent is to provide a shallow embedded footing wide enough to resist overturning.

Shallow light pole footings should be embedded a minimum of 3 feet below adjacent grade and designed for a maximum average allowable bearing pressure of 250 pounds per square foot (psf) for dead plus live loads. For overturning resistance, use a maximum heel bearing pressure of 300 psf due to temporary wind and seismic loading.

The maximum allowable bearing pressure is a net value; the weight of the footing may be neglected for design purposes. All footings located adjacent to utility trenches should have their bearing surfaces below an imaginary 1:1 (horizontal:vertical) plane projected upward from the bottom edge of the trench to the footing.

We recommend that we review the preliminary foundation plans and structural loads to check that these recommendations are appropriate.



# 7.0 FLOOR SLABS AND SLABS-ON-GRADE

# 7.1 INTERIOR CONCRETE FLOOR SLABS

Interior concrete slabs for the main detention facility should be designed to structurally span between the pile foundations. Thickness and reinforcement of the interior floor slab should be designed by the Structural Engineer.

Due to the shallow groundwater within the project site, groundwater may rise by capillary action to depths near the bottom of interior floor slabs, resulting in damp or wet floor conditions. To protect the concrete first floor against subsurface seepage, subsurface drainage should be provided around the entire perimeter of main correctional facility. Where applicable, the subdrainage trench should be at least 12 inches wide and extend at least 6 inches below the bottom of the perimeter grade beam. It should contain a minimum 4-inch-diameter perforated pipe (SDR 35 or equivalent, with perforations down) surrounded with either drain rock wrapped in filter fabric or Class 2 permeable material. All trenches and pipes should have a minimum slope of 1 percent, and should be located within 12 inches horizontally of the foundation.

Area drains, closed roof downspout collector pipes, and perimeter/underfloor subdrainage can be constructed in a single trench, if desired. The perimeter or underfloor subdrains should not be connected to area drains or downspout collector pipes. The discharge locations and connections should be designed to prevent backup of water into the perimeter or underfloor subdrains.

#### 7.2 EXTERIOR FLATWORK

Exterior flatwork includes items such as concrete walkways, steps and outdoor courtyards exposed to foot traffic only. Exterior flatwork should be constructed structurally independent of the foundation system. This allows movement to occur within the flatwork with minimum foundation distress. The expansive soils and settlement magnitudes will likely result in cracking of conventional exterior slabs. To reduce potential for damage from expansive soil as well as settlement, we recommend that exterior slab design include a minimum section of 4 inches of concrete over 4 inches of aggregate base. Compact the aggregate base to at least 90 percent relative compaction (ASTM D1557). Slabs-on-grade should be reinforced and provided with frequent control joints to reduce and control the cracking. The Structural Engineer should design the reinforcement, which in our opinion, should consist of a minimum of No. 3 bars spaced 12 inches on center each way. Construct control and construction joints in accordance with current Portland Cement Association Guidelines. The potential for damage from expansive soils can be reduced by attaining a near-saturation condition of the subgrade soil before concrete placement.

Due to the anticipated consolidation settlement surrounding pile-supported structures, flatwork connecting to a pile-supported building entrance area should be designed as a hinged slab to prevent separation at the joint with the building. Flatwork should be reinforced to allow for the appropriate span in the event of settlement. Where the flatwork forms a corner with the building, dowels should only be placed on the side where separation between the foundation and the



flatwork is not desirable to provide for flexible movements in the remaining portion of the flatwork. Maintenance or replacement of entry slabs should be expected as the ground settles at the perimeter of pile-supported buildings.

# 8.0 UNDERGROUND UTILITIES

As discussed above, consolidation settlements are expected within the project site. Buried utilities require special designs to tolerate estimated settlements by including flexible connections. Moreover, buried gravity-flow pipes may need a steeper drainage gradient to allow a positive flow if significant differential settlement occurs. This is important where utilities enter pile-supported structures or surcharged areas.

For the site, we anticipate manholes extending beneath Elevation -2 feet will penetrate through the existing Bay Mud. These manholes will not experience consolidation settlement and may appear to "rise out of the ground" as the surrounding areas settle. Surcharging would be a beneficial approach in reducing consolidation settlement and alleviate the above problems.

#### 8.1 UTILITY TRENCH DEWATERING

Groundwater is expected to be shallow at the site. Utility trench excavations extending beneath an Elevation of 5 feet may require temporary dewatering during construction to keep the excavation and working areas reasonably dry. In general, excavations should be dewatered such that water levels are maintained at least 2 feet below the bottom of the excavation prior to and continuously during shoring installation and the backfill process to control the tendency for the bottom of the excavation to heave under hydrostatic pressures and to reduce inflow of soil or water from beneath temporary shoring. We anticipate that dewatering for underground utility construction will be accomplished by pumping from sumps.

#### 8.2 UTILITY TRENCH EXCAVATION AND BACKFILL

Due to the soft nature of the Bay Mud, deep excavations extending into Bay Mud deposits can become unstable. Temporary shoring such as sheet piling or continuous hydraulic shoring should be anticipated for excavations over 6 feet deep. We recommend undrained temporary braced shoring be designed for the restrained condition below the water table, with pressures of 80 pcf in the Bay Mud. In Bay Mud, a passive resistance of 150 pcf should be used. The contractor should not stockpile soils, place heavy construction materials or park equipment near trenches or excavations extending into Bay Mud.

It is the responsibility of the Contractor to provide stable, safe trench and construction slope conditions and to follow OSHA safety requirements. Since excavation procedures may be very dangerous, it is also the responsibility of the Contractor to provide a trained "competent person" as defined by OSHA to supervise all excavation operations, ensure that all personnel are working in safe conditions, and have thorough knowledge of OSHA excavation safety requirements.



Backfill for deep excavations extending into Bay Mud should consist of similar soils or lightweight fill to reduce long-term total and differential settlement. Supplemental recommendations for shoring design will be provided if needed.

# 9.0 **RETAINING WALLS**

Unrestrained drained retaining walls constructed on level ground may be designed using active pressures. Retaining walls that are structurally connected to the permanent structures should be designed to resist at-rest earth pressures.

# 9.1 LATERAL SOIL PRESSURES

Lateral earth pressures are provided in the following table for retaining wall design and assume level backfill conditions.

Retaining Wan Design Darth Pressures						
	Elevation,	Static Con	nditions	Seismic Conditions*		
<b>Retained Soil</b>	feet (NAVD 88)	Active	At-rest	Seismic Load (H=height of wall, feet)		
Existing or Engineered Fill	Above El. 5	45 pcf	60 pcf	12H <sup>2</sup> pounds		
Bay Mud	El. 5 to -5	65 pcf	80 pcf	10H <sup>2</sup> pounds		

 TABLE 9.1-1

 Retaining Wall Design Earth Pressures

\*Wall should be designed for the more critical loading condition of at-rest or active + seismic conditions. H equals the height of the wall in feet. Seismic load should be applied at 0.6H from the base of the wall.

Where traffic is expected within 10 feet of the walls, a surcharge of 100 psf (rectangular distribution) should be added to the top 10 feet of the wall. Where surcharge loads are adjacent to unrestrained walls, design walls to resist an additional uniform pressure equivalent to one-third of the loads applied at the surface. Design restrained walls to resist an additional uniform pressure equivalent to one-half of any surcharge loads applied at the surface.

# 9.2 RETAINING WALL DRAINAGE

Drainage facilities should be installed behind retaining walls to prevent the build-up of hydrostatic pressures on the walls above the groundwater level. Wall drainage may be provided using 4-inch-diameter perforated (SDR 35 or approved equivalent) pipe encapsulated in either Class 2 permeable material (Appendix H, Part I - Guide Contract Specifications, Section 2.05B), or free-draining gravel surrounded by synthetic filter fabric. The width of the gravel-type drain blanket should be at least 12 inches. The drain blanket should extend from the design groundwater level or the base of the wall, whichever is higher, to about one foot below the finished grade. The upper one foot of wall backfill should consist of onsite clayey soil. If preapproved by the Geotechnical Engineer, prefabricated wall drain panels could be considered



in lieu of the granular drain blanket above the pipe system. Drainage should be collected by solid pipes and directed to an outlet approved by the Civil Engineer.

Damp-proofing/waterproofing of the walls should be included in areas where wall moisture transmission would be problematic. The damp-proofing/waterproofing should be designed by a consultant that specializes in this area.

# 9.3 BACKFILL

Backfill behind retaining walls should be placed and compacted in accordance with Section 5.5. Use light compaction equipment within 5 feet of the wall face. If heavy compaction equipment is used, the walls should be temporarily braced to avoid excessive wall movement.

#### 9.4 WALL FOUNDATIONS

Walls structurally connected to the proposed buildings should be supported on the same foundation system as the building. Other site retaining walls can be supported on shallow footings in accordance with recommendations provided in Section 6.3.2. Passive pressures acting on wall foundations may be assumed as 300 pcf for engineered fill provided that the area in front of the retaining wall is level for a distance of at least 10 feet. Unless the surface in front of the wall is confined by a slab or pavement, the upper 1 foot of soil should be neglected when calculating passive resistance.

# **10.0 PAVEMENT DESIGN**

Preliminary pavement design is provided based on assumed Traffic Indices and subgrade resistance values (R-value). The Traffic Index should be determined by the Civil Engineer or appropriate public agency. The sections provided below should be reviewed and revised, if applicable, based on R-value tests performed on samples of actual subgrade materials recovered at the time of grading. Pavement construction and materials should comply with the requirements of the Standard Specifications of the State of California Department of Transportation, City of Redwood City requirements and the following recommendations.

#### **10.1 FLEXIBLE PAVEMENT**

We anticipate the pavement subgrade soil will consist of engineered fill. At this time, the engineered fill may consist of site soils or imported material. The following preliminary pavement sections have been determined based on an assumed R-value of 5 according to the method contained in Chapter 610 of Highway Design Manual by CALTRANS (2012).



Traffic Index	R-Value of 5		
(TI)	AC (inches)	AB (inches)	
5.0	3.0	10.0	
6.0	3.5	13.0	
7.0	4.0	16.0	

# **TABLE 10.1-1**Preliminary Flexible Pavement Design

Notes: AC is asphalt concrete

AB is aggregate base Class 2 Material with minimum R = 78

#### **10.2 RIGID PAVEMENT**

Rigid pavement section should consist of Portland cement concrete paving (PCCP) over Class 2 aggregate base over prepared subgrade. The PCCP should achieve a minimum 28-day concrete compressive strength of 3,500 psi. Control joints, spaced in accordance with Caltrans guidelines, should also be considered. To reduce concrete cracking, No. 3 bars at 16 inches on center each way placed at mid-depth of the concrete section may be considered.

TADIE 10.2.1

IADLE 10.2-1						
Preliminary Rigid Pavement Design						
Traffic Index	<b>R-Value of 5</b> (untreated subgrade)					
(11)	PCCP (inches)	AB (inches)				
5	6	8				
6	6	10				
7	6	13				

#### **10.3 PAVEMENT SUBGRADE PREPARATION**

Pavement subgrades should be scarified to a depth of 12 inches below finished subgrade elevation. The subgrade soil should be moisture conditioned to at least 2 percentage points above optimum and compacted to at least 95 percent relative compaction and in accordance with City of Redwood City requirements (ASTM Test Methods). If subgrade soils consist of granular soils with a Plasticity Index less than 15, the required compaction specifications may be modified to achieve at least a 95 percent relative compaction at a moisture content of at least optimum as determined by ENGEO's field representative.

Subgrade soils should be in a stable, non-pumping condition at the time aggregate baserock materials are placed and compacted. Proof-rolling with a heavy wheel-loaded piece of construction equipment should be implemented. Yielding materials should be appropriately mitigated, with suitable mitigation measures developed in coordination with the client, contractor and Geotechnical Engineer.



Aggregate baserock materials should meet current Caltrans specifications for Class 2 aggregate baserock and should be compacted to at least 95 percent of maximum dry density at a moisture content of at least optimum (ASTM Test Methods). Proof-rolling with a heavy wheel-loaded piece of construction equipment should be implemented after placement and compaction of the aggregate base. Yielding materials should be appropriately mitigated, with suitable mitigation measures developed in coordination with the client, contractor, and Geotechnical Engineer. Asphalt paving materials should also meet current Caltrans specifications for asphalt concrete.

All concrete curbs separating pavement and irrigated landscaped areas should extend into the subgrade and below the bottom of adjacent aggregate baserock materials. An undercurb drain may also be considered to help collect and transport subsurface seepage.

# **10.4 CUT-OFF CURBS**

Saturated pavement subgrade or aggregate base can cause premature failure or increased maintenance of asphalt concrete pavements. This condition often occurs where landscape areas directly abut and drain toward pavements. If desired to install pavement cutoff barriers, they should be considered where pavement areas lie downslope of any landscape areas that are to be sprinklered or irrigated, and should extend to a depth of at least 4 inches below the base rock layer. Cutoff barriers may consist of deepened concrete curbs or deep-root moisture barriers. If reduced pavement life and greater than normal pavement maintenance are acceptable to the owner, then the cutoff barrier may be eliminated.

# 11.0 PLAN REVIEW AND CONSTRUCTION MONITORING

Our experience and that of our profession clearly indicate that the risk of costly design, construction, and maintenance problems can be significantly lowered by retaining the design geotechnical engineering firm to:

- 1. Review the final grading and foundation plans and specifications prior to construction to determine whether our recommendations have been implemented and to provide additional or modified recommendations, if necessary. This also allows us to check if any changes have occurred in the nature, design or location of the proposed improvements and provides the opportunity to prepare a written response with updated recommendations.
- 2. Perform construction monitoring to check the validity of the assumptions we made to prepare this report. All earthwork operations should be performed under the observation of our representative to check that the site is properly prepared, the selected fill materials are satisfactory, and that placement and compaction of the fills has been performed in accordance with our recommendations and the project specifications. Sufficient notification to us prior to earthwork is essential.



3. Perform observation during foundation construction, such as pile installation and indicator pile installation. We should be retained to review the load test program prior to mobilization of pile test equipment to the site. ENGEO could provide supplemental recommendations for optimization of pile foundation based on pile load test results.

If we are not retained to perform the services described above, then we are not responsible for any party's interpretation of our report (and subsequent addenda, letters, and verbal discussions).

# **12.0 LIMITATIONS AND UNIFORMITY OF CONDITIONS**

This report presents geotechnical opinions regarding development at the San Mateo County Detention Center site in Redwood City, California. This report is issued with the understanding that it is the responsibility of the owner to transmit this report to contractors, architects, engineers, and designers for the project so that the design and construction team could consider the recommendations provided herein. The conclusions and recommendations contained in this report are solely professional opinions.

The professional staff of ENGEO Incorporated strive to perform services in a proper and professional manner with reasonable care and competence but is not infallible. There are risks of earth movement and property damages inherent in land development. We are unable to eliminate all risks or provide insurance; therefore, we are unable to guarantee or warrant the results of our services.

This geotechnical exploration report is based upon field and other conditions discovered at the time of preparation of ENGEO's documents of service. This document must not be subject to unauthorized reuse, that is, reuse without written authorization of ENGEO. Such authorization is essential because it requires ENGEO to evaluate the document's applicability given new circumstances, not the least of which is passage of time. Actual field or other conditions will necessitate clarifications, adjustments, modifications or other changes to ENGEO's documents. Therefore, ENGEO must be engaged to prepare the necessary clarifications, adjustments, modifications or other changes before construction activities commence or further activity proceeds.

If ENGEO's scope of services does not include onsite construction observation, or if other persons or entities are retained to provide such services, ENGEO cannot be held responsible for any or all claims, including, but not limited to claims arising from or resulting from the performance of such services by other persons or entities, and any or all claims arising from or resulting from clarifications, adjustments, modifications, discrepancies or other changes necessary to reflect changed field or other conditions.



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#### **FIGURES**

- **Figure 1 Vicinity Map**
- **Figure 2 Site Exploration Plan**
- Figure 3 Regional Geologic Map
- Figure 4 Regional Faulting and Seismicity
- Figure 5 Cross Section A-A
- Figure 6 Estimated Consolidation Settlement in 30 years









ORIGINAL FIGURE PRINTED IN COLOR





ORIGINAL FIGURE PRINTED IN COLOR





Image: Second	1- <u>CI</u>
EXISTING FILL       Image: Close of the second	fs (tsf) <u>8 TSF C</u>
YBM       or       3       or       10       YBM         SAND AND GRAVELS       14       14       14       14       14         CL/ML       0       0       0       0       11       CL/ML         ML/SM       3       3       11       CL/ML       14       31         ML/SM       3       15       11       0       14       31         CL-ML       15       15       17       31       31       31         CL-ML       16       16       10       1	
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200 240 280 320 360 400	200

EXPLANATION

EXISTING FILL YOUNG BAY MUD (YBM) OLD CLAY (CL) SAND AND GRAVEL CL/ML ML/SM CL-ML 1-B6 1-CPT6

CLAYEY SAND AND GRAVELLY CLAY WITH CONCRETE, ASPHALT, BRICK FRAGMENTS
ORGANIC CLAY, SOFT TO MEDIUM STIFF AND HIGHLY COMPRESSIBLE
CLAY, STIFF AND MODERATELY COMPRESSIBLE
SAND, SILTY SAND AND GRAVEL, MEDIUM DENSE
SILTY CLAY AND CLAYEY SILT, MEDIUM TO STIFF
INTERBEDED SILTY CLAY, SILTY SAND, MEDIUM STIFF TO STIFF
SILTY CLAY AND CLAYEY SILT (ALLUVIUM), STIFF TO VERY STIFF
APPROXIMATE LOCATION OF BORING
APPROXIMATE LOCATION OF CONE PENETRATION TEST

	ASPHALT
	USCS LOW PLASTICITY CLAY
	USCS CLAYEY GRAVEL
	USCS SILTY GRAVEL
	USCS HIGH PLASTICITY CLAY
	USCS SILT
	USCS POORLY-GRADED GRAVEL
	USCS ELASTIC SILT
	USCS POORLY-GRADED SAND
	USCS SILTY SAND
	SILTY SAND/SANDY SILT
* * * * * * * * * * * * * * *	USCS WELL-GRADED SAND





_					
<b>ENGEO</b>	CROSS SECTION A-A'	PROJECT NO.: 9515.000.000         I           SCALE: AS SHOWN         I		FIGURE N	
	SAN MATEO COUNTY REPLACEMENT CORRECTIONAL FACILITY			5	
	—Expect Excellence—	REDWOOD CITY, CALIFORNIA	DRAWN BY: SRP	CHECKED BY: MMG	J
				ORIGINAL FIGURE PRIN	TED IN CO


A

## **APPENDIX A**

**ENGEO** 

Key to Boring Logs Boring Logs



			KEY I	O BORIN	G LO	GS		
	MAJOR	R TYPES				DESCRIPTIO	N	
HAN 00	GRAVELS	CLEAN GRA	VELS WITH	GW - Well	gradeo	d gravels or gravel-sa	and mixtures	
RE TH AN #2	MORE THAN HALF COARSE FRACTION	LESS THAN	15% FINES	GP - Poorly	/ grad	ed gravels or gravel-s	and mixture	S
S MO	IS LARGER THAN NO. 4 SIEVE SIZE	GRAVELS W	/ITH OVER	GM - Silty g	gravels	s, gravel-sand and sil	t mixtures	
SOIL ARGE EVE		12 %	6 FINES	GC - Claye	y grav	els, gravel-sand and	clay mixture	s
E-GRAINED F MAT'L L SI	SANDS MORE THAN HALF COARSE FRACTION IS SMALLER THAN	CLEAN SA LESS THAI	ANDS WITH N 5% FINES	SW - Well ( SP - Poorly	gradeo grade	d sands, or gravelly s ed sands or gravelly s	and mixtures sand mixture	S
COARSE HALF C	NO. 4 SIEVE SIZE	SANDS WI 12 %	ITH OVER	SM - Silty s SC - Claye	and, s y sanc	and-silt mixtures I, sand-clay mixtures		
SOILS MORE AT'L SMALLER ) SIEVE	SILTS AND CLAYS LIQ	UID LIMIT 50 % (	OR LESS	ML - Inorga CL - Inorga OL - Low pl	nic sil nic cla lasticit	t with low to medium ay with low to medium ay organic silts and cla	plasticity n plasticity ays	
-GRAINED HALF OF M THAN #200	SILTS AND CLAYS LIQUID	LIMIT GREATE	R THAN 50 %	MH - Elasti CH - Fat cla	c silt v ay with	vith high plasticity n high plasticity		
FINE				OH - Highly	/ plast	ic organic silts and cl	ays	
	HIGHLY OR	GANIC SOILS		PT - Peat a	ind oth	ner highly organic soil	ls	
For fin	e-grained soils with >30% retained on	the #200 sieve, the	words "sandy" or "gravel	ly" (whichever is predon	ninant) are	e added to the group name.	me.	
	U.S. STANDARD	SERIES SIE	GR VE SIZE	RAIN SIZES	C	LEAR SQUARE SIEV	E OPENING	S
SILT	S	SAND			GRA	VEL		
CLAY	S FINE	MEDIUM	COARSE	FINE		COARSE	COBBLES	BOULDERS
	RELATIN SANDS AND GRAVELS VERY LOOSE LOOSE MEDIUM DENSE DENSE VERY DENSE	VE DENSIT` <u>s</u> BL	Y _OWS/FOOT <u>(S.P.T.)</u> 0-4 4-10 10-30 30-50 OVER 50			CONSIST SILTS AND CLAYS VERY SOFT SOFT MEDIUM STIFF STIFF VERY STIFF HARD	ENCY <u>STRENGTH*</u> 0-1/4 1/4-1/2 1/2-1 1-2 2-4 OVER 4	
				MOIST	FURE (	CONDITION		
	SAMPLER S Modified Ca	SYMBOLS lifornia (3" O.D	.) sampler	DRY MOIST WET	Dam Visi	Dusty, dry to touch np but no visible water ble freewater		
	California (2	.5" O.D.) samp	ler	LINE TYPE	S			
	Shelby Tube	piit spoon sam	pier		Sc	olid - Layer Break		
	Continuous C	Core			Da	ashed - Gradational or ap	proximate laye	r break
	Bag Samples	3		GROUND-WAT	TER S	YMBOLS		
	Grab Sample     NR No Recovery	es		∑ ∑	Grour Stabi	ndwater level during drilling lized groundwater level	g	
( * L	S.P.T.) Number of blows of 140 lb.	. hammer falling 3( n tons/sq. ft., aster	0" to drive a 2-inch O.D isk on log means deter	9. (1-3/8 inch I.D.) sar mined by pocket pene	npler etrometer			

			R	<b>FORATED</b>	LOG	OF	E	3	OF	RII	٩C	6 1	-B	1		
	G	Seoteo San M Reo S	chn Mate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/16/2 HOLE DEPTH: Appro HOLE DIAMETER: 4.0 in. SURF ELEV (): Appro	2012 x. 64½ ft. x. 10 ft.			logg Drill	ed / Re Ing Co Drilli Ha	EVIEWE DNTRAG NG ME MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
										Atte	rberg Li	imits	(6			ſ
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol		Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strengtl (tsf) *field approx
		-		3 inches of AC over 8 inch	es of AB over subgrade.											
	_	-	213 213 213	FAT CLAY (CH), black, stif sands. [FILL]	f, moist, Trace medium-grained											
	_	1 1	873 1973	GRAVELLY SAND (SP), d medium-grained sands. Fe	ark brown, moist, Coarse to w subangular gravel.											
	5 —	-		stiff, moist, Few fine-graine	ed gravels.											
	_	-							11					17.4	107.1	
	_	2 														
	_	-		FAY CLAY (CH), light gray	mottled with dark gray, medium stiff,											
	-	-		wet [BAY MUD]					4							
	10 —	3 		Lab Mini Vane Shear = 454	1 psf											
	-	-							0 psi							
	_	-		FAT CLAY (CH), light gray	mottled with yellowish brown, stiff,											
	_	4 		wet, Few medium-grained [OLD BAY CLAY]	sands. Pocket Torvane = 1434 psf				22							4.00*
DT 10/5/12	15 —	-		CLAYEY SILT (ML), light g hard, wet, Few medium-gra psf	ray mottled with yellowish brown, ained sands. Pocket Torvane = 1843											
D INC.GI	_	5														
ENGE	_	-		[ALLUVIUM]												
AIL.GPJ	_	-		Becomes yellowish brown	mottled with light gray.				14	33	14	19	85	22.1	96.1	2.00*
V CITY J	20 —	6 														
.000 - RV	_	- - -														
9515.000	-															
NICAL (		- /		SANDY SILT (ML), yellowis very stiff, wet, fine- to coars	sh brown mottled with light brown, se-grained sand, trace coarse gravel,				16							2 25*
EOTECH	25 —	 		Pocket Forvane = 1331 ps												2.20
LOG - GE																

			R	<b>GEO</b> P O R A T E D	LOG	ЭF	B	O	RII	٩N	61	-B	1		
	G	eote San I Re	chn Mate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/16/2 HOLE DEPTH: Approx HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx	012 x. 64½ ft. x. 10 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAG NG ME MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index stim	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
		- 8		SANDY SILT (ML), yellowis very stiff, wet, fine- to coars Pocket Torvane = 1331 psf SILTY CLAY (CH), yellowis wet, Pocket Torvane = 112	sh brown mottled with light brown, se-grained sand, trace coarse gravel,			9							0.75*
	- - 35 —	- 10 - 10 		SANDY SILT (ML), light grawet, Fine-grained sand.	ay mottled with yellowish brown, stiff,			10 11				52			0.75*
.GDT 10/5/12	- - 40 —	- - - - - - - - - - - - - - - - - - -		rounded gravels.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			50							3.00*
.000 - RW CITY JAIL.GPJ ENGEO INC	- - 45 —	- 13 - 13 		CLAYEY SILT (ML), light g 1024 psf.	ray, very stiff, wet, Pocket Torvane =	_		19							1.75*
LOG - GEOTECHNICAL 9515.000	- - 50 —	- - - - - - - - - - - - - - - - - - -		SANDY CLAY TO SILTY S wet, Pocket Torvane = 128	AND (SM), light gray, medium dense, 0 psf.			15							0.75*

		R	<b>GEO</b> P O R A T E D	LOG	)F	B	OF	RII	٩C	G 1	-B	1		
G	Seoteo San M Reo S	chn Mate dwo 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/16/20 HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	12 64½ ft. 10 ft.		logg Drill	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAC NG MET MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
	16		SILTY SAND (SM), light gr fine-grained sands. SILTY CLAY (CL), light gra SILTY (ML), light gray, medi 512 psf.	ay, medium dense, wet, Mostly ay, very stiff, wet um stiff to stiff, wet, Pocket torvane =			14							1.50* 0.75*
- - - 60	- 17 - 17 - 18 - 18		CLAYEY SILT (ML), light g SILTY CLAY (CH), light gra psf.	ray, stiff, wet, Pocket torvane = 1229		-	17					38.5	85.4	1.00*
-	- 		Pocket Torvane = 1536 ps				28					32.6	88.3	
			Bottom of the borehole at 6 Ground water not encounter method used.	4.5 feet below ground surface. ared during drilling due to the drilling										

		R	<b>FORATED</b>	LOG C	)F	B	OF	RII	٩Q	<b>3</b> 1	-B	2		
G	Seoteo San M Reo S	chn Mate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/17/20 HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	I2 81½ ft. 10 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAC NG MET MMER	ED BY: CTOR: THOD: TYPE:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index sti	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
- - - 5	- 1		8 inches of AC overlying su CLAYEY SILT (ML), dark of Few coarse-grained sands CLAYEY GRAVEL (GC), b Fine-grained angular grave Fine-to-coarse gravels. Few and concrete fragments [FILL] SILTY CLAY (CL), black, n	ubgrade. No AB present. gray, moist, Few fine-grained gravels. lack, medium dense, moist, l. Few angular coarse-grained gravel. v coarse-grained sand. Few asphalt			19							0.75*
- - 10 - -	- 2		FAT CLAY (CH), gray moti wet, Pocket Torvane = 410 [BAY MUD] Becomes medium stiff	led with black, soft to medium stiff, psf.			2 0 psi 0 psi 0 psi 150 psi 150 psi					66.7 35.7	58.5 84.5	0.00* 0.75*
 15 — 	5		SILTY CLAY (CH), light gra torvane = 1331 psf. [OLD BAY CLAY]	ay mottled with black, stiff, wet, Pocket			14					22.7	105.3	1.50*
20 —	6		[ALLUVIUM] SILTY SAND (SM), dark ye fine- to coarse-grained san	ellowish brown, medium dense, wet, d EL WITH SILT AND SAND (GP),			24				12			
25 —			3. syle. 1 Storm, 10000, Wet			d								

LOG - GEOTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 11/21/12

Geotechnical Exploration San Mateo County Jail Redewood City, CA 9515,000,000     DATE DRILLED: 81/17/2012 HOLE DEPTH: Approx 81% ft. HOLE DMATER: 4.010. SURF ELEV (): Approx 10 ft.     LOGGED / REVIEWED BY: L. Chan / JK. DRILLING CITINGC: Pitcher Drilling DRILLING CITINGC: Mid Reary HAMMER TYPE: 140 b. Auto T       1     0		N C	O R	P O R A T E D	LOG	DF	B	OF	RII	٩G	G 1	-B	2		
Image: Second		Geot San R	echr Mat edwo 951	ical Exploration teo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/17/2 HOLE DEPTH: Approx HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx	012 . 81½ ft. . 10 ft.	1	LOGG DRILL	ed / Re .Ing CC drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
POORLY GRADED GRAVEL WITH SILT AND SAND (GP), grayish brown, loose, wet POORLY GRADED GRAVEL WITH SILT AND SAND (GP), grayish brown, loose, wet SILTY CLAY (CH), light yellowish brown mottled with yellowish brown, stiff, wet, Pocket Torvane = 1290 psf. BECOME yellowish brown mottled with gray, medium stiff, Trace Coarse-grained sands. Pocket Torvane = 614 psf. SILTY CLAY (CL), light gray, medium stiff, wet, Few coarse-grained sands. Pocket Torvane = 922 psf. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand. POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand.	Denth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
12       12         40       12         40       13         13       SILTY CLAY (CL), light gray, medium stiff, wet, Few coarse-grained sands. Pocket Torvane = 922 psf.         45       13         45       14         POORLY GRADED SAND (SP), gray, medium dense, wet, Mostly medium-graded sand.       42	30			POORLY GRADED GRAV grayish brown, loose, wet SILTY CLAY (CH), light yel brown, stiff, wet, Pocket To BECOME yellowish brown coarse-grained sands. Pocket Torvane = 614 psf.	EL WITH SILT AND SAND (GP), llowish brown mottled with yellowish rvane = 1290 psf. mottled with gray, medium stiff, Trace			6 7 11		<u>a</u>	<u>a</u>	6			0.75* 0.50*
	-GEOTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12 5		2	SILTY CLAY (CL), light gra coarse-grained sands. Poc POORLY GRADED SAND Mostly medium-graded san	ay, medium stiff, wet, Few ket Torvane = 922 psf. (SP), gray, medium dense, wet, d.			9 42 24	30	18	12	74	18.3		0.75*

			R	<b>F</b> EO P O R A T E D	LOG	DF	B	OF	RII	٩G	G 1	-B	2		
	G	Seotec San M Rec 9	chn /late dwc 51	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/17/20 HOLE DEPTH: Approx HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx	12 81½ ft. 10 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAC NG ME <sup>-</sup> MMER	ED BY: CTOR: THOD: TYPE:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
		  16		SILTY SAND TO SANDY S dense, wet, Fine-to-mediun 1075 psf.	SILT (SM-ML), light gray, medium n-grained sands. Pocket Torvane =			16 15							0.75*
	_ 55 — _	- - - - - - - - - - - - - - - - - - -		CLAY (CH), light gray, stiff,	, wet, Pocket Torvane = 1229 psf. wet, Pocket Torvane = 573 psf.			16							1.25*
	- 60 —	- 		SILTY CLAY (CL), light gra	y, stiff, wet, Pocket torvane = 1413			18							1.25*
0 INC.GDT 10/5/12	- - 65 — -	20													
9515.000.000 - RW CITY JAIL.GPJ ENGEC	- - 70 -	21		INCREASING SILT CONTI SILTY CLAY (CL), gray, ve	ENT Pocket Torvane = 1352 psf. ry stiff, wet			28					29.2	96.9	2.32
LOG - GEOTECHNICAL (	- 75														

		R	P O R A T E D	LOG C	)F	B	OF	RII	٩C	G 1	-B	2		
(	Geoteo San M Reo 9	chn /lat dwo 51	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/17/201 HOLE DEPTH: Approx. 8 HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx. 1	2 11½ ft. 0 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAC NG MET MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
Jepth in Feet	Jepth in Meters	Sample Type	DE	SCRIPTION	.og Symbol	Vater Level	slow Count/Foot	Atte	Plastic Limit	Plasticity Index stim	ines Content % passing #200 sieve)	Aoisture Content % dry weight)	Jry Unit Weight pcf)	Jnconfined Strength tsf) *field approx
	₽ - 23 	San	SILTY CLAY (CL), gray, ve psf. Bottom of the borehole at 8 ground water was encounter method used.	ery stiff, wet, Pocket Torvane = 1434		Wat	26	Liqu	Plas	Plas	Fine (%)	29.5	91.6	2.00*

			R	<b>FORATED</b>	LOG	DF	B	OF	RII	٩N	6 1	-B	3		
	G	Seoteo San M Reo 9	chn Mate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/13/20 HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	12 66½ ft. 10 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAC NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
									Atte	rberg Li	imits	(əv:			gth
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sie	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strenç (tsf) *field approx
			₩2	4 inches of AC overlying 2	inches of AB over subgrade.		q								
	-	- - - - - - - - - - - - - -	672 672	POORLY GRADED GRAV brown, moist, Fine-to-coars FAT CLAY (CH), olive gray Trace fragment of glass. FAT CLAY (CH), black mo	EL WITH SILT AND SAND (GP), se gravel. medium-grained sand. , moist, few coarse-grained sand. ttled with reddish brown, stiff, moist,		q								
	5 —	2		Frace glass fragments. [FILL] CLAYEY GRAVEL (GC), o Coarse-grained subrounde	live gray, medium dense, wet, d gravel. Trace medium-grained sand.		Ţ	11							
		-		FAT CLAY (CH), olive gray Lab Mini Vane Shear = 43(	v, soft, wet, Pocket Torvane = 287 psf.			50 psi					51.2	70.7	
	10 —	3		[BAY MUD] SILTY CLAY (CH), mottled	with yellowish brown, very soft to			1							
10/5/12	 15 —	- - - -		Soft, Pocket Torvane = 307	with yellowish brown, stiff, Pocket										
EO INC.GDT		- 5 		Torvane = 1331 psf.				12					25.3	99.7	2.00*
. RW CITY JAIL.GPJ ENG	20 —	6		SILTY SAND (SM), yellowi coarse-grained sand, trace	sh brown, medium dense, fine- to fine gravel			22					11.1		
AL 9515.000.000		- - - - - - 7		[ALLUVIUM]											
LOG - GEOTECHNIC	_ 25 —	-		SANDY SILT (ML), yellowi fine-grained sand.	sh brown, soft, wet, Contains										

			R	<b>FORATED</b>	LOG C	)F	B	OF	RII	٩	61	-B	3		
	G	Seoteo San M Reo 9	chn Aate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/13/20' HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	I2 661∕₂ ft. 10 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index stim	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12		9 10 10 10 11 12 12 13	Sample	SILTY CLAY (CL), vellowis subangular gravel. Trace fi SANDY SILT (ML), yellowis fine-grained sands. Becomes medium stiff. Co coarse-grained sand. 1-inc Medium-grained sand. 1-inc Medium-grained sand. Fine SANDY LEAN CLAY (CL), medium- to coarse-grained SILTY CLAY (CL), yellowis stiff, wet	owish brown, loose, wet, Fine-grained ne-grained sands. sh brown, medium stiff, wet, Contains ntains fine-grained sands. Trace h thick sandy gravel layer. ⇒grained gravel. yellowish brown, medium stiff, wet, sand, Pocket Torvane = 512 psf. th brown mottled with gray, medium		Water L	C)       Moint         11       11         9       10         7       19	Tidnid L	- Diastic	Plastici	Elines Co	6.6L Moistur	Dry Uni (pcf)	0.5* 0.5*
LOG - GEOTECHNICAL 95	- 50 —	- - - - - - - - - - - -													

		R R	<b>GEO</b> P O R A T E D	LOG C	)F	B	O	RII	٩	<b>3</b> 1	-B	3		
C	Seote San I Re	chn Mat dwo 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/13/201 HOLE DEPTH: Approx. 6 HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx. 7	2 66½ ft. 10 ft.		LOGG DRILL	ed / Re .ing Co drilli Ha	Eviewe DNTRAG NG ME <sup>-</sup> MMER	ED BY: CTOR: THOD: TYPE:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
	- - - - - - - - - - - - - - - - - - -		SILTY CLAY (CL), olive gr. gravels. Pocket Torvane =	ay, medium stiff, wet, Contains trace 1434 psf			11					37.2	85.5	1.0*
- - - 60 —	- 17 - 17 		SILT (ML), olive gray, stiff,	wet, Pocket Torvane = 1577 psf			34							1.25*
	- - - - - - - - - - - - - - - - - - -		CLAYEY SILT (MH), light (	gray, stiff, wet, Pocket Torvane = 512			18					29.7	97.8	1.75*
	20		SILTY CLAY (CH), light gr. 1106 psf Bottom of the borehole at 6 Ground water table encour during drilling.	ay, very stiff, wet, Pocket Torvane =			23							

	E		R	P O R A T E D	LOG C	)F	B	OF	RII	٩G	G 1	-B	4		
	G	Seoteo San M Reo 9	chni Mate dwo 0515	ical Exploration eo County Jail od City, CA 5.000.000	DATE DRILLED: 8/14/20 HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	12 101½ ft. 10 ft.		LOGG DRILL I	ed / Re Ing CC Drilli Ha	EVIEWE ONTRAC NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Atte	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
		- - - - - - - - - - - - - - - - - - -	53 53	4 inches of AC overlying 8 [FILL] CLAYEY SAND (GC), dark Medium-to-coarse-grained FAT CLAY (CH), black, mc	inchs of AB over subgrade. greenish gray, moist, sand. Few coarse-grained gravel.										
	5 —	- - - - - - - - - - - - -		Become light gray mottled organics. Pocket Torvane =	with black, stiff, trace rootles and 1024 psf			13							2.00*
	- 10 — -	3		[BAY MUD]	alum sun, moist, rocket forvane –			4 200 psi							
5/12		- - - - - - - - - - -		SILTY CLAY (CH), light gra medium stiff, wet [OLD BAY CLAY]	ay mottled with yellowish brown,			300 psi					20.9	105.5	
J ENGEO INC.GDT 10/	-	- 5		SANDY CLAY (CL), yellow fine-grained sands, trace si	ish brown mottled with gray, stiff, wet, lts. Pocket Torvane = 1024 psf.			14					23	105.1	1.25*
- 9515.000.000 - RW CITY JAIL.GP.	 20 	6		[ALLUVIUM]				16	31	12	19	34			
LOG - GEOTECHNICA	_ 25 —		-												

		R	<b>GEO</b> P O R A T E D	LOG	)F	B	OF	RII	٩C	G 1	-B	4		
(	Geoteo San M Reo 9	chn /late dwc 515	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/20 HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	12 101½ ft. 10 ft.		LOGG DRILL	ed / Re Ing CC Drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Atte	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
LOG - GEOTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12 - 05 - 07 - 07 - 07 - 07 - 07 - 06 - 06 - 07 - 06 - 06 - 07 - 06 - 06 - 06 - 06 - 06 - 07 - 06 - 06 - 06 - 07 - 06 - 07 - 06 - 07 - 06 - 07 - 06 - 07 - 06 - 07 - 06 - 06 - 07 - 06 - 06 - 07 - 06 - 06 - 07 - 06 - 07 - 06 - 07 - 06 - 07 - 07 - 06 - 06 - 06 - 07 - 06 - 07 - 07 - 06 - 07 - 06 - 07 - 07 - 06 - 07 - 07 - 07 - 06 - 07 - 07		Sar	SILTY CLAY (CL), yellowis SILTY CLAY (CH), yellowis wet, Trace medium-grained Become olive gray, few fine 1484 psf SILTY SAND (SM), olive gr fine-grained sands. SILTY CLAY (CL), olive gra	h brown mottled with gray, stiff, wet sh brown mottled with olive gray, stiff, sands. e-grained gravel. Pocket Torvane = ray, medium dense, wet, Mostly ay, stiff, wet		Wa	0 7 11 14 16 16 11	Liqu	Las Las	Pla	Fine (96, p)	33.7	91.1	1.25* 1.25*

			R	<b>FORATED</b>	LOG C	)F	B	OF	RII	٩Q	G 1	-B	4		
	G	Seoteo San M Reo 9	chn /late dwc 51	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/201 HOLE DEPTH: Approx HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx	2 101½ ft. 10 ft.		logg Drill	ed / Re .Ing CC drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
-	- - - 55 —	- 16		SILTY CLAY (CL), olive gra Interbedded sand and silt la	ay, stiff, wet ayers. Pocket Torvane = 1434 psf			33							1.25*
	  60	- 17 		SILTY CLAY (CL), olive gra medium stiff, wet, Pocket T	ay mottled with reddish brown, orvane = 1127 psf			31	37	22	15	96			0.50*
IC.GDT 10/5/12	- - - 65	- 19 - 19 		CLAYEY SIL1 (ML), light g stiff, wet, Pocket Torvane =	ray mottled with yellowish brown, very : 1639 psf										1.50*
AL 9515.000.000 - RW CITY JAIL.GPJ ENGEO IN	- - 70 — - -	21		CLAYEY SILT (ML), light g coarse-grained sands. Poc	ray, very stiff, wet, Trace ket Torvane = 1536 psf.			27					25.6	104.4	1.50*
LOG - GEOTECHNIC/	- 75 —														

			R	<b>FORATED</b>	LOG C	)F	B	O	RII	٩	G 1	-B	4		
	C	Seoteo San M Reo 9	hn /ate dwc 515	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/201 HOLE DEPTH: Approx. HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	2 101½ ft. 10 ft.		logg Drill	ed / Re Ing Co Drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
LOG - GEOTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12		23		SILTY CLAY (CL), light gra wet, Pocket Torvane = 204 CLAYEY SILT (ML), light g stiff, wet SILTY CLAY (CH), light gra 2304 psf	ay mottled with dark gray, very stiff, 8 psf ray mottled with reddish brown, very ay, very stiff, wet, Pocket Torvane =			30					44.7	75.9	2.25* 1.75*

	E		R	<b>F</b> EO P O R A T E D	LOG	OF	-	B	OF	RII	٨G	G 1	-B	4		
	G	Seotec San M Rec 9	hn late wc 51	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/ HOLE DEPTH: Appro HOLE DIAMETER: 4.0 ir SURF ELEV (): Appro	/2012 ox. 101½ า. ox. 10 ft.	ź ft.		logg Drill	ed / Re Ing Co Drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION		Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
	_			SILTY CLAY (CH), light gra	ay, very stiff, wet				19					42.7	74.4	3.25*
G - GEOTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12				Bottom of the borehole at 1 Ground water table not end used.	01.5 feet below ground surface. countered due to the drilling method											

			R	<b>FORATED</b>	LOG C	)F	B	OF	RII	٩C	G 1	-B	5		
	G	eote San I Re	chn Mate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/201 HOLE DEPTH: Approx. 6 HOLE DIAMETER: 8.0 in. SURF ELEV (): Approx. 1	2 66½ ft. 10 ft.		LOGG DRILL I	ed / Re Ing CC Drilli Ha	EVIEWE DNTRAG NG ME <sup>-</sup> MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Hollow 140 lb.	n / JK Drilling Stem A Auto Tr	uger p	
	oth in Feet	th in Meters	nple Type	DE	SCRIPTION	Symbol	ter Level	w Count/Foot	Atte	stic Limit	sticity Index	s Content assing #200 sieve)	sture Content dry weight)	Unit Weight )	confined Strength ) *field approx
DTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12			Sampi	4 inches of AC over 8 inches CLAYEY GRAVEL (GC), d gravel. Fine-to-medium-gravel FAT CLAY WITH GRAVEL Fine-grained angular grave WELL GRADED SAND (S' dense, wet, Fine-to-coarse subrounded gravel. FAT CLAY (CH), olive grave [BAY MUD] Become very soft. FAT CLAY (CH), olive grave SILTY CLAY (CH), olive grave SILTY CLAY (CH), olive grave SILTY CLAY (CH), olive grave [DLD BAY CLAY] CLAYEY SILT (ML), yellow medium stiff, wet, Few sub Torvane = 901 psf [ALLUVIUM]	es of AB over subgrade. ark olive, moist, Coarse-grained ined sands (CH), dark olive gray, moist, . Trace medium-grained sands. [FILL] W), olive mottled with gray, medium -grained sands. Little fine-grained (CH), dark olive gray, moist, . Trace medium-grained sands. [FILL] W), olive mottled with gray, medium -grained sands. Little fine-grained (Soft, wet		Water	5 0 psi 100 psi 150 psi 11	Liquid	Plastic	Plastic	Fines C (% pass	Moistu (% dry	Dry Ur (pcf)	1.00*
LOG - GE															

			R	<b>FORATED</b>	LOG C	)F	B	OF	RII	٩C	G 1	-B	5		
	G	Geoteo San M Reo 9	chn /late dwc 515	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/201 HOLE DEPTH: Approx 6 HOLE DIAMETER: 8.0 in. SURF ELEV (): Approx.	2 66½ ft. 10 ft.		LOGG DRILL	ed / Re Ing CC Drilli Ha	VIEWE DNTRAC NG MET MMER	ED BY: CTOR: THOD: TYPE:	L. Char Pitcher Hollow 140 lb.	n / JK Drilling Stem A Auto Tr	uger ip	
	Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index stim	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
				SANDY CLAY (CL), yellow medium stiff to stiff, wet, F	ish brown mottled with light gray, ine-grained sands.			9	31	13	18	55			
5/12	 35    40	- 11		SILTY CLAY (CL), yellowis fine-grained subangular gra Pocket Torvane = 881 psf.	h brown, medium stiff, wet, Trace avel.			10					24	102.9	0.50*
00 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/		- 13		<u>CLAYEY SILT (ML), olive gray</u> FAT CLAY (CH), olive gray 666 psf.	gray, medium stiff, wet , medium stiff, wet, Pocket Torvane =			13 9					24.2		0.25*
LOG - GEOTECHNICAL 9515.000.00	- - 50 —	- - - - - - - - - - - - - - - - - - -													

		R	<b>FORATED</b>	LOG C	)F	B	O	RII	NG	G 1	-B	5		
G	eoteo San M Reo 9	hn /lat dwc 51	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/14/201 HOLE DEPTH: Approx. 6 HOLE DIAMETER: 8.0 in. SURF ELEV (): Approx. 7	2 66½ ft. 10 ft.		LOGG DRILL	ied / Re .ing Co Drilli Ha	Eviewe DNTRA NG ME MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Hollow 140 lb.	n / JK Drilling Stem A Auto Tr	uger ip	
Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
	- - - - - - - - - - - - - - - - - - -		SILTY SAND (SM), dark ye medium dense, wet, fine-g SILTY CLAY (CL), dark ye stiff, wet, Pocket Torvane =	ellowish brown mottled with olive gray, rained sand lowish brown mottled with olive gray, 1331 psf.			30							2.50*
	- - - - - - - - - - - - - - - - - - -		SILTY SAND (SM), olive g	ray, medium dense, fine-grained sand			14							1.00*
60	- - - - - - - - - - - - - - - - - - -		SILTY CLAY (CL), olive gra	ay, very stiff, wet, Pocket torvane =			23							2.50*
65 —	20 		Pocket Torvane = 1639 psf				31					25.7	101.3	3.00*
			Bottom of the borehole at 6 Ground water table encour during drilling.	6.5 feet below ground surface. Itered at 12 feet below ground surface										

		R	<b>GEO</b> P O R A T E D	LOG C	)F	B	OF	RII	٩C	<b>3</b> 1	-B	6		
G	Seoteo San M Reo S	chn Mate dwc 951	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/16/201 HOLE DEPTH: Approx 3 HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx.	2 36½ ft. 10 ft.		LOGG DRILL	ed / Re Ing Co Drilli Ha	EVIEWE ONTRAC NG MET MMER	ED BY: CTOR: THOD: TYPE:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
<b>Depth in Feet</b>	<b>Depth in Meters</b>	Sample Type	DE	SCRIPTION	-og Symbol	Nater Level	31ow Count/Foot	-iduid Limit	Plastic Limit	plasticity Index stim	-ines Content % passing #200 sieve)	Moisture Content % dry weight)	Dry Unit Weight pcf)	Jnconfined Strength [tsf) *field approx
		Sam	2.5 inches of AC over 21.5 SILTY CLAY (CL), brown, sands. [FILL] SANDY SILT (ML), yellowi medium-grained sand SANDY SILT (ML), black, fragments FAT CLAY (CH), light gray organics. Pocket Torvane = [BAY MUD] Lab Mini Vane Shear = 55 FAT CLAY (CH), olive gray sands. Pocket Torvane = 2 TXUU = 2048.5 psf [OLD BAY CLAY] POORLY GRADED GRAV Fine-to-coarse-grained gra [ALLUVIUM] SANDY GRAVEL (GP), ye	<ul> <li>inches of AB over subgrade.</li> <li>stiff, moist, Trace coarse-grained</li> <li>sh brown, stiff, moist, fine- to</li> <li>medium stiff, wet, Contains brick</li> <li>mottled with black, soft, wet, Few</li> <li>= 246 psf.</li> <li>1 psf</li> <li>/, very stiff, wet, Trace coarse-grained</li> <li>048 psf</li> <li>// EL (GP), gray, medium dense, wet, vel. Trace silts.</li> <li>llowish brown, wet, Fine-grained</li> </ul>		Wate	<u>ма</u> 7 2 50 рsi 18 14 23	103 63	29 13	1881 <u>-</u> 74	Fines (%) ps	23.8 70.1	58.9	(Jss) 2.00*
25 —			sands. Coarse-grained gra	vel with silt.										

LOG - GEOTECHNICAL 9515.000.000 - RW CITY JAIL.GPJ ENGEO INC.GDT 10/5/12

		R	<b>GEO</b> P O R A T E D	LOG C	)F	B	OF	RII	٩G	<b>3</b> 1	-B	6		
C	Geoteo San M Reo 9	chn /late dwc 51	ical Exploration eo County Jail ood City, CA 5.000.000	DATE DRILLED: 8/16/201 HOLE DEPTH: Approx 3 HOLE DIAMETER: 4.0 in. SURF ELEV (): Approx 3	2 36½ ft. 10 ft.		LOGG DRILL	ed / Re Ing CC Drilli Ha	VIEWE DNTRAC NG MET MMER	ed by: Ctor: Thod: Type:	L. Char Pitcher Mud Ro 140 lb.	n / JK Drilling otary Auto Tr	ip	
Depth in Feet	Depth in Meters	Sample Type	DE	SCRIPTION	Log Symbol	Water Level	Blow Count/Foot	Liquid Limit	Plastic Limit	Plasticity Index sti	Fines Content (% passing #200 sieve)	Moisture Content (% dry weight)	Dry Unit Weight (pcf)	Unconfined Strength (tsf) *field approx
ао 	9	Sar	POORLY GRADED GRAV Fine-to-coarse-grained gra CLAYEY SILT (CL), brown coarse-grained sands. SILTY CLAY (CL), brown, 922 psf. SANDY SILT (ML), brown Pocket Torvane = 614 psf. CLAYEY SILT (MH), brown Few fine-grained sands. Po Bottom of the borehole at 3 ground water was encounted	EL WITH SILT (GP), dark gray, wet, vel, trace silts. , medium stiff, wet, Few medium stiff, wet, Pocket Torvane = mottled with gray, medium stiff, wet, ocket Torvane = 1229 psf. 36.5 feet below ground surface. No ered during drilling.		Wa	8	Liq	Pla	Pla	Fine (%)	26.6	100.9	0.5* 0.43 0.5*

## **APPENDIX B**

## JOHN SARMIENTO & ASSOCIATES

**Cone Penetration Test Logs** 















PROJE LOCAT PROJ. Termina	CT: 20-8 CON: Re NO.: 95 <sup>7</sup> ated at 1	80 CHEM dwood Ci 15.000.00 20.0 feet	ICAL WAY ty CA 0(EGO-20	( SITE 05) Gr	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP : 06-12-20 11:20:00 ured at 10	T1 )12 ).7 feet	c	<b>ENGEO,</b> pts by John Sarmiento &	INC. Associates
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)
0.52	75.6	120.96	1.27	1.7	25	40	0.06	39		Silty SAND to Sandy SILT	130-140
1.03	38.5	61.60	2.16	5.6	38	61	0.13		5.12	CLAY	"
1.52	39.7	63.52	2.19	5.5	39	63	0.20		5.28	"	"
2.01	18.8	30.08	1.22	6.5	19	30	0.26		2.49		
2.01	17.0	20.40 27.20	1.20	7.Z	10	20 27	0.33		2.30	11	
3.50	14.6	23.36	1.10	7.5	15	23	0.46		1.92		120-130
4.02	25.6	40.96	1.58	6.2	26	41	0.53		3.38	"	130-140
4.51	23.5	37.60	1.70	7.2	23	37	0.59		3.09	11	"
5.01	13.4	21.44	1.21	9.0	13	21	0.66		1.74		"
5.55	31.0	49.60	0.97	3.1	16	25	0.73		4.08	Clayey SILT to Silty CLAY	"
6.00	8.5	13.60	0.54	6.4	9	14	0.79		1.62	CLAY	110-120
6.54	8.1	12.57	0.53	6.5	8	13	0.85		1.54		
7.07	8.2	12.23	0.58	7.1 5.0	8	12	0.91		1.55		
7.55 8.01	0.0 5.9	9.70	0.34	5.0 5.4	6	8	0.90		1.20		100-110
8.57	5.4	7.36	0.31	5.7	5	7	1.07		0.97	11	"
9.00	2.5	3.35	0.23	9.2	2	3	1.11		0.39	Organic Material	90-100
9.58	1.5	1.97	0.19	12.7	1	2	1.17		0.18		"
10.04	1.0	1.29	0.06	6.0	1	1	1.21		0.08	11	85-90
10.55	0.8	1.02	0.04	5.0	1	1	1.25		0.03		"
11.04	2.3	2.90	0.17	7.4	2	3	1.27		0.33	"	90-100
11.54	4.1	5.13	0.32	7.8	4	5	1.29		0.68		100-110
12.00	5.5 6.7	0.00 8.23	0.42	7.9	5	8	1.31		1 19	ULAT "	110-120
13.05	7.4	8.99	0.50	6.8	7	9	1.36		1.33		"
13.55	11.2	13.44	0.67	6.0	11	13	1.40		1.73	"	120-130
14.05	13.2	15.64	0.77	5.8	13	16	1.43		1.65	11	"
14.55	19.8	23.12	0.88	4.4	20	23	1.46		2.53	н	130-140
15.03	17.9	20.61	0.99	5.5	18	20	1.50		2.27	"	"
15.52	24.0	27.31	1.19	5.0	24	27	1.53		3.08	"	"
16.05	22.9	25.74	1.02	4.5	23	26	1.57		2.93		
17.05	23.1 16.8	25.07	0.80	3.0 4.8	13	18	1.61		2.95	CLAY	120-130
17.54	19.0	20.69	0.86	4.5	19	20	1.67		2.39	"	"
18.07	26.5	28.48	1.18	4.5	17	19	1.71		3.39	Silty CLAY to CLAY	130-140
18.57	30.3	32.18	1.48	4.9	30	32	1.74		3.89	CLAY	"
19.06	25.4	26.74	1.40	5.5	25	26	1.78		3.23	11	"
19.56	18.0	18.81	0.83	4.6	18	19	1.81		2.24	11	120-130
20.05	14.5	15.05	0.58	4.0	14	15	1.84		1.77		"
20.55	16.8	17.31	0.65	3.9	11	11	1.87		2.07	Silty CLAY to CLAY	120 140
21.03	22.3	22.79	1.02	4.0	22	22	1.91		2.00	ULAT	130-140
21.34	19.0	22.49 19.09	1.12	5.0 5.4	19	19	1.95		2.70	11	
22.53	17.4	17.40	0.90	5.2	17	17	2.01		2.14	11	120-130
23.02	10.9	10.89	0.49	4.5	11	11	2.04		1.58		
23.52	8.6	8.59	0.28	3.3	8	8	2.06		1.43	н	100-110
24.03	6.5	6.49	0.22	3.4	6	6	2.09		1.01		"
24.51	9.7	9.68	0.31	3.2	6	6	2.11		1.37	Silty CLAY to CLAY	110-120
25.07	19.6	19.54	0.65	3.3	10	10	2.15		2.41	Clayey SILT to Silty CLAY	120-130
25.57	14.0	13.95	0.57	4.1	14	14	2.18		1.66	CLAY	
20.00	11.4 15.4	11.35	0.50	4.4 २.२	11	11	2.20		1.04 1.04	Silty CLAV to CLAV	
27.03	11 4	11.34	0.43	3.8	11	11	2.24		1.63	CLAY	110-120
			5.10	0.0			2.20				

PROJE LOCAT PROJ. Termina	CT: 20-8 ION: Re NO.: 951 ated at 1	30 CHEMI dwood Cit 15.000.00 20.0 feet	ICAL WAY ty CA 0(EGO-20	SITE 5) Gr	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP <sup>-</sup> : 06-12-20 : 11:20:00 sured at 10	T1 012 0.7 feet	c	<b>ENGEO,</b> pts by John Sarmiento &	INC. Associates
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)
27.54	5.6	5.57	0.26	4.6	5	5	2.29		0.79	CLAY	100-110
28.00	7.2	7.16	0.21	2.9	7	7	2.31		1.10	"	"
28.51	6.0	5.96	0.19	3.2	6	6	2.33		0.86	"	"
29.02	10.3	10.23	0.45	4.4	10	10	2.35		1.42		110-120
29.55	11.9	11.01	0.50	4.2	12	12	2.39		1.09		120-130
30.58	8.6	8.52	0.41	4.8	8	8	2.45		1.35		110-120
31.07	5.9	5.85	0.19	3.2	6	6	2.46		0.81	н	90-100
31.58	6.6	6.54	0.22	3.3	6	6	2.48		0.94	н	100-110
32.08	6.1	6.04	0.16	2.6	6	6	2.50		0.84	н	90-100
32.51	6.7	6.59	0.18	2.7	4	4	2.52		0.95	Silty CLAY to CLAY	100-110
33.02	6.4	6.26	0.15	2.3	4	4	2.54		0.89	11	90-100
33.52	33.8	32.66	1.01	3.0	17	16	2.57		4.24	Clayey SILT to Silty CLAY	130-140
34.01	60.7	58.04	0.61	1.0	15	14	2.60	35		SAND to Silty SAND	120-130
34.57	43.0	40.54	0.94	2.2	17	16	2.64		5.46	Sandy SILT to Clayey SILT	130-140
35.06	49.5	46.09	1.33	2.7	20	18	2.68		6.32	11	"
35.55	14.5	13.35	0.42	2.9	7	7	2.71		1.65	Clayey SILT to Silty CLAY	120-130
36.04	9.5	8.68	0.27	2.8	6	6	2.73		1.22	Silty CLAY to CLAY	100-110
36.54	13.7	12.38	0.56	4.1	14	12	2.76		1.53	CLAY	120-130
37.03	14.0	12.51	0.56	4.0	14	12	2.79		1.57		110,100
37.56	10.7	9.46	0.37	3.5	7	6	2.82		1.41	Slity CLAY to CLAY	110-120
38.07	11.5	10.07	0.38	3.3	7	0	2.85		1.54		
30.57	9.7	8 34	0.29	2.0	6	5	2.07		1.33	11	100-110
39.58	9.9	8 44	0.23	2.4	6	5	2.03		1.25		"
40.08	14.9	12.55	0.56	3.8	9	8	2.95		1.67	н	120-130
40.50	11.1	9.27	0.32	2.9	7	6	2.97		1.45		110-120
41.05	12.5	10.34	0.25	2.0	6	5	2.99		1.34	Clavey SILT to Silty CLAY	100-110
41.55	23.2	19.06	0.99	4.3	15	12	3.03		2.76	Silty CLAY to CLAY	130-140
42.05	64.9	53.09	0.89	1.4	22	18	3.06	34		Silty SAND to Sandy SILT	120-130
42.56	12.7	10.35	0.30	2.4	6	5	3.09		1.35	Clayey SILT to Silty CLAY	110-120
43.00	11.9	9.67	0.31	2.6	6	5	3.11		1.56	н	"
43.52	11.5	9.30	0.28	2.4	6	5	3.14		1.48	"	"
44.06	11.3	9.11	0.27	2.4	5	4	3.17		1.45	11	"
44.58	13.0	10.44	0.42	3.2	8	7	3.19		1.38	Silty CLAY to CLAY	"
45.03	13.1	10.48	0.37	2.8	8	7	3.22		1.39		"
45.55	13.4	10.67	0.55	4.1	13	10	3.25		1.42		120-130
46.08	17.3	13.71	0.53	3.1	8 12	10	3.28		1.94	Clayey SILT to Slity CLAY	
40.52	33.Z	20.20	0.07	2.0	13	10	3.31		4.00		
47.04	17.4	13.61	0.47	3.4	11	, 9	3 37		1.45		
48.02	16.2	12.61	0.61	3.8	10	8	3 40		1.54		
48.55	15.1	11.70	0.53	3.5	10	7	3.44		1.63	н	н
49.06	13.6	10.49	0.43	3.2	9	7	3.47		1.42	"	"
49.59	14.4	11.05	0.41	2.8	7	5	3.50		1.52	Clayey SILT to Silty CLAY	"
50.02	14.2	10.85	0.44	3.1	9	7	3.53		1.49	Silty CLAY to CLAY	"
50.52	14.1	10.73	0.40	2.8	7	5	3.56		1.48	Clayey SILT to Silty CLAY	110-120
51.01	14.1	10.69	0.37	2.6	6	5	3.58		1.47	11	"
51.54	14.6	11.02	0.41	2.8	7	5	3.61		1.54	н	н
52.06	12.4	9.32	0.27	2.2	6	4	3.64		1.24	11	"
52.58	13.8	10.33	0.29	2.1	6	5	3.66		1.42	11	"
53.02	16.7	12.45	0.63	3.8	10	8	3.69		1.80	Silty CLAY to CLAY	120-130
53.53	38.1	28.24	1.97	5.2	38	28	3.73		4.65	CLAY	130-140
54.01	28.1	20.71	0.78	2.8	14	10	3.76		3.31	Clayey SILT to Silty CLAY	

PROJE LOCAT PROJ. Termina	ECT: 20-8 TION: Re NO.: 951 ated at 12	30 CHEMI dwood Cit 5.000.00 20.0 feet	CAL WAY ty CA 0(EGO-20	SITE 5) Gi	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP : 06-12-20 11:20:00 sured at 10	Γ1 )12 .7 feet	c	<b>ENGEO,</b> pts by John Sarmiento &	INC. Associates
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)
54.52	16.1	11.81	0.57	3.5	10	8	3.80		1.71	Silty CLAY to CLAY	120-130
55.04	17.4	12.70	0.81	4.7	17	12	3.83		1.88	CLAY	"
55.55	18.3	13.29	0.85	4.6	18	13	3.86		2.00	"	"
56.06	18.8	13.57	1.02	5.4	18	13	3.90		2.06		130-140
57.06	17.0	12.70	0.00	4.0 1 1	11	12	3.93		1.92	Silty CLAV to CLAV	120-130
57.57	18.3	13.01	0.72	3.2	9	6	3.99		1.00	Clavey SILT to Silty CLAY	
58.09	20.7	14.65	0.88	4.3	13	9	4.02		2.29	Silty CLAY to CLAY	
58.51	23.6	16.65	0.91	3.9	15	11	4.05		2.68	"	130-140
59.02	17.8	12.51	0.58	3.3	11	8	4.09		1.90		120-130
59.53	15.1	10.57	0.50	3.3	9	6	4.12		1.53	н	"
60.04	16.8	11.71	0.54	3.2	10	7	4.15		1.76		"
60.53	15.7	10.91	0.55	3.5	10	7	4.18		1.61	н	"
61.04	16.0	11.07	0.53	3.3	10	7	4.21		1.64	н	"
61.55	16.2	11.16	0.59	3.6	10	7	4.25		1.66	"	"
62.06	16.5	11.33	0.58	3.5	10	7	4.28		1.70		"
62.57	16.1	11.01	0.58	3.6	10	7	4.31		1.64	"	"
63.08	17.3	11.78	0.66	3.8	11	7	4.34		1.80	"	
63.55	20.1	13.64	0.80	4.0	13	9	4.37		2.17		100 110
64.00 64.57	21.9	14.79	1.12	5.1	21	14	4.41		2.40	CLAY "	130-140
65.08	20.7	13.91	0.94	4.5 1 1	20 21	10	4.44		2.24	н	
65 50	22.0	14.72	0.90	4.4	21	14	4.40		2.41		
66.07	21.3	14.12	1.04	4.9	20	14	4.55		2.31	11	
66.56	20.6	13.60	0.84	4.1	13	9	4.58		2.21	Silty CLAY to CLAY	120-130
67.06	22.6	14.85	0.93	4.1	14	9	4.62		2.47	"	130-140
67.55	24.4	15.96	0.96	3.9	15	10	4.66		2.71		"
68.04	24.0	15.63	1.06	4.4	15	10	4.69		2.65	11	"
68.54	25.7	16.66	1.09	4.2	16	11	4.73		2.87		"
69.05	25.1	16.19	1.19	4.7	24	16	4.76		2.79	CLAY	"
69.56	27.4	17.59	1.25	4.6	18	11	4.80		3.09	Silty CLAY to CLAY	"
70.00	27.3	17.45	1.19	4.4	18	11	4.83		3.07	"	"
70.52	26.8	17.04	1.21	4.5	17	11	4.87		3.00	"	"
71.04	25.5	16.13	1.14	4.5	16	10	4.91		2.82		100,400
71.55	21.0	13.23	0.80	3.8	13	8	4.94		2.22		120-130
72.07	21.2	13.30	0.70	3.7	13	0	4.97 5.01		2.24	Clavey SILT to Silty CLAV	
73.01	23.4	14.57	0.97	4 1	15	9	5.04		2.50	Silty CLAY to CLAY	130-140
73.57	23.3	14.45	0.69	3.0	10	7	5.07		2.51	Clavey SILT to Silty CLAY	120-130
74.06	23.2	14.35	0.62	2.7	11	7	5.10		2.49	"	"
74.55	25.5	15.71	0.84	3.3	12	7	5.14		2.79		130-140
75.04	25.6	15.71	0.88	3.4	12	7	5.17		2.80		"
75.53	26.2	16.02	1.31	5.0	25	15	5.21		2.88	CLAY	"
76.01	25.5	15.53	1.40	5.5	25	15	5.24		2.78		"
76.52	23.5	14.26	1.11	4.7	23	14	5.28		2.51	п	"
77.02	21.8	13.18	0.91	4.2	14	8	5.32		2.28	Silty CLAY to CLAY	"
77.58	21.6	13.01	0.74	3.4	10	6	5.35		2.24	Clayey SILT to Silty CLAY	120-130
78.05	20.5	12.30	0.57	2.8	10	6	5.38		2.09		
78.53	22.1	13.22	0.63	2.9	10	6	5.41		2.30	"	"
79.01	38.4	22.89	1.43	3.7	19	11	5.45		4.47		130-140
79.58	20.8	12.35	0.73	3.5	13	8	5.48		2.12		120-130
00.04 80.52	20.3 10.4	12.01	0.60	ა.∠ ე1	9	5 F	5.51		2.05		
81.06	19.4	11.44	0.00 0 59	3.1	9 Q	5 5	5.54		1.93	п	
01.00	13.0	11.02	0.00	0.0	3	5	0.00		1.55		

PROJECT: 20-80 CHEMICAL WAY SIT LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 120.0 feet					E CPT NO.: 1-CPT1 DATE: 06-12-2012 TIME: 11:20:00 Groundwater measured at 10.7 feet					<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates		
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
81.53	20.7	12.12	0.61	2.9	9	6	5.60		2.09	Clayey SILT to Silty CLAY	120-130	
82.07	21.4	12.49	0.58	2.7	10	6	5.64		2.18	"		
82.54	20.9	12.16	0.59	2.8	10	6	5.67		2.11			
03.04 83.58	24.0 23.8	13.91	0.60	2.0	11	6	5.70 5.73		2.52			
84 04	23.0 24.8	14 27	0.55	3.1	11	7	5.75		2.43			
84.55	28.5	16.33	1.00	3.5	14	. 8	5.80		3.11	11	130-140	
85.02	35.8	20.44	1.05	2.9	17	10	5.83		4.07	н	"	
85.57	37.3	21.20	1.52	4.1	24	14	5.87		4.27	Silty CLAY to CLAY	"	
86.06	35.7	20.21	1.47	4.1	23	13	5.91		4.05	11	"	
86.54	31.1	17.53	1.21	3.9	15	8	5.94		3.43	Clayey SILT to Silty CLAY	"	
87.02	28.4	15.94	1.19	4.2	18	10	5.98		3.07	Silty CLAY to CLAY	"	
87.51	26.8	14.99	1.15	4.3	17	10	6.01		2.85	11	"	
88.01	24.1	13.44	0.96	4.0	16	9	6.05		2.49		"	
88.58	22.3	12.39	0.64	2.9	11	6	6.09		2.24	Clayey SILT to Silty CLAY	120-130	
89.07	20.9	11.58	0.58	2.8	10	5	6.12		2.05		"	
89.55	26.3	14.53	1.02	3.9	17	9	6.15		2.77	Silty CLAY to CLAY	130-140	
90.04	24.9	13.71	1.07	4.3 5.0	25	13	6.19		2.58			
90.52	22.9	14.22	0.78	3.9	23 14	13	6.26		2.71	Silty CLAY to CLAY	120-130	
91.54	20.8	11.35	0.55	2.6	14	5	6.29		2.02	Clavey SILT to Silty CLAY	"	
92.02	21.2	11.54	0.46	2.2	10	5	6.32		2.07	"		
92.57	22.8	12.37	0.62	2.7	10	6	6.35		2.28	"	"	
93.05	23.3	12.61	0.68	2.9	11	6	6.38		2.34		"	
93.52	23.6	12.73	0.64	2.7	11	6	6.41		2.37	11	"	
94.00	25.4	13.67	0.62	2.4	12	6	6.44		2.61	"	"	
94.54	26.7	14.32	0.57	2.1	10	5	6.47		2.78	Sandy SILT to Clayey SILT	"	
95.06	25.3	13.53	0.54	2.1	9	5	6.51		2.59	11	"	
95.51	24.8	13.23	0.51	2.1	9	5	6.53		2.52		"	
96.06	26.8	14.25	0.57	2.1	10	5	6.57		2.78	"	"	
96.54	27.4	14.52	0.58	2.1	10	5	6.60		2.86	"		
97.03	29.1	15.38	0.64	2.2	10	6	6.63		3.08			
97.50	30.1	15.60	0.56	1.9	11	6	6.60		3.21			
98.04	31.7	16.05	0.05	2.1	12	6	6.72		3.42		"	
99.00	34.0	17.76	0.72	2.1	12	7	6.75		3.72	н		
99.56	32.8	17.08	0.69	2.1	12	6	6.79		3.55	11	"	
100.05	33.2	17.23	0.71	2.1	12	6	6.82		3.60	н	"	
100.54	33.4	17.28	0.84	2.5	12	6	6.85		3.62	"	130-140	
101.02	35.3	18.20	0.82	2.3	13	7	6.89		3.87	11	"	
101.52	38.1	19.57	0.94	2.5	14	7	6.92		4.24	"	"	
102.03	40.4	20.68	0.96	2.4	15	7	6.96		4.54	11	"	
102.53	46.3	23.62	1.20	2.6	17	9	7.00		5.32	"	"	
103.00	46.4	23.62	1.09	2.3	17	9	7.03		5.33	"		
103.55	46.4	23.56	1.26	2.7	1/	9	7.07		5.33			
104.00	51.9 62.4	20.37 31 FG	1.05	3.Z	19	10 24	7.10		0.06 7 15	Very Stiff Fine Crained *		
104.51	02.4 45 7	23.06	2.04	5.7 4 A	02 30	31 15	7.14		7.40 5.22	Silty CLAV to CLAV	"	
105.51		13 49	0.99	37	13	6	7 22		2.22 2.70	Clavey SILT to Silty CLAY		
106.01	27.1	13.62	0.62	2.3	10	5	7.25		2.73	Sandy SILT to Clavev SII T	120-130	
106.50	29.2	14.65	0.76	2.6	11	5	7.28		3.01	"	"	
107.02	26.6	13.32	0.61	2.3	10	5	7.31		2.66	н	"	
107.51	27.4	13.69	0.58	2.1	10	5	7.34		2.76	н		
108.04	26.1	13.02	0.58	2.2	9	5	7.37		2.58	п	11	

PROJE LOCAT PROJ. Termina	CPT NO.: 1-CPT1 DATE: 06-12-2012 TIME: 11:20:00 roundwater measured at 10.7 feet				<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates						
DEPTH	Qt	Qt'	Fs	Rf	SPT	SPT'	EffVtStr	PHI	SU	SOIL BEHAVIOR	DENSITY RANGE
(feet)	(tsf)	(tsf)	(tsf)	(%)	(N)	(N')	(ksf)	(deg.)	(ksf)	TYPE	(pcf)
108.54	25.5	12.70	0.54	2.1	9	5	7.40		2.50	Sandy SILT to Clayey SILT	120-130
109.04	26.3	13.07	0.55	2.1	9	5	7.44		2.60	"	
109.54	26.4	13.09	0.58	2.2	9	5	7.47		2.61		
110.04	27.3	13.51	1.20	4.4	18	9	7.50		2.73	Silty CLAY to CLAY	130-140
110.51	25.3	12.50	0.61	2.4	11	6	7.53		2.46	Clayey SILT to Silty CLAY	120-130
111.00	27.5	13.56	0.60	2.2	10	5	7.56		2.74	Sandy SILT to Clayey SILT	
111.52	27.4	13.48	0.58	2.1	10	5	7.60		2.73	"	
112.04	40.0	19.64	1.17	2.9	15	7	7.63		4.40		130-140
112.52	40.6	19.89	1.11	2.7	15	7	7.67		4.48		
113.04	27.9	13.64	0.61	2.2	10	5	7.70		2.78		120-130
113.52	26.7	13.03	0.81	3.0	12	6	7.73		2.62	Clayey SILT to Silty CLAY	
114.03	34.5	16.80	1.59	4.6	22	11	7.77		3.65	Silty CLAY to CLAY	130-140
114.51	49.7	24.15	1.68	3.4	24	11	7.80		5.67	Clayey SILT to Silty CLAY	"
115.04	25.8	12.51	0.64	2.5	12	6	7.84		2.48		120-130
115.53	32.9	15.92	1.34	4.1	21	10	7.87		3.43	Silty CLAY to CLAY	130-140
116.01	50.7	24.48	1.35	2.7	19	9	7.91		5.79	Sandy SILT to Clayey SILT	
116.52	24.7	11.90	0.48	1.9	9	4	7.94		2.32		120-130
117.04	46.3	22.26	1.53	3.3	21	10	7.98		5.20	Clayey SILT to Silty CLAY	130-140
117.53	65.5	31.42	2.04	3.1	25	12	8.01		7.75	Sandy SILT to Clayey SILT	"
118.03	25.5	12.21	0.56	2.2	9	4	8.04		2.42		120-130
118.51	27.0	12.90	0.58	2.1	10	5	8.07		2.61	"	"
119.01	26.4	12.59	0.53	2.0	9	4	8.11		2.53	"	"
119.53	27.9	13.28	0.55	2.0	10	5	8.14		2.72	"	"
120.04	26.9	12.77	0.52	1.9	9	5	8.17		2.59	п	

DEPTH = Sampling interval (~0.1 feet)

Qc = Tip bearing uncorrected Qt = Tip bearing corrected Fs = Sleeve friction resistance Rf = Qt / Fs SPT = Equivalent Standard Penetration Test Qt' and SPT' = Qt and SPT corrected for overburden

EffVtStr = Effective Vertical Stress using est. density\*\* Phi = Soil friction angle\*

Su = Undrained Soil Strength\* (see classification chart)

References: \* Robertson and Campanella, 1988 \*\*Olsen, 1989 \*\*\* Durgunoglu & Mitchell, 1975

PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205)					CPT NO.: 1-CPT2 DATE: 06-11-2012 TIME: 10:55:00				<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
rennin	aleu al o	0.0 leel		Gi	oundwate	measu	lieu al 11.	STeel				
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
0.54	63.4	101.44	3.48	5.5	63	101	0.06		8.45	Very Stiff Fine Grained *	130-140	
1.00	48.3	115 20	9.09 5.84	18.8	48	115	0.13		0.43	Very Stiff Fine Grained *	>140	
2.01	166 1	265 76	4 69	2.8	55	89	0.20	44	3.55	Silty SAND to Sandy SILT	130-140	
2.51	90.6	144.96	1.99	2.2	30	48	0.33	40		"	"	
3.01	16.1	25.76	1.30	8.1	16	26	0.40		2.12	CLAY		
3.58	12.7	20.32	1.01	8.0	13	20	0.47		1.66		120-130	
4.04	10.4	16.64	1.18	11.3	10	17	0.53		1.69	Organic Material		
4.50	40.2	64.32	1.58	3.9	20	32	0.59		5.32	Clayey SILT to Silty CLAY	130-140	
5.05	51.1	81.76	2.15	4.2	26	41	0.67		6.77	"	"	
5.53	36.4	58.24	1.72	4.7	24	39	0.73		4.80	Silty CLAY to CLAY	"	
6.07	11.4	18.24	0.95	8.3	11	18	0.80		1.83	CLAY	120-130	
6.55 7.02	13.3	20.51	0.88	6.6 6.5	13	21	0.86		1.72			
7.02	8.8 11.0	13.05	0.57	0.0 5.3	9	13	0.92		1.67			
8.03	9.5	13.01	0.58	5.5	10	10	1.04		1.75	11		
8.52	11.4	15.34	0.57	5.0	10	15	1.10		1.81			
9.01	8.2	10.79	0.43	5.2	8	11	1.16		1.52	п	110-120	
9.55	6.6	8.49	0.31	4.7	7	8	1.22		1.20	н	100-110	
10.05	5.8	7.31	0.21	3.6	6	7	1.27		1.03	н		
10.51	5.1	6.32	0.19	3.7	5	6	1.31		0.89	"	90-100	
11.03	5.8	7.04	0.28	4.8	6	7	1.37		1.02	11	100-110	
11.54	8.9	10.66	0.69	7.8	9	11	1.40		1.64	"	120-130	
12.01	11.6	13.73	0.75	6.5	12	14	1.43		1.81		"	
12.53	9.9	11.56	0.56	5.7	10	12	1.46		1.52	"	"	
13.04	12.6	14.52	0.61	4.8	13	15	1.49		1.57	"		
13.51	12.9	14.72	0.63	4.9	13	15	1.52		1.61			
14.04	10.2	12.00	0.57	5.U 2.5	12	13	1.50		1.75	Silty CLAV to CLAV		
15.04	25.3	27.96	0.96	3.8	17	19	1.53		3 25		130-140	
15.57	24.3	26.52	0.97	4.0	16	18	1.66		3.11	11	"	
16.05	28.5	30.74	1.33	4.7	28	31	1.70		3.67	CLAY	"	
16.52	28.2	30.07	1.52	5.4	28	30	1.73		3.62	11	"	
17.06	19.9	20.99	0.96	4.8	20	21	1.77		2.51	11	"	
17.53	15.4	16.14	0.64	4.2	15	16	1.80		1.91	11	120-130	
18.00	11.6	12.09	0.40	3.4	8	8	1.83		1.74	Silty CLAY to CLAY	110-120	
18.53	11.4	11.80	0.35	3.1	8	8	1.85		1.71	11	"	
19.01	11.6	11.94	0.34	2.9	8	8	1.88		1.74	11	"	
19.50	12.6	12.89	0.31	2.5	6	6	1.90		1.52	Clayey SILT to Silty CLAY	"	
20.01	11.6	11.79	0.25	2.2	6	6	1.93		1.73			
20.52	11.3	11.41	0.31	2.7	(	( 6	1.96		1.67			
21.03 21.51	10.2	11.04	0.30	∠.0 2.2	ю 5	0	1.99 2.01		1.72	Unayey SILT to SIITY CLAY	100-110	
21.01	10.3	10.30	0.23	2.2	5	5	2.01 2.03		1.50	11	110-120	
22.57	12.0	11.99	0.33	2.8	8	8	2.06		1.41	Silty CLAY to CLAY	"	
23.01	15.8	15.77	0.39	2.5	8	8	2.09		1.92	Clayey SILT to Silty CLAY	120-130	
23.52	15.5	15.46	0.34	2.2	8	8	2.12		1.87	"	110-120	
24.03	16.1	16.05	0.63	3.9	10	10	2.15		1.95	Silty CLAY to CLAY	120-130	
24.53	24.9	24.81	0.83	3.3	12	12	2.18		3.12	Clayey SILT to Silty CLAY	130-140	
25.04	21.6	21.50	0.87	4.0	14	14	2.22		2.67	Silty CLAY to CLAY	"	
25.54	17.2	17.11	0.68	4.0	11	11	2.25		2.08	11	120-130	
26.04	14.1	14.02	0.51	3.6	9	9	2.28		1.67	11	"	
26.55	10.6	10.53	0.48	4.5	10	10	2.31		1.49	CLAY	110-120	
27.05	15.1	15.00	0.72	4.8	15	15	2.34		1.79	11	120-130	
27.55	21.9	21.74	0.78	3.6	14	14	2.37		2.69	Silty CLAY to CLAY	"	
											Page 1 of 3	

PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205)					CPT NO.: 1-CPT2 DATE: 06-11-2012 TIME: 10:55:00				<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
Termin	ated at 8	80.0 feet		Gr	oundwate	r measu	ured at 11.	3 feet				
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
28.01	21.8	21.62	0.84	3.9 4 2	14 12	14 12	2.40		2.68	Silty CLAY to CLAY	120-130	
29.01	15.9	15.75	0.70	4.4	15	15	2.46		1.88	CLAY		
29.51	11.2	11.09	0.47	4.2	11	11	2.49		1.56	н	110-120	
30.06	12.5	12.27	0.49	3.9	12	12	2.52		1.42	п	120-130	
30.51	11.6	11.30	0.40	3.4	7	7	2.55		1.62	Silty CLAY to CLAY	110-120	
31.04	11.7	11.29	0.46	3.9	11	11	2.58		1.63		"	
31.53	12.4 15.9	11.86	0.40	3.2	8 10	8 10	2.60		1.39	Silty CLAY to CLAY	120 120	
32.04	13.0	13.00	0.00	3.0 4.3	10	13	2.03		1.04	CLAY	"	
33.02	12.4	11.48	0.52	4.2	12	11	2.70		1.38	"		
33.51	12.8	11.72	0.51	4.0	13	11	2.73		1.43		"	
34.01	14.4	13.03	0.61	4.2	14	13	2.76		1.64		"	
34.55	13.1	11.71	0.44	3.4	8	8	2.79		1.46	Silty CLAY to CLAY	"	
35.00	13.3	11.76	0.51	3.8	13	11	2.82		1.49	CLAY	"	
35.58	12.5	10.93	0.44	3.5	8	7	2.85		1.37	Silty CLAY to CLAY	110-120	
36.56	13.0	11.20	0.38	2.9	0 7	6	2.00		1.44	Clavey SILT to Silty CLAY		
37.05	14.6	12.37	0.46	3.2	, 9	8	2.93		1.64	Silty CLAY to CLAY	120-130	
37.54	14.2	11.91	0.37	2.6	7	6	2.96		1.59	Clayey SILT to Silty CLAY	110-120	
38.07	20.1	16.60	1.09	5.4	20	16	3.00		2.37	CLAY	130-140	
38.52	119.7	98.35	3.03	2.5	40	33	3.03	38		Silty SAND to Sandy SILT	"	
39.06	260.7	213.19	2.29	0.9	52	43	3.06	42		SAND	120-130	
39.52	170.5	138.86	1.81	1.1 5.6	34	28	3.09	40	 2 0 2		120,140	
40.07	23.7 13.7	19.19	1.32	0.0 3.7	24	19	3.13		2.03	Silty CLAY	130-140	
41.06	13.4	11.17	0.35	2.5	7	6	3.10		1.43	Clavev SILT to Silty CLAY	110-120	
41.55	14.4	11.53	0.35	2.4	7	6	3.21		1.58	"	"	
42.06	16.9	13.46	0.51	3.0	8	7	3.25		1.91	п	120-130	
42.57	16.3	12.93	0.48	2.9	8	6	3.28		1.82	"	"	
43.01	16.3	12.87	0.64	3.9	11	8	3.31		1.82	Silty CLAY to CLAY	"	
43.53	21.2	16.65	0.88	4.2	14	11	3.34		2.47	SAND	130-140	
44.02 44.52	33.1	25 76	1.05	0.0 3.4	20 17	21 13	3.37 3.41		4.05	Clavey SILT to Silty CLAV	130-140	
45.04	27.9	21.60	0.86	3.1	14	11	3.44		3.35	"	"	
45.54	22.8	17.55	0.84	3.7	15	12	3.48		2.66	Silty CLAY to CLAY	"	
46.05	19.1	14.63	0.68	3.6	13	10	3.51		2.17	"	120-130	
46.55	18.1	13.80	0.58	3.2	9	7	3.54		2.03	Clayey SILT to Silty CLAY	"	
47.07	16.6	12.60	0.45	2.7	8	6	3.58		1.83			
47.50	17.0	12.85	0.41	2.4	8	6	3.60		1.87			
48.02 48.53	18.0	13.54	0.52	2.9	9 10	7	3.63 3.67		2.00			
49.05	18.6	13.85	0.45	2.4	9	7	3.70		2.08	н		
49.56	17.3	12.82	0.39	2.3	8	6	3.73		1.90	п	"	
50.08	18.6	13.71	0.44	2.4	9	6	3.76		2.07	"	"	
50.50	20.6	15.12	0.58	2.8	10	7	3.79		2.33	н	"	
51.01	57.8	42.19	2.71	4.7	37	27	3.83		7.29	Silty CLAY to CLAY	130-140	
51.52	17.3	12.56	0.55	3.2	8	6	3.86		1.88	Clayey SILT to Silty CLAY	120-130	
52.03 52.54	18.3 18.0	13.22 13.50	0.48	∠.७ 2.0	9 Q	0 A	3.89 2.02		2.01	"		
53.05	19.9	14.23	0.61	2.3 3.1	9	7	3.95		2.03			
53.55	19.1	13.59	0.52	2.7	9	6	3.99		2.10	н		
54.05	22.0	15.58	1.06	4.8	20	14	4.02		2.49	CLAY	130-140	
54.55	74.4	52.45	1.85	2.5	30	21	4.06		9.47	Sandy SILT to Clayey SILT		
55.04	26.6	18.67	1.68	6.3	26	18	4.09		3.09	CLAY	" Page 2 of 3	
PROJE LOCAT PROJ. Termina	PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 80.0 feet Gro					CPT N DATE TIME:	NO.: 1-CP <sup>-</sup> : 06-11-20 10:55:00 ured at 11.	T2 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
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DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
55.50	21.4	14.96	1.32	6.2	21	15	4.13		2.39	CLAY	130-140	
56.04	72.2	50.24 27.16	3.89	5.4 5.5	72	50	4.17		9.16	Very Stiff Fine Grained *		
57.04	39.2 37.4	27.10	2.15	5.0	39	21	4.20		4.70	ULAT		
57.54	49.5	33.98	2.43	4.9	33	23	4.28		6.12	Silty CLAY to CLAY		
58.01	26.1	17.84	1.65	6.3	26	18	4.31		3.00	CLAY	"	
58.55	18.9	12.87	0.90	4.8	19	13	4.34		2.03	"	120-130	
59.02	20.7	14.04	0.84	4.1	14	9	4.37		2.27	Silty CLAY to CLAY	"	
59.58	20.7	13.98	0.86	4.2	13	9	4.41		2.26	"	"	
60.05	18.4	12.38	0.73	4.0	12	8	4.44		1.95	"	"	
60.58	17.2	11.52	0.59	3.4	11	7	4.47		1.79	"		
61.06	17.9	11.95	0.64	3.0	11	8 Q	4.50		1.88			
62.06	10.0	12.50	0.71	3.0 4.0	12	8	4.55		2.06			
62.52	21.3	14.05	0.89	4.2	12	9	4.59		2.32	п		
63.01	22.0	14.45	0.92	4.2	14	9	4.63		2.41	"	130-140	
63.53	21.6	14.11	1.00	4.6	21	13	4.67		2.35	CLAY		
64.00	22.0	14.31	0.93	4.2	14	9	4.70		2.40	Silty CLAY to CLAY	"	
64.56	23.0	14.88	0.99	4.3	15	9	4.74		2.53	"	"	
65.05	23.4	15.07	0.98	4.2	15	10	4.78		2.58	п	"	
65.54	24.9	15.96	1.06	4.3	16	10	4.81		2.77	"	"	
66.03	25.1	16.01	1.19	4.7	24	16	4.85		2.79	CLAY		
66.52	26.0	16.51	1.21	4.7	25 16	16	4.88		2.91			
67.00	24.7	15.00	1.09	4.4 4 1	16	10	4.92		2.73	SIILY CLAT TO CLAT	"	
68.05	25.3	15.83	1.17	4.6	24	15	4.99		2.80	CLAY		
68.53	25.2	15.70	1.09	4.3	16	10	5.03		2.79	Silty CLAY to CLAY	"	
69.02	25.0	15.52	0.94	3.8	16	10	5.06		2.75	"	"	
69.51	25.2	15.59	0.90	3.6	12	8	5.10		2.78	Clayey SILT to Silty CLAY	"	
70.07	24.8	15.27	0.96	3.9	16	10	5.14		2.72	Silty CLAY to CLAY	"	
70.55	23.4	14.36	0.84	3.6	15	9	5.18		2.53	"	"	
71.04	25.1	15.34	0.86	3.4	12	(	5.21		2.75	Clayey SILT to Silty CLAY		
71.52	27.2	16.57	0.85	3.1	13	8	5.25 5.28		3.03	"	120-130	
72.00	27.3	16.50	0.75	2.9	12	, 8	5.20		2.03	п	130-140	
73.03	28.4	17.10	0.99	3.5	13	8	5.35		3.17	"	"	
73.53	28.0	16.80	1.04	3.7	13	8	5.39		3.11	п	"	
74.00	26.4	15.78	1.12	4.2	17	10	5.42		2.90	Silty CLAY to CLAY	"	
74.56	23.1	13.75	0.98	4.2	15	9	5.46		2.45	н	"	
75.04	21.4	12.69	0.98	4.6	21	12	5.50		2.22	CLAY	"	
75.52	21.6	12.76	1.00	4.6	21	12	5.53		2.24	"	"	
76.07	20.5	12.06	0.86	4.2	13	8	5.57		2.09	Silty CLAY to CLAY	120-130	
76.54	21.7	12.72	0.82	3.8	14	8	5.59		2.25	Clavov SILT to Silty CLAV		
77.50	20.0 23.3	13.57	0.62	27	11	6	5.62 5.65		2.10			
78.06	21.5	12.47	0.61	2.8	10	6	5.69		2.21	"	"	
78.55	20.7	11.97	0.64	3.1	10	6	5.72		2.10	н	"	
79.01	21.0	12.10	0.67	3.2	10	6	5.75		2.13	"	"	
79.52	21.7	12.46	0.70	3.2	10	6	5.78		2.22	н	"	
80.03	21.8	12.47	0.72	3.3	10	6	5.81		2.23	"	"	
DEPTH Qc = T SPT = EffVtSt	H = Samp ip bearin Equivale r = Effec	bling interv g uncorre nt Standa tive Vertic	val (~0.1 fe cted Qt ard Penetra cal Stress (	eet) = Tip bea ation Test using est	aring corr t Qt' a density*	ected and SPT * Phi	Fs = Slee ' = Qt and = Soil frict	eve frictior SPT corr tion angle	n resista ected fo	nce Rf = Qt / Fs r overburden		
Refere	nces: * F	Robertson	and Cam	panella, '	1988 **(	Disen, 1	989 *** D	urgunoglu	u & Mitch	nell, 1975	Page 3 of 3	

PROJE LOCA <sup>-</sup> PROJ. Termin	ECT: 20-6 TION: Re NO.: 95 nated at 1	80 CHEMI edwood Cit 15.000.00	ICAL WAY ty CA 0(EGO-20	( SITE )5) Gr	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP <sup>-</sup> : 06-11-20 : 15:47:00 sured at 9.3	T3 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
0.53	0.2	0.32	0.01	5.0	0	0	0.06		0.03	Organic Material	85-90	
1.07	0.3	0.48	0.04	13.3	0	0	0.11		0.05		100,110	
1.52	18.7 25.6	29.92	0.99	5.3 5.5	19	30 41	0.17		2.48	CLAY "	130-140	
2.52	30.2	48.32	1.63	5.4	30	48	0.20		4.01	н		
3.05	36.0	57.60	2.15	6.0	36	58	0.37		4.78			
3.53	25.5	40.80	1.85	7.3	25	41	0.44		3.37	"	"	
4.06	6.5	10.40	0.49	7.5	7	10	0.50		1.25	н	110-120	
4.50	3.1	4.96	0.26	8.4	3	5	0.54		0.57	Organic Material	90-100	
5.00	7.9	12.64	0.51	6.5	8	13	0.60		1.52	CLAY	110-120	
5.55	10.4	16.64	0.99	9.5	10	17	0.67		1.68		120-130	
6.52	0.0 12.0	20.64	0.76	9.1	9 13	21	0.73		1.05	"		
7.03	8.1	12 57	0.57	7.0	8	13	0.75		1.54		110-120	
7.51	7.8	11.67	0.54	6.9	8	12	0.90		1.47		"	
8.00	5.0	7.22	0.36	7.2	5	7	0.96		0.90	н	100-110	
8.55	1.8	2.51	0.19	10.6	2	3	1.01		0.26	Organic Material	90-100	
9.08	2.7	3.70	0.12	4.4	3	4	1.06		0.43	CLAY	"	
9.50	2.8	3.82	0.13	4.6	3	4	1.07		0.45	"	"	
10.04	3.8	5.13	0.30	7.9	4	5	1.09		0.64	Organic Material	100-110	
10.56	7.2 5.4	9.62	0.43	6.U	/ 5	9	1.12		1.32	CLAY "	110-120	
11.00	5.4 6.8	8.93	0.35	5.1	7	9	1.14		1 23		110-120	
12.03	10.9	14.16	0.39	3.6	7	9	1.19		1.70	Silty CLAY to CLAY	"	
12.55	12.5	16.03	0.54	4.3	12	16	1.23		1.57	CLAY	120-130	
13.01	11.3	14.32	0.49	4.3	11	14	1.25		1.76	"	"	
13.53	12.7	15.90	0.56	4.4	13	16	1.29		1.59	11	"	
14.05	13.3	16.44	0.63	4.7	13	16	1.32		1.66		"	
14.51	17.0	20.78	0.87	5.1	17	21	1.35		2.15	"	"	
15.06	21.3	25.63	1.08	5.1	21	26	1.39		2.72	Silty CLAV to CLAV	130-140	
16.02	35.2	41.18	1.77	4.3 5.0	35	41	1.46		4.57	CLAY		
16.54	31.3	36.05	1.57	5.0	31	36	1.50		4.04	"	"	
17.05	29.6	33.68	1.46	4.9	29	33	1.53		3.81	н		
17.56	57.4	64.56	2.14	3.7	29	32	1.57		7.51	Clayey SILT to Silty CLAY	"	
18.06	111.5	123.93	1.92	1.7	37	41	1.61	39		Silty SAND to Sandy SILT	"	
18.55	115.9	127.36	2.71	2.3	39	42	1.64	39		"		
19.05	114.9	124.76	2.21	1.9	38	42	1.68	39		"		
19.55	118.0 66.0	70.02	2.49	2.1	39 67	42 71	1.71	39	8 76	Very Stiff Fine Grained *		
20.03	13.9	14 63	0.74	5.3	14	15	1.73		1 69		120-130	
21.03	14.4	15.05	0.72	5.0	14	15	1.81		1.75	"	"	
21.56	25.4	26.31	1.17	4.6	25	26	1.85		3.21	ш	130-140	
22.06	21.3	21.88	1.22	5.7	21	22	1.89		2.66	"	"	
22.50	15.8	16.12	0.83	5.3	16	16	1.91		1.92	н	120-130	
23.03	10.7	10.83	0.58	5.4	11	11	1.95		1.55			
23.55	13.8	13.87	0.66	4.8	14	14	1.98		1.65	"	"	
24.05	9.1	9.10	0.48	5.3	9	9	2.01		1.27		110-120	
24.00	10.0	35.05	0.07	4.2 1 7	23	סו בכ	2.04 2.07		1.91 4.48	Silty CLAY to CLAY	120-130 130-140	
25.03	13.1	13.07	0.65	5.0	23 13	23 13	2.07		-1.40 1.54	CLAY	120-130	
26.04	10.1	10.07	0.49	4.9	10	10	2.13		1.42	"	110-120	
26.51	11.1	11.06	0.51	4.6	11	11	2.16		1.58	"	120-130	
27.02	10.1	10.06	0.54	5.3	10	10	2.19		1.41	н	п	

PROJI LOCA <sup>-</sup> PROJ. Termin	<b>ECT:</b> 20-8 <b>TION:</b> Re <b>NO.:</b> 95 nated at 1	80 CHEMI dwood Cit 15.000.00	ICAL WAY ty CA 0(EGO-20	SITE 5) Gi	roundwat	CPT N DATE TIME: er meas	NO.: 1-CP : 06-11-20 : 15:47:00 sured at 9.3	T3 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
27.54	12.4	12.34	0.54	4.4	12	12	2.23		1.43	CLAY	120-130	
28.06	7.8	7.76	0.38	4.9	8	8	2.25		1.22	Ш	110-120	
28.55	6.3	6.27	0.35	5.6	6	6	2.27		0.91	"	100-110	
29.04	5.6	5.57	0.33	5.9	6	5	2.29		0.77	"		
29.54	5.2	5.17	0.35	6.7 5.4	5	5	2.32		0.68		110 120	
30.05	6.9	6.85	0.37	5.4	7	7	2.34		1.01		"	
31.07	7.1	7.04	0.35	4.9	7	7	2.40		1.04	н		
31.52	6.9	6.84	0.32	4.6	7	7	2.41		1.00	"	100-110	
32.08	8.4	8.33	0.33	3.9	8	8	2.44		1.29		110-120	
32.51	8.5	8.42	0.31	3.6	8	8	2.47		1.31	11	"	
33.01	12.1	11.98	0.41	3.4	8	8	2.49		1.35	Silty CLAY to CLAY	"	
33.51	9.2	9.05	0.35	3.8	9	9	2.52		1.20	CLAY	"	
34.02	9.5	9.26	0.37	3.9	9	9	2.55		1.24	11	"	
34.54	9.1	8.79	0.30	3.3	6	6	2.57		1.17	Silty CLAY to CLAY	"	
35.01	8.8	8.43	0.30	3.4	9	8	2.60		1.34	CLAY	"	
35.51	12.0	11.37	0.50	4.2	12	11	2.63		1.31		120-130	
36.01	17.9	10.77	0.04	4.7	10	17	2.00		2.10		130-140	
37.04	210.0	192.26	1.62	0.5	43	38	2.70	42	5.00	SAND	110-120	
37.58	53.7	48.48	1.68	3.1	21	19	2.76		6.86	Sandy SILT to Clavey SILT	130-140	
38.06	9.7	8.68	0.40	4.1	10	9	2.79		1.23	CLAY	110-120	
38.52	9.2	8.16	0.37	4.0	9	8	2.81		1.15	н	"	
39.03	9.4	8.25	0.31	3.3	6	5	2.84		1.17	Silty CLAY to CLAY	"	
39.52	9.3	8.08	0.29	3.1	6	5	2.87		1.15	"	"	
40.01	9.5	8.18	0.30	3.2	6	5	2.89		1.18	11	"	
40.51	10.4	8.86	0.41	3.9	10	9	2.92		1.33	CLAY	"	
41.04	54.9	46.07	1.69	3.1	22	18	2.96		6.99	Sandy SILT to Clayey SILT	130-140	
41.53	87.5	72.41	2.37	2.7	35	29	2.99		7 52	Clovey SILT to Silty CLAV		
42.01	21.1	40.49	2.00	4.3 3.0	30 14	24 12	3.03		2 47	Silty CLAY to CLAY	120-130	
43.05	13.5	10.99	0.55	4.1	13	11	3.09		1.45	CLAY	"	
43.53	10.1	8.19	0.54	5.3	10	8	3.12		1.24	"	"	
44.03	11.4	9.20	0.47	4.1	11	9	3.15		1.46	н	"	
44.53	11.9	9.56	0.54	4.5	12	9	3.19		1.53	11	"	
45.03	13.3	10.64	0.60	4.5	13	10	3.22		1.41	н	"	
45.52	14.2	11.31	0.58	4.1	14	11	3.25		1.53	11	"	
46.02	13.3	10.55	0.54	4.1	13	10	3.28		1.40	"	"	
46.51	13.1	10.34	0.57	4.4	13	10	3.31		1.37	"		
47.01	13.3	10.45	0.57	4.3	13	10	3.34		1.39			
47.54	13.3	11.40	0.55	4.0 6.5	13	10	3.37 3.41		1.59		"	
48.53	112.7	87.26	2.48	2.2	38	29	3.44	37		Silty SAND to Sandy SILT	130-140	
49.03	55.8	42.98	2.98	5.3	56	43	3.48		7.04	CLAY	"	
49.52	21.7	16.62	1.21	5.6	22	17	3.51		2.49	"	"	
50.01	13.9	10.60	0.67	4.8	14	10	3.54		1.45	п	120-130	
50.54	16.8	12.75	0.72	4.3	17	13	3.58		1.83	"	"	
51.05	17.0	12.84	0.78	4.6	17	13	3.61		1.85	"	"	
51.55	17.7	13.30	0.71	4.0	12	9	3.64		1.94	Silty CLAY to CLAY		
52.06	16.3	12.19	0.70	4.3	16	12	3.67		1.75			
52.57	15.0	11.16	0.58	3.9	10	10	3.70		1.5/			
53.00	30.0	12.74 22 NG	1 85	4.0 6.2	30	22	3.74 3.77		1.00	ULAT	130-140	
54.06	23.3	17.06	1.20	5.2	23	17	3.81		2.67		"	
			-		-				•••			

PROJE LOCAT PROJ. Termina	PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 100.0 feet DEPTH Qt Qt' Fs Rf					CPT N DATE TIME: er meas	NO.: 1-CP : 06-11-20 15:47:00 sured at 9.3	T3 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates		
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)
54.57	16.9	12.31	0.77	4.6	17	12	3.84		1.81	CLAY	120-130
55.07	15.0	10.87	0.69	4.6	15	11	3.87		1.55	"	"
55.57	14.3	10.32	0.68	4.8	14	10	3.90		1.45		
56.07	13.0	9.33 9.71	0.62	4.0 4.5	13	9	3.93 3.96		1.20	"	
57.01	22.4	15.92	0.90	4.0	15	10	4.00		2.52	Silty CLAY to CLAY	130-140
57.58	14.1	9.97	0.61	4.3	13	9	4.03		1.41	CLAY	120-130
58.00	14.5	10.22	0.67	4.6	14	10	4.06		1.46	"	"
58.50	13.7	9.62	0.63	4.6	13	9	4.09		1.35		
59.00	13.4	9.38	0.57	4.3	13	9	4.12		1.30	11	"
59.50	16.6	11.57	0.82	4.9	16	11	4.15		1.73		"
60.00	16.1	11.18	0.62	3.9	10	1	4.18		1.66	Silty CLAY to CLAY	
61.03	17.9	12.38	0.75	4.2	17	11	4.22		1.89	ULAY "	
61.53	17.0	12.95	0.89	4.7	17	12	4.23		1.88		
62.03	17.7	12.10	0.82	4.6	17	11	4.31		1.85	н	
62.52	19.8	13.48	0.98	4.9	19	13	4.35		2.13	11	130-140
63.01	20.7	14.03	1.09	5.3	20	13	4.38		2.24	"	"
63.55	20.4	13.76	0.99	4.9	20	13	4.42		2.20	11	
64.00	19.5	13.09	0.95	4.9	19	12	4.45		2.07	11	"
64.58	20.3	13.56	0.93	4.6	19	13	4.50		2.18	"	"
65.07	20.7	13.76	0.98	4.7	20	13	4.53		2.23		
66.05	21.0 19.8	13.90	0.86	4.0 4.3	20 19	13	4.57		2.20		120-130
66.54	20.1	13.20	0.93	4.6	19	13	4.63		2.10		"
67.07	20.7	13.53	0.87	4.2	13		4.66		2.21	Silty CLAY to CLAY	
67.56	21.3	13.87	0.86	4.0	14	9	4.69		2.28	"	
68.05	18.7	12.13	0.80	4.3	18	12	4.72		1.93	CLAY	"
68.53	17.4	11.24	0.76	4.4	17	11	4.75		1.76	н	"
69.02	16.9	10.87	0.67	4.0	11	7	4.78		1.69	Silty CLAY to CLAY	"
69.50	19.8	12.69	0.69	3.5	13	8	4.81		2.07		"
70.01	17.9	11.42	0.54	3.0	8	5	4.84		1.81	Clayey SILT to Silty CLAY	
70.51	19.4 21.5	12.33	0.56	3.0 3.7	9 13	0	4.00 1 Q1		2.01	Silty CLAY to CLAY	
71.07	21.5	15.00	0.79	3.8	15	9	4.91		2.20	Silly CLAT IO CLAT	130-140
72.03	22.5	14.10	1.02	4.5	21	13	4.98		2.41	CLAY	"
72.51	25.0	15.60	1.53	6.1	24	15	5.02		2.74	н	
73.05	20.9	12.99	1.34	6.4	20	13	5.05		2.18	11	"
73.56	17.3	10.72	0.98	5.7	17	10	5.09		1.70	н	120-130
74.02	17.7	10.92	1.05	5.9	17	10	5.12		1.75	11	130-140
74.56	16.4	10.09	0.71	4.3	16	10	5.15		1.57		120-130
75.02	16.1 15.6	9.87	0.58	3.6	10	6	5.18		1.53	Silty CLAY to CLAY	
75.50	10.0	9.53	0.51	3.3 2.7	10 Q	0	5.22 5.25		2.00	Clavey SILT to Silty CLAY	
76.55	22.4	13.59	0.62	2.7	10	6	5.25		2.00	"	
77.06	19.1	11.55	0.53	2.8	.0	5	5.31		1.91		"
77.53	18.6	11.21	0.57	3.1	8	5	5.34		1.84	н	
78.07	22.5	13.52	0.76	3.4	10	6	5.37		2.35	11	"
78.54	21.1	12.63	0.69	3.3	10	6	5.40		2.16	11	"
79.01	19.2	11.46	0.55	2.9	9	5	5.43		1.91	11	"
79.50	17.0	10.11	0.50	2.9	8	5	5.46		1.61		
80.04	23.8	14.11	0.72	3.0	11	6	5.50		2.51		"
80.51 81.06	27.5 28.1	16.24 16.52	1.15 1.14	4.2 4.1	1 <i>1</i> 18	10 11	5.53 5.57		3.00 3.08	Slity CLAY to CLAY	130-140 "

PROJE LOCAT PROJ. I Termina	CT: 20-8 ION: Re NO.: 951 ated at 1	30 CHEMI dwood Cir 15.000.00 00.0 feet	ICAL WAY ty CA 0(EGO-20	SITE 5) Gr	roundwat	CPT N DATE TIME: er meas	<b>10.:</b> 1-CP <sup>-</sup> :: 06-11-20 : 15:47:00 sured at 9.(	T3 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
81.53 82.07	29.5 29.0	17.28 16.91	1.13 1.15	3.8 4.0	14 18	8 11	5.60 5.64		3.26 3.19	Clayey SILT to Silty CLAY Silty CLAY to CLAY	130-140	
82.54 83.01	28.3 28.8	16.44 16.67	1.12 1.10	4.0 3.8	18 14	10 8	5.68 5.71		3.09 3.15	" Clayey SILT to Silty CLAY		
83.56 84.05	22.0 19.2	12.67 11.02	0.93 0.78	4.2 4.1	14 12	8 7	5.75 5.78		2.24 1.86	Silty CLAY to CLAY	" 120-130	
84.53 85.01 85.57	16.9 19.0 21.2	9.67 10.83	0.68	4.0 3.5	11 12 10	6 7 6	5.81 5.84		1.55 1.83			
86.00 86.57	25.0 32.9	14.15 18.53	0.85 1.47	3.4 4.5	12 21	7 12	5.91 5.95		2.62 3.67	Silty CLAY to CLAY	130-140	
87.06 87.53	35.1 37.3	19.69 20.85	1.32 2.11	3.8 5.7	17 36	9 20	5.99 6.02		3.96 4.25	Clayey SILT to Silty CLAY CLAY		
88.02 88.52	48.2 37.3	26.86 20.72	3.27 1.82	6.8 4.9	48 36	27 20	6.06 6.09		5.69 4.24			
89.03 89.53	40.6 37.8	22.47 20.85	1.68 1.53	4.1 4.0	26 23	14 13	6.13 6.17		4.67 4.29	Silty CLAY to CLAY		
90.03 90.51 91.03	36.6 37.6 42.6	21.33 20.61 23.27	1.49 1.44 1.44	3.8 3.4	17 20	10 10 11	6.20 6.24 6.27		4.42 4.26 4.92	"		
91.52 92.02	38.5 36.2	20.96 19.64	1.23 1.19	3.2 3.3	18 17	10 9	6.31 6.35		4.37 4.06			
92.54 93.05	34.2 32.3	18.49 17.41	1.07 0.90	3.1 2.8	16 15	9 8	6.38 6.42		3.79 3.53			
93.54 94.03	32.1 34.0	17.24 18.20	0.88 0.91	2.7 2.7	15 12	8 7 7	6.46 6.49		3.50 3.75	" Sandy SILT to Clayey SILT		
94.56 95.00 95.55	36.4 34.2 33.4	19.42 18.20 17.71	0.85	2.3 2.2 2.4	13 12 12	7 7 6	6.56 6.59		4.06 3.77 3.65		120-130	
96.00 96.52	30.5 29.4	16.13 15.50	0.72 0.67	2.4 2.3	11 10	6 6	6.62 6.65		3.26 3.11			
97.00 97.51	30.6 32.6	16.09 17.09	0.72 0.72	2.4 2.2	11 12	6 6	6.68 6.72		3.27 3.53			
98.01 98.54	32.5 36.0	16.99 18.75	0.70 0.87	2.2 2.4	12 13	6 7	6.75 6.79		3.51 3.98		" 130-140	
99.04 99.53 100.04	33.7 32.7 36.0	17.49 16.91 18.55	0.92 0.86 0.94	2.7 2.6 2.6	12 12 13	6 7	6.82 6.86 6.89		3.66 3.53 3.96	11 11		

DEPTH = Sampling interval (~0.1 feet)

References: \* Robertson and Campanella, 1988 \*\*Olsen, 1989 \*\*\* Durgunoglu & Mitchell, 1975

PROJE LOCAT PROJ. Termina	CT: 20-8 CON: Re NO.: 951 ated at 12	30 CHEMI dwood Cit 15.000.000 20.0 feet	CAL WAY ty CA 0(EGO-20	Y SITE 05) Gi	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP <sup>-</sup> : 06-11-20 : 12:54:00 sured at 8.3	T4 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
0.55	2.6	4.16	0.05	1.9	1	2	0.06		0.51	Sensitive Fine Grained	85-90	
1.04	2.2	3.52	0.05	2.3	1	2	0.10		0.43	" 		
1.53	2.2	3.52	0.06	2.7	2	4	0.14		0.43		120,140	
2.01	20.0 15.0	41.00 25.44	1.17	4.5 7.0	16	20 25	0.24		3.45 2.10		130-140	
3.03	10.9	17.44	0.92	8.4	10	17	0.37		1.79	ULAT "	120-130	
3.50	9.2	14.72	0.81	8.8	9	15	0.43		1.50	"	"	
4.03	6.6	10.56	0.71	10.8	7	10	0.49		1.27	Organic Material	110-120	
4.58	5.8	9.28	0.51	8.8	6	9	0.55		1.10	"		
5.05	5.2	8.32	0.51	9.8	5	8	0.61		0.98	11	"	
5.57	9.5	15.20	0.57	6.0	9	15	0.67		1.53	CLAY	120-130	
6.04	7.2	11.52	0.47	6.5	7	12	0.72		1.37	11	110-120	
6.54	6.9	11.04	0.43	6.2	7	11	0.78		1.30	11	"	
7.04	6.0	9.37	0.38	6.3	6	9	0.84		1.12		"	
7.50	7.3	11.00	0.40	5.5	7	11	0.89		1.37	"	"	
8.01	4.3	6.27	0.23	5.3	4	6	0.94		0.77	"	90-100	
8.53	3.7	5.34	0.12	3.2	4	5	0.96		0.64			
9.05	4.1	5.84	0.23	5.6	4	6 7	0.98		0.72		100 110	
9.51	5.0	6.95	0.31	0.2 7.8	5	7	1.02		0.89		"	
10.00	6.2	8.56	0.35	5.6	6	9	1.02		1 12		"	
11.08	9.6	13.08	0.52	5.4	10	13	1.07		1.49	11	120-130	
11.55	13.7	18.46	0.51	3.7	9	12	1.10		1.74	Silty CLAY to CLAY	"	
12.08	13.6	18.09	0.51	3.7	9	12	1.13		1.72	"	"	
12.53	15.5	20.38	0.58	3.7	10	14	1.16		1.97	н		
13.07	18.6	24.14	0.83	4.5	19	24	1.20		2.38	CLAY	"	
13.53	17.2	22.06	0.86	5.0	17	22	1.23		2.19	н	"	
14.02	20.7	26.18	0.97	4.7	21	26	1.26		2.65	11	130-140	
14.54	23.5	29.29	1.08	4.6	23	29	1.30		3.02	"	"	
15.03	25.4	31.23	1.37	5.4	25	31	1.33		3.27	"	"	
15.52	23.2	28.12	1.29	5.6	23	28	1.37		2.97	"	"	
16.07	14.2	16.98	0.82	5.8	14	17	1.40		1.//		120-130	
10.55	8.2 6.7	9.71	0.40	0.C	8 7	9	1.43		1.44		110-120	
17.03	6.8	7.07	0.32	4.0 4 1	7	0 8	1.45		1.14		100-110	
18.04	11 1	12 78	0.20	4.1	11	13	1.50		1.10		110-120	
18.50	14.5	16.53	0.95	6.6	14	16	1.53		1.79	11	120-130	
19.01	69.5	78.34	1.55	2.2	23	26	1.56	37		Silty SAND to Sandy SILT	130-140	
19.50	66.4	73.98	1.56	2.3	27	30	1.60		8.70	Sandy SILT to Clayey SILT		
20.03	87.9	97.05	0.73	0.8	22	24	1.63	38		SAND to Silty SAND	110-120	
20.51	68.7	75.12	0.92	1.3	23	25	1.66	36		Silty SAND to Sandy SILT	120-130	
21.04	71.9	77.89	0.57	0.8	18	19	1.69	37		SAND to Silty SAND	110-120	
21.54	56.3	60.45	0.46	0.8	14	15	1.71	35		11	"	
22.01	66.1	70.28	0.77	1.2	22	23	1.74	36		Silty SAND to Sandy SILT	120-130	
22.55	53.1	56.04	0.44	0.8	13	14	1.77	35		SAND to Silty SAND	110-120	
23.03	41.1	50.00	0.60	1.3	16	17	1.80	34		SITY SAND to Sandy SILT	120-130	
23.00	20.1 18.7	∠0.90 10.20	0.97	4.ð 1 G	∠U 10	21 10	1.03 1.27		2.49 2.20	ULAT	120-140	
24.00	10.7 15 1	19.29 15⊿7	0.00	4.0 1.2	19	19	1.07 1.00		∠.3U 1.82	"	120-130	
25.04	15.4	15.66	0.86	 5.6	15	16	1.93		1.85			
25.50	17.0	17.17	0.83	4.9	17	17	1.96		2.06			
26.05	16.6	16.63	0.71	4.3	17	17	1.99		2.00	"		
26.54	15.4	15.39	0.71	4.6	15	15	2.02		1.84	н	"	
27.04	12.3	12.29	0.51	4.1	12	12	2.05		1.42		"	

PROJE LOCA <sup>-</sup> PROJ. Termin	ECT: 20-8 TION: Re . NO.: 951 nated at 1	30 CHEMI dwood Cit 15.000.00 20.0 feet	CAL WAY ty CA 0(EGO-20	5) Gr	roundwat	CPT N DATE TIME: er meas	NO.: 1-CP : 06-11-20 : 12:54:00 sured at 8.3	T4 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
27.53	17.4	17.37	0.67	3.9	12	12	2.09		2.10	Silty CLAY to CLAY	120-130	
28.06	15.3	15.26	1.00	6.5	15	15	2.12		1.82	CLAY	"	
28.55	8.9	8.87	0.40	4.5	9	9	2.14		1.44	п	110-120	
29.04	9.4	9.37	0.40	4.3	9	9	2.17		1.28	"	"	
29.54	1.1	7.67	0.31	4.0	8	8	2.20		1.19	"		
30.04	7.8	7.77 8.46	0.38	4.9	ð o	8	2.22		1.20			
30.54	0.5 Q 4	0.40	0.30	4.Z	o Q	0 0	2.20		1.55			
31.51	7.3	7.26	0.34	4.7	7	7	2.20		1.08			
32.01	7.2	7.15	0.29	4.0	7	. 7	2.32		1.06		100-110	
32.51	8.4	8.34	0.31	3.7	8	8	2.35		1.29	п	110-120	
33.01	7.7	7.64	0.22	2.9	5	5	2.37		1.15	Silty CLAY to CLAY	100-110	
33.51	6.8	6.75	0.21	3.1	7	7	2.39		0.96	CLAY	"	
34.02	9.4	9.32	0.33	3.5	9	9	2.42		1.23	п	110-120	
34.56	8.6	8.52	0.27	3.1	6	5	2.44		1.31	Silty CLAY to CLAY	100-110	
35.05	8.2	8.12	0.27	3.3	8	8	2.46		1.23	CLAY	"	
35.55	8.8	8.72	0.24	2.7	6	6	2.48		1.34	Silty CLAY to CLAY	"	
36.04	18.5	18.23	0.38	2.1	9	9	2.51		2.18	Clayey SILT to Silty CLAY	120-130	
36.54	10.9	10.65	0.37	3.4	/	/ 05	2.54		1.46	Silty CLAY to CLAY	110-120	
37.02	102.6	50.10	1.81	3.5	20	25	2.57		6.63	Clayey SILT to Slity CLAY	130-140	
38.07	102.0	97.00 17.24	2.23	2.2 4 3	34 18	17	2.01		2 14		120-130	
38.55	8.2	7 65	0.39	4.3	8	8	2.05		1 18	ULAT	120-130	
39.04	8.3	7.68	0.35	4.2	8	7	2.70		1.20	н	"	
39.52	9.0	8.25	0.37	4.1	9	8	2.72		1.11			
40.08	9.9	8.98	0.38	3.8	10	9	2.75		1.25	п	п	
40.57	13.3	11.93	0.44	3.3	9	8	2.78		1.45	Silty CLAY to CLAY	120-130	
41.04	24.8	21.96	1.07	4.3	16	14	2.82		2.98	п	130-140	
41.52	31.1	27.18	1.19	3.8	15	13	2.85		3.82	Clayey SILT to Silty CLAY	"	
42.01	84.3	72.70	1.77	2.1	28	24	2.89	36		Silty SAND to Sandy SILT	"	
42.58	18.3	15.57	0.82	4.5	18	15	2.92		2.10	CLAY	120-130	
43.07	23.0	19.29	0.78	3.4	11	10	2.96		2.72	Clayey SILT to Silty CLAY	130-140	
43.55	18.7	15.50	0.68	3.6	12	10	2.99		2.15	Silty CLAY to CLAY	120-130	
44.04	13.0	12.04	0.07	4.3 3.7	15	13	3.02 3.05		1.73	Silty CLAY		
45.05	13.9	11.34	0.49	3.7	9	7	3.05		1 49			
45.54	12.9	10.48	0.52	4.0	13	10	3.11		1.36	CLAY		
46.04	11.7	9.47	0.40	3.4	8	6	3.14		1.49	Silty CLAY to CLAY	110-120	
46.54	11.6	9.35	0.38	3.3	7	6	3.17		1.47	"		
47.04	13.1	10.52	0.39	3.0	8	7	3.19		1.37	"	"	
47.56	13.2	10.56	0.34	2.6	6	5	3.22		1.38	Clayey SILT to Silty CLAY		
48.06	12.4	9.88	0.40	3.2	8	6	3.25		1.27	Silty CLAY to CLAY	"	
48.56	19.6	15.54	1.19	6.1	19	15	3.28		2.23	CLAY	130-140	
49.04	76.1	60.01	2.00	2.6	30	24	3.32		9.75	Sandy SILT to Clayey SILT	"	
49.53	48.3	37.89	1.42	2.9	19	15	3.35		6.04		100,100	
50.03	12.2	9.53	0.51	4.2	12	9 14	3.38 2.11		1.23	ULAY "	120-130	
51.04	14.0	12.61	0.04	4.4 1 1	14	12	3.41 3.45		1.00	п		
51.53	14.4	11 09	0.51	3.5	9	7	3 48		1.70	Silty CLAY to CLAY		
52.04	12.6	9.66	0.47	3.7	8	6	3.51		1.26	"		
52.54	11.4	8.70	0.39	3.4	7	6	3.54		1.37	п	110-120	
53.05	13.8	10.48	0.55	4.0	13	10	3.57		1.41	CLAY	120-130	
53.56	14.6	11.04	0.80	5.5	14	11	3.60		1.52	"	"	
54.00	32.1	24.15	1.91	6.0	32	24	3.63		3.85	п	130-140	

PROJE LOCAT PROJ. Termina	PROJECT: 20-80 CHEMICAL WAY SITLOCATION: Redwood City CAPROJ. NO.: 9515.000.000(EGO-205)Terminated at 120.0 feetDEPTHQtQtFs					CPT N DATE TIME: er meas	NO.: 1-CP <sup>-</sup> : 06-11-20 12:54:00 sured at 8.3	T4 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
54.58	59.0	44.11	2.63	4.5	39	29	3.67		7.43	Silty CLAY to CLAY	130-140	
55.08	25.0	18.58	1.49	6.0	25	18	3.71		2.89	CLAY	"	
55.58	12.0	8.88	0.65	5.4	12	9	3.74		1.15	"	120-130	
56.07	13.6	10.01	0.69	5.1	13	10	3.77		1.30			
57.05	14.4	10.35	0.75	3.2 4.6	14	10	3.83		1.40	11		
57.55	13.3	9.65	0.62	4.7	13	9	3.86		1.31	11		
58.08	14.6	10.54	0.68	4.7	14	10	3.90		1.48	11	"	
58.58	13.7	9.84	0.57	4.2	13	9	3.93		1.35	"	"	
59.06	14.7	10.51	0.66	4.5	14	10	3.96		1.48	11	"	
59.54	14.9	10.60	0.65	4.4	14	10	3.99		1.51	"	"	
60.02	19.1	13.53	0.87	4.6	18	13	4.02		2.06	"	"	
60.55	18.1	12.77	0.83	4.6	17	12	4.05		1.92	"	"	
61.02 61.52	21.5	15.11	1.02	4.7	20	14	4.09		2.37		130-140	
62.02	20.4	14.27	0.90	4.0 5.4	19 20	14	4.12		2.22	"		
62.53	21.7	15.05	1.10	5.1	21	14	4.20		2.39		н	
63.03	21.0	14.50	1.01	4.8	20	14	4.23		2.29	"		
63.52	21.6	14.84	1.01	4.7	21	14	4.27		2.36			
64.00	20.2	13.82	0.97	4.8	19	13	4.30		2.17	"	"	
64.50	21.9	14.92	1.07	4.9	21	14	4.34		2.40		"	
65.00	23.5	15.94	1.19	5.1	23	15	4.38		2.60	"	"	
65.58	22.0	14.84	1.14	5.2	21	14	4.42		2.40	"		
66.07	20.6	13.83	1.10	5.3	20	13	4.45		2.21		"	
67.09	23.1	15.44	1.01	4.4	15	10	4.49		2.54			
67.08 67.57	21.4	14.24	1.10	5.1	21	14	4.53		2.31	ULAY "		
68.05	18.2	12 01	0.80	4 4	18	12	4.50		2.33		120-130	
68.53	20.1	13.21	0.84	4.2	13	8	4.62		2.12	Silty CLAY to CLAY	"	
69.02	18.6	12.17	0.73	3.9	12	8	4.65		1.92	"	"	
69.57	23.0	14.98	0.87	3.8	15	10	4.69		2.50	"	130-140	
70.08	22.4	14.52	0.81	3.6	14	9	4.72		2.41	н	120-130	
70.55	19.9	12.85	0.57	2.9	9	6	4.75		2.08	Clayey SILT to Silty CLAY	"	
71.03	21.0	13.51	0.68	3.2	10	6	4.78		2.22	"	"	
71.52	26.0	16.66	0.77	3.0	12	8	4.81		2.88			
72.00	22.3	14.23	0.70	3.1	10	0 7	4.84		2.38		120 140	
73.06	24.9	15.00	1 20	3.5 4.9	23	15	4.09		2.73	CLAY	"	
73.57	23.6	14.83	1.13	4.8	23	14	4.96		2.54	"	н	
74.04	18.5	11.58	0.99	5.4	18	11	4.99		1.86	н	120-130	
74.52	17.8	11.10	0.87	4.9	17	11	5.02		1.76	н	н	
75.00	17.3	10.76	0.69	4.0	11	7	5.05		1.69	Silty CLAY to CLAY	"	
75.57	17.0	10.53	0.64	3.8	11	7	5.08		1.65	11	"	
76.05	19.0	11.73	0.57	3.0	9	6	5.11		1.91	Clayey SILT to Silty CLAY	"	
76.54	21.6	13.30	0.69	3.2	10	6	5.14		2.25	"	"	
77.06	23.2	14.23	0.72	3.1	11	7	5.18		2.46		100 4 40	
78.02	24.7 20.6	12.10	1.01	4.1 3.6	10	9	5.21		∠.00 2.11	SILLY CLAY TO CLAY	130-140	
78.03	20.0 20.6	12.00	0.74	3.0	13	o g	5.24 5.27		2.11 2.10		120-130	
79.06	22.0	13.67	0.94	4.2	14	<u>q</u>	5.31		2.36	н	130-140	
79.52	22.6	13.62	0.74	3.3	11	7	5.34		2.36	Clayey SILT to Siltv CLAY	120-130	
80.07	30.4	18.25	1.43	4.7	29	18	5.38		3.39	CLAY	130-140	
80.53	25.5	15.25	1.04	4.1	16	10	5.41		2.74	Silty CLAY to CLAY		
81.00	25.4	15.13	1.02	4.0	16	10	5.45		2.72	н	"	

PROJE LOCAT PROJ. Termina	ECT: 20-8 FION: Re NO.: 951 ated at 12	30 CHEMI dwood Cit 15.000.00 20.0 feet	CAL WAY ty CA 0(EGO-20	7 SITE 5) Gi	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP : 06-11-20 12:54:00 sured at 8.3	T4 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
81.54	24.9	14.77	1.02	4.1	16	9	5.49		2.65	Silty CLAY to CLAY	130-140	
82.01	23.0	13.60	0.82	3.6	11	7	5.52		2.39	Clayey SILT to Silty CLAY	120-130	
82.52	22.5	13.25	0.88	3.9	14	9	5.55		2.32	Silty CLAY to CLAY	130-140	
83.03	19.0	11.50	0.70	3.9	12	7	5.59		1.93	п	120-130	
84.07	19.0	11.07	0.62	3.3	9	5	5.65		1.84	Clavey SILT to Silty CLAY	"	
84.51	20.4	11.85	0.51	2.5	10	6	5.68		2.02	"	"	
85.05	20.0	11.57	0.47	2.3	9	5	5.71		1.97		"	
85.50	23.7	13.67	0.67	2.8	11	6	5.74		2.45	11	"	
86.03	25.3	14.54	0.75	3.0	12	7	5.77		2.66	"	"	
86.56	23.9	13.68	0.73	3.1	11	6	5.81		2.47	"		
87.00	21.1	12.04	0.60	2.8	10	6 12	5.84		2.10	CLAY	120,140	
88.06	22.1	12.00	0.97	4.4 2.5	21	12	5.07 5.01		1 00	CLAT Clavey SILT to Silty CLAV	130-140	
88.52	20.4	12.24	0.52	2.3	10	6	5.94		2.16	"	"	
89.06	27.3	15.34	0.70	2.6	13	7	5.97		2.90		"	
89.53	23.1	12.94	0.58	2.5	11	6	6.00		2.34	11	"	
90.07	25.8	14.41	0.58	2.2	9	5	6.03		2.70	Sandy SILT to Clayey SILT	"	
90.54	26.3	14.65	0.71	2.7	12	7	6.06		2.76	Clayey SILT to Silty CLAY	"	
91.04	24.4	13.55	0.60	2.5	11	6	6.09		2.50	"	"	
91.51	26.7	14.79	0.58	2.2	10	5	6.12		2.80	Sandy SILT to Clayey SILT		
92.07	27.9	15.40	0.63	2.3	10	6	6.16		2.96			
92.55	24.9	18.01	0.54	2.2	9 12	5	6.22		2.50		"	
93.52	28.0	15.33	0.55	2.0	10	6	6.25		2.96			
94.04	38.1	20.79	0.81	2.1	14	8	6.29		4.30		130-140	
94.53	29.0	15.78	0.58	2.0	10	6	6.32		3.09		120-130	
95.02	28.9	15.68	0.58	2.0	10	6	6.35		3.07	11	"	
95.50	26.1	14.12	0.57	2.2	10	5	6.38		2.69	11	"	
96.07	27.4	14.78	0.56	2.0	10	5	6.41		2.86	"	"	
96.56	31.2	16.78	0.59	1.9	11	6	6.44		3.36	"		
97.04	27.4	14.69	0.64	2.3	10	5	6.47		2.85			
97.52	28.0	15.30	0.60	2.0	10	0	6.50 6.54		3.33		"	
98.56	30.0	15.95	0.56	1.9	10	6	6.57		3.19			
99.04	34.5	18.29	0.67	1.9	12	7	6.60		3.78		"	
99.51	36.1	19.08	0.73	2.0	13	7	6.63		3.99	н	"	
100.07	39.5	20.81	0.78	2.0	14	8	6.66		4.44		"	
100.54	39.1	20.53	0.85	2.2	14	7	6.70		4.38	"	130-140	
101.06	30.3	15.86	0.59	1.9	11	6	6.73		3.20		120-130	
101.57	25.1	13.10	0.46	1.8	9	5	6.76		2.51	"		
102.05	23.3	12.13	0.43	1.8	8	4	6.79		2.26	"		
102.55	24.3 24.9	12.01	0.47	1.9	9	4	6.85		2.39	11	"	
103.57	25.9	13.35	0.55	2.1	9	5	6.89		2.60	"		
104.08	25.5	13.11	0.53	2.1	9	5	6.92		2.54	н	"	
104.55	59.7	30.59	1.93	3.2	23	12	6.95		7.09	"	130-140	
105.06	22.5	11.49	0.50	2.2	9	4	6.99		2.13	П	120-130	
105.54	22.2	11.31	0.50	2.3	10	5	7.02		2.09	Clayey SILT to Silty CLAY	"	
106.02	24.2	12.31	0.83	3.4	11	6	7.05		2.35	11	"	
106.51	52.2	26.50	1.45	2.8	21	11	7.08		6.08	Sandy SILT to Clayey SILT	130-140	
107.00	22.3	11.30	0.59	2.6	10	5	7.11		2.09	Clayey SILT to Silty CLAY	120-130 	
107.51	23.5	11.88	0.55	2.3	10	5 ⊿	7.14		2.24	Sandy SILT to Clavoy SILT		
100.03	24.3	12.20	0.50	2.1	ð	4	1.18		2.30	Sanuy SILT to Clayey SILT		

PROJE LOCAT PROJ. Termin	ECT: 20-8 FION: Rei NO.: 951 ated at 1:	30 CHEM dwood Ci 15.000.00 20.0 feet	ICAL WAY ty CA 0(EGO-20	SITE 5) Gr	roundwate	CPT N DATE TIME: er meas	<b>IO.:</b> 1-CP1 : 06-11-20 12:54:00 ured at 8.3	Γ4 012 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH	Qt	Qt'	Fs	Rf	SPT	SPT'	EffVtStr	PHI	SU	SOIL BEHAVIOR	DENSITY RANGE	
(feet)	(tsf)	(tsf)	(tsf)	(%)	(N)	(N')	(ksf)	(deg.)	(ksf)	TYPE	(pcf)	
108.51	24.3	12.24	0.55	2.3	11	5	7.21		2.34	Clayey SILT to Silty CLAY	120-130	
109.01	24.6	12.37	0.50	2.0	9	4	7.24		2.38	Sandy SILT to Clayey SILT	"	
109.51	66.1	33.17	1.98	3.0	26	13	7.27		7.91	"	130-140	
110.03	20.4	10.22	0.92	4.5	19	9	7.31		1.81	CLAY	120-130	
110.53	27.7	13.85	0.71	2.6	12	6	7.34		2.78	Clayey SILT to Silty CLAY		
111.01	23.8	11.88	0.67	2.8	11	5	7.37		2.25	"		
111.53	25.7	12.79	0.79	3.1	12	6	7.41		2.50		130-140	
112.04	23.5	11.68	0.50	2.1	8	4	7.44		2.20	Sandy SILT to Clayey SILT	120-130	
112.52	24.1	11.95	0.53	2.2	8	4	7.47		2.28	"		
113.02	32.6	16.13	1.28	3.9	16	8	7.50		3.41	Clayey SILT to Silty CLAY	130-140	
113.52	23.7	11.71	0.69	2.9	11	5	7.53		2.22	"	120-130	
114.02	22.4	11.04	0.46	2.1	8	4	7.57		2.04	Sandy SILT to Clayey SILT		
114.52	21.3	10.48	0.47	2.2	9	5	7.60		1.89	Clayey SILT to Silty CLAY		
115.04	26.3	12.92	0.56	2.1	9	5	7.63		2.55	Sandy SILT to Clayey SILT		
115.52	34.5	16.91	0.65	1.9	12	6	7.66		3.64	"		
116.02	26.8	13.11	0.65	2.4	10	5	7.69		2.61			
116.52	24.3	11.87	0.49	2.0	8	4	7.72		2.27			
117.02	24.8	12.09	0.46	1.9	8	4	7.75		2.34			
117.53	30.9	15.03	0.49	1.6	11	5	7.79		3.15			
118.04	27.4	13.30	0.43	1.6	10	5	7.82		2.67			
118.50	28.0	13.57	0.44	1.6	10	5	7.85		2.75	н		
119.01	29.6	14.32	0.51	1.7	10	5	7.88		2.96	н		
119.55	106.4	51.33	7.24	6.8	101	49	7.92		13.19	Very Stiff Fine Grained *	>140	
120.02	134.1	64.54	11.43	8.5	130	63	7.96		16.88	"		

DEPTH = Sampling interval (~0.1 feet)

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Qc = Tip bearing uncorrected Qt = Tip bearing corrected Fs = Sleeve friction resistance Rf = Qt / Fs

SPT = Equivalent Standard Penetration Test Qt' and SPT' = Qt and SPT corrected for overburden

EffVtStr = Effective Vertical Stress using est. density\*\* Phi = Soil friction angle\*

Su = Undrained Soil Strength\* (see classification chart)

References: \* Robertson and Campanella, 1988 \*\*Olsen, 1989 \*\*\* Durgunoglu & Mitchell, 1975

PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 80.0 feet DEPTH Qt Qt' Fs Rf					oundwate	CPT I DATE TIME:	<b>NO.:</b> 1-CP <sup>*</sup> :: 06-12-20 : 13:13:00 ured at 9.3	T5 )12 3 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
0.53	0.8	1.28	0.00	0.1	1	1	0.06		0.15	Organic Material	<80	
1.04	1.4	2.24	1.22	20.0	1	2	0.11		0.27	Ш	100-110	
1.50	0.0	2.24	0.76	20.0	1	2	0.11		0.27	"	"	
2.01	33.6	53.76	1.75	5.2	34	54	0.18		4.47	CLAY "	130-140	
2.54	9.6	20.32 15.36	0.92	7.2	10	20 15	0.25		1.00		120-130	
3.55	12.7	20.32	0.64	5.0	13	20	0.37		1.67	"		
4.05	7.9	12.64	0.23	2.9	5	8	0.43		1.54	Silty CLAY to CLAY	100-110	
4.51	11.8	18.88	0.70	5.9	12	19	0.49		1.93	CLAY	120-130	
5.00	10.4	16.64	0.34	3.3	7	11	0.54		1.69	Silty CLAY to CLAY	110-120	
5.51	6.3	10.08	0.32	5.1	6	10	0.59		1.20	CLAY	100-110	
6.05	2.0	3.20	0.15	7.5	2	3	0.65		0.34	Organic Material	90-100	
0.55	2.5	4.00	0.15	6.0 11.0	3	4	0.69		0.43		100 110	
7.04	4.0 4.3	6.88	0.44	65	4 4	7	0.74		0.73	CLAY	"	
8.03	1.5	2.33	0.28	18.7	1	2	0.84		0.22	Organic Material	90-100	
8.55	1.8	2.71	0.19	10.6	2	3	0.89		0.27	"	н	
9.02	2.9	4.24	0.15	5.2	3	4	0.94		0.49	CLAY	"	
9.54	2.4	3.47	0.11	4.6	2	3	0.96		0.38	"	"	
10.01	2.0	2.87	0.04	2.0	1	1	0.97		0.30	Sensitive Fine Grained	85-90	
10.56	1.8	2.56	0.02	1.1	1	1	0.98		0.25		80-85	
11.07	1.8	2.54	0.02	1.1	7	10	0.99		0.25	CLAY	110-120	
12.08	9.2	12.68	0.30	5.0	9	13	1.04		1.43	"	"	
12.54	10.5	14.31	0.65	6.2	10	14	1.07		1.64	11	120-130	
13.03	10.1	13.61	0.74	7.3	10	13	1.10		1.57	п	н	
13.58	9.7	12.90	0.67	6.9	10	13	1.14		1.50	"	"	
14.08	14.2	18.65	0.83	5.8	14	18	1.17		1.79	"	"	
14.58	18.5	23.99	0.73	3.9	12	16	1.20		2.36	Silty CLAY to CLAY	"	
15.04	15.4	19.74	0.71	4.6 5.4	15	19	1.23		1.95	CLAY		
16.05	32.4	40.45	1.39	4.3	22	27	1.20		4 20	Silty CLAY to CLAY	130-140	
16.54	13.6	16.78	1.05	7.7	14	17	1.33		1.69	CLAY	120-130	
17.03	7.2	8.78	1.18	16.4	7	9	1.36		1.25	Organic Material		
17.52	66.9	80.41	2.28	3.4	27	32	1.39		8.79	Sandy SILT to Clayey SILT	130-140	
18.05	160.2	189.59	3.80	2.4	53	63	1.43	42		Silty SAND to Sandy SILT	"	
18.54	139.9	163.18	2.48	1.8	35	41	1.47	41		SAND to Silty SAND	"	
19.06	131.4	151.19	1.55	1.2	33	38	1.50	40			120-130	
19.54 20.03	93.1 15.4	100.12	0.81	1.Z	23 15	27 17	1.55		1 90	CLAY		
20.53	12.8	14.30	0.76	5.9	13	14	1.59		1.55	"		
21.03	11.8	13.05	0.51	4.3	12	13	1.62		1.77			
21.52	9.4	10.31	0.33	3.5	9	10	1.65		1.36		110-120	
22.03	10.1	10.98	0.34	3.4	7	7	1.67		1.48	Silty CLAY to CLAY	"	
22.55	14.5	15.60	0.44	3.0	7	8	1.71		1.76	Clayey SILT to Silty CLAY	120-130	
23.06	14.5	15.43	0.44	3.0	7	8	1.74		1.76			
23.51	15.5 19 F	10.37	0.67	4.3	15	16	1.//		1.89 2.29			
24.02	14.5	15.08	0.53	3.7	9 10	10	1.83		2.20	Silty CLAY to CLAY	"	
25.00	13.7	14.16	0.57	4.2	13	14	1.86		1.64	CLAY		
25.52	11.0	11.30	0.47	4.3	11	11	1.89		1.59		110-120	
26.01	12.4	12.64	0.54	4.4	12	12	1.92		1.45	11	120-130	
26.50	17.6	17.81	0.76	4.3	17	18	1.95		2.14		"	
27.06	16.4	16.46	0.69	4.2	16	16	1.98		1.98	11	"	
27.51	14.2	14.20	0.64	4.5	14	14	2.01		1.68		" Da wa 4 a 6 0	

PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 80.0 feet G					CPT NO.: 1-CPT5 DATE: 06-12-2012 TIME: 13:13:00 Groundwater measured at 9.3 feet					<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)		
28.00	10.6	10.59	0.46	4.3	10	10	2.04		1.50	CLAY	110-120		
28.57	9.3	9.29	0.41	4.4	9	9	2.07		1.28	"			
29.03	7.9	7.89	0.33	4.2	8	8	2.09		1.25				
29.52	7.4 8.9	7.30 8.87	0.34	4.0	9	9	2.12		1.14				
30.53	6.9	6.88	0.30	4.3	7	7	2.10		1.03	11	100-110		
31.02	7.4	7.37	0.28	3.8	7	7	2.19		1.12	н	"		
31.57	9.1	9.06	0.30	3.3	9	9	2.22		1.21	11	110-120		
32.03	9.1	9.06	0.33	3.6	9	9	2.24		1.21	н	"		
32.56	8.6	8.55	0.27	3.1	5	5	2.26		1.35	Silty CLAY to CLAY	100-110		
33.00	7.6	7.56	0.26	3.4	7	7	2.28		1.14	CLAY	"		
33.53	9.6	9.54	0.28	2.9	6	6	2.30		1.28	Silty CLAY to CLAY	"		
34.01	11.4	11.32	0.48	4.2	11	11	2.33		1.58		110-120		
34.50	0.1 8.8	0.04 8.73	0.25	2.0	5	5	2.30		1.23	SIILY CLAT IO CLAT	100-110		
35.58	8.5	8.43	0.23	2.5	5	5	2.40		1.29				
36.05	8.1	8.03	0.25	3.1	7	7	2.42		1.21	CLAY	"		
36.53	8.7	8.62	0.30	3.4	8	8	2.44		1.32	н	"		
37.01	9.6	9.51	0.23	2.4	6	6	2.46		1.25	Silty CLAY to CLAY	"		
37.57	18.5	18.32	0.55	3.0	9	9	2.49		2.18	Clayey SILT to Silty CLAY	120-130		
38.04	14.8	14.57	0.27	1.8	7	7	2.52		1.68	11	110-120		
38.52	8.9	8.70	0.22	2.5	6	5	2.54		1.34	Silty CLAY to CLAY	100-110		
39.00	9.1	8.83	0.27	3.0	6	6	2.56		1.15		110,120		
39.50	13.5	12.97	0.35	2.0 4.3	0 14	0 1/	2.59		1.50		120-120		
40.52	17.6	16.57	0.58	3.3	14	14	2.02		2.04	Silty CLAY to CLAY	"		
41.01	10.6	9.89	0.36	3.4	7	6	2.67		1.38	"	110-120		
41.56	14.0	12.90	0.82	5.9	13	12	2.71		1.55	CLAY	120-130		
42.04	17.2	15.68	0.94	5.5	17	15	2.74		1.97	"	"		
42.51	20.5	18.49	0.61	3.0	10	9	2.77		2.41	Clayey SILT to Silty CLAY	"		
43.06	12.8	11.40	0.46	3.6	8	7	2.80		1.38	Silty CLAY to CLAY	"		
43.54	13.3	11.73	0.40	3.0	9	8	2.83		1.44		110-120		
44.05	14.5	12.64	0.39	2.7	(	6	2.86		1.60	Clayey SILT to Silty CLAY	120-130		
44.52	15.5	15.30	0.43	2.8	8	0 7	2.89		1.73				
45.00	62.3	52.32	2.60	2.9 4.2	31	26	2.92		7.96	н	130-140		
46.04	115.0	95.10	3.39	2.9	46	38	2.99		14.98	Sandy SILT to Clayey SILT	"		
46.58	86.7	71.20	4.22	4.9	87	71	3.03		11.20	Very Stiff Fine Grained *	"		
47.03	38.9	31.80	2.30	5.9	39	32	3.07		4.82	CLAY	"		
47.54	20.8	16.91	1.13	5.4	21	17	3.10		2.41	11	"		
48.08	10.6	8.58	0.51	4.8	10	8	3.14		1.30	11	120-130		
48.56	11.7	9.43	0.55	4.7	12	9	3.17		1.48	"	"		
49.05	12.3	9.87	0.56	4.6	12	10	3.20		1.26		120 110		
49.56	23.Z	18.52	0.82	5.8 2.0	23 14	18	3.23		2.71	Silty CLAV to CLAV	130-140		
50.58	∠ 1.4 14 1	11.14	0.72	5.9 5.1	14	11	3.27 3.30		2.40 1.49		120-130		
51.02	14.2	11.18	0.75	5.3	14	11	3.33		1.50	"			
51.53	17.3	13.54	1.00	5.8	17	13	3.37		1.91	11	130-140		
52.04	19.0	14.79	1.14	6.0	19	15	3.41		2.13	н	"		
52.55	17.6	13.63	1.14	6.5	17	13	3.44		1.94	11	"		
53.06	15.0	11.56	0.91	6.1	15	11	3.47		1.59	11	120-130		
53.54	13.3	10.20	0.73	5.5	13	10	3.50		1.35				
54.05	13.6	10.38	0.64	4.7	13	10	3.54		1.39	"			
54.56	15.1 16 9	11.47	0.70	4.6	15	11	3.57		1.59				
55.07	10.0	12.70	0.70	4.0	10	12	3.00		1.01		Page 2 of 3		

PROJECT: 20-80 CHEMICAL WAY SITE LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 80.0 feet Groundy						CPT N DATE TIME:	NO.: 1-CP : 06-12-20 13:13:00 ured at 9.3	T5 012 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH	Qt	Qť	Fs	Rf	SPT	SPT'	EffVtStr	PHI	SU	SOIL BEHAVIOR	DENSITY RANGE	
(feet)	(tsf)	(tsf)	(tsf)	(%)	(N)	(N')	(ksf)	(deg.)	(ksf)	TYPE	(pcf)	
55.50	15.1	11.37	0.61	4.0	15	11	3.63		1.58	CLAY	120-130	
56.01	11.8	8.84	0.55	4.7	11	8	3.66		1.42	"		
56.52	12.8	9.54	0.60	4.7	12	9	3.69		1.26	"		
57.06	13.5	10.01	0.58	4.3	13	9	3.72		1.35			
58.08	14.0 13.8	10.33	0.56	4.1	13	10	3.70		1.41			
58.51	15.9	11.63	0.58	3.6	10	7	3.81		1.66	Silty CLAY to CLAY		
59.02	14.3	10.41	0.60	4.2	13	10	3.85		1.44	CLAY	"	
59.52	15.3	11.08	0.62	4.1	14	10	3.88		1.57			
60.10	20.1	14.47	0.82	4.1	13	9	3.91		2.21	Silty CLAY to CLAY	"	
60.51	18.9	13.55	0.86	4.6	18	13	3.94		2.04	CLAY	"	
61.02	16.8	11.98	0.74	4.4	16	11	3.97		1.76		"	
61.52	18.4	13.06	0.90	4.9	18	12	4.00		1.97	"		
62.03	18.8	13.29	0.92	4.9	18	13	4.04		2.02	"		
62.52	18.5	13.03	0.90	4.9	18	13	4.07		1.97	"		
63.02	17.5	12.28	0.82	4.7	17	12	4.10		1.84			
63.51	18.0	12.58	0.83	4.6	17	12	4.13		1.90		120 140	
64.01 64.58	20.0 10.5	14.40	0.02	4.9 4.8	20 10	14	4.10		2.27		130-140	
65.08	19.5	13.51	0.95	4.0	19	13	4.20		2.03		130-140	
65.58	21.0	14.42	1.02	4.9	20	14	4.27		2.28		"	
66.07	20.4	13.95	0.91	4.5	20	13	4.31		2.20	н	"	
66.51	21.9	14.92	1.12	5.1	21	14	4.34		2.39	п		
67.08	22.8	15.45	1.17	5.1	22	15	4.38		2.51			
67.57	22.6	15.24	1.02	4.5	22	15	4.42		2.48		"	
68.06	21.6	14.51	1.08	5.0	21	14	4.45		2.34			
68.55	19.9	13.30	0.97	4.9	19	13	4.49		2.11		"	
69.04	18.9	12.59	0.85	4.5	18	12	4.52		1.97		120-130	
69.53	19.0	12.60	0.86	4.5	18	12	4.55		1.98	"		
70.07	19.0	12.55	0.86	4.5	18	12	4.58		1.97		120 140	
70.57	20.9	10.74	0.92	4.4 1 1	20 14	13	4.02		2.22	Silty CLAV to CLAV	130-140	
71.58	21.3	14.59	0.90	4.1	14	9	4.00		2.55	U U U U U U U U U U U U U U U U U U U		
72.08	24.6	15.94	1.11	4.5	24	15	4.73		2.70	CLAY		
72.58	22.5	14.51	0.94	4.2	14	9	4.77		2.42	Silty CLAY to CLAY	"	
73.02	20.3	13.04	0.94	4.6	19	12	4.80		2.12	CLAY		
73.52	21.5	13.74	0.97	4.5	21	13	4.83		2.28	н	"	
74.03	17.5	11.14	0.96	5.5	17	11	4.87		1.74		120-130	
74.55	16.9	10.71	0.91	5.4	16	10	4.90		1.65			
75.07	18.0	11.36	0.94	5.2	17	11	4.93		1.80	н	"	
75.59	17.9	11.24	0.89	5.0	17	11	4.96		1.78	"	"	
76.02	15.6	9.76	0.60	3.8	10	6	4.99		1.47	Silty CLAY to CLAY		
76.57	15.7	9.79	0.52	3.3	10	6	5.02		1.48			
77.01 77.52	10.3	10.13	0.59	3.0 21	10	67	5.U5 5.00		1.55			
78.05	16.7	10.35	0.37	3.4 3.0	יי א	7 5	5.00		1.00	Clavey SILT to Silty CLAV		
78.57	17.1	10.52	0.47	2.7	8 8	5	5.12		1.65	"		
79.08	17.7	10.85	0.53	3.0	8	5	5.18		1.72			
79.56	19.1	11.67	0.52	2.7	9	5	5.21		1.91	п		
80.08	20.8	12.67	0.61	2.9	9	6	5.24		2.13		"	
DEPTH	l = Sam	pling interv	val (~0.1 fe	eet)								
Qc = T	ip bearin	g uncorre	cted Qt	= Tip bea	aring corr	ected	Fs = Slee	eve friction	n resista	nce Rf = Qt / Fs		
EffVtSt	Equivale tr = Effec	tive Vertic	al Stress	using est	density*	* Phi	= Qt and = Soil frict	ion angle	ecied 10 *	roverburgen		
Su = U	ndrained	Soil Stre	ngth* (see	classifica	ation cha	rt)						
Refere	nces: * I	Robertson	and Cam	panella. <sup>2</sup>	1988 **0	Disen. 1	989 *** D	uraunoalu	u & Mitcl	nell. 1975	Page 3 of 3	

PROJE LOCA PROJ. Termin	roundwate	CPT N DATE TIME: er meas	NO.: 1-CP : 06-13-20 : 15:18:00 sured at 8.9	T6 )12 9 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates						
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)
0.52	25.0	40.00	1.17	4.7	25	40	0.06		3.33	CLAY	130-140
1.01	22.3	35.68	1.41	6.3	22	35	0.13		2.96		"
1.55	30.7	49.12	1.38	4.5 5.3	20	32	0.20		4.08		
2.01	17.0	27.20	0.83	5.5 4.9	17	27	0.20		2.24	ULAT "	120-130
3.04	16.6	26.56	0.82	4.9	17	26	0.39		2.19	11	"
3.57	16.1	25.76	1.05	6.5	16	26	0.46		2.12	"	130-140
4.05	13.5	21.60	0.89	6.6	13	21	0.52		1.77	н	120-130
4.52	9.9	15.84	0.77	7.8	10	16	0.58		1.60	"	"
5.03	26.5	42.40	1.01	3.8	18	28	0.65		3.49	Silty CLAY to CLAY	130-140
5.52	11.7 20.5	18.72	0.88	7.5	12	19	0.71		1.89	CLAY Sondy SILT to Clayov SILT	120-130
6.05	13.9	40.00 21 75	0.67	2.2 4.5	12	22	0.78		4.01		
7.05	4.9	7.39	0.41	4.5 8.4	5	7	0.89		0.89	Organic Material	100-110
7.53	5.0	7.29	0.28	5.6	5	7	0.94		0.91	CLAY	"
8.05	1.4	1.98	0.14	10.0	1	2	0.99		0.18	Organic Material	85-90
8.59	2.0	2.76	0.13	6.5	2	3	1.03		0.30		
9.04	0.8	1.10	0.05	6.3	1	1	1.05		0.05	"	"
9.59	1.7	2.33	0.06	3.5	2	2	1.06		0.23	CLAY	
10.02	1.7	2.32	0.10	5.9	2	2	1.07		0.22	Organic Material	"
10.50	2.4	3.20 2.07	0.05	2.1	1	1	1.08		0.30	Sensitive Fine Grained	
11.56	2.2	3.36	0.05	2.0	1	1	1.10		0.37		
12.01	3.6	4.81	0.16	4.4	3	4	1.12		0.59	CLAY	90-100
12.56	12.0	15.81	0.54	4.5	12	15	1.16		1.51	"	120-130
13.04	9.9	12.89	0.57	5.8	10	13	1.19		1.53		
13.52	3.7	4.78	0.41	11.1	4	5	1.21		0.59	Organic Material	100-110
14.02	13.7	17.47	0.60	4.4	14	17	1.24		1.72	CLAY	120-130
14.51	13.3	16.76	0.71	5.3	13	16	1.27		1.66		
15.00	25.5	31.27	0.72	3.6	13	14	1.30		3.28	Clavey SILT to Silty CLAY	130-140
16.06	9.4	11.41	0.47	5.0	9	11	1.37		1.41	CLAY	110-120
16.57	5.6	6.75	0.17	3.0	5	6	1.38		0.93		90-100
17.01	6.2	7.43	0.13	2.1	4	5	1.40		1.05	Silty CLAY to CLAY	
17.53	40.7	48.16	0.49	1.2	14	16	1.43	34		Silty SAND to Sandy SILT	120-130
18.04	32.2	37.52	0.84	2.6	13	15	1.47		4.16	Sandy SILT to Clayey SILT	130-140
18.53	65.8 99.7	100.07	0.66	1.0	16	19 25	1.50	30		SAND to SIITY SAND	120-130
19.07	00.7 102 1	115.05	0.62	0.9	22	20	1.55	30 30		Silty SAND to Sandy SILT	130-140
20.03	135.0	150.80	1.03	0.8	27	30	1.59	40		SAND	110-120
20.51	153.7	170.28	0.94	0.6	31	34	1.62	41		"	"
21.02	149.2	163.57	1.24	0.8	30	33	1.65	41		"	120-130
21.55	207.8	225.35	1.81	0.9	42	45	1.68	43		н	
22.03	174.8	187.68	1.88	1.1	35	38	1.71	42		"	"
22.56	76.1	80.66	2.38	3.1	30	32	1.75		9.97	Sandy SILT to Clayey SILT	130-140
23.02	18.8 24 4	19.80 22.02	0.80	4.3	19 24	20	1.78		2.33	CLAY "	120-130
23.52	∠1.1 10 8	22.03 11 21	0.43	4.0	∠ı 11	22 11	1.02 1.84		∠.03 1 57	н	110-120
24.57	8.1	8.35	0.41	5.1	8	8	1.87		1.33		"
25.04	21.6	22.09	0.82	3.8	14	15	1.90		2.68	Silty CLAY to CLAY	130-140
25.51	16.7	16.96	0.55	3.3	11	11	1.93		2.03		120-130
26.05	58.6	58.97	1.33	2.3	23	24	1.97		7.61	Sandy SILT to Clayey SILT	130-140
26.56	28.8	28.80	0.67	2.3	11	11	2.01		3.63	"	120-130
27.02	28.6	28.58	1.03	3.6	14	14	2.04		3.60	Clayey SILT to Silty CLAY	130-140

PROJE LOCA <sup>-</sup> PROJ. Termin	ECT: 20-4 TION: Re NO.: 95	80 CHEMI edwood Ci 15.000.00 00.0 feet	ICAL WAY ty CA 0(EGO-20	SITE 5) Gr	oundwat	CPT N DATE TIME: er meas	NO.: 1-CP : 06-13-20 : 15:18:00 sured at 8.9	T6 )12 9 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
27.54	37.0	36.94	1.82	4.9	37	36	2.08		4.72	CLAY	130-140	
28.01	40.9	40.81	1.69	4.1	20	20	2.11		5.23	Clayey SILT to Silty CLAY	"	
28.56	61.4	61.21	2.31	3.8	31	31	2.15		7.96		"	
29.01	83.8	83.49	1.27	1.5	28	28	2.18	37		Silty SAND to Sandy SILT		
29.53	101.0	101.35	2.10 4.83	2.1	34 60	34 60	2.22		19 77	Sandy SILT to Clavey SILT		
30.53	143.1	142.26	2.68	1.9	48	47	2.20	40		Silty SAND to Sandy SILT		
31.03	86.0	85.43	2.62	3.0	34	34	2.33		11.22	Sandy SILT to Clayey SILT	"	
31.52	106.7	105.92	2.97	2.8	43	42	2.37		13.97	"	"	
32.00	83.5	82.83	1.62	1.9	28	28	2.40	37		Silty SAND to Sandy SILT	"	
32.58	10.1	10.01	0.48	4.8	10	10	2.43		1.36	CLAY	110-120	
33.00	8.7	8.62	0.49	5.6	9	9	2.45		1.34	"	"	
33.50	9.7	9.61	0.37	3.8	10	10	2.48		1.28			
34.02	10.3	10.17	0.35	3.4	6	1	2.51		1.38	Slity CLAY to CLAY		
35.07	9.5	9.30 9.40	0.30	2.9	6	6	2.55		1.24			
35.50	10.2	9.81	0.27	2.6	7	6	2.58		1.34	п		
36.04	10.8	10.29	0.29	2.7	7	7	2.61		1.44		"	
36.56	12.3	11.61	0.34	2.8	6	6	2.64		1.35	Clayey SILT to Silty CLAY	"	
37.09	12.0	11.21	0.39	3.3	8	7	2.67		1.30	Silty CLAY to CLAY	"	
37.53	13.8	12.77	0.87	6.3	13	12	2.70		1.54	CLAY	120-130	
38.06	44.9	40.98	1.91	4.3	30	27	2.73		5.68	Silty CLAY to CLAY	130-140	
38.58	17.4	15.66	1.01	5.8	17	15	2.77		2.01	CLAY	"	
39.00	16.1	14.35	0.87	5.4 4 5	16	14	2.80		1.83		120-130	
40.01	12.2	13.03	0.33	4.5	12	10	2.03		1.31			
40.54	14.1	12.12	0.66	4.7	13	10	2.89		1.55	н		
41.06	17.2	14.60	0.77	4.5	16	14	2.93		1.96		"	
41.53	16.7	14.02	0.71	4.3	16	13	2.96		1.89	11	"	
42.05	19.3	15.99	0.72	3.7	12	10	2.99		2.23	Silty CLAY to CLAY	"	
42.58	27.5	22.60	0.92	3.3	13	11	3.03		3.32	Clayey SILT to Silty CLAY	130-140	
43.02	25.6	20.94	0.91	3.6	12	10	3.06		3.07	"		
43.57	32.1	26.12	1.14	3.6	15	12	3.10		3.93	Silty CLAV to CLAV		
44.01	27.1	18.68	0.98	4.1	17	14	3.13		2 73	SIILY CLAT TO CLAT	"	
45.08	15.8	12.66	0.50	3.2	10	8	3.20		1.74		120-130	
45.53	14.1	11.26	0.55	3.9	14	11	3.23		1.51	CLAY	"	
46.05	40.7	32.31	2.13	5.2	40	32	3.27		5.05	п	130-140	
46.53	84.6	66.83	3.14	3.7	42	33	3.30		10.90	Clayey SILT to Silty CLAY	"	
47.03	35.5	27.90	1.56	4.4	24	19	3.34		4.35	Silty CLAY to CLAY	"	
47.53	20.6	16.11	0.83	4.0	14	11	3.37		2.36	"	120-130	
48.03	20.0	15.57	0.76	3.8	13	10	3.40		2.28		"	
48.53	21.1	16.34	0.99	4.7	21 12	10	3.44		2.42		130-140	
49.03	20.9 19.4	14.88	0.70	3.0	12	10	3.47		2.39	SIILY CLAT TO CLAT	"	
50.03	19.3	14.74	0.66	3.4	12	9	3.53		2.10	н		
50.53	18.9	14.36	0.66	3.5	12	9	3.57		2.11	п	"	
51.04	17.2	13.01	0.56	3.3	11	8	3.60		1.88	"		
51.55	17.6	13.25	0.55	3.1	8	6	3.63		1.93	Clayey SILT to Silty CLAY	"	
52.06	17.9	13.41	0.60	3.4	11	8	3.66		1.96	Silty CLAY to CLAY	"	
52.56	17.6	13.12	0.52	3.0	8	6	3.69		1.92	Clayey SILT to Silty CLAY	"	
53.06	19.8	14.69	0.52	2.6	9	7	3.72		2.21			
53.56	24.5	18.09	0.76	3.1	11	8	3.75		2.83		120 1 10	
54.07	44.0	32.29	2.37	5.4	43	32	3.79		5.42	CLAY	130-140	

PROJE LOCAT PROJ. Termina	30 CHEMI dwood Cit 15.000.00 00.0 feet	ICAL WAY ty CA 0(EGO-20	SITE 5) Gi	<b>CPT NO.:</b> 1-CPT6 <b>DATE:</b> 06-13-2012 <b>TIME:</b> 15:18:00 Groundwater measured at 8.9 feet					<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
54.57	26.5	19.34	1.04	3.9	18	13	3.83		3.09	Silty CLAY to CLAY	130-140	
55.07	18.3	13.29	0.68	3.7	12	9	3.86		1.99	"	120-130	
55.57	23.4	16.89	0.98	4.2	15	11	3.90		2.66	"	130-140	
56.07	22.7	16.30	1.04	4.6	22	16	3.93		2.57	CLAY	"	
56.51	23.3	16.64	1.21	5.2	23	16	3.96		2.64			
57.01	22.0	16.05	1.17	5.Z	22	10	4.00		2.00			
58.01	21.0	14.08	1.33	0.2 5.5	20	14	4.04		2.41		"	
58.51	20.0	14.01	0.91	4.6	19	14	4.11		2.19			
59.01	32.4	22.60	1.35	4.2	21	15	4.15		3.83	Silty CLAY to CLAY	"	
59.53	25.1	17.43	1.16	4.6	24	17	4.18		2.86	CLAY		
60.07	28.0	19.35	1.27	4.5	18	13	4.22		3.24	Silty CLAY to CLAY	"	
60.54	19.2	13.22	0.76	4.0	12	8	4.25		2.06		120-130	
61.07	18.7	12.82	0.74	4.0	11	8	4.29		1.99		"	
61.55	17.9	12.23	0.70	3.9	11	7	4.32		1.88	"	"	
62.04	18.5	12.59	0.76	4.1	11	8	4.35		1.95	"	"	
62.58	19.0	12.88	0.75	3.9	12	8	4.38		2.02		"	
63.08	17.9	12.08	0.76	4.2	17	11	4.41		1.87			
64.01	10.1	12.18	0.70	3.9	11	/	4.44		1.89	SIITY CLAY TO CLAY		
64.01 64.52	20.8	13.07	0.77	3.9 4.2	12	0 8	4.47		2.07			
65.03	25.0	16.61	1.13	4.5	24	16	4.54		2.80	CLAY	130-140	
65.53	25.3	16.73	1.05	4.2	16	11	4.57		2.83	Silty CLAY to CLAY	"	
66.02	24.9	16.39	1.11	4.5	16	10	4.61		2.77	"		
66.54	23.6	15.46	1.03	4.4	15	10	4.65		2.60			
67.05	23.0	14.99	0.99	4.3	15	9	4.68		2.51		"	
67.55	26.5	17.19	1.12	4.2	17	11	4.72		2.97			
68.05	26.6	17.17	1.17	4.4	17	11	4.76		2.98		"	
68.55	26.4	16.96	1.19	4.5	17	11	4.79		2.95			
69.05	27.0	17.26	1.21	4.5	17	11	4.83		3.03	"	"	
69.56	28.6	18.20	1.30	4.5	18	12	4.87		3.24			
70.06	29.4	18.62	1.37	4.7	28	18	4.90		3.34			
70.50	20.9	16.81	1.32	4.0	26	12	4.94		2 98			
71.55	20.0	16.92	1.28	4.7	26	16	5.01		3.02	"		
72.04	26.5	16.48	1.26	4.8	26	16	5.05		2.93	н		
72.58	24.6	15.24	1.05	4.3	16	10	5.09		2.67	Silty CLAY to CLAY		
73.06	23.2	14.32	0.98	4.2	15	9	5.12		2.48		"	
73.55	23.1	14.20	0.94	4.1	15	9	5.16		2.47		"	
74.04	24.5	15.01	1.00	4.1	16	10	5.19		2.65	н	"	
74.53	24.2	14.77	0.91	3.8	15	9	5.23		2.60			
75.02	24.3	14.77	0.89	3.7	15	9	5.26		2.61	" 	"	
75.50	23.7	14.36	0.78	3.3	11	7	5.29		2.53	Clayey SILT to Silty CLAY	120-130	
76.07	20.0	15.99	1.29	3.Z	12	12	5.33 5.37		2.90	Silty CLAV to CLAV	130-140	
77.04	39.1	23.07	1.50	4.U 3.9	22 19	13	5.37 5.40		5.95 4 57	Clavey SILT to Silty CLAY		
77.52	40.0	23.86	1.55	3.9	20	12	5.44		4.68			
78.00	27.4	16.28	1.38	5.0	27	16	5.47		3.00	CLAY	"	
78.55	26.0	15.38	1.41	5.4	25	15	5.51		2.81	-	"	
79.00	25.0	14.74	1.33	5.3	24	14	5.55		2.67			
79.55	20.7	12.15	1.00	4.8	20	12	5.59		2.09	п	"	
80.03	21.1	12.33	1.01	4.8	20	12	5.62		2.14		"	
80.56	20.4	11.88	0.72	3.5	13	7	5.66		2.04	Silty CLAY to CLAY	120-130	
81.02	19.0	11.03	0.62	3.3	9	5	5.68		1.85	Clayey SILT to Silty CLAY	"	

PROJECT: 20-80 CHEMICAL WAY SI LOCATION: Redwood City CA PROJ. NO.: 9515.000.000(EGO-205) Terminated at 100.0 feet					roundwat	CPT N DATE TIME: ter meas	<b>VO.:</b> 1-CP <sup>-</sup> :: 06-13-20 : 15:18:00 sured at 8.9	T6 )12 9 feet	<b>ENGEO, INC.</b> cpts by John Sarmiento & Associates			
DEPTH (feet)	Qt (tsf)	Qt' (tsf)	Fs (tsf)	Rf (%)	SPT (N)	SPT' (N')	EffVtStr (ksf)	PHI (deg.)	SU (ksf)	SOIL BEHAVIOR TYPE	DENSITY RANGE (pcf)	
81.51 82.06	18.6 20.3	10.76 11.70	0.59 0.72	3.2 3.5	9 13	5 7	5.71 5.75		1.80 2.02	Clayey SILT to Silty CLAY Silty CLAY to CLAY	120-130 "	
82.52 83.07 83.54	21.3 22.9 24.6	12.24 13.10 14.03	0.70 0.75 0.81	3.3 3.3 3.3	10 11 11	6 6 7	5.78 5.81 5.84		2.15 2.36 2.58	Clayey SILT to Silty CLAY	"	
84.02 84.51	24.7 24.6	14.03 13.92	0.88 0.92	3.6 3.7	12 15	7 9	5.88 5.91		2.59 2.57	" Silty CLAY to CLAY	130-140 ''	
85.00 85.57 86.05	27.9 28.9 30.0	15.72 16.20 16.76	1.01 0.99 1.07	3.6 3.4 3.6	13 14 14	7 8 8	5.95 5.99 6.02		3.01 3.13 3.28	Clayey SILT to Silty CLAY		
86.53 87.06	30.2 31.0	16.82 17.21	1.15 1.16	3.8 3.7	15 15	8 8	6.06 6.10		3.30 3.40	н П		
87.54 88.02 88.58	28.1 28.9 27.1	15.55 15.94 14.89	1.20 1.17 1.06	4.3 4.0 3.9	18 19 18	10 10 10	6.13 6.17 6.21		3.01 3.11 2.87	Silty CLAY to CLAY "		
89.05 89.53	26.7 27.1	14.63 14.80	1.06 1.02	4.0 3.8	17 13	10 7	6.24 6.28		2.81 2.86	" Clayey SILT to Silty CLAY		
90.03 90.51 91.07	27.3 24.1 25.1	14.86 13.08 13.58	0.95 0.75 0.77	3.5 3.1 3.1	13 11 12	7 6 6	6.31 6.34 6.38		2.88 2.45 2.58		120-130	
91.55 92.03	43.0 22.0	23.19 11.83	1.69 0.67 0.71	3.9 3.0	21 10	12 6	6.41 6.44		4.96 2.16	н н н	130-140 120-130 "	
93.05 93.51	24.2 22.4 23.5	11.98 12.53	0.69 0.58	3.1 2.5	10 11	5 6	6.51 6.54		2.40 2.20 2.34			
94.06 94.54 95.01	23.7 21.5 22 9	12.60 11.39 12.10	0.55 0.58 0.51	2.3 2.7 2.2	11 10 10	6 5 5	6.57 6.60 6.63		2.37 2.07 2.25	н н н		
95.57 96.04	23.5 24.5	12.38 12.87	0.54 0.70	2.3 2.9	11 11	6 6	6.66 6.69		2.33 2.46			
96.58 97.05 97.53	23.0 25.7 24.6	12.04 13.42 12.81	0.57 0.55 0.67	2.5 2.1 2.7	10 9 11	5 5 6	6.73 6.76 6.79		2.25 2.61 2.46	" Sandy SILT to Clayey SILT Clayey SILT to Silty CLAY		
98.03 98.50	27.9 30.4	14.48 15.73	0.70 0.69	2.5 2.3	10 11	5	6.82 6.85		2.89 3.22	Sandy SILT to Clayey SILT		
99.05 99.52 100.01	27.2 30.0 33.5	14.03 15.43 17.17	0.65 0.68 1.14	2.4 2.3 3.4	10 11 16	5 6 8	6.88 6.91 6.95		2.79 3.16 3.62	Clayey SILT to Silty CLAY	" 130-140	

DEPTH = Sampling interval (~0.1 feet)

Qc = Tip bearing uncorrected Qt = Tip bearing corrected Fs = Sleeve friction resistance Rf = Qt / Fs SPT = Equivalent Standard Penetration Test Qt' and SPT' = Qt and SPT corrected for overburden EffVtStr = Effective Vertical Stress using est. density\*\* Phi = Soil friction angle\*

Su = Undrained Soil Strength\* (see classification chart)

References: \* Robertson and Campanella, 1988 \*\*Olsen, 1989 \*\*\* Durgunoglu & Mitchell, 1975

## Chemical Way Site - Redwood City, Ca Downhole Geophysical Survey (at 1-CPT6)

			initial		Shear wave		
test depth	horiz.	incidence	arrival	corrected	vertical time	wave	e velocity
interval	distance	angle	time	vertical time	difference	for dep	oth interval
(feet)	(feet)	(degree)	(msec)	(msec)	(msec)	(ft/sec)	(m/sec)
			0.0	0.0			
0 - 5	10.5	64.5	11.9	5.12	5.12	977	298
5 - 10	10.5	45.7	20.5	14.32	9.20	570	174
10 - 15	10.5	35.0	33.3	27.28	12.96	367	112
15 - 20	10.5	27.7	43.0	38.07	10.79	463	141
20 - 30	10.5	18.7	54.6	51.71	13.64	806	246
30 - 40	10.5	14.4	71.7	69.46	17.74	564	172
40 - 60	10.5	9.8	92.6	91.26	21.80	917	280
60 - 80	10.5	7.4	120.6	119.60	28.34	706	215
80 - 100	10.5	5.9	152.7	151.88	32.28	620	189

## SHEAR WAVE ARRIVAL TIMES Corrected Time (msec)



## SHEAR WAVE VELOCITIES (ft./sec.) depth interval



ENGEO, INC. eophysica s r ey by

John Sarmiento & Associates

## **APPENDIX C**

ENGEO

Laboratory Test Results





















Checked By: DS



Checked By: DS





Checked By: DS














## LABORATORY MINIATURE VANE SHEAR ASTM D4648

## APPARATUS USED: Wykeham Farrance, Model 27-WF1730/4

Sample #	Sample ID	Remold? (Y/N)	Test depth (ft)	Spring number	Shear strength (psf)
1	1-B1 @ 10	Ν	12	4	454
2	1-B3 @ 8	Ν	9.5	2	430
3	1-B6 @ 10	Ν	12	1	551

 PROJECT NAME: San Mateo County Sherriff's Replacement Correctional
 DATE: 09/07/12

 Facility
 Facility

 PROJECT NUMBER: 9515.000.000
 CLIENT: San Mateo County Sherriff's Office

 PHASE NUMBER: 002
 Tested by: GC

 Reviewed by: DS
 DS







## **APPENDIX D**

CERCO

**Corrosivity Test Results** 



18 September, 2012



1100 Willow Pass Court, Suite A Concord, CA 94520-1006 925 462 2771 Fax. 925 462 2775 www.cercoanalytical.com

Job No.1209044 Cust. No.10169

Ms. Janet Kan ENGEO Inc. 2010 Crow Canyon Place, Suite 250 San Ramon, CA 94583

Subject: Project No.: 9515.000.000 Project Name: San Mateo Sherriff Replacement Corrosivity Analysis - ASTM Test Methods

Dear Ms. Kan:

Pursuant to your request, CERCO Analytical has analyzed the soil sample submitted on September 07, 2012. Based on the analytical results, this brief corrosivity evaluation is enclosed for your consideration.

Based upon the resistivity measurement, this sample is classified as "corrosive". All buried iron, steel, cast iron, ductile iron, galvanized steel and dielectric coated steel or iron should be properly protected against corrosion depending upon the critical nature of the structure. All buried metallic pressure piping such as ductile iron firewater pipelines should be protected against corrosion.

The chloride ion concentration is 580 mg/kg. Because the chloride ion concentration is greater than 300 mg/kg, it is determined to be sufficient to attack steel embedded in a concrete mortar coating. Chloride ion concentrations greater than 300 mg/kg are considered corrosive to embedded reinforcing steel; and, as such, the concrete mix design shall be adjusted accordingly by a qualified corrosion engineer.

The sulfate ion concentration is 98 mg/kg and is determined to be insufficient to damage reinforced concrete structures and cement mortar-coated steel at this location.

The pH of the soil is 8.2, which does not present corrosion problems for buried iron, steel, mortar-coated steel and reinforced concrete structures.

The redox potential is 480-mV, which is indicative of aerobic soil conditions.

This corrosivity evaluation is based on general corrosion engineering standards and is non-specific in nature. For specific long-term corrosion control design recommendations or consultation, please call JDH Corrosion Consultants, Inc. at (925) 927-6630.

We appreciate the opportunity of working with you on this project. If you have any questions, or if you require further information, please do not hesitate to contact us.

Very truly yours, CERCO ANALYTICAL, INC. J. Darby Howard, Jr., P.E.

President

JDH/jdl Enclosure Client:ENGEO IncorporatedClient's Project No.:9515.000.000Client's Project Name:San Mateo Sherriff ReplacementDate Sampled:4-Sep-12Date Received:7-Sep-12Matrix:SoilAuthorization:Signed Chain of Custody



1100 Willow Pass Court, Suite A Concord, CA 94520-1006 925 **462 2771** Fax. 925 **462 2775** www.cercoanalytical.com

Date of Report: 18-Sep-2012

					Resistivity			
		Redox		Conductivity	(100% Saturation)	Sulfide	Chloride	Sulfate
Job/Sample No.	Sample I.D.	(mV)	pH	(umhos/cm)*	(ohms-cm)	(mg/kg)*	(mg/kg)*	(mg/kg)*
1209044-001	1-B3 @ 20	480	8.2	-	850	-	580	98

Method:	ASTM D1498	ASTM D4972	ASTM D1125M	ASTM G57	ASTM D4658M	ASTM D4327	ASTM D4327
Detection Limit:	-	-	10	-	50	15	15
Date Analyzed:	11-Sep-2012	11-Sep-2012	-	10-Sep-2012	-	11-Sep-2012	11-Sep-2012

Many Smeduit Cheryl McMillen

\* Results Reported on "As Received" Basis

Laboratory Director

Quality Control Summary - All laboratory quality control parameters were found to be within established limits



1100 Willow Pass Court, Suite A Concord, CA 94520-1006 925 **462 2771** Fax. 925 **462 2775** www.cercoanalytical.com

20 September, 2012

## Job No.1209103 Cust. No.10169

Ms. Janet Kan ENGEO Inc. 2010 Crow Canyon Place, Suite 250 San Ramon, CA 94583

Subject: Project No.: 9515.000.000 Project Name: San Mateo Sherriff Replacement Corrosivity Analysis – ASTM Test Methods

Dear Ms. Kan:

Pursuant to your request, CERCO Analytical has analyzed the soil samples submitted on September 14, 2012. Based on the analytical results, this brief corrosivity evaluation is enclosed for your consideration.

Based upon the resistivity measurements, Samples No.002 and No.003 are classified as "severely corrosive", and Samples No.001, No.004 and No.005 are classified as "corrosive". All buried iron, steel, cast iron, ductile iron, galvanized steel and dielectric coated steel or iron should be properly protected against corrosion depending upon the critical nature of the structure. All buried metallic pressure piping such as ductile iron firewater pipelines should be protected against corrosion.

The chloride ion concentrations ranged from 110 to 4,000 mg/kg. Because the chloride ion concentrations are greater than 300 mg/kg, they are determined to be sufficient to attack steel embedded in a concrete mortar coating. Chloride ion concentrations greater than 300 mg/kg are considered corrosive to embedded reinforcing steel; and, as such, the concrete mix design shall be adjusted accordingly by a qualified corrosion engineer.

The sulfate ion concentrations ranged from 16 to 730 mg/kg and are determined to be sufficient to potentially be detrimental to reinforced concrete structures and cement mortar-coated steel at these locations. Therefore, concrete that comes into contact with this soil should use sulfate resistant cement such as Type II, with a maximum water-to-cement ratio of 0.55.

The pH of the soils ranged from 7.8 to 8.7, which does not present corrosion problems for buried iron, steel, mortar-coated steel and reinforced concrete structures.

The redox potentials ranged from 140 to 480-mV. Sample No.005 is indicative of potentially "moderately corrosive", and Samples No.002, No.003 and No.004 are indicative of potentially "slightly corrosive" soil resulting from anaerobic soil conditions, and Sample No.001 is indicative of aerobic soil conditions.

ENGEO Incorporated Job #1209103 20 September 2012 Page 1 of 2

This corrosivity evaluation is based on general corrosion engineering standards and is non-specific For specific long-term corrosion control design recommendations or consultation, in nature. please call JDH Corrosion Consultants, Inc. at (925) 927-6630.

We appreciate the opportunity of working with you on this project. If you have any questions, or if you require further information, please do not hesitate to contact us.

Very truly yours, CERCO ANALYTICAL, INC.

J. Darby Howard, Jr., P.E. President

JDH/jdl Enclosure Client:ENGEO IncorporatedClient's Project No.:9515.000.000Client's Project Name:San Mateo Sherriff ReplacementDate Sampled:14-Sep-12Date Received:14-Sep-12Matrix:SoilAuthorization:Signed Chain of Custody



1100 Willow Pass Court, Suite A Concord, CA 94520-1006 925 **462 2771** Fax. 925 **462 2775** www.cercoanalytical.com

Date of Report: 20-Sep-2012

					Resistivity			
		Redox		Conductivity	(100% Saturation)	Sulfide	Chloride	Sulfate
Job/Sample No.	Sample I.D.	(mV)	pH	(umhos/cm)*	(ohms-cm)	(mg/kg)*	(mg/kg)*	(mg/kg)*
1209103-001	1-B2 @ 8.5'	480	8.1	-	900		3,800 (1)	610
1209103-002	1-B3 @ 46'	260	8.1	-	230	-	2,100 (1)	230
1209103-003	1-B4 @ 31'	330	7.8	-	140	-	4,000 (1)	730
1209103-004	1-B4 @ 71'	250	8.7	-	1,600	-	110	16
1209103-005	1-B6 @ 9'	140	7.9	-	610	-	360	130
			:					
							-	
							-	
				48877 F				

Method:	ASTM D1498	ASTM D4972	ASTM D1125M	ASTM G57	ASTM D4658M	ASTM D4327	ASTM D4327
Detection Limit:	-	-	10	-	50	15	15
						19-Sept-2012 &	
Date Analyzed:	18-Sep-2012	18-Sep-2012	-	17-Sep-2012	-	20-Sep-2012	19-Sep-2012

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\* Results Reported on "As Received" Basis

N.D. - None Detected

<sup>(1)</sup> Detection limit is elevated to 75 mg/kg due to dilution

Cheryl McMillen Laboratory Director

Quality Control Summary - All laboratory quality control parameters were found to be within established limits

9 October, 2012



925 462 2771 Fax. 925 462 2775

www.cercoanalytical.com

Job No.1210039 Cust. No.10169

Ms. Janet Kan ENGEO Inc. 2010 Crow Canyon Place, Suite 250 San Ramon, CA 94583

Subject: Project No.: 9515.000.000 Project Name: San Mateo Sherriff's Department Corrosivity Analysis – ASTM Test Methods

Dear Ms. Kan:

Pursuant to your request, CERCO Analytical has analyzed the soil sample submitted on October 04, 2012. Based on the analytical results, this brief corrosivity evaluation is enclosed for your consideration.

Based upon the resistivity measurement, this sample is classified as "corrosive". All buried iron, steel, cast iron, ductile iron, galvanized steel and dielectric coated steel or iron should be properly protected against corrosion depending upon the critical nature of the structure. All buried metallic pressure piping such as ductile iron firewater pipelines should be protected against corrosion.

The chloride ion concentration reflects none detected with a detection limit of 15 mg/kg.

The sulfate ion concentration is 310 mg/kg and is determined to be sufficient to potentially be detrimental to reinforced concrete structures and cement mortar-coated steel at these locations. Therefore, concrete that comes into contact with this soil should use sulfate resistant cement such as Type II, with a maximum water-to-cement ratio of 0.55.

The pH of the soil is 8.0, which does not present corrosion problems for buried iron, steel, mortar-coated steel and reinforced concrete structures.

The redox potential is 310-mV, which is indicative of potentially "slightly corrosive" soils resulting from anaerobic soil conditions.

This corrosivity evaluation is based on general corrosion engineering standards and is non-specific in nature. For specific long-term corrosion control design recommendations or consultation, please call JDH Corrosion Consultants, Inc. at (925) 927-6630.

We appreciate the opportunity of working with you on this project. If you have any questions, or if you require further information, please do not hesitate to contact us.

Very truly yours, CERGO ANALYFICALNINC. J. Darby Howard, Jr., P.E. President

JDH/jdl Enclosure California State Certified Laboratory No. 2153

Client:ENGEO IncorporatedClient's Project No.:9515.000.000Client's Project Name:San Mateo County Sherriff's DepartmentDate Sampled:4-Oct-12Date Received:4-Oct-12Matrix:SoilAuthorization:Signed Chain of Custody



1100 Willow Pass Court, Suite A Concord, CA 94520-1006 925 **462 2771** Fax. 925 **462 2775** www.cercoanalytical.com

Authorization:	Signed Chain of Custody						Date of Report:	9-Oct-2012
					Resistivity			
		Redox		Conductivity	(100% Saturation)	Sulfide	Chloride	Sulfate
Job/Sample No.	Sample I.D.	(mV)	pH	(umhos/cm)*	(ohms-cm)	(mg/kg)*	(mg/kg)*	(mg/kg)*
1210039-001	1-B2 @ 3	310	8.0	-	1,900	-	N.D.	310
· · · ···· · ···· · · · · · · · · · ·								
· · · · · · · · · · · · · · · · · · ·								
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· · · · · · · · · · · · · · · · · · ·								
			<u> </u>					

Method:	ASTM D1498	ASTM D4972	ASTM D1125M	ASTM G57	ASTM D4658M	ASTM D4327	ASTM D4327
Detection Limit:	-	-	10	_	50	15	15
Date Analyzed:	8-Oct-2012	8-Oct-2012	-	8-Oct-2012	-	8-Oct-2012	8-Oct-2012

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\* Results Reported on "As Received" Basis

N.D. - None Detected

Cheryl McMillen Laboratory Director

Quality Control Summary - All laboratory quality control parameters were found to be within established limits

# **APPENDIX E**

**Liquefaction Calculation Tables** 



## **Candlestick Point Borings**

## Liquefaction Evaluation - Youd 2001, Seed 2003, I&B 2008 Methods -

Project Name:	San Mateo County Jail, Redwood City, California
Project No.:	9515.000.000
Date:	5-Oct-12

Water Table depth at time of Exploration	Water Table depth at time of Liquefaction	amax/g	Mw	V <sub>s*40'</sub>
5	5	0.71	79	625

* V <sub>S40</sub> = Avg shear wave	velocity in upper 40 feet e	expressed in ft	/s		At time of	Exploration	At time of Liquefaction	
Boring Designation	Depth [ft]	Soil Type	N <sub>m</sub> [Blows/ft]	FC	Total Stress [psf]	Effective Stress [psf]	Total Stress [psf]	Effective Stress [psf]
B2	25	GP	8	5	3000	1752	3000	1752
B2	45	SP	24	5	5400	2904	5400	2904
B3	25	GM	7	15	3000	1752	3000	1752
B4	20	SC	10	34	2400	1464	2400	1464
B5	20	ML	9	55	2400	1464	2400	1464
B6	20	GP	23	5	2400	1464	2400	1464
B6	25	GP	5	5	3000	1752	3000	1752
						0		0

N<sub>m</sub> = Measured SPT Blow Count

## YOUD 2001 Methodology Results

Boring Designation	Depth	CRR	CSR	FS
B2	25	0.09	0.74	0.12
B2	45	0.19	0.68	0.28
B3	25	0.11	0.74	0.14
B4	20	0.18	0.72	0.24
B5	20	0.16	0.72	0.23
B6	20	0.29	0.72	0.40
B6	25	0.07	0.74	0.09
		TDL = To	o Dense to	Liquefy based

## SEED 2003 Methodology Results

				CSR				S
Boring Designation	Depth	CRR	mean rd	rd + sigma	rd - sigma	mean rd	rd + sigma	rd - sigma
B2	25	0.06	0.69	0.78	0.60	0.09	0.08	0.10
B2	45	0.13	0.67	0.83	0.50	0.19	0.16	0.26
B3	25	0.06	0.69	0.78	0.60	0.09	0.08	0.10
B4	20	0.10	0.66	0.73	0.59	0.15	0.14	0.17
B5	20	0.10	0.66	0.72	0.59	0.16	0.14	0.17
B6	20	0.25	0.67	0.74	0.61	0.38	0.34	0.42
B6	25	0.05	0.69	0.78	0.60	0.07	0.06	0.08
0	0	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!	#DIV/0!
			THC = CR	R capped at	4, in high se	eismicity cas	ses, verify	

## Idriss & Boulanger 2008 Methodology Results

Boring Designation	Depth	CRR	CSR	FS
B2	25	0.11	0.82	0.13
B2	45	0.22	0.88	0.25
B3	25	0.13	0.82	0.16
B4	20	0.18	0.78	0.23
B5	20	0.17	0.78	0.21
B6	20	0.31	0.76	0.41
B6	25	0.09	0.82	0.11
0	0	#DIV/0!	#DIV/0!	#DIV/0!

THC = CRR capped at 4, in high seismicity cases, verify



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# 2010 Crow Canyon Place

LIQUEFACTION ANALYSIS REPORT

Location :

#### Project title :

#### CPT file : CPT1

#### Input parameters and analysis data







CLiq v.1.5.1.26 - CPT Liquefaction Assessment Software - Report created on: 6/29/2012, 9:09:45 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

CPT name: CPT1

3



N/A

Limit depth:

N/A

Fill height: CLig v.1.5.1.26 - CPT Liguefaction Assessment Software - Report created on: 6/29/2012, 9:09:45 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

Depth to water table (insitu): 10.70 ft

4



F



nalysis method:	NCEER (1998)	Depth to water table (erthq.):	5.00 ft	Fill weight:	N/A
ines correction method:	NCEER (1998)	Average results interval:	3	Transition detect. applied:	No
oints to test:	Based on Ic value	Ic cut-off value:	2.60	$K_{\sigma}$ applied:	Yes
arthquake magnitude M <sub>w</sub> :	7.90	Unit weight calculation:	Based on SBT	Clay like behavior applied:	Sands only
eak ground acceleration:	0.71	Use fill:	No	Limit depth applied:	No
epth to water table (insitu):	10.70 ft	Fill height:	N/A	Limit depth:	N/A



## Estimation of post-earthquake settlements

#### Abbreviations

qt:	Total cone resistance (cone resistance q <sub>c</sub> corrected for pore water effects)
Ic:	Soil Behaviour Type Index
FS:	Calculated Factor of Safety against liquefaction
Volumentric strain:	Post-liquefaction volumentric strain



#### ENGEO 2010 Crow Canyon Place Suite 250

San Ramon, CA 94583

## LIQUEFACTION ANALYSIS REPORT

#### Location :

#### Project title : CPT file : cpt2

#### Input parameters and analysis data









N/A

Limit depth:

N/A

## CLig v.1.5.1.26 - CPT Liguefaction Assessment Software - Report created on: 6/29/2012, 9:09:49 AM

Fill height:

Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

Depth to water table (insitu): 11.30 ft

11







## Estimation of post-earthquake settlements

#### Abbreviations

qt:	Total cone resistance (cone resistance q <sub>c</sub> corrected for pore water effects)
Ic:	Soil Behaviour Type Index
FS:	Calculated Factor of Safety against liquefaction
Volumentric strain:	Post-liquefaction volumentric strain



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## LIQUEFACTION ANALYSIS REPORT

#### Location :

#### Project title : CPT file : cpt3

#### Input parameters and analysis data







CLiq v.1.5.1.26 - CPT Liquefaction Assessment Software - Report created on: 6/29/2012, 9:09:52 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

17



Limit depth applied:

Limit depth:

No

N/A

No

N/A

# Liquefaction analysis overall plots (intermediate results)

Fill height: CLiq v.1.5.1.26 - CPT Liquefaction Assessment Software - Report created on: 6/29/2012, 9:09:52 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

Use fill:

Peak ground acceleration:

Depth to water table (insitu): 9.30 ft

0.71




N/A

Limit depth:

N/A

Depth to water table (insitu): 9.30 ft

CPT name: cpt3



#### Estimation of post-earthquake settlements

#### Abbreviations

qt:	Total cone resistance (cone resistance q <sub>c</sub> corrected for pore water effects)
Ic:	Soil Behaviour Type Index
FS:	Calculated Factor of Safety against liquefaction
Volumentric strain:	Post-liquefaction volumentric strain



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#### LIQUEFACTION ANALYSIS REPORT

Location :

#### Project title :

#### **CPT file : CPT4**

#### Input parameters and analysis data









N/A

Limit depth:

N/A

#### Fill height: CLig v.1.5.1.26 - CPT Liguefaction Assessment Software - Report created on: 6/29/2012, 9:09:56 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

Depth to water table (insitu): 8.30 ft





Analysis method: Fines correction method: Points to test: Earthquake magnitude M <sub>w</sub> : Peak ground acceleration: Death to water take (institute	NCEER (1998) NCEER (1998) Based on Ic value 7.90 0.71	Depth to water table (erthq.): Average results interval: Ic cut-off value: Unit weight calculation: Use fill:	5.00 ft 3 2.60 Based on SBT No	Fill weight: Transition detect. applied: $K_{\sigma}$ applied: Clay like behavior applied: Limit depth applied: limit depth.	N/A No Yes Sands only No
Depth to water table (insitu):	8.30 ft	Fill height:	N/A	Limit depth:	N/A



### Estimation of post-earthquake settlements

#### Abbreviations

qt:	Total cone resistance (cone resistance q <sub>c</sub> corrected for pore water effects)
Ic:	Soil Behaviour Type Index
FS:	Calculated Factor of Safety against liquefaction
Volumentric strain:	Post-liquefaction volumentric strain



LIQUEFACTION ANALYSIS REPORT

Location :

#### Project title :

#### **CPT file : CPT5**

#### Input parameters and analysis data









#### Fines correction method: Points to test: $K_{\sigma}$ applied: Ic cut-off value: Based on Ic value 2.60 Yes Earthquake magnitude Mw: Clay like behavior applied: Unit weight calculation: 7.90 Based on SBT Sands only Peak ground acceleration: Limit depth applied: 0.71 Use fill: No No Depth to water table (insitu): 9.30 ft Limit depth: Fill height: N/A N/A





Analysis method:	NCEER (1998)	Depth to water table (erthq.):	5.00 ft	Fill weight:	N/A
Fines correction method:	NCEER (1998)	Average results interval:	3	Transition detect. applied:	No
Points to test:	Based on Ic value	Ic cut-off value:	2.60	$K_{\sigma}$ applied:	Yes
Earthquake magnitude M <sub>w</sub> :	7.90	Unit weight calculation:	Based on SBT	Clay like behavior applied:	Sands only
Peak ground acceleration:	0.71	Use fill:	No	Limit depth applied:	No
Peak ground acceleration:	0.71	Use fill:	No	Limit depth applied:	No
Depth to water table (insitu):	9.30 ft	Fill height:	N/A	Limit depth:	N/A

CLiq v.1.5.1.26 - CPT Liquefaction Assessment Software - Report created on: 6/29/2012, 9:10:00 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

34



#### Estimation of post-earthquake settlements

#### Abbreviations

qt:	Total cone resistance (cone resistance q <sub>c</sub> corrected for pore water effects)
Ic:	Soil Behaviour Type Index
FS:	Calculated Factor of Safety against liquefaction
Volumentric strain:	Post-liquefaction volumentric strain



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#### LIQUEFACTION ANALYSIS REPORT

#### Location :

## Project title :

#### CPT file : CPT6

#### Input parameters and analysis data









Clay like behavior applied:

Limit depth applied:

Limit depth:

Sands only

No

N/A

Based on SBT

No

N/A

## Liquefaction analysis overall plots (intermediate results)

CLiq v.1.5.1.26 - CPT Liquefaction Assessment Software - Report created on: 6/29/2012, 9:10:04 AM Project file: C:\\_JeannieOnCLiq\9515 Cliq Project.clq

Use fill:

Fill height:

Unit weight calculation:

Earthquake magnitude Mw:

Peak ground acceleration:

Depth to water table (insitu): 8.90 ft

7.90

0.71





N/A

Limit depth:

N/A

Depth to water table (insitu): 8.90 ft

CPT name: CPT6



#### Estimation of post-earthquake settlements

#### Abbreviations

qt:	Total cone resistance (cone resistance q <sub>c</sub> corrected for pore water effects)
Ic:	Soil Behaviour Type Index
FS:	Calculated Factor of Safety against liquefaction
Volumentric strain:	Post-liquefaction volumentric strain

#### Procedure for the evaluation of soil liquefaction resistance, NCEER (1998)

Calculation of soil resistance against liquefaction is performed according to the Robertson & Wride (1998) procedure. The procedure used in the software, slightly differs from the one originally published in NCEER-97-0022 (Proceedings of the NCEER Workshop on Evaluation of Liquefaction Resistance of Soils). The revised procedure is presented below in the form of a flowchart<sup>1</sup>:



<sup>1</sup> "Estimating liquefaction-induced ground settlements from CPT for level ground", G. Zhang, P.K. Robertson, and R.W.I. Brachman

#### Procedure for the evaluation of soil liquefaction resistance (all soils) - Robertson (2010)

Calculation of soil resistance against liquefaction is performed according to the Robertson & Wride (1998) procedure. This procedure used in the software, slightly differs from the one originally published in NCEER-97-0022 (Proceedings of the NCEER Workshop on Evaluation of Liquefaction Resistance of Soils). The revised procedure is presented below in the form of a flowchart<sup>1</sup>:



<sup>1</sup> P.K. Robertson, 2009. "Performance based earthquake design using the CPT", Keynote Lecture, International Conference on Performance-based Design in Earthquake Geotechnical Engineering – from case history to practice, IS-Tokyo, June 2009



#### Procedure for the evaluation of liquefaction-induced lateral spreading displacements



<sup>1</sup> How chart illustrating major steps in estimating liquefaction-induced lateral spreading displacements using the proposed approach



$$\text{LDI} = \int_{0}^{Z_{\text{max}}} \gamma_{\text{max}} dz$$

<sup>1</sup> Equation [3]

<sup>1</sup> "Estimating liquefaction-induced ground settlements from CPT for level ground", G. Zhang, P.K. Robertson, and R.W.I. Brachman



Robertson, P.K. and Lisheng, S., 2010, "Estimation of seismic compression in dry soils using the CPT" FIFTH INTERNATIONAL CONFERENCE ON RECENT ADVANCES IN GEOTECHNICAL EARTHQUAKE ENGINEERING AND SOIL DYNAMICS, Symposium in honor of professor I. M. Idriss, San Diego, CA

#### Liquefaction Potential Index (LPI) calculation procedure

Calculation of the Liquefaction Potential Index (LPI) is used to interpret the liquefaction assessment calculations in terms of severity over depth. The calculation procedure is based on the methology developed by Iwasaki (1982) and is adopted by AFPS.

To estimate the severity of liquefaction extent at a given site, LPI is calculated based on the following equation:

$$LPI = \int_{0}^{20} (10 - 0.5_Z) \times F_Z \times d_Z$$

where:

 $F_L = 1$  - F.S. when F.S. less than 1  $F_L = 0$  when F.S. greater than 1 z depth of measurment in meters

Values of LPI range between zero (0) when no test point is characterized as liquefiable and 100 when all points are characterized as susceptible to liquefaction. Iwasaki proposed four (4) discrete categories based on the numeric value of LPI:

- LPI = 0 : Liquefaction risk is very low
  0 < LPI <= 5 : Liquefaction risk is low</li>
- 5 < LPI <= 15 : Liquefaction risk is high
- LPI > 15 : Liquefaction risk is very high



Graphical presentation of the LPI calculation procedure

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- R. E. S. Moss, R. B. Seed, R. E. Kayen, J. P. Stewart, A. Der Kiureghian, K. O. Cetin, CPT-Based Probabilistic and Deterministic Assessment of In Situ Seismic Soil Liquefaction Potential, Journal of Geotechnical and Geoenvironmental Engineering, Vol. 132, No. 8, August 1, 2006

## **APPENDIX F**

**Pile Capacity Charts** 



## Table F-1A Vertical Capacities of Driven Piles (Top of Pile at El. 10 feet)

Pile Type	Allowable Downward Vertical Load (Tons) Dead Plus Live Loads FS = 1.5	Recommended Pile Length (feet)	Allowable Uplift Capacity (Tons) Dead Plus Live Load FS = 1.5	Ultimate Downward Vertical Load (Tons) FS = 1.0	Downdrag (Tons)
14" square concrete	100	74	90	150	10
	150	101	140	225	10
16" square concrete	100	66	90	150	12
10 Square concrete	150	90	140	225	12
H Pile 12 x 84	100	74	90	150	9
	150	98	140	225	9

# Table F-1BVertical Capacities of Driven Piles(Top of Pile at El. 5 feet)

Pile Type	Allowable Downward Vertical Load (Tons) Dead Plus Live Loads FS = 1.5	vard Allowable Uplit ons) Recommended Capacity (Tons) D oads Pile Length (feet) Plus Live Load FS = 1.5		Ultimate Downward Vertical Load (Tons) FS = 1.0	Downdrag (Tons)
14" cauara concrata	100	66	90	150	6
14 Square concrete	150	93	140	225	6
16" cquara concrata	100	60	90	150	7
10 Square concrete	150	82	140	225	7

The above dead plus live loads may be increased by one-third under seismic loading conditions. Refer to Section 6.2.1 of the Geotechnical Exploration Report for details. Vertical capacities of steel H pile for a deepened pile cap condition (top of pile at Elevation 5 feet) can be provided when needed.



# Allowable Vertical Pile Capacities (Top of Pile at Elevation 10 feet)



## Allowable Vertical Pile Capacities (Top of Pile at Elevation 5 feet)

Table F-2
Pile Properties (provided by SOHA)

Pile Type	l (in	^4)	E (ksi)
14-in Concrete Pile	32	4415	
16-in Concrete Pile	54	4415	
	. (1)	. (1)	- 4 - 5
Pile Type	lx (in^4)	ly (in^4)	E (ksi)
HP 12x84	650	213	29000

## Table F-3A LPILE Analysis Soil Parameters

(Top of Pile at Elevation 10 feet)

Depth in Feet	USCS	L-Pile Soil Type	Eff. Unit Weight (pci)	Friction Angle (deg)	Cohesion (psi)	E50	K (pci)
above 5	SC to CH	STIFF CLAY	0.072		10	0.007	
5 to 13	СН	SOFT CLAY	0.022		2.5	0.02	
13 to 18	CL	STIFF CLAY	0.028		5	0.01	
18 to 25	SP/SM	SAND	0.03	35			60
25 to 30	GP/GM	LIQUEFIED SAND	0.03				
30 to 35	CL/ML	STIFF CLAY	0.036		7	0.007	
35 to 55	ML/SM	STIFF CLAY	0.036		7	0.006	
Below 55	CL	STIFF CLAY	0.036		13.5	0.005	

Table F-3BLPILE Analysis Soil Parameters(Top fo Pile at Elevation 5 feet)

Depth in Feet	USCS	L-Pile Soil Type	Eff. Unit Weight (pci)	Friction Angle (deg)	Cohesion (psi)	E50	K (pci)
0 to 8	СН	SOFT CLAY	0.022		2.5	0.02	
8 to 13	CL	STIFF CLAY	0.028		5	0.01	
13 to 20	SP/SM	SAND	0.03	35			60
20 to 25	GP/GM	LIQUEFIED SAND	0.03				
25 to 30	CL/ML	STIFF CLAY	0.036		7	0.007	
30 to 50	ML/SM	STIFF CLAY	0.036		7	0.006	
Below 50	CL	STIFF CLAY	0.036		13.5	0.005	

## Table F-4A Lateral Capacities for Precast Concrete Piles (Top of Pile at Elevation 10 feet)

	Axial Load	Lateral Capacities (kips)						
Pile Type		Free Head		Fixed Head				
		1/4" deflection	1/2" deflection	1/4" deflection	1/2" deflection			
14-inch Square	100 Tons	13.9	18.2	23.2	30.8			
	150 Tons	13.4	17.3	22.5	29.8			
16-inch Square	100 Tons	16.7	21.7	27.7	37.0			
	150 Tons	16.5	21.4	27.6	36.8			
HP 12x84	100 Tons	13.9	18.2	23.3	30.9			
X-Axis	150 Tons	13.8	17.9	23.1	30.7			
HP 12x84	100 Tons	11.5	15.4	20.4	26.7			
Y-Axis	150 Tons	11.0	14.4	20.0	26.1			

#### Table F-4B

## Lateral Capacities for Precast Concrete Piles

(Top of Pile at Elevation 5 feet)

	Axial Load	Lateral Capacities (kips)				
Pile Type		Free Head		Fixed Head		
		1/4" deflection	1/2" deflection	1/4" deflection	1/2" deflection	
14-inch Square	100 Tons	4.8	6.9	11.1	17.0	
	150 Tons	4.6	6.6	11.0	16.7	
16-inch Square	100 Tons	6.0	8.8	14.1	22.0	
	150 Tons	5.8	8.5	14.0	21.8	

\*Lateral capacities of steel H pile for a deepened pile cap condition (Top of Pile at Elevation 5 feet) can be provided when needed.
Table F-5A

Load Deflection Characteristics for Precast Concrete Piles

(Top of Pile at Elevation 10 feet)

		Pile Deflection			
Pile Type	Deflection Characteristic	Free Head		Fixed Head	
		1/4" deflection	1/2" deflection	1/4" deflection	1/2" deflection
	Axial Load = 1	00 Tons			
	Maximum Bending Moment (in-kips)	427	613	983	1509
14 inch Squara	*Depth to Maximum bending Moment (feet)	4.4	5.2	0	0
14-men Square	*1st Point of Fixity (feet)	10.3	12.6	16.3	17.8
	*2nd Point of Fixity (feet)	20.7	25.9	31.8	31.8
	Maximum Bending Moment (in-kips)	538	787	1327	2054
16 inch Squara	*Depth to Maximum bending Moment (feet)	5.3	6.6	0	0
10-Inch Square	*1st Point of Fixity (feet)	13.2	15.2	17.8	19.8
	*2nd Point of Fixity (feet)	29.0	31.7	32.3	33.7
	Maximum Bending Moment (in-kips)	449	655	1085	1672
HP 12x84	*Depth to Maximum bending Moment (feet)	5.2	6.7	0	0
X-Axis	*1st Point of Fixity (feet)	11.8	14.8	17.7	19.2
	*2nd Point of Fixity (feet)	25.9	34.8	32.6	33.3
	Maximum Bending Moment (in-kips)	453	665	1085	1672
HP 12x84	*Depth to Maximum bending Moment (feet)	5.2	6.7	0	0
Y-Axis	*1st Point of Fixity (feet)	11.8	14.8	17.8	19.2
	*2nd Point of Fixity (feet)	25.9	31.1	31.8	33.3
	Axial Load = 1	50 Tons			
	Maximum Bending Moment (in-kips)	408	597	958	1479
14-inch Square	*Depth to Maximum bending Moment (feet)	4.0	6.0	0	0
Axial Load = 150 Tons	*1st Point of Fixity (feet)	11.1	13.1	16.2	18.2
	*2nd Point of Fixity (feet)	21.2	27.2	31.3	32.3
	Maximum Bending Moment (in-kips)	542	793	1324	2048
16-inch Square	*Depth to Maximum bending Moment (feet)	5.4	6.3	0	0
Axial Load = 150 Tons	*1st Point of Fixity (feet)	12.6	14.4	17.1	19.8
	*2nd Point of Fixity (feet)	29.7	32.4	32.4	33.3
	Maximum Bending Moment (in-kips)	322	481	713	1059
HP 12x84	*Depth to Maximum bending Moment (feet)	3.9	4.9	0	0
X-Axis	*1st Point of Fixity (feet)	15.2	8.8	13.7	15.7
	*2nd Point of Fixity (feet)	17.6	19.6	23.5	27.4
	Maximum Bending Moment (in-kips)	332	499	716	1062
HP 12x84	*Depth to Maximum bending Moment (feet)	3.9	4.9	0	0
Y-Axis	*1st Point of Fixity (feet)	6.9	7.8	13.7	15.7
	*2nd Point of Fixity (feet)	17.6	19.6	21.6	26.4

\* Point of fixity is defined as point of zero lateral deflection. Lengths provided above are measure below top fo pile.

# Table F-5B Load Deflection Characteristics for Precast Concrete Piles (Tag of Dile of Elevation 5 fort)

(Top of Pile at Elevation	5	feet)
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	Deflection Characteristic	Pile Deflection			
Pile Type		Free Head		Fixed Head	
		1/4" deflection	1/2" deflection	1/4" deflection	1/2" deflection
	Axial Loa	ad = 100 Tons			
	Maximum Bending Moment (in-kips)	284	480	748	1278
14 inch Squara	*Depth to Maximum bending Moment (feet)	8.1	9.1	0	0
14-IIICII Square	*1st Point of Fixity (feet)	12.1	13.1	15.2	16.1
	*2nd Point of Fixity (feet)	27.3	27.3	27.3	29.3
	Maximum Bending Moment (in-kips)	392	671	1055	1826
16 inch Squara	*Depth to Maximum bending Moment (feet)	9.9	10.6	0	0
10-IIICII Square	*1st Point of Fixity (feet)	13.9	15.2	17.2	17.8
	*2nd Point of Fixity (feet)	27.7	28.4	28.4	30.4
	Axial Loa	ad = 150 Tons			
	Maximum Bending Moment (in-kips)	287	486	748	1275
14 inch Squara	*Depth to Maximum bending Moment (feet)	8.1	9.1	0	0
14-IIICII Square	*1st Point of Fixity (feet)	12.1	13.1	15.2	16.2
	*2nd Point of Fixity (feet)	27.3	27.3	27.3	29.3
	Maximum Bending Moment (in-kips)	394	676	1054	1823
16 inch Squara	*Depth to Maximum bending Moment (feet)	9.2	10.6	0	0
To-men Square	*1st Point of Fixity (feet)	13.9	15.2	17.2	17.8
	*2nd Point of Fixity (feet)	27.7	28.4	28.4	30.4

\* Point of fixity is defined as point of zero lateral deflection. Lengths provided above are measure below top fo pile.

Note: Load deflection characteristics of steel H pile for a deepened pile cap condition (Top of Pile at Elevation 5 feet) can be provided when needed.

# **APPENDIX G**

**Guide Contract Specifications** 



# **GUIDE CONTRACT SPECIFICATIONS**

# PART I - EARTHWORK

# PREFACE

These specifications are intended as a guide for the earthwork performed at the subject development project. If there is a conflict between these specifications (including the recommendations of the geotechnical report) and agency or code requirements, it should be brought to the attention of ENGEO and Owner prior to contract bidding.

# PART 1 - GENERAL

#### 1.01 WORK COVERED

- A. Grading, excavating, filling and backfilling, including trenching and backfilling for utilities as necessary to complete the Project as indicated on the Drawings.
- B. Subsurface drainage as indicated on the Drawings.

# 1.02 CODES AND STANDARDS

A. Excavating, trenching, filling, backfilling, and grading work shall meet the applicable requirements of the Uniform Building Code and the standards and ordinances of state and local governing authorities.

# 1.03 SUBSURFACE SOIL CONDITIONS

A. The Owners' Geotechnical Exploration report is available for inspection by bidder or Contractor. The Contractor shall refer to the findings and recommendations of the Geotechnical Exploration report in planning and executing his work.

# 1.04 DEFINITIONS

- A. Fill: All soil, rock, or soil-rock materials placed to raise the grades of the site or to backfill excavations.
- B. Backfill: All soil, rock or soil-rock material used to fill excavations and trenches.
- C. Onsite Material: Soil and/or rock material which is obtained from the site.
- D. Imported Material: Soil and/or rock material which is brought to the site from offsite areas.



- E. Select Material: Onsite and/or imported material which is approved by ENGEO as a specific-purpose fill.
- F. Engineered Fill: Fill upon which ENGEO has made sufficient observations and tests to confirm that the fill has been placed and compacted in accordance with specifications and requirements.
- G. Degree of Compaction or Relative Compaction: The ratio, expressed as a percentage, of the in-place dry density of the fill and backfill material as compacted in the field to the maximum dry density of the same material as determined by ASTM D-1557 or California 216 compaction test method.
- H. Optimum Moisture: Water content, percentage by dry weight, corresponding to the maximum dry density as determined by ASTM D-1557.
- I. ENGEO: The project geotechnical engineering consulting firm, its employees or its designated representatives.
- J. Drawings: All documents, approved for construction, which describe the Work.

# 1.05 OBSERVATION AND TESTING

- A. All site preparation, cutting and shaping, excavating, filling, and backfilling shall be carried out under the observation of ENGEO, employed and paid for by the Owners. ENGEO will perform appropriate field and laboratory tests to evaluate the suitability of fill material, the proper moisture content for compaction, and the degree of compaction achieved. Any fill that does not meet the specification requirements shall be removed and/or reworked until the requirements are satisfied.
- B. Cutting and shaping, excavating, conditioning, filling, and compacting procedures require approval of ENGEO as they are performed. Any work found unsatisfactory or any work disturbed by subsequent operations before approval is granted shall be corrected in an approved manner as recommended by ENGEO.
- C. Tests for compaction will be made in accordance with test procedures outlined in ASTM D-1557, as applicable. Field testing of soils or compacted fill shall conform with the applicable requirements of ASTM D-2922.
- D. All authorized observation and testing will be paid for by the Owners.



# 1.06 SITE CONDITIONS

- A. Excavating, filling, backfilling, and grading work shall not be performed during unfavorable weather conditions. When the work is interrupted by rain, excavating, filling, backfilling, and grading work shall not be resumed until the site and soil conditions are suitable.
- B. Contractor shall take the necessary measures to prevent erosion of freshly filled, backfilled, and graded areas until such time as permanent drainage and erosion control measures have been installed.

# PART 2 - PRODUCTS

# 2.01 GENERAL

A. Contractor shall furnish all materials, tools, equipment, facilities, and services as required for performing the required excavating, filling, backfilling, and grading work, and trenching and backfilling for utilities.

# 2.02 SOIL MATERIALS

- A. Fill
  - 1. Material to be used for engineered fill and backfill shall be free from organic matter and other deleterious substances, and of such quality that it will compact thoroughly without excessive voids when watered and rolled. Excavated onsite material will be considered suitable for engineered fill and backfill if it contains no more than 3 percent organic matter, is free of debris and other deleterious substances and conforms to the requirements specified above. Rocks of maximum dimension in excess of two-thirds of the lift thickness shall be removed from any fill material to the satisfaction of ENGEO.
  - 2. Excavated earth material which is suitable for engineered fill or backfill, as determined by ENGEO, shall be conditioned for reuse and properly stockpiled as required for later filling and backfilling operations. Conditioning shall consist of spreading material in layers not to exceed 8 inches and raking free of debris and rubble. Rocks and aggregate exceeding the allowed largest dimension, and deleterious material shall be removed from the site and disposed offsite in a legal manner.
  - 3. ENGEO shall be immediately notified if potential hazardous materials or suspect soils exhibiting staining or odor are encountered. Work activities shall be discontinued within the area of potentially hazardous materials. ENGEO environmental personnel will conduct an assessment of the suspect hazardous material to determine the appropriate response and mitigation. Regulatory agencies may also be contacted to request concurrence and oversight. *ENGEO will*



rely on the Owner, or a designated Owner's representative, to make necessary notices to the appropriate regulatory agencies. The Owner may request ENGEO's assistance in notifying regulatory agencies, provided ENGEO receives Owner's written authorization to expand its scope of services.

- 4. ENGEO shall be notified at least 48 hours prior to the start of filling and backfilling operations so that it may evaluate samples of the material intended for use as fill and backfill. All materials to be used for filling and backfilling require the approval of ENGEO.
- B. Import Material: Where conditions require the importation of fill material, the material shall be an inert, nonexpansive soil or soil-rock material free of organic matter and meeting the following requirements unless otherwise approved by ENGEO.

Gradation (ASTM D-421):	Sieve Size 2-inch #200	Percent Passing 100 15 - 70
Plasticity (ASTM D-4318):	<u>Liquid Limit</u> < 30	Plasticity Index < 12
Swell Potential (ASTM D-4546B): (at optimum moisture)	Percent Heave < 2 percent	Swell Pressure < 300 psf
Resistance Value (ASTM D-2844):	Minimum 25	
Organic Content (ASTM D-2974) <sup>.</sup>	Less than 2 perce	ent

A sample of the proposed import material should be submitted to ENGEO for evaluation prior to delivery at the site.

# 2.03 SAND

A. Sand for sand cushion under slabs and for bedding of pipe in utility trenches shall be a clean and graded, washed sand, free from clay or organic material, suitable for the intended purpose with 90 to 100 percent passing a No. 4 U.S. Standard Sieve, not more than 5 percent passing a No. 200 U.S. Standard Sieve, and generally conforming to ASTM C33 for fine aggregate.

# 2.04 AGGREGATE DRAINAGE FILL

A. Aggregate drainage fill under concrete slabs and paving shall consist of broken stone, crushed or uncrushed gravel, clean quarry waste, or a combination thereof. The aggregate shall be free from fines, vegetable matter, loam, volcanic tuff, and other deleterious substances. It shall be of such quality that the absorption of water in a



saturated surface dry condition does not exceed 3 percent of the oven dry weight of the samples.

B. Aggregate drainage fill shall be of such size that the percentage composition by dry weight as determined by laboratory sieves (U. S. Series) will conform to the following grading:

Sieve Size	Percentage Passing Sieve		
1 <sup>1</sup> / <sub>2</sub> -inches	100		
1-inch	90 - 100		
#4	0 - 5		

# 2.05 SUBDRAINS

A. Perforated subdrain pipe of the required diameter shall be installed as shown on the drawings. The pipe(s) shall also conform to these specifications unless otherwise specified by ENGEO in the field.

Subdrain pipe shall be manufactured in accordance with one of the following requirements:

Design depths less than 30 feet

- Perforated ABS Solid Wall SDR 35 (ASTM D-2751)
- Perforated PVC Solid Wall SDR 35 (ASTM D-3034)
- Perforated PVC A-2000 (ASTM F949)
- Perforated Corrugated HDPE double-wall (AASHTO M-252 or M-294, Caltrans Type S, 50 psi minimum stiffness)

Design depths less than 50 feet

- Perforated PVC SDR 23.5 Solid Wall (ASTM D-3034)
- Perforated Sch. 40 PVC Solid Wall (ASTM-1785)
- Perforated ABS SDR 23.5 Solid Wall (ASTM D-2751)
- Perforated ABS DWV/Sch. 40 (ASTM D-2661 and D-1527)
- Perforated Corrugated HDPE double-wall (AASHTO M-252 or M-294, Caltrans Type S, 70 psi minimum stiffness)

Design depths less than 70 feet

- Perforated ABS Solid Wall SDR 15.3 (ASTM D-2751)
- Perforated Sch. 80 PVC (ASTM D-1785)
- Perforated Corrugated Aluminum (ASTM B-745)
- B. Permeable Material (Class 2): Class 2 permeable material for filling trenches under, around, and over subdrains, behind building and retaining walls, and for pervious blankets shall consist of clean, coarse sand and gravel or crushed stone, conforming to the following grading requirements:



Sieve Size	Percentage Passing Sieve
1-inch	100
<sup>3</sup> / <sub>4</sub> -inch	90 - 100
<sup>3</sup> / <sub>8</sub> -inch	40 - 100
#4	25 - 40
#8	18 - 33
#30	5 - 15
#50	0 - 7
#200	0 - 3

C. Filter Fabric: All filter fabric shall meet the following Minimum Average Roll Values unless otherwise specified by ENGEO.

Grab Strength (ASTM D-4632)	.180 lbs
Mass Per Unit Area (ASTM D-4751)	$.6 \text{ oz/yd}^2$
Apparent Opening Size (ASTM D-4751)	.70-100 U.S. Std. Sieve
Flow Rate (ASTM D-4491)	.80 gal/min/ft <sup>2</sup>
Puncture Strength (ASTM D-4833)	.80 lbs

D. Vapor Retarder: Vapor Retarders shall consist of PVC, LDPE or HDPE impermeable sheeting at least 10 mils thick.

# 2.06 PERMEABLE MATERIAL (Class 1; Type A)

A. Class 1 permeable material to be used in conjunction with filter fabric for backfilling of subdrain excavations shall conform to the following grading requirements:

Sieve Size	Percentage Passing Sieve
<sup>3</sup> / <sub>4</sub> -inch	100
<sup>1</sup> / <sub>2</sub> -inch	95 - 100
<sup>3</sup> / <sub>8</sub> -inch	70 - 100
#4	0 - 55
#8	0 - 10
#200	0 - 3

# PART 3 - EXECUTION

# 3.01 STAKING AND GRADES

A. Contractor shall lay out all his work, establish all necessary markers, bench marks, grading stakes, and other stakes as required to achieve design grades.



# 3.02 EXISTING UTILITIES

A. Contractor shall verify the location and depth (elevation) of all existing utilities and services before performing any excavation work.

# 3.03 EXCAVATION

- A. Contractor shall perform excavating as indicated and required for concrete footings, drilled piers, foundations, floor slabs, concrete walks, and site leveling and grading, and provide shoring, bracing, underpinning, cribbing, pumping, and planking as required. The bottoms of excavations shall be firm undisturbed earth, clean and free from loose material, debris, and foreign matter.
- B. Excavations shall be kept free from water at all times. Adequate dewatering equipment shall be maintained at the site to handle emergency situations until concrete or backfill is placed.
- C. Unauthorized excavations for footings shall be filled with concrete to required elevations, unless other methods of filling are authorized by ENGEO.
- D. Excavated earth material which is suitable for engineered fill or backfill, as determined by ENGEO, shall be conditioned for reuse and properly stockpiled for later filling and backfilling operations as specified under Section 2.02, "Soil Materials."
- E. Abandoned sewers, piping, and other utilities encountered during excavating shall be removed and the resulting excavations shall be backfilled with engineered fill as required by ENGEO.
- F. Any active utility lines encountered shall be reported immediately to the Owner's Representative and authorities involved. The Owner and proper authorities shall be permitted free access to take the measures deemed necessary to repair, relocate, or remove the obstruction as determined by the responsible authority or Owner's Representative.

# 3.04 SUBGRADE PREPARATION

- A. All brush and other rubbish, as well as trees and root systems not marked for saving, shall be removed from the site and legally disposed of.
- B. Any existing structures, foundations, underground storage tanks, or debris must be removed from the site prior to any building, grading, or fill operations. Septic tanks, including all drain fields and other lines, if encountered, must be totally removed. The resulting depressions shall be properly prepared and filled to the satisfaction of ENGEO.



- C. Vegetation and organic topsoil shall be removed from the surface upon which the fill is to be placed and either removed and legally disposed of or stockpiled for later use in approved landscape areas. The surface shall then be scarified to a depth of at least eight inches until the surface is free from ruts, hummocks, or other uneven features which would tend to prevent uniform compaction by the equipment to be used.
- D. After the foundation for the fill has been cleared and scarified, it shall be made uniform and free from large clods. The proper moisture content must be obtained by adding water or aerating. The foundation for the fill shall be compacted at the proper moisture content to a relative compaction as specified herein.

# 3.05 ENGINEERED FILL

- A. Select Material: Fill material shall be "Select" or "Imported Material" as previously specified.
- B. Placing and Compacting: Engineered fill shall be constructed by approved and accepted methods. Fill material shall be spread in uniform lifts not exceeding 8 inches in uncompacted thickness. Each layer shall be spread evenly, and thoroughly blade-mixed to obtain uniformity of material. Fill material which does not contain sufficient moisture as specified by ENGEO shall be sprinkled with water; if it contains excess moisture it shall be aerated or blended with drier material to achieve the proper water content. Select material and water shall then be thoroughly mixed before being compacted.
- C. Unless otherwise specified in the Geotechnical Exploration report, each layer of spread select material shall be compacted to at least 90 percent relative compaction at a moisture content of at least three percentage points above the optimum moisture content. Minimum compaction in all keyways shall be a minimum of 95 percent with a minimum moisture content of at least 1 percentage point above optimum.
- D. Unless otherwise specified in the Geotechnical Exploration report or otherwise required by the local authorities, the upper 6 inches of engineered fill in areas to receive pavement shall be compacted to at least 95 percent relative compaction with a minimum moisture content of at least 3 percentage points above optimum.
- E. Testing and Observation of Fill: The work shall consist of field observation and testing to determine that each layer has been compacted to the required density and that the required moisture is being obtained. Any layer or portion of a layer that does not attain the compaction required shall be reworked until the required density is obtained.
- F. Compaction: Compaction shall be by sheepsfoot rollers, multiple-wheel steel or pneumatic-tired rollers or other types of acceptable compaction equipment. Rollers shall be of such design that they will be able to compact the fill to the specified compaction. Rolling shall be accomplished while the fill material is within the



specified moisture content range. Rolling of each layer must be continuous so that the required compaction may be obtained uniformly throughout each layer.

- G. Fill slopes shall be constructed by overfilling the design slopes and later cutting back the slopes to the design grades. No loose soil will be permitted on the faces of the finished slopes.
- H. Strippings and topsoil shall be stockpiled as approved by Owner, then placed in accordance with ENGEO's recommendations to a minimum thickness of 6 inches and a maximum thickness of 12 inches over exposed open space cut slopes which are 3:1 or flatter, and track walked to the satisfaction of ENGEO.
- I. Final Prepared Subgrade: Finish blading and smoothing shall be performed as necessary to produce the required density, with a uniform surface, smooth and true to grade.

# 3.06 BACKFILLING

- A. Backfill shall not be placed against footings, building walls, or other structures until approved by ENGEO.
- B. Backfill material shall be Select Material as specified for engineered fill.
- C. Backfill shall be placed in 6-inch layers, leveled, rammed, and tamped in place. Each layer shall be compacted with suitable compaction equipment to 90 percent relative compaction at a moisture content of at least 3 percent above optimum.

# 3.07 TRENCHING AND BACKFILLING FOR UTILITIES

- A. Trenching:
  - 1. Trenching shall include the removal of material and obstructions, the installation and removal of sheeting and bracing and the control of water as necessary to provide the required utilities and services.
  - 2. Trenches shall be excavated to the lines, grades, and dimensions indicated on the Drawings. Maximum allowable trench width shall be the outside diameter of the pipe plus 24 inches, inclusive of any trench bracing.
  - 3. When the trench bottom is a soft or unstable material as determined by ENGEO, it shall be made firm and solid by removing said unstable material to a sufficient depth and replacing it with onsite material compacted to 90 percent minimum relative compaction.



- 4. Where water is encountered in the trench, the contractor must provide materials necessary to drain the water and stabilize the bed.
- B. Backfilling:
  - 1. Trenches must be backfilled within 2 days of excavation to minimize desiccation.
  - 2. Bedding material shall be sand and shall not extend more than 6 inches above any utility lines.
  - 3. Backfill material shall be select material.
  - 4. Trenches shall be backfilled as indicated or required and compacted with suitable equipment to 90 percent minimum relative compaction at the required moisture content.

#### 3.08 SUBDRAINS

- A. Trenches for subdrain pipe shall be excavated to a minimum width equal to the outside diameter of the pipe plus at least 12 inches and to a depth of approximately 2 inches below the grade established for the invert of the pipe, or as indicated on the Drawings.
- B. The space below the pipe invert shall be filled with a layer of Class 2 permeable material, upon which the pipe shall be laid with perforations down. Sections shall be joined as recommended by the pipe manufacturer.
- C. Rocks, bricks, broken concrete, or other hard material shall not be used to give intermediate support to pipes. Large stones or other hard objects shall not be left in contact with the pipes.
- D. Excavations for subdrains shall be filled as required to fill voids and prevent settlement without damaging the subdrain pipe. Alternatively, excavations for subdrains may be filled with Class 1 permeable material (as defined in Section 2.06) wrapped in Filter Fabric (as defined in Section 2.05).

# 3.09 AGGREGATE DRAINAGE FILL

- A. ENGEO shall approve finished subgrades before aggregate drainage fill is installed.
- B. Pipes, drains, conduits, and any other mechanical or electrical installations shall be in place before any aggregate drainage fill is placed. Backfill at walls to elevation of drainage fill shall be in place and compacted.



- C. Aggregate drainage fill under slabs and concrete paving shall be the minimum uniform thickness after compaction of dimensions indicated on Drawings. Where not indicated, minimum thickness after compaction shall be 4 inches.
- D. Aggregate drainage fill shall be rolled to form a well-compacted bed.
- E. The finished aggregate drainage fill must be observed and approved by ENGEO before proceeding with any subsequent construction over the compacted base or fill.

# 3.10 SAND CUSHION

A. A sand cushion shall be placed over the vapor retarder membrane under concrete slabs on grade. Sand cushion shall be placed in uniform thickness as indicated on the Drawings. Where not indicated, the thickness shall be 2 inches.

# 3.11 FINISH GRADING

A. All areas must be finish graded to elevations and grades indicated on the Drawings. In areas to receive topsoil and landscape planting, finish grading shall be performed to a uniform 6 inches below the grades and elevations indicated on the Drawings, and brought to final grade with topsoil.

# 3.12 DISPOSAL OF WASTE MATERIALS

A. Excess earth materials and debris shall be removed from the site and disposed of in a legal manner. Location of dump site and length of haul are the Contractor's responsibility.



# PART II - GEOGRID SOIL REINFORCEMENT

# 1. <u>DESCRIPTION</u>:

Work shall consist of furnishing geogrid soil reinforcement for use in construction of reinforced soil slopes and retention systems.

# 2. <u>GEOGRID MATERIAL</u>:

- 2.1 The specific geogrid material shall be preapproved by ENGEO.
- 2.2 The geogrid shall be a regular network of integrally connected polymer tensile elements with aperture geometry sufficient to permit significant mechanical interlock with the surrounding soil or rock. The geogrid structure shall be dimensionally stable and able to retain its geometry under construction stresses and shall have high resistance to damage during construction, to ultraviolet degradation, and to all forms of chemical and biological degradation encountered in the soil being reinforced.
- 2.3 The geogrids shall have an Allowable Strength (T<sub>a</sub>) and Pullout Resistance, for the soil type(s) indicated, as listed in Table I.
- 2.4 Certifications: The Contractor shall submit a manufacturer's certification that the geogrids supplied meet the respective index criteria set when geogrid was approved by ENGEO, measured in full accordance with all test methods and standards specified. In case of dispute over validity of values, the Contractor will supply test data from an ENGEO-approved laboratory to support the certified values submitted.

# 3. <u>CONSTRUCTION</u>:

3.1 Delivery, Storage, and Handling: Contractor shall check the geogrid upon delivery to ensure that the proper material has been received. During all periods of shipment and storage, the geogrid shall be protected from temperatures greater than 140 °F, mud, dirt, dust, and debris. Manufacturer's recommendations in regard to protection from direct sunlight must also be followed. At the time of installation, the geogrid will be rejected if it has defects, tears, punctures, flaws, deterioration, or damage incurred during manufacture, transportation, or storage. If approved by ENGEO, torn or punctured sections may be repaired by placing a patch over the damaged area. Any geogrid damaged during storage or installation shall be replaced by the Contractor at no additional cost to the owner.



- 3.2 Onsite Representative: Geogrid material suppliers shall provide a qualified and experienced representative onsite at the initiation of the project, for a minimum of three days, to assist the Contractor and ENGEO personnel at the start of construction. If there is more than one slope on a project, this criterion will apply to construction of the initial slope only. The representative shall also be available on an as-needed basis, as requested by ENGEO, during construction of the remaining slope(s).
- 3.3 Geogrid reinforcement may be joined with mechanical connections or overlaps as recommended and approved by the Manufacturer. Joints shall not be placed within 6 feet of the slope face, within 4 feet below top of slope, nor horizontally or vertically adjacent to another joint.
- 3.4 Geogrid Placement: The geogrid reinforcement shall be installed in accordance with the manufacturer's recommendations. The geogrid reinforcement shall be placed within the layers of the compacted soil as shown on the plans or as directed.

The geogrid reinforcement shall be placed in continuous longitudinal strips in the direction of main reinforcement. However, if the Contractor is unable to complete a required length with a single continuous length of geogrid, a joint may be made with the Manufacturer's approval. Only one joint per length of geogrid shall be allowed. This joint shall be made for the full width of the strip by using a similar material with similar strength. Joints in geogrid reinforcement shall be pulled and held taut during fill placement.

Adjacent strips, in the case of 100 percent coverage in plan view, need not be overlapped. The minimum horizontal coverage is 50 percent, with horizontal spacings between reinforcement no greater than 40 inches. Horizontal coverage of less than 100 percent shall not be allowed unless specifically detailed in the construction drawings.

Adjacent rolls of geogrid reinforcement shall be overlapped or mechanically connected where exposed in a wrap around face system, as applicable.

The Contractor may place only that amount of geogrid reinforcement required for immediately pending work to prevent undue damage. After a layer of geogrid reinforcement has been placed, the next succeeding layer of soil shall be placed and compacted as appropriate. After the specified soil layer has been placed, the next geogrid reinforcement layer shall be installed. The process shall be repeated for each subsequent layer of geogrid reinforcement and soil.

Geogrid reinforcement shall be placed to lay flat and pulled tight prior to backfilling. After a layer of geogrid reinforcement has been placed, suitable means, such as pins or small piles of soil, shall be used to hold the geogrid reinforcement in position until the subsequent soil layer can be placed.

Under no circumstances shall a track-type vehicle be allowed on the geogrid reinforcement before at least six inches of soil have been placed. Turning of tracked vehicles should be kept to a minimum to prevent tracks from displacing the fill and the



geogrid reinforcement. If approved by the Manufacturer, rubber-tired equipment may pass over the geosynthetic reinforcement at slow speeds, less than 10 mph. Sudden braking and sharp turning shall be avoided.

During construction, the surface of the fill should be kept approximately horizontal. Geogrid reinforcement shall be placed directly on the compacted horizontal fill surface. Geogrid reinforcements are to be placed within three inches of the design elevations and extend the length as shown on the elevation view unless otherwise directed by ENGEO. Correct orientation of the geogrid reinforcement shall be verified by ENGEO.

# Table IAllowable Geogrid StrengthWith Various Soil TypesFor Geosynthetic Reinforcement InMechanically Stabilized Earth Slopes

(Geogrid Pullout Resistance and Allowable Strengths vary with reinforced backfill used due to soil anchorage and site damage factors. Guidelines are provided below.)

		MINIMUM ALLOWABLE STRENGTH, T <sub>a</sub> (lb/ft)*		
	SOIL TYPE	GEOGRID Type I	GEOGRID Type II	GEOGRID Type III
A.	Gravels, sandy gravels, and gravel-sand-silt mixtures (GW, GP, GC, GM & SP)**	2400	4800	7200
B.	Well graded sands, gravelly sands, and sand- silt mixtures (SW & SM)**	2000	4000	6000
C.	Silts, very fine sands, clayey sands and clayey silts (SC & ML)**	1000	2000	3000
D.	Gravelly clays, sandy clays, silty clays, and lean clays (CL)**	1600	3200	4800
*	All partial Factors of Safety for reduction of	of design strength	are included i	n listed values.

\* All partial Factors of Safety for reduction of design strength are included in listed values. Additional factors of safety may be required to further reduce these design strengths based on site conditions.

\*\* Unified Soil Classifications.



# PART III - GEOTEXTILE SOIL REINFORCEMENT

# 1. <u>DESCRIPTION</u>:

Work shall consist of furnishing geotextile soil reinforcement for use in construction of reinforced soil slopes.

# 2. <u>GEOTEXTILE MATERIAL</u>:

- 2.1 The specific geotextile material and supplier shall be preapproved by ENGEO.
- 2.2 The geotextile shall have a high tensile modulus and shall have high resistance to damage during construction, to ultraviolet degradation, and to all forms of chemical and biological degradation encountered in the soil being reinforced.
- 2.3 The geotextiles shall have an Allowable Strength (T<sub>a</sub>) and Pullout Resistance, for the soil type(s) indicated as listed in Table II.
- 2.4 Certification: The Contractor shall submit a manufacturer's certification that the geotextiles supplied meet the respective index criteria set when geotextile was approved by ENGEO, measured in full accordance with all test methods and standards specified. In case of dispute over validity of values, the Contractor will supply the data from an ENGEO-approved laboratory to support the certified values submitted.

# 3. <u>CONSTRUCTION</u>:

- 3.1 Delivery, Storage and Handling: Contractor shall check the geotextile upon delivery to ensure that the proper material has been received. During all periods of shipment and storage, the geotextile shall be protected from temperatures greater than 140 °F, mud, dirt, dust, and debris. Manufacturer's recommendations in regard to protection from direct sunlight must also be followed. At the time of installation, the geotextile will be rejected if it has defects, tears, punctures, flaws, deterioration, or damage incurred during manufacture, transportation, or storage. If approved by ENGEO, torn or punctured sections may be repaired by placing a patch over the damaged area. Any geotextile damaged during storage or installation shall be replaced by the Contractor at no additional cost to the owner.
- 3.2 Onsite Representative: Geotextile material suppliers shall provide a qualified and experienced representative onsite at the initiation of the project, for a minimum of three days, to assist the Contractor and ENGEO personnel at the start of construction. If there is more than one slope on a project, this criterion will apply to construction of the initial slope only. The representative shall also be available on an as-needed basis, as requested by ENGEO, during construction of the remaining slope(s).



3.3 Geotextile Placement: The geotextile reinforcement shall be installed in accordance with the manufacturer's recommendations. The geotextile reinforcement shall be placed within the layers of the compacted soil as shown on the plans or as directed.

The geotextile reinforcement shall be placed in continuous longitudinal strips in the direction of main reinforcement. Joints shall not be used with geotextiles.

Adjacent strips, in the case of 100 percent coverage in plan view, need not be overlapped. The minimum horizontal coverage is 50 percent, with horizontal spacings between reinforcement no greater than 40 inches. Horizontal coverage of less than 100 percent shall not be allowed unless specifically detailed in the construction drawings.

Adjacent rolls of geotextile reinforcement shall be overlapped or mechanically connected where exposed in a wrap around face system, as applicable.

The Contractor may place only that amount of geotextile reinforcement required for immediately pending work to prevent undue damage. After a layer of geotextile reinforcement has been placed, the succeeding layer of soil shall be placed and compacted as appropriate. After the specified soil layer has been placed, the next geotextile reinforcement layer shall be installed. The process shall be repeated for each subsequent layer of geotextile reinforcement and soil.

Geosynthetic reinforcement shall be placed to lay flat and be pulled tight prior to backfilling. After a layer of geotextile reinforcement has been placed, suitable means, such as pins or small piles of soil, shall be used to hold the geotextile reinforcement in position until the subsequent soil layer can be placed.

Under no circumstances shall a track-type vehicle be allowed on the geotextile reinforcement before at least six inches of soil has been placed. Turning of tracked vehicles should be kept to a minimum to prevent tracks from displacing the fill and the geotextile reinforcement. If approved by the Manufacturer, rubber-tired equipment may pass over the geotextile reinforcement as slow speeds, less than 10 mph. Sudden braking and sharp turning shall be avoided.

During construction, the surface of the fill should be kept approximately horizontal. Geotextile reinforcement shall be placed directly on the compacted horizontal fill surface. Geotextile reinforcements are to be placed within three inches of the design elevations and extend the length as shown on the elevation view unless otherwise directed by ENGEO. Correct orientation of the geotextile reinforcement shall be verified by ENGEO.



# **Table II** Allowable Geotextile Strength With Various Soil Types For Geosynthetic Reinforcement In Mechanically Stabilized Earth Slopes

(Geotextile Pullout Resistance and Allowable Strengths vary with reinforced backfill used due to soil anchorage and site damage factors. Guidelines are provided below.)

		MINIMUM ALLOWABLE STRENGTH, T <sub>a</sub> (lb/ft)*		
	SOIL TYPE	GEOTEXTILE Type I	GEOTEXTILE Type II	GEOTEXTILE Type III
A.	Gravels, sandy gravels, and gravel-sand- silt mixtures (GW, GP, GC, GM & SP)**	2400	4800	7200
B.	Well graded sands, gravelly sands, and sand-silt mixtures (SW & SM)**	2000	4000	6000
C.	Silts, very fine sands, clayey sands and clayey silts (SC & ML)**	1000	2000	3000
D.	Gravelly clays, sandy clays, silty clays, and lean clays (CL)**	1600	3200	4800
*	All partial Factors of Safety for reduction of design strength are included in listed values. Additional factors of safety may be required to further reduce these design strengths based on site			

ctors of sat further reduce these ιy ıg. ıв conditions.

\*\* Unified Soil Classifications.



# PART IV - EROSION CONTROL MAT OR BLANKET

# 1. <u>DESCRIPTION</u>:

Work shall consist of furnishing and placing a synthetic erosion control mat and/or degradable erosion control blanket for slope face protection and lining of runoff channels.

# 2. EROSION CONTROL MATERIALS:

- 2.1 The specific erosion control material and supplier shall be pre-approved by ENGEO.
- 2.2 Certification: The Contractor shall submit a manufacturer's certification that the erosion mat/blanket supplied meets the criteria specified when the material was approved by ENGEO. The manufacturer's certification shall include a submittal package of documented test results that confirm the property values. In case of a dispute over validity of values, the Contractor will supply property test data from an ENGEO-approved laboratory, to support the certified values submitted. Minimum average roll values, per ASTM D 4759, shall be used for conformance determinations.

# 3. <u>CONSTRUCTION</u>:

- 3.1 Delivery, Storage, and Handling: Contractor shall check the erosion control material upon delivery to ensure that the proper material has been received. During all periods of shipment and storage, the erosion mat shall be protected from temperatures greater than 140 °F, mud, dirt, and debris. Manufacturer's recommendations in regard to protection from direct sunlight must also be followed. At the time of installation, the erosion mat/blanket shall be rejected if it has defects, tears, punctures, flaws, deterioration, or damage incurred during manufacture, transportation, or storage. If approved by ENGEO, torn or punctured sections may be removed by cutting OUT a section of the mat. The remaining ends should be overlapped and secured with ground anchors. Any erosion mat/blanket damaged during storage or installation shall be replaced by the Contractor at no additional cost to the Owner.
- 3.2 Onsite Representative: Erosion control material suppliers shall provide a qualified and experienced representative onsite, for a minimum of one day, to assist the Contractor and ENGEO personnel at the start of construction. If there is more than one slope on a project, this criteria will apply to construction of the initial slope only. The representative shall be available on an as-needed basis, as requested by ENGEO, during construction of the remaining slope(s).



- 3.3 Placement: The erosion control material shall be placed and anchored on a smooth graded, firm surface approved by the Engineer. Anchoring terminal ends of the erosion control material shall be accomplished through use of key trenches. The material in the trenches shall be anchored to the soil on maximum 1½ foot centers. Topsoil, if required by construction drawings, placed over final grade prior to installation of the erosion control material shall be limited to a depth not exceeding 3 inches.
- 3.4 Erosion control material shall be anchored, overlapped, and otherwise constructed to ensure performance until vegetation is well established. Anchors shall be as designated on the construction drawings, with a minimum of 12 inches length, and shall be spaced as designated on the construction drawings, with a maximum spacing of 4 feet.
- 3.5 Soil Filling: If noted on the construction drawings, the erosion control mat shall be filled with a fine grained topsoil, as recommended by the manufacturer. Soil shall be lightly raked or brushed on/into the mat to fill the mat voids or to a maximum depth of 1 inch.



# PART V - GEOSYNTHETIC DRAINAGE COMPOSITE

# 1. <u>DESCRIPTION</u>:

Work shall consist of furnishing and placing a geosynthetic drainage system as a subsurface drainage medium for reinforced soil slopes.

# 2. DRAINAGE COMPOSITE MATERIALS:

- 2.1 The specific drainage composite material and supplier shall be preapproved by ENGEO.
- 2.2 The drain shall be of composite construction consisting of a supporting structure or drainage core material surrounded by a geotextile. The geotextile shall encapsulate the drainage core and prevent random soil intrusion into the drainage structure. The drainage core material shall consist of a three dimensional polymeric material with a structure that permits flow along the core laterally. The core structure shall also be constructed to permit flow regardless of the water inlet surface. The drainage core shall provide support to the geotextile. The fabric shall meet the minimum property requirements for filter fabric listed in Section 2.05C of the Guide Earthwork Specifications.
- 2.3 A geotextile flap shall be provided along all drainage core edges. This flap shall be of sufficient width for sealing the geotextile to the adjacent drainage structure edge to prevent soil intrusion into the structure during and after installation. The geotextile shall cover the full length of the core.
- 2.4 The geocomposite core shall be furnished with an approved method of constructing and connecting with outlet pipes or weepholes as shown on the plans. Any fittings shall allow entry of water from the core but prevent intrusion of backfill material into the core material.
- 2.5 Certification and Acceptance: The Contractor shall submit a manufacturer's certification that the geosynthetic drainage composite meets the design properties and respective index criteria measured in full accordance with all test methods and standards specified. The manufacturer's certification shall include a submittal package of documented test results that confirm the design values. In case of dispute over validity of design values, the Contractor will supply design property test data from an ENGEO-approved laboratory, to support the certified values submitted. Minimum average roll values, per ASTM D 4759, shall be used for determining conformance.

# 3. <u>CONSTRUCTION</u>:

3.1 Delivery, Storage, and Handling: Contractor shall check the geosynthetic drainage composite upon delivery to ensure that the proper material has been received. During all periods of shipment and storage, the geosynthetic drainage composite shall be protected from temperatures greater than 140 °F, mud, dirt, and debris. Manufacturer's



recommendations in regards to protection from direct sunlight must also be followed. At the time of installation, the geosynthetic drainage composite shall be rejected if it has defects, tears, punctures, flaws, deterioration, or damage incurred during manufacture, transportation, or storage. If approved by ENGEO, torn or punctured sections may be removed or repaired. Any geosynthetic drainage composite damaged during storage or installation shall be replaced by the Contractor at no additional cost to the Owner.

- 3.2 Onsite Representative: Geosynthetic drainage composite material suppliers shall provide a qualified and experienced representative onsite, for a minimum of one half day, to assist the Contractor and ENGEO personnel at the start of construction with directions on the use of drainage composite. If there is more than one application on a project, this criterion will apply to construction of the initial application only. The representative shall also be available on an as-needed basis, as requested by ENGEO, during construction of the remaining applications.
- 3.3 Placement: The soil surface against which the geosynthetic drainage composite is to be placed shall be free of debris and inordinate irregularities that will prevent intimate contact between the soil surface and the drain.
- 3.4 Seams: Edge seams shall be formed by utilizing the flap of the geotextile extending from the geocomposite's edge and lapping over the top of the fabric of the adjacent course. The fabric flap shall be securely fastened to the adjacent fabric by means of plastic tape or non-water-soluble construction adhesive, as recommended by the supplier. Where vertical splices are necessary at the end of a geocomposite roll or panel, an 8-inch-wide continuous strip of geotextile may be placed, centering over the seam and continuously fastened on both sides with plastic tape or non-water-soluble construction adhesive. As an alternative, rolls of geocomposite drain material may be joined together by turning back the fabric at the roll edges and interlocking the cuspidations approximately 2 inches. For overlapping in this manner, the fabric shall be lapped and tightly taped beyond the seam with tape or adhesive. Interlocking of the core shall always be made with the upstream edge on top in the direction of water flow. To prevent soil intrusion, all exposed edges of the geocomposite drainage core edge must be covered. Alternatively, a 12-inch-wide strip of fabric may be utilized in the same manner, fastening it to the exposed fabric 8 inches in from the edge and folding the remaining flap over the core edge.
- 3.5 Soil Fill Placement: Structural backfill shall be placed immediately over the geocomposite drain. Care shall be taken during the backfill operation not to damage the geotextile surface of the drain. Care shall also be taken to avoid excessive settlement of the backfill material. The geocomposite drain, once installed, shall not be exposed for more than seven days prior to backfilling.





Project No. **P2021.000.328** 

February 17, 2021

Ms. Sunali Yatagama County of San Mateo 400 County Center Redwood City, CA 94063

Subject: Maple Street Correctional Facility – Solar Power Generation 1300 Maple Street Redwood City, California

#### **PROPOSAL FOR A GEOTECHNICAL RECOMMENDATION LETTER**

Reference: ENGEO; Geotechnical Exploration, San Mateo County, Replacement Correctional Facility, Redwood City, California; November 30, 2012; Project No. 9515.000.000.

Dear Ms. Yatagama:

We are pleased to submit this proposal to provide a geotechnical recommendation letter for the proposed solar panel system planned at the subject project in Redwood City, California. We previously served as geotechnical engineer of record (GEOR) during design and construction of the correctional facility and prepared the referenced geotechnical report.

#### **PROJECT DESCRIPTION**

Based on our review of the Solar Study prepared by Bartos Architects and discussions with the design team, we understand the project includes construction of new carports to support the proposed photovoltaic systems (PV). We understand the project team is currently contemplating supporting the proposed carports on either shallow isolated footings or drilled piers.

#### SCOPE OF SERVICES

We propose to assist the design team in developing foundation recommendations for the proposed solar panel carport structures. We will utilize the existing geotechnical data from our previous geotechnical exploration along with our knowledge from observing previous site grading activities. Our intent is to analyze both shallow footings and drilled piers for support of the structures and collaborate with the structural engineer in determining which foundation type might be more economical. We would then prepare a brief summary letter with the following.

- Updated 2019 California Building Code (CBC) Seismic Design Parameters. We assumed that the fundamental period of the proposed structures is less than 0.5 second; as such, the exception in Section 20.3.1 of ASCE 7-16 may be utilized and a Seismic Hazard Analysis is not required.
- Recommendations for shallow footings, including minimum dimensions, allowable bearing capacities, allowable lateral passive earth pressure and coefficient of sliding friction, and estimated long-term settlements.

County of San Mateo Maple Street Correction Facility - Solar Power Generation PROPOSAL FOR A GEOTECHNICAL RECOMMENDATION LETTER

- Recommendations for drilled piers, including minimum dimensions, allowable vertical and lateral capacities, and estimated long-term settlements.
- Applicability of other recommendations in the referenced geotechnical report, as needed.

#### FEE

We propose to provide the above-described geotechnical recommendation letter for a lump sum fee of \$5,500.

ENGEO's liability for damage due to professional negligence, acts, errors, omissions, breach of contract and consequential damages shall be limited to ten thousand dollars or ENGEO's fees whichever is greater.

#### SCHEDULE

We will commence our services upon formal authorization to proceed. We anticipate completing the letter within 2 weeks after receiving formal notice to proceed.

#### **PROFESSIONAL SERVICES AGREEMENT**

If you are in agreement with the scope of services and fees outlined above, please sign the attached Professional Services Agreement and return to us authorization to proceed. *Our services will commence upon formal authorization*.

We look forward to working with you. If you have any questions regarding this proposal, please call and we will be glad to discuss them with you.

Sincerely,

**ENGEO** Incorporated

Jonas F. Baue

Project Engineer jb/lc/mmg/cjn

Attachment: Professional Services Agreement

eroy/Chan Principal

#### PROFESSIONAL SERVICES AGREEMENT



Date: February 17, 2021

#### Client: County of San Mateo

Client Contact: Sunali Yatagama

Billing Address: Project Development Unit, 400 County Center, Redwood City, CA 94063

Project Name and Location: SMC Correctional Facility Solar System, 1300 Maple Street, Redwood City, CA 94063

Scope of Services: In accordance with the attached proposal dated February 17, 2021.

# Estimated Fees: \$5,500; in accordance with the attached proposal dated February 17, 2021.

#### **TERMS AND CONDITIONS**

- 1. This agreement shall be binding upon the heirs, executors, administrators, successors and assigns of Client and ENGEO.
- This agreement shall not be assigned by either Client or ENGEO without the prior written consent of the other. 2.
- 3 This agreement contains the entire agreement between Client and ENGEO relating to the project(s) and the provision of services to the project(s). Any prior agreements, promises, negotiations or representations not expressly set forth in this agreement or its referenced documents are of no force or effect. Subsequent modifications to this agreement shall be in writing and signed by both Client and ENGEO.
- 4. ENGEO's waiver of any term, condition, or covenant, or breach of any term, condition, or covenant, shall not constitute the waiver of any other term, condition, or covenant, or the breach of any other term, condition, or covenant.
- 5. If any term, condition, or covenant of this agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remaining provisions of this agreement shall be valid and binding on Client and ENGEO.
- 6. This agreement shall be governed by and construed in accordance with the laws of the State of California.
- ENGEO shall only act as an advisor in all governmental relations. ENGEO shall not be construed as an agent of Client. 7
- 8. ENGEO shall sign certifications only if ENGEO approves the form of such certifications prior to the commencement of services, and provided such certifications are limited to statements of professional opinion and do not constitute a warranty or guarantee, express or implied.
- 9. All reports, documents, drawings and other instruments of ENGEO's service, and copies thereof, created by ENGEO pursuant to this agreement, shall remain the property of ENGEO. Client agrees that the instruments of service provided to Client by ENGEO shall not be subject to unauthorized reuse, that is, reuse without written authorization of ENGEO. Such authorization is essential because it requires ENGEO to evaluate the documents' applicability given new circumstances, not the least of which is passage of time. Accordingly, Client agrees to waive any claim against ENGEO, and defend, indemnify and hold ENGEO harmless from any claim or liability for injury or loss allegedly arising from unauthorized reuse of ENGEO's instruments of service. Client further agrees to compensate ENGEO for any time spent or expenses incurred by ENGEO in defense of any such claim, in accordance with ENGEO's prevailing fee schedule and expense reimbursement policy.
- 10. Samples will be discarded immediately after testing. Those not tested will be discarded 30 days after sampling. Samples shall remain the property of Client, and Client shall be responsible for removal and lawful disposal of hazardous materials and containers.
- 11. Client shall not permit or authorize changes in the reports and documents prepared by ENGEO pursuant to this agreement. Client acknowledges that any changes and their effects are not the responsibility of ENGEO and Client agrees to release ENGEO from all liability arising from the use of such changes and further agrees to defend, indemnify and hold harmless ENGEO, its officers, directors, principals, agents and employees from and against all claims, demands, damages or costs arising from the changes and their effects.
- 12. Client acknowledges that its right to utilize the services and instruments of service provided pursuant to this agreement will continue only so long as Client is not in default pursuant to the terms and conditions of this agreement and Client has performed all obligations under this agreement. Client further acknowledges that ENGEO has the unrestricted right to use the services provided pursuant to this agreement as well as all instruments of service provided pursuant to this agreement.
- 13. Client is to furnish ENGEO free access to the project site in order to make the necessary borings, reconnaissance, or other explorations, whether invasive or noninvasive. ENGEO will exercise reasonable care; but some damage is unavoidable. Cost of repair is not included in the fee and is Client's responsibility.
- 14. Client shall furnish ENGEO the locations of all underground utilities or buried structures. ENGEO shall not be liable for damage to any utilities or structures which were not accurately defined and/or located by the Client.
- 15. ENGEO and Client agree that there are risks of earth movement and property damage inherent in field exploration, land development and repair; that ENGEO has not been authorized to perform the exhaustive and economically infeasible investigation necessary to eliminate such risks; and that ENGEO thus does not guarantee or warrant the results of its work.
- 16. Upon written request, Client shall execute and deliver, or cause to be executed and delivered, such additional instruments, documents, governmental fees and charges which are necessary for ENGEO to perform its obligations under this agreement.
- 17. Client agrees not to use or permit any other person to use reports or other instruments of service prepared by ENGEO, which reports or other instruments of service are not final and which are not signed, stamped or sealed by ENGEO. Client agrees to be liable and responsible for any such use of nonfinal reports, or other instruments of service not signed, stamped or sealed by ENGEO and waives liability against ENGEO for their use. Client further agrees that final reports or other instruments of service are for the exclusive use of Client and may be used by Client only for the project described on the face hereof.
- 18. ENGEO has a right to complete all services agreed to be rendered pursuant to this agreement. Either Client or ENGEO may terminate this agreement at any time before completion of all services by giving seven (7) days written notice thereof to the other. If terminated by Client, Client agrees to release ENGEO and hold ENGEO harmless from all liability for work performed.
- 19. ENGEO shall be entitled to immediately, and without notice, suspend the performance of any and all of its obligations pursuant to this agreement if Client files a voluntary petition seeking relief under the United States Bankruptcy Code or if there is an involuntary bankruptcy petition filed against Client, and that petition is not dismissed within fifteen (15) days of its filing. Any suspension of services made pursuant to this paragraph shall continue until such time as this agreement has been fully and properly assumed in accordance with the applicable provisions of the United States Bankruptcy Code and in compliance with the final order or judgment issued by the Bankruptcy Court.
- 20. This agreement shall not be construed to alter, affect or waive any lien or stop notice right which ENGEO may have for the performance of services pursuant to this agreement. Client agrees to separately provide to ENGEO the present name and address of the record owner of the property on which the project is to be located. Client also agrees to separately provide ENGEO with the name and address of any and all lenders who would loan money on the project and who are entitled to receive a preliminary notice.
- 21. If payment for ENGEO's services is to be made on behalf of Client by a third-party lender, Client agrees that ENGEO shall not be required to indemnify the third-party lender, in the form of an endorsement or otherwise, as a condition of receiving payment for services.
- 22. Charges not paid within thirty (30) days of invoice will accrue a late charge at a rate of 1.5 percent per month. If Client fails to pay ENGEO within thirty (30) days after invoices are rendered, Client agrees that ENGEO has the right to consider such nonpayment a material breach of this entire agreement, and, upon written notice, the duties, obligations, and responsibilities of ENGEO under this agreement are terminated. In such event, Client shall promptly pay ENGEO for all fees, charges, and services provided by ENGEO including collection costs and related attorneys' fees. Client agrees that all billings from ENGEO to Client are correct, conclusive, and binding on Client unless Client, within ten (10) days from the date of receipt of such billing, notifies ENGEO in writing of alleged inaccuracies, discrepancies, or errors in the billing.
- 23. If ENGEO, pursuant to this agreement, produces reports, or other documents and/or performs field work, and such reports, and other documents and/or field work are required by one or more governmental agency, and one or more such governmental agency changes its ordinances, policies, procedures or requirements after the date of this agreement, any additional office or field work thereby required shall be paid for by Client as extra work.

2010 Crow Canvon Place. Suite 250 San Ramon, CA 94583-4634 

Project No.: P2021.000.328 Phase: 001 ENGEO Contact: Leroy Lai Wo Chan

- 24. Client agrees that if Client requests services not specified pursuant to the scope of services description within this agreement, Client agrees to pay for all such additional services as extra work.
- 25. In the event all or any portion of the work prepared or partially prepared by ENGEO is suspended, abandoned, or terminated, Client shall pay ENGEO for all fees, charges, and services provided for the project, not to exceed any limit specified herein. Client acknowledges if the project work is suspended and restarts, there will be additional fees due to suspension of the work which shall be paid by Client as extra work.
- 26. ENGEO is not responsible for delay caused by factors beyond ENGEO's reasonable control, including but not limited to, delays by reason of strikes, lockouts, work slowdowns or stoppages, accidents, acts of God, failure of Client to furnish timely information or approve or disapprove ENGEO's work promptly, faulty performance by Client or other contractors or governmental agencies. When such delays occur, Client agrees that ENGEO is not responsible for damages nor shall ENGEO be deemed to be in default of this agreement.
- 27. ENGEO shall not be liable for damages resulting from the actions or inactions of governmental agencies including, but not limited to, permit processing, environmental reports, dedications, general plans and amendments thereto, zoning matters, annexations or consolidations, use or conditional use permits, project or plan approvals, or building permits.
- 28. Client agrees that in the event Client institutes litigation to enforce or interpret the provisions of this agreement, such litigation shall be brought and adjudicated in the appropriate court in the county in which ENGEO's principal place of business is located, and Client waives the right to bring, try or remove such litigation to any other county or judicial district.
- 29. Client acknowledges that ENGEO is not responsible for the performance or work by third parties including, but not limited to, the construction contractor and its subcontractors.
- 30. Client acknowledges that the work performed pursuant to this agreement is based upon field and other conditions discovered at the time of preparation of ENGEO's work. Client further acknowledges that field and other conditions may change by the time project construction occurs and clarification, adjustments, modifications or other changes may be necessary to reflect changed field or other conditions. If the scope of services pursuant to this agreement does not include on-site construction observation, or if subsequent to this agreement Client retains other persons or entities to provide such services, Client acknowledges that such services will be performed by others and Client will defend, indemnify and hold ENGEO harmless from any and all claims arising from or resulting from the performance of such services by other persons or entities except claims caused by the sole negligence or willful misconduct of ENGEO; and from any and all claims arising from or resulting field or other conditions, except claims caused by the sole negligence or willful misconduct of ENGEO; and from any and all claims arising from or resulting field or other conditions, except claims caused by the sole negligence or willful misconduct of ENGEO; and field or other conditions, except claims caused by the sole negligence or willful misconduct of ENGEO.
- 31. In the event Client discovers or becomes aware of field or other conditions which necessitate clarifications, adjustments, modifications or other changes during the construction phase of the project, Client agrees to notify ENGEO and engage ENGEO to prepare the necessary clarifications, adjustments, modifications or other changes to ENGEO's work before construction activities commence or further activity proceeds. Further, Client agrees to have a provision in its construction contracts for the project which requires the contractor to notify Client of any changed field or other conditions so that Client may in turn notify ENGEO pursuant to the provisions of this paragraph.
- 32. Client agrees that the sole recourse for damages to Client arising from the services provided to Client by ENGEO under this agreement shall be against ENGEO and Client waives any claim against any employees, directors, officers, agents, or affiliates of ENGEO.
- 33. The fee(s) quoted in this contract is valid for 60 days from the contract date and unless stated otherwise, is approximate only.
- 34. ENGEO'S LIABILITY FOR DAMAGE DUE TO PROFESSIONAL NEGLIGENCE, ACTS, ERRORS, OMISSIONS, BREACH OF CONTRACT AND CONSEQUENTIAL DAMAGES WILL BE LIMITED BY CLIENT TO AN AMOUNT NOT TO EXCEED AN AGGREGATE LIMIT OF TWENTY-FIVE THOUSAND DOLLARS (\$25,000) OR ENGEO'S FEE, WHICHEVER IS GREATER, REGARDLESS OF THE LEGAL THEORY UNDER WHICH SUCH LIABILITY IS IMPOSED. In the event that Client does not wish to limit ENGEO's liability in accordance with the provisions stated herein, ENGEO agrees to waive this limitation upon written notice from the Client received within five (5) days after the date this agreement is fully executed, and Client agrees to pay Two Hundred Fifty Thousand Dollars, (\$250,000.00) or an additional sum equivalent to ten percent (10%) of the total fee, whichever is greater, said consideration to be called "Waiver of Limitation of Professional Liability Charge." This charge will in no way be construed as being a charge for insurance of any type, but will be increased consideration for the greater risk involved in performing work for which there is no limitation of liability. ENGEO and Client each agree that in no event will either hold the other liable for incidental or consequential damages in connection with any claim arising from or related to this agreement or ENGEO's services. Client further agrees to notify any contractor and subcontractor who may perform work in connection with any design, report or study prepared by ENGEO of such limitation of professional liability for design defects, errors, omissions, professional negligence, breach of contract and consequential damages, and to require as a condition precedent to their performing their work, a like limitation of liability on their part as against ENGEO.
- 35. Client agrees that in accordance with generally accepted construction practices, construction contractor will be required to assume sole and complete responsibility for job site conditions during the course of construction of the project, including safety of all persons and property; that this requirement shall be made to apply continuously and not be limited to normal working hours, and Client further agrees to defend, indemnify and hold ENGEO harmless from any and all liability, real or alleged, in connection with the performance of work on this project, excepting liability arising from the sole negligence of ENGEO.
- 36. Client acknowledges that ENGEO's scope of services for this project does not include removal or abatement of environmental contaminants. Should ENGEO or any other party encounter such materials on the job site, or should it in any other way become known that such materials are present or may be present on the job site or any nearby areas which may affect ENGEO's work, ENGEO may, at its option, terminate work on the project until such time as Client retains ENGEO to mitigate, abate and/or remove environmental contaminants. Client agrees that the discovery of unanticipated environmental contaminants may make it necessary for ENGEO to take immediate measures to protect health and safety. Client agrees to compensate ENGEO for all costs incident to the discovery of environmental contaminants.
- 37. Client recognizes that ENGEO's failure to detect the presence of environmental contaminants at a site, even though environmental contaminants may be assumed or expected to exist through the use of appropriate sampling techniques, does not guarantee that environmental contaminants do not exist at the site. Similarly, Client recognizes that ENGEO's subsurface explorations may not encounter environmental contaminants at a site, which may later be discovered. Client agrees to waive any claim against ENGEO and agrees to defend, indemnify and hold ENGEO harmless from claims or liability for injury or loss arising from ENGEO's failure to detect the presence of environmental contaminants through techniques commonly employed for the purpose.
- 38. Client agrees to save, indemnify, and hold harmless ENGEO against any and all liability, claims, judgments, or demands, arising from injuries or death of persons (Client's employees, subcontractors, and consultants included), damage to property, diminution in property value arising directly or indirectly out of the obligations herein undertaken or out of the services rendered by ENGEO, save and except claims or litigation arising through the sole negligence or sole willful misconduct of ENGEO, and will make good to and reimburse ENGEO for any expenditures, including reasonable attorneys fees, ENGEO may incur in such matters, and, if requested by ENGEO, will defend any such suits at the sole cost and expense of the Client.
- 39. Subject to any shorter period provided under applicable statutes of limitations, Client agrees that it will not assert any claim or action arising from or in any way related to ENGEO's services under this agreement later than three years following the Completion Date. This provision applies regardless of whether such claim or action alleges breach of contract, tort, indemnity, or any other legal theory, and regardless of whether it alleges any patent or latent deficiency in ENGEO's services. The Completion Date relating to the services performed under this agreement is the date of the last published technical document required under this agreement.

ENGEO INCORPORATED		CLIENT: County of San Ma	ateo
Ву:	Date:	Ву:	Date:
Print Name:		Print Name:	
Title:	_	Title:	
Engineer's License No.:			